

9. FATCA* (Foreign Account Tax Compliance Act) & CRS DECLARATION (Refer Sr no. 6 of the instructions):

I am a tax resident of India and not resident of any other country I am a tax resident of the country/ies mentioned below
 US Person Yes No

Particulars	Country (1)	Country (2)	Country (3)
Country/countries of Tax Residency			
Address in the jurisdiction for Tax Residence	Address Line 1		
	City/Town/Village		
	State		
	ZIP/Post Code		
Tax Identification Number (TIN)/Functional equivalent Number			
TIN/ Functional equivalent Number Issuing Country			
Validity of documentary evidence provided (Wherever applicable)	ddmmyyy	ddmmyyy	ddmmyyy

I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions and Terms & Conditions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete and hereby accept the same.

Signature / Thumb Impression* of Applicant
(refer instructions)

10. DECLARATION BY APPLICANT* (Refer Sr no. 7 of the instructions)

I have read and understood the terms and conditions of the National Pension System. The information and documents furnished by me are true and correct, to the best of my knowledge. Any changes in the information furnished by me shall be informed to CRA / NPS Trust. I do not hold any pre-existing account under NPS. I understand that I shall be fully liable for submission of any false or incorrect information or documents.

Declaration under the Prevention of Money Laundering Act, 2002

I hereby declare that the contribution paid by me/on my behalf has been derived from legally declared and assessed sources of income. I understand that NPS Trust has the right to peruse my financial profile or share the information, with other government authorities. I further agree that NPS Trust has the right to close my PRAN in case I am found violating the provisions of any law relating to prevention of money laundering.

Signature / Thumb Impression* of Applicant
(*LTI in case of males and RTI in case of females to be provided. Toe impression in case no hands)

Date: Place:

11. DECLARATION BY EMPLOYER (All Details are Mandatory)

Date of Joining Date of Retirement
 Employee Code/ID Non-mandatory if not available
 CHO Registration Number CBO Registration Number

It is certified that _____ is employed with us and the details provided in this subscriber registration form including the address and employment details provided above are as per the service record of the employee maintained with us. It is further certified that he/she has read entries/entries have been read over to him/her by us and got confirmed by him/her.

Name of the Authorised Person
 Designation of the Authorised Person
 Date
 Place

Signature of Authorised person Rubber Stamp of the Employer

12. TO BE FILLED BY POP

Receipt No. (17 digits)
 POP Registration Number POP-SP Registration Number
 Documents Received:

Existing Customer: I/we hereby certify/confirm that Shri/Smt/Kum is an existing KYC verified customer. The above applicant is having an operative Bank/ Demat/ Folio/ account (specify nature of the account) having account number /client ID..... maintained at branch/office. The KYC documents available with us for this customer/client matches the requirement for opening NPS account and are in compliance with PMLA Rules. I/We further confirm that the Savings Bank a/c of Sh/Smt/Kum is not a 'Basic Savings Bank Deposit Account (applicable in case of Bank PoP)

Name of the Authorised Person
 Designation of the Authorised Person
 Date
 Place

Signature of Authorised person Rubber Stamp of the PoP

ACKNOWLEDGEMENT

Name of the Subscriber:
 Date of Receipt of Application:

Stamp and Signature of PoP

INSTRUCTIONS FOR FILLING THE SUBSCRIBER REGISTRATION FORM

General Guidelines

- (a) Please fill in legible handwriting to avoid errors. Do not overwrite. Corrections should be countersigned by the applicant. Applications incomplete in any aspect (or) if mandatory fields are left blank (or) with unclear photograph (or) not accompanied by required documents (or) not authenticated by PoP/PoP-SP are liable to be rejected.
 (b) Copies of documents submitted by the applicant should be self-attested.
 (c) Applicant is advised to retain the acknowledgement slip signed/ stamped by the PoP/PoP-SP office.

