



KEY INFORMATION MEMORANDUM

HSBC Gold ETF Fund of Fund

(An open-ended fund of fund scheme investing in the units of HSBC Gold ETF)

Product Labeling: To provide investors an easy understanding of the kind of product/scheme they are investing in and its suitability to them, the product labeling is as under:

This product is suitable for investors who are seeking*	Scheme Risk-o-meter	Benchmark Riskometer (as applicable)
HSBC GOLD ETF Fund of Fund. The investment objective of the Scheme is to seek to provide returns that are in line with returns provided by HSBC Gold ETF. There is no assurance that the investment objective of the Scheme will be achieved.		Directly Price of Gold 

* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labeling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for units of Rs. 10/- each for cash during the New Fund Offer and continuous offer for units at NAV based prices

New Fund Offer Opens on: March 19, 2028

New Fund Offer Closes on: March 25, 2028

Scheme reopens : April 7, 2028

Name of Mutual Fund	Name of Asset Management Company	Name of Trustee Company
HSBC Mutual Fund 5-11 Floor, NESO IT Park, Building No. 3, Western Express Highway, Goregaon East, Mumbai - 400 063, India Website: www.asatfmanagement.hsbc.co.in	HSBC Asset Management Global Private Limited CIN - U74140MH0001PTC134100 Regd. & Corp. Office: 9-11 Floor, NESO IT Park, Building No. 3, Western Express Highway, Goregaon East, Mumbai - 400 063, India	HSBC Trustee Global Private Limited CIN - U66190MH0001PTC138375 Regd. Office: 52-60 Mahatma Gandhi Road, Fort, Mumbai - 400001, India

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificates by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.asatfmanagement.hsbc.co.in.

The Scheme's particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and God with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated March 14, 2028.

Investment Objective	<p>The investment objective of the Scheme is to seek to provide returns that are in line with returns provided by HSBC Gold ETF.</p> <p>There is no assurance that the investment objective of the Scheme will be achieved.</p>																																																																							
Asset Allocation Pattern of the scheme	<p>Under normal circumstances, it is anticipated that the asset allocation of the Scheme will be as follows:</p> <table border="1" data-bbox="332 196 1100 352"> <thead> <tr> <th data-bbox="332 196 891 253" rowspan="2">Instruments</th> <th colspan="2" data-bbox="897 196 1100 253">Indicative allocations (% of total assets)</th> </tr> <tr> <th data-bbox="897 255 997 277">Minimum</th> <th data-bbox="1003 255 1100 277">Maximum</th> </tr> </thead> <tbody> <tr> <td data-bbox="332 279 891 302">Units of HSBC Gold ETF</td> <td data-bbox="897 279 997 302">85%</td> <td data-bbox="1003 279 1100 302">100%</td> </tr> <tr> <td data-bbox="332 303 891 352">Cash & cash equivalent, Debt securities and Money market instruments including Tri-Party REPO, and/or units of debt liquid schemes</td> <td data-bbox="897 303 997 352">0%</td> <td data-bbox="1003 303 1100 352">15%</td> </tr> </tbody> </table> <p>The cumulative gross exposure through all permissible investments viz. units of HSBC Gold ETF and debt securities and money market instruments including Tri-Party REPO, repo transactions, and/or units of debt liquid schemes shall not exceed 100% of the net assets of the scheme.</p> <p>Pending deployment of funds of the Scheme, in securities in form of the investment objective, the AMC may park the funds of the scheme in short term deposits of scheduled commercial banks, subject to the guidelines issued by SEBI vide Clause 12.16 of SEBI Master Circular for Mutual Funds dated June 27, 2024.</p> <p>Money Market Instruments include commercial papers, commercial bills, Tri-party repos, treasury bills, Government securities having residual maturity up to one year, call or notice money, certificate of deposit, loaned bills, and any other like instruments as specified by the Reserve Bank of India from time to time.</p> <p>The scheme shall not invest in the following:</p> <ul style="list-style-type: none"> • Unrated debt instrument • Bespoke or complex debt products • Securities with special features • Short selling • Unrated debt and money market instruments (except G-Sec, T-Sec and other money market instruments) • Derivatives • Securitised Debt • Debt Instruments with special features (AT1 and AT2 Bonds) • Debt Instruments with SO/CS • Stock lending • Overseas investments • Invits • REITs • Repo-reverse repo transactions in corporate debt securities • Credit Default Swap transactions • Inter scheme transactions <p>Indicative Table (Actual instrument percentage may vary subject to applicable SEBI Circulars)</p> <table border="1" data-bbox="332 1056 1100 1722"> <thead> <tr> <th data-bbox="332 1056 373 1127">Sl no.</th> <th data-bbox="379 1056 656 1127">Type of Instrument</th> <th data-bbox="662 1056 791 1127">Percentage of exposure (% of net assets)</th> <th data-bbox="797 1056 1100 1127">Circular references / Issuance references of SEBI Master Circular on Mutual Funds dated June 27, 2024</th> </tr> </thead> <tbody> <tr> <td 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	<p>The Asset Allocation portion shall also include subscription and redemption cash flow which may be undeployed due to various reasons (rebalancing or released for running cost of the scheme, residual amount due to taxation or rounding off etc).</p> <p>Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. SEBI vide letter dated November 3, 2021 has clarified that Cash Equivalents shall consist of Government Securities, T-Bills and Repo on Government Securities having residual maturity of less than 91 days. AMC shall invest in securities (debt and money market instruments) that fall within the definition of liquid assets for the purpose of ensuring liquidity.</p> <p>Timeline for deployment of funds collected in NFO - In line with SEBI circulars, SEBI HO (MD/MD-PuD-1/F/CR/2023/20 dated February 27, 2023, funds collected in new fund offer shall be deployed as per following manner:</p> <ol style="list-style-type: none"> 1. The AMC shall deploy the funds generated in an NFO within 30 business days from the date of allotment of units. 2. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of the AMC. 3. The Investment Committee may extend the timeline by 30 business days, while also making recommendations on how to ensure deployment within 30 business days going forward and monitoring the same. The Investment Committee shall examine the root cause for delay in deployment before granting approval for part or full extension. The Investment Committee shall not ordinarily give part or full extension where the assets for any scheme are liquid and readily available. 4. In case the funds are not deployed as per the asset allocation mentioned in the SID as per the aforesaid mandated plus extended timelines, AMC shall: <ol style="list-style-type: none"> (i) not be permitted to receive fresh flows in the same scheme till the time the funds are deployed as per the asset allocation mentioned in the SID. (ii) not be permitted to levy exit load, if any, on the investors exiting such scheme(s) after 60 business days of not complying with the asset allocation of the scheme. (iii) inform all investors of the NFO, about the option of an exit from the concerned scheme without exit load, via email, SMS or other similar mode of communication. (iv) report deviation, if any, to Trustees at each of the above stages. <p>Further, the Scheme may, for meeting liquidity requirements invest in units of money market liquid schemes of NSDF Mutual Fund and/or any other mutual fund provided that aggregate interscheme investment made by all schemes under the same management or in schemes under the management of any other asset management company shall not exceed 5% of the net asset value of the mutual fund in accordance with Clause 4 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996. The AMC shall not charge any investment management fees with respect to such investment.</p> <p>At times the corpus of the scheme or subscriptions received on an ongoing basis may not be adequate for subscribing to one creation unit size as defined by the underlying scheme, then in such cases the allocation to Debt securities and money market instruments may be higher than indicated above.</p> <p>Change in Investment Pattern:</p> <p>Subject to the Regulations, the asset allocation pattern indicated above for the Scheme may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Investment Manager, the intention being at all times to seek to protect the interests of the Unitholders and meet the objective of the Scheme. Such changes in the investment pattern will be for short term and defensive considerations.</p> <p>Portfolio re-balancing in case of short term defensive consideration</p> <p>Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations as per para 1.14.1.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024 and the fund manager will rebalance the portfolio within 30 calendar days from the date of deviation.</p> <p>Portfolio re-balancing in case of passive breaches</p> <p>Further, as per para 2.8 of SEBI Master Circular on Mutual Funds dated June 27, 2024, as may be amended from time to time, in the event of deviation from mandated asset allocation due to passive breaches (occurrence of increases not arising out of omission and commission of the AMC), the fund manager shall rebalance the portfolio of the Scheme within 30 Business Days. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee of the AMC. The Investment Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforesaid mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in para 2.8 of SEBI Master Circular on Mutual Funds dated June 27, 2024. The AMC shall not invest in any of the schemes unless full disclosure of its intention to invest has been made in the Scheme Information Document and that the AMC shall not be entitled to charge any fees on such investments may be disclosed.</p>
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Investment Strategy	<p>The Fund of Fund scheme will be following passive investment strategy. To achieve the investment objective, the Fund of Fund scheme will be predominantly investing in units of HSBC Gold ETF. As per the Asset Allocation of the scheme it shall invest minimum 95% in units of HSBC Gold ETF and may hold up to 5% of their total assets in debt or money market securities/Funds. The Scheme will remain invested in the underlying scheme regardless of the prevailing gold price or future outlook for the asset class. Though every endeavor will be made to achieve the objective of the Scheme, the AMC/Sponsors/Trustee does not guarantee that the investment objective of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme.</p>
Risk Profile of the Scheme	<p>Scheme specific risk factors</p> <ul style="list-style-type: none"> • Investments in the Underlying scheme will have all the risks associated with investments in gold & gold related instruments and debt markets. • The portfolio disclosure of the Scheme will be largely limited to the investments made by the Scheme. • This being a fund of funds Scheme, the investor should note that the expenses to be borne by the investor include the recurring expenses of the Underlying scheme in which Fund of Funds Scheme makes investments subject to the maximum limits prescribed under sub-regulation 8 & 8A of Regulation 52 of the SEBI Regulations. The subscription and redemption in FOF is also dependent on the liquidity of the underlying scheme. The liquidity of the same may affect the performance of the FOF. • There could be liquidity risk on account of illiquidity issues in underlying funds for example, underlying fund creating a segregated portfolio due to default in its exposures. To maintain liquidity at the feeder fund level, the AMC will invest upto 5% in Money Market Instruments (including TREPS & reverse repo in government securities) and units of domestic mutual funds to provide from a liquidity perspective. • The underlying scheme will invest in a combination of Gold and money market instruments hence, the performance of the FOF would depend upon the performance of Underlying scheme. <p>Risk factors associated with Underlying scheme investing in Gold and Gold related securities</p> <ul style="list-style-type: none"> • Market risk due to volatility in gold prices: <p>The NAV of the Scheme will react to the countries and bullion market movements. The investor may lose money over short or long periods due to fluctuation in the Scheme's NAV in response to factors affecting gold prices. The price of gold is driven by speculation and supply and demand, like most commodities. The key factors that affect the volatility of gold are geo-political uncertainties, rising costs of production, depreciating dollar, government policies on major export and import destinations, sales by Central Banks, fluctuating industrial demand and store of value demand, Changes in indirect taxes or any other levies etc.</p> • Liquidity risks in physical markets impairing the ability of the fund to buy and sell gold : <p>Commodities tend to be more volatile than other instruments driven by demand and supply dynamics. This may have an impact on liquidity and may result in price risk. Liquidity risks may arise due to issues related to the supply chain which affects the availability of gold. The OTC markets for physical gold are concentrated in centres like London, New York and Zurich. However, London gold market is by far the largest global centre for over the counter (OTC) transactions. Globally, most of the countries prefer to store their gold reserves in London due to transparent market for gold driven by robust supporting infrastructure such as vaults, specialised transportation companies, insurers and customs handling firms, Mining companies, central banks, manufacturers of jewellery and industrial products, together with investors transact their business through London centre. However, in a situation of heightened geopolitical uncertainties and tariff wars, countries may intend to stop the gold back home as a defense mechanism which may adversely impact the supply of physical gold in global centres. The situation may result in higher cost of liquidity for the fund house, at the time of bulk redemptions driven by market sentiments.</p> <p>The market makers for gold ETFs also depend on the bulk gold markets centres to procure and sell the physical gold for providing two way liquidity to the fund houses. However, geopolitical uncertainty leading to supply issues in global centres, may limit their ability to support fund houses to meet the redemption by selling the underlying gold in physical markets in extreme scenarios.</p> <p>The gold ETF has to sell gold only to bullion bankers/traders who are authorized to buy gold but there is no obligation for bullion bankers/traders to be on the other side of the trade. Though there are adequate number of players (commercial or bullion bankers) to whom the gold ETF can sell gold, it may have to resort to distress sale of gold if there is no or low demand for gold to meet its cash needs of redemption or expenses.</p> <p>The lack of liquidity in the physical gold market may further arise due to seasonality of demand and supply. Lastly, government regulations including charge in taxation or duties levied on gold may affect the demand and supply and may affect the liquidity.</p> • Risks associated with handling, storing and safekeeping of physical gold: <p>All Physical gold procured must follow the LBMA guidelines as per prescribed SGBI guidelines. Risk arises when part or all of the gold held by the Fund could be lost, stolen or damaged and access to gold may be restricted due to natural calamities or human actions. Loss or damage as a consequence of war, invasion, acts of foreign enemies, hostilities (whether or not declared or not), civil war, rebellion, revolution, insurrection, military or usurped power may also lead to erosion of the value of underlying gold. Loss due to acidity, humidity, exposure to light or extremes of temperature can lead to risk of value erosion of physical gold. Hence, the Custodian maintains insurance with regards to the business on terms and conditions and the custodian is also responsible for all costs arising from the insurance policies. The custodian taking delivery on behalf of the AMC needs to ensure the weight, purity, and the source of gold as specified under the LBMA guidelines. Since this is paramount under</p>

SBI guidelines, the risk arises in violation of same. Safeguarding of physical gold requires appropriate vaulting space, conforming to the best global standards. The vaulting agents engaged by the custodian needs to ensure the same.

• **Tracking Error and Tracking Difference Risk:**

The scheme shall invest in Gold ETF which is exposed to tracking error and tracking difference risk. Tracking error is defined as the annualized standard deviation of the difference in the daily returns between the NAV of the Scheme and the Underlying Index. Tracking Difference is defined as the annualized difference of returns between the NAV of the Scheme and the underlying index. The Fund Manager of the underlying scheme would not be able to invest the entire corpus in physical gold due to certain factors such as the fees and expenses of the underlying Scheme, cash balance and regulatory restrictions, which may result in Tracking Error with the underlying price of gold. The underlying Scheme's returns may therefore deviate from that of the underlying gold. The Fund Manager of the underlying scheme would monitor the Tracking Error on an ongoing basis and would seek to minimize the Tracking Error to the maximum extent possible. There can be no assurance or guarantee that the underlying Scheme will achieve any particular level of Tracking Error relative to performance of the underlying Index. Tracking Error/Tracking Difference may arise including but not limited to the following reasons:

- Expenditure incurred by the underlying fund.
- Available funds may not be invested at all times as the Scheme may keep a portion of the funds in cash to meet Redemptions, for corporate actions or otherwise.
- Accounting for indirect taxes including tax reserves.

The AMC would monitor the tracking error of the underlying Scheme on an ongoing basis and would seek to minimize tracking error. Under normal market circumstances, tracking error based on past one year rolling data shall not exceed 2%. However, in case of unavoidable circumstances in the nature of force majeure, which are beyond the control of the AMCs, the tracking error may exceed 2% and the same shall be brought to the notice of Trustees with corrective actions taken by the AMC, if any.

Risk factor associated with legal, tax and regulatory risk

The Scheme could be exposed to changes in legal, tax and regulatory regime which may adversely affect it and/or the investors. Such changes could also have retrospective effect and could lead to additional taxation imposed on the Scheme which was not contemplated either when investments were made, valued or disposed off.

Risk Factors associated with investment in ETFs:

To the extent the Scheme's assets are invested in Gold ETFs, the risks associated with the underlying Gold ETFs, will also be applicable.

The units issued under this Scheme, when predominantly invested in Gold ETFs, will derive liquidity from the underlying Gold ETF having creation/redemption process in creation unit size of predefined quantity of physical gold. When subscriptions are not adequate enough to invest in creation unit size, the Scheme may purchase units of Gold ETFs from the secondary market in stock exchange. Since the price of Gold ETF units traded on stock exchange may be different from the NAV of Gold ETF due to liquidity, the Scheme may at times purchase units which are higher in price than the value of gold represented by them or indicative NAV (NAV) of the Gold ETF and vice versa in case of redemption.

Risk Factors associated with investments in Money Market instruments

- Investments in money market instruments would involve a moderate credit risk, i.e. risk of an issuer's inability to meet the principal payments.
- Money market instruments may also be subject to price volatility due to factors such as changes in interest rates, general level of market liquidity and market perception of credit-worthiness of the issuer of such instruments.
- The NAV of the Scheme's Units, to the extent that the corpus of the Scheme is invested in money market instruments, will be affected by the changes in the level of interest rates. When interest rates in the market rise, the value of a portfolio of money market instruments can be expected to decline.
- The liquidity of these instruments can be adversely affected by any adverse sentiment about the issuer or deterioration in general market liquidity. This liquidity refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer.

Risk Factors associated with investments in Debt instruments

- **Price-Risk or Interest Rate Risk:** As with all debt securities, changes in interest rates may affect the NAV of the Scheme as the prices of securities increase as interest rates decline and decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV. The change in value of a security, for a given change in yield, is higher for a security with higher duration and vice versa. Hence portfolios with higher duration will have higher volatility which leads to duration risk. Government securities do carry price risk depending upon the general level of interest rates prevailing from time to time. The extent of fall or rise in the prices is a function of the coupon rate, days to maturity and the increase or decrease in the level of interest rates. In the case of floating rate instruments, an additional risk would be due to the change in the spreads of floating rate instruments.

- Liquidity Risk:** This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). The primary measure of liquidity risk is the spread between the bid price and the offer price quoted by a dealer. This represents the possibility that the realized price from selling the security might be lower than the valuation price as a result of illiquid market. If a large outflow from the Scheme is funded by selling some of the illiquid securities, the NAV could fall even if there is no change in interest rates. Illiquid securities are typically quoted at a higher yield than the liquid securities and have higher bid-ask spreads. Investment in illiquid securities results in higher current yield for the portfolio. The corporate debt market is relatively illiquid vis-a-vis the government securities market. Liquidity risk is today characteristic of the Indian fixed income market. HSBC monitors liquidity risk on an ongoing basis from both assets and liability side.
- Spread risk:** Though the sovereign yield curve might remain constant, investments in corporate bonds are exposed to the risk of spread widening between corporate bonds and gilts. Typically, if the spread widens, the prices of the corporate bonds tend to fall and so could the NAV of the Schemes. Similar risk prevails for the investments in the floating rate bonds, where the benchmark might remain unchanged, but the spread over the benchmark might vary. In such an event, if the spread widens, the price and the NAV of the Schemes could fall.
- Credit Risk:** Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e. will be unable to make timely principal and interest payments on the security). A sovereign security carries no default risk since Government raises money to meet its capital and revenue expenditure by issuing these debt or discounted securities. Normally, the value of a fixed income security will fluctuate depending upon the changes in the perceived level of credit risk as well as any actual event of default. The greater the credit risk, the greater the yield required for someone to be compensated for the increased risk. Because of this risk, corporate debentures are sold at a yield above those offered on Government Securities, which are sovereign obligations.
- Reinvestment Risk:** This risk refers to the interest rate levels at which cash flows received from the securities in the Schemes are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed. However, declining interest rates normally lead to increase in bond prices which may help cushion the impact of reinvestment risk to some extent.
- Prepayment Risk:** The risk associated with the early unscheduled return of principal on a fixed income security. The early unscheduled return of principal may result in reinvestment risk.
- Market risk:** Lower rated or unrated securities are more likely to react to developments affecting the market and the credit risk than the highly rated securities which react primarily to movements in the general level of interest rates. Lower rated or unrated securities also tend to be more sensitive to economic conditions than higher rated securities.

Risks associated with Segregated Portfolio

Liquidity risk – Segregated Portfolio is created to separate debt and money market instruments affected by a Credit Event from the Main Portfolio of the Scheme to ensure fair treatment to existing, entering and exiting investors of the scheme. The Fund will not permit redemption of the Segregated Portfolio units, but the units will be listed on a recognized stock exchange. The Fund is not assuring any liquidity of such units on the stock exchange which may subject investors to impact cost. Further, trading price of units on the stock exchange may be significantly lower than the prevailing NAV. Investors can continue to transact (subscribe/redeem) from the Main Portfolio.

Credit risk – While the AMC will put in sincere efforts to recover the securities in the Segregated Portfolio and distribute the same to unit holders, since such securities are affected by credit event, it is likely that such securities may not realize any value leading to losses to investors.

Risks associated with transaction in Units through Stock Exchange mechanism

In respect of transactions in Units of the Scheme routed through the BSE eAR MF platform or any other recognized stock exchange platform as intimated by the AMC, allotment and redemption of Units on any Business Day will depend upon the order processing/settlement by BSE, or such other exchange and their respective clearing corporations on which the Fund has no control. Further, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by BSE or such other recognized exchange in this regard.

Risk mitigation strategies

The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in different types of instruments. The risk control process involves identifying & measuring the risk, process involves identifying & measuring the risk through various risk measurement tools.

Risk & Description	Risk Mitigants/Management Strategy
Market Risk : Fluctuations in the price of gold	The fund is passively managed and fluctuations in Gold prices will not increase the tracking error.
Tracking Error Risk: The performance of the Scheme may not be commensurate with the performance of the benchmark index on any given day or over any given period, referred to as tracking error.	The Investment Manager would monitor the tracking error of the underlying Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. The investment manager will endeavor to maintain optimum cash levels to minimize tracking error.
Liquidity risk, inability to buy/sell appropriate quantity of gold	The underlying scheme may retain certain investments in cash or cash equivalents for its day-to-day liquidity requirements.

	Risk & Description Legal, Tax, Regulatory Risk : Risk on account of changes in regulations	Risk Mitigants / Management Strategy This risk is dependent upon a future event and will be clearly communicated to the investor. Comprehensive documentation including disclosure and disclaimers.
	Event Risk : Price risk as a result of any specific event	Usage of derivatives to hedge portfolio if required, in case of predictable events.
	Custody Risk : Risk of loss, damage, theft, impurity etc. of gold	In order to ensure safety, the said gold will be stored with custodian in vaults. Gold held by custodian is also insured. The custodian will insure/cover all such risks.
	Valuation Risk for money market instruments : Risk on account of incorrect valuation	Valuation as per guidelines of Pricing and Valuation policy. Usage of third party valuation agent
	Interest Rate Risk : Value of holdings may fall as a result of movements in interest rate	Determination of duration bonds based on macro-economic analysis.
	Credit Risk : Ability of the issuer to make timely principal and interest payments on the security	Internal Credit assessment to determine the repayment capabilities of the issuer with a reduced reliance on external ratings

Plans/Options	<p>Plan(s)</p> <p>(i) Regular Plan</p> <p>(ii) Direct Plan</p> <p>Options:</p> <p>(i) Growth</p> <p>(ii) Income Distribution cum Capital Withdrawal (IDCW)</p> <p>Sub-options under IDCW</p> <p>(i) Payout of IDCW</p> <p>(ii) Reinvestment of IDCW</p> <p>The Growth Option shall be default option under the Plans of the Scheme and Reinvestment of IDCW is the default sub-option.</p> <p>The following table details the Plans/ Options, Sub-options available in the Scheme and its dividend frequencies:</p> <table border="1"> <thead> <tr> <th>Plans</th> <th>Options</th> <th>Sub-Options</th> <th>Frequency of dividend declaration</th> <th>Record Date</th> </tr> </thead> <tbody> <tr> <td>Regular and Direct</td> <td>Growth</td> <td>-</td> <td>-</td> <td>-</td> </tr> <tr> <td></td> <td>Income Distribution cum Capital Withdrawal Option (IDCW)</td> <td>Payout of IDCW & Reinvestment of IDCW</td> <td>From time to time</td> <td>As may be decided by the Trustees</td> </tr> </tbody> </table> <p>* If such day is a holiday, then the record date shall be the immediately succeeding Business Day.</p> <p>If the actual amount of Payout of IDCW is less than Rs. 100/-, then such dividend will be compulsorily and automatically reinvested by issuing additional units on the ex-dividend date or applicable NAV.</p> <p>The amount of dividend reinvested will be net of applicable taxes.</p> <p>For detailed disclosure on default plans and options, kindly refer SAI.</p>	Plans	Options	Sub-Options	Frequency of dividend declaration	Record Date	Regular and Direct	Growth	-	-	-		Income Distribution cum Capital Withdrawal Option (IDCW)	Payout of IDCW & Reinvestment of IDCW	From time to time	As may be decided by the Trustees
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	Income Distribution cum Capital Withdrawal Option (IDCW)	Payout of IDCW & Reinvestment of IDCW	From time to time	As may be decided by the Trustees												

Applicable NAV (after the scheme opens for subscriptions and redemptions)	<p>This is the time before which an investor's application (complete in all respects) should reach the official points of acceptance.</p> <p>The cut-off timings for determining applicable NAVs for subscriptions, redemptions, switch-ins, switch-outs to be made at the Investor Service Centres/ Designated Collection Centres (designated as 'Official Points of Acceptance' from time to time) are as per the following table:</p> <table border="1"> <thead> <tr> <th>Subscription</th> <th>Redemption</th> <th>Switch In</th> <th>Switch Out</th> </tr> </thead> <tbody> <tr> <td>3:00 p.m.</td> <td>3:00 p.m.</td> <td>3:00 p.m.</td> <td>3:00 p.m.</td> </tr> </tbody> </table> <p>Where a request for redemption/switch-out is received after the cut-off time as mentioned above, the request will be deemed to have been received on the next Business Day.</p> <p>(i) Applicable NAV for Sale of Units</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Applicable NAV</th> </tr> </thead> <tbody> <tr> <td>Where the application is received upto 3:00 p.m. on a day and funds are available for utilization before the cut-off time</td> <td>closing NAV of the day on which the application is received</td> </tr> <tr> <td>Where the application is received after 3:00 p.m. on a day and funds are available for utilization on the same day</td> <td>closing NAV of the next business day</td> </tr> </tbody> </table> <p>(ii) Applicable NAV for Repurchase of Units</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Applicable NAV</th> </tr> </thead> <tbody> <tr> <td>Where the application is received upto 3:00 pm</td> <td>closing NAV of the day of receipt of application</td> </tr> <tr> <td>Where the application is received after 3:00 pm</td> <td>closing NAV of the next business day</td> </tr> </tbody> </table> <p>The Mutual Fund shall calculate NAV for each business day in respect of the above scheme and their plans.</p>	Subscription	Redemption	Switch In	Switch Out	3:00 p.m.	3:00 p.m.	3:00 p.m.	3:00 p.m.	Particulars	Applicable NAV	Where the application is received upto 3:00 p.m. on a day and funds are available for utilization before the cut-off time	closing NAV of the day on which the application is received	Where the application is received after 3:00 p.m. on a day and funds are available for utilization on the same day	closing NAV of the next business day	Particulars	Applicable NAV	Where the application is received upto 3:00 pm	closing NAV of the day of receipt of application	Where the application is received after 3:00 pm	closing NAV of the next business day
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	<p>Explanation: 'Business Day' does not include a day on which the money markets are closed or otherwise not accessible. If the Underlying scheme declares any day as a non-business day, AMC will also declare that day as a non-business day for the Scheme. However, if this information is received by the AMC from the Underlying scheme later in the day and the relevant scheme has already accepted transactions, such transactions will be processed on the next business day.</p> <p>Valid applications for 'switch-out' shall be treated as applications for Redemption and valid applications for 'switch-in' shall be treated as applications for Purchase, and the provisions of the Cut-off time, purchase-redemption price, minimum amounts for Purchase, Redemption and the Applicable NAV is applicable to Purchase and Redemption, as mentioned in above paragraph, shall be applied respectively to the 'switch-in' and 'switch-out' applications.</p> <p>Note: Repurchase-Redemptions including Switch-outs for Segregated Portfolio is not allowed. However, the unit of Segregated Portfolio will be listed on the recognized Stock Exchange.</p> <p>For further details, refer SMI.</p>																		
Minimum Application Amount/Number of Units	<p>i. Minimum amount for purchase / switch-ins</p> <p>During IPO- Minimum of Rs. 5,000/- and in multiples of Rs. 1/- thereafter.</p> <p>On continuous basis- Lumpsum Purchase: Rs. 5,000/- and in multiples of Rs. 1 thereafter.</p> <p>Note: Allotment of units will be done after deduction of applicable stamp duty, if any.</p> <p>For Systematic Investment Plan (SIP):</p> <table border="1"> <thead> <tr> <th>Frequency</th> <th>Minimum Installment Amount*</th> <th>Dates</th> <th>Minimum number of installments*</th> </tr> </thead> <tbody> <tr> <td>Daily</td> <td>Rs. 500/-</td> <td>All Business Days from Monday to Friday</td> <td rowspan="3">Minimum 6 installments subject to aggregate of Rs. 6,000/-</td> </tr> <tr> <td>Weekly</td> <td>Rs. 500/-</td> <td>Any Day from Monday to Friday</td> </tr> <tr> <td>Monthly</td> <td>Rs. 1,000/-</td> <td>Any Date of the month</td> </tr> <tr> <td>Quarterly</td> <td>Rs. 1,500/-</td> <td>Any Date of the month</td> <td>Minimum 4 installments subject to aggregate of Rs. 6,000/-</td> </tr> </tbody> </table> <p>* In multiples of Rs. 1/- thereafter.</p> <p>*Daily SIP will be processed from Monday to Friday. In case of a non-business day falling between Monday to Friday (both days inclusive), then the daily SIP installment for that day will not be processed on the next business day.</p> <p>ii. Minimum amount for redemption / switch-outs or minimum no. of Units to be redeemed</p> <p>Rs. 500/- and in multiples of Rs. 1/- thereafter or 50 Units in multiples of 0.01 units thereafter.</p> <p>The AMC reserves the right to change the minimum amount for Redemption/Switches under the Scheme from time to time.</p> <p>The minimum redemption amount wherever specified in the SID of the Fund will not be applicable for investment made in schemes of the Fund in compliance with Clause 5.10 of SEBI Master circular for Mutual Funds dated June 27, 2024 read with SEBI circular dated March 21, 2025.</p> <p>The AMC reserves the right to change the minimum application-purchase amount under the scheme from time to time.</p>	Frequency	Minimum Installment Amount*	Dates	Minimum number of installments*	Daily	Rs. 500/-	All Business Days from Monday to Friday	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Weekly	Rs. 500/-	Any Day from Monday to Friday	Monthly	Rs. 1,000/-	Any Date of the month	Quarterly	Rs. 1,500/-	Any Date of the month	Minimum 4 installments subject to aggregate of Rs. 6,000/-
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Dispatch of Redemption Request	<p>Timeline for -</p> <p>Dispatch of redemption proceeds - As per para 14.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the Fund shall dispatch/transfer the redemption/repurchase proceeds within 5 working days, from the date of acceptance of redemption request at any of the Investor Service Centres.</p> <p>Further, as per AMFI circular no. AMFI/36P/MSM-COR/74/2022-23 dated January 18, 2023, in case of exceptional situations the AMC might follow the additional timelines for making redemption payments.</p> <p>The Asset Management Company shall be liable to pay interest to the unit-holders at such rate as may be specified by SEBI for the period of such delay (presently @15% per annum).</p>																		
Benchmark Index	<p>Dornicraft Price of Gold</p> <p>Justification: Since the investment would primarily be in Securities which are constituents of the benchmark index, the composition of the aforesaid benchmark index is such that it is most suited for comparing performance of the Scheme.</p>																		
IDCW (Dividend) Policy	<p>IDCW Distribution Policy</p> <p>The Board of Directors of Trustee Company propose to follow the below dividend distribution policy:</p> <p>Declaration of dividend is subject to the availability of distributable surplus. Such dividends if declared, will be paid under normal circumstances, only to those Unit-holders who have opted for Income Distribution cum capital withdrawal option (IDCW) with specified sub-option. Further, no entry level load shall be charged for units allotted under Reinvestment of IDCW option.</p> <p>However, it must be distinctly understood that the actual declaration of IDCWs under the Scheme and the frequency thereof will, inter-alia, depend upon the distributable surplus of the Scheme, as computed in accordance with SEBI Regulations. The Board of Directors of Trustee Company reserve the right of IDCW declaration and to change the frequency, date of declaration and the decision of the Board of Directors of Trustee Company in this regard shall be final. There is no assurance or guarantee to Unit holders as to the rate of IDCW distribution nor that IDCW will be regularly paid.</p>																		

	<p>The IDCW that may be paid out of the net surplus of the Scheme will be paid only to those Unit holders whose names appear in the register of Unit holders on the notified record date. In case of Units held in dematerialized mode, the Depositories (NSDL, CDSL) will give the list of demat account holders and the number of units held by them in demat form on the Record Date to the Registrars and Transfer Agent of the Mutual Fund.</p> <p>The IDCW will be at such rate as may be decided by the AMC in consultation with the Board of Directors of Trustee Company.</p> <p>Investors may please note that amounts distributed under Income Distribution cum Capital Withdrawal options and sub-options, can be made out of investors capital (Equalization Reserve), which is a part of sale price that represents realized gains.</p> <p>Under the Growth Option, income earned on the Scheme's corpus will remain invested in the Scheme and will be reflected in the Net Asset Value (NAV). Unit holders who opt for this Option will not receive any IDCW in normal circumstances. Under the Income Distribution cum Capital Withdrawal Option (IDCW), it is proposed to distribute IDCWs at regular intervals, subject to availability of distributable profits, as computed in accordance with SEBI Regulations. Investors in the Scheme have the choice of opting for either payout or reinvestment of IDCW, as stated above. Subsequent to the declaration of IDCW, NAV of the Income Distribution cum Capital Withdrawal Option (IDCW) and Growth Option will be different.</p> <p>IDCW Distribution Procedure</p> <p>In accordance with Chapter 11 and para 13.2 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the procedure for IDCW Distribution would be as under:</p> <ol style="list-style-type: none"> Quantum of IDCW and the record date will be fixed by the Board of Directors of Trustee Company in their meeting. IDCW so decided shall be paid, subject to availability of distributable surplus. Within one calendar day of decision by the Board of Directors of Trustee Company, the AMC shall issue notice to the public communicating the decision about the IDCW including the record date. In one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of the region where the head office of the Mutual Fund is situated. Record date shall be the date which will be considered for the purpose of determining the eligibility of investors whose names appear on the register of Unit holders for receiving IDCWs. As per para 11.8.1.3 of SEBI Master Circular on Mutual Funds dated June 27, 2024, the record date shall be 2 working days from the date of public notice. The notice will, in font size 10, bold, categorically state that pursuant to payment of IDCW, the NAV of the Option would fall to the extent of payout and statutory levy (if applicable). The NAV will be adjusted to the extent of IDCW distribution and statutory levy, if any, at the close of business hours on record date. Before the issue of such notice, no communication indicating the probable date of IDCW declaration in any manner whatsoever will be issued by Mutual Fund. <p>In case of Liquid Debt Schemes, the requirement of giving notice regarding the quantum and record date of the dividend in two newspapers shall not be compulsory for Schemes (if Mutual/Opportunistic) having frequency of dividend distribution from daily up to monthly dividend.</p> <p>The IDCW proceeds may be paid by way of IDCW warrants, direct credit, Electronic Funds Transfer (EFT), any other manner through the investor's bank account specified in the Registrar's records. The AMC, at its discretion at a later date, may choose to offer or add other modes of payment. As per para 11.4 of SEBI Master Circular on Mutual Funds dated June 27, 2024 the AMC shall dispatch / transfer payment of the dividend proceeds within 7 working days from the record date. The Asset Management Company, shall be liable to pay interest to the unit holders at such rate as may be specified by SEBI for the period of such delay (presently @ 18% per annum) if the actual amount of Payout of IDCW is less than Rs. 100/- then such dividend will be compulsorily and automatically reinvested by issuing additional units on the ex-dividend date at applicable NAV. The amount of dividend reinvested will be net of applicable taxes.</p> <p>Further, AMC may use modes of deposit such as speed post, courier etc. for payments to unit holders in addition to the registered post with acknowledgement due.</p> <p>Please refer to the Document of Additional Information (DAI) and instructions under the Key Information Memorandum cum Application form of the scheme for further details.</p>
Name of the Fund Manager	Dipen S. Parikh.
Name of the Trustee Company	HSBC Trustees (India) Private Limited, a company incorporated under the Companies Act, 2013 with its registered office at 92-93 Mahatma Gandhi Road, Fort, Mumbai - 400001 or any other body corporate acting as Trustee of the Mutual Fund.
Performance of the scheme	As this is a new scheme, the scheme does not have any performance track record. Click here - https://www.assetmanagement.hsbc.co.in/en/mutual-funds/investor-resources/Data&Doc/Fund-feat-sharex&module=11e1 to view the scheme performance on an ongoing basis.
Additional Scheme Related Disclosures	<ol style="list-style-type: none"> Scheme's portfolio holdings: top 10 holdings by issuer and fund allocation towards