SI	Item No	Item Details	Instructions																										
1	1	Option for PRAN Card and Kit	In case a subscriber opts not to have a physical PRAN Card or Welcome Kit, reduced account opening charges of CRA are applicable as under: <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:50%; text-align:center;">Account opening with Physical PRAN card (in Rs.)</th> <th style="width:50%; text-align:center;">Account opening with ePRAN card (in Rs.)</th> </tr> <tr> <td style="text-align:center;">₹</td> <td style="text-align:center;">₹</td> </tr> </thead> <tbody> <tr> <td style="text-align:center;">Welcome kit sent in hardcopy</td> <td style="text-align:center;">Welcome kit sent vide email only</td> </tr> <tr> <td style="text-align:center;">₹</td> <td style="text-align:center;">₹</td> </tr> </tbody> </table>	Account opening with Physical PRAN card (in Rs.)	Account opening with ePRAN card (in Rs.)	₹	₹	Welcome kit sent in hardcopy	Welcome kit sent vide email only	₹	₹																		
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₹	₹																												
Father's Name, Mother's Name	(a) If the name has more than 30 digits, fill Annexure II for the same. (b) If the applicant is an Orphan, he/she may leave the fields blank. However, an official document to support the status to be submitted.																												
Politically Exposed Person	Politically Exposed Persons' (PEPs) are individuals who are or have been entrusted with prominent public functions such as heads of state or of the government, senior politicians, senior government, judicial or military officials, senior executives of state-owned corporations, important political party officials.																												
2	2	Proof of Identity and Address	If the applicant is submitting Aadhaar as proof of Identity and Address, the first 8 digits of the Aadhaar number should be redacted / masked on the submitted copy																										
3	5	Bank Details	For Tier I & Tier II account, bank details and documentary proof are mandatory. Please submit a cancelled cheque / copy of bank passbook / bank statement / bank certificate / letter from Bank containing applicant's Name, Bank Name, Bank Account Number and IFS Code.																										
4	6	Nomination Details	(a) If a subscriber has family at the time of making a nomination, the nomination shall be in favor of one or more persons belonging to his/her family. Any nomination made in favour of a person not belonging to family shall be invalid; A fresh nomination shall be made by the subscriber upon marriage and any nomination made before such marriage shall be deemed to be invalid; If at the time of making a nomination the subscriber has no family, the nomination may be in favor of any person or persons but if the subscriber subsequently acquires a family, such nomination shall forthwith be deemed to be invalid and the subscriber shall make a fresh nomination in favour of one or more persons belonging to his family. (b) In case of more than one nominee, the percentage share for each nominee should be in whole numbers and must be equal to 100.																										
5	7	Selection of Pension Fund (PF) & Investment Choice	In active choice, until age 50, the maximum allocation in Equity can be upto 75%. From 51 years and above, the equity allocation will be reduced as per the matrix given below. Applicants above 50 years of age should allot equity up to the permissible limit only. <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:15%;">Equity Matrix - Active Choice</th> <th style="width:10%;">Age (years)</th> <th style="width:5%;">Upto 50</th> <th style="width:5%;">51</th> <th style="width:5%;">52</th> <th style="width:5%;">53</th> <th style="width:5%;">54</th> <th style="width:5%;">55</th> <th style="width:5%;">56</th> <th style="width:5%;">57</th> <th style="width:5%;">58</th> <th style="width:5%;">59</th> <th style="width:10%;">60 & above</th> </tr> </thead> <tbody> <tr> <td></td> <td>Max. Limit (%)</td> <td>75</td> <td>72.5</td> <td>70</td> <td>67.5</td> <td>65</td> <td>62.5</td> <td>60</td> <td>57.5</td> <td>55</td> <td>52.5</td> <td>50</td> </tr> </tbody> </table>	Equity Matrix - Active Choice	Age (years)	Upto 50	51	52	53	54	55	56	57	58	59	60 & above		Max. Limit (%)	75	72.5	70	67.5	65	62.5	60	57.5	55	52.5	50
			Equity Matrix - Active Choice	Age (years)	Upto 50	51	52	53	54	55	56	57	58	59	60 & above														
	Max. Limit (%)	75	72.5	70	67.5	65	62.5	60	57.5	55	52.5	50																	
2. Corporate applicants may exercise these choices if the option is extended to them by the employer or else may be ignored																													
6	9	FATCA & CRS Declaration	Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India: <ul style="list-style-type: none"> Jurisdiction(s) of Tax Residence: Since US taxes the global income of its citizen, every US citizen of whatever nationality, is also a resident for tax purpose in USA. Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/insurance number, citizen/personal identification/services code/number and resident registration number) In case applicant is declaring US person status as 'No' but his/her Country of Birth is US, document evidencing Relinquishment of Citizenship should be provided or reasons for not having relinquishment certificate is to be provided. In case applicant is declaring US person status as 'Yes', provide PAN and 'father name' in addition to details required under section 9 of form 																										
7	9 & 10	Declaration / Signature by Applicant	In case the applicant is unable to affix signature, Left Thumb Impression in case of male and Right Thumb Impression in case of female should be affixed and in case there is no hands, toe impression of the applicant to be provided. The thumb / toe impression should be attested by two persons, one of whom should be the authorised official of PoP attesting the same under his/her official seal and stamp.																										

Applicable CRA charges:	NSDL	Kfintech	CAMS
Account Opening charges	₹	₹	₹
Account Maintenance Charges (p.a.)	₹	₹	₹
Charge per transaction	₹	₹	₹

Annexures - Subscriber Registration Form for Private Sector applicants (Tick and fill applicable annexures below)

Annexure I - Print PRAN Card in Hindi (Fill the details in Devnagri script)

Applicant's First Name	
Middle Name	
Last Name	
Father/Mother's First Name	
Middle Name	
Last Name	

Annexure II - If characters of name exceeded the space provided on page 1 of the application form

Applicant's First Name	
Middle Name	
Last Name	
Father's First Name	
Middle Name	
Last Name	
Mother's First Name	
Middle Name	
Last Name	

Annexure III - Additional Nomination For Tier-I For Tier-II For both Tier-I & Tier-II

Percentage Share	Nominee I		Nominee II		Nominee III		Total should be equal to 100%
Nominee I	Nominee I - Name	F i r s t	M i d d l e	L a s t			
	Relationship	Age		Date of Birth (In case of Minor)	D D / M M / Y Y Y Y		
	Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t			
Nominee II	Nominee II - Name	F i r s t	M i d d l e	L a s t			
	Relationship	Age		Date of Birth (In case of Minor)	D D / M M / Y Y Y Y		
	Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t			
Nominee III	Nominee III - Name	F i r s t	M i d d l e	L a s t			
	Relationship	Age		Date of Birth (In case of Minor)	D D / M M / Y Y Y Y		
	Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e	L a s t			

Annexure IV - Activate Tier-II (with Different Bank/Nomination/Investment Details - tick and fill as applicable)

PAN*		Copy of PAN to be attached
<input type="checkbox"/> No change in Bank details		<input type="checkbox"/> Bank details for Tier-II are as under:
Account Type	<input type="checkbox"/> Saving A/c	<input type="checkbox"/> Current A/c
Bank A/c Number		
Bank Name		IFS Code
<input type="checkbox"/> No change in Nominee details		<input type="checkbox"/> Nominee details for Tier-II are as under:
Nominee Name	F i r s t	M i d d l e
Relationship	Age	
Name of Guardian (if nominee is a minor)	F i r s t	M i d d l e
In case you desire to nominate more than one person, fill Annexure III above		
<input type="checkbox"/> No change in Investment details		<input type="checkbox"/> Investment details for Tier-II are as under:
<input type="checkbox"/> Pension Fund* (Please Tick (v) one)		<input type="checkbox"/> Investment Choice (Please Tick (v) one)
<input type="checkbox"/> Aditya Birla Sunlife Pension Mgmt Ltd	<input type="checkbox"/> HDFC Pension Mgmt Co Ltd	<input type="checkbox"/> Active Choice mention the % share in each asset class below
<input type="checkbox"/> ICICI Prudential Pension Funds Mgmt Co Ltd	<input type="checkbox"/> Kotak Mahindra Pension Fund Ltd	E (Upto 75%) C (Upto 100%) G (Upto 100%) A (Upto 5%) Total
<input type="checkbox"/> SBI Pension Funds Private Limited	<input type="checkbox"/> LIC Pension Fund Limited	% Equity % Corp Bonds % Govt Sec % Alt Assets 100%
<input type="checkbox"/> UTI Retirement Solutions Limited	<input type="checkbox"/> Any other (please mention)	OR
		<input type="checkbox"/> Auto Choice Select one life cycle fund below
		Conservative (LC25) <input type="checkbox"/> Moderate (LC50) <input type="checkbox"/> Aggressive (LC75) <input type="checkbox"/>
Name of the Applicant		
Place		
Date	D/ D/ M/ M/ Y/ Y/ Y/ Y/	
		Signature / Thumb Impression* of Applicant (refer instructions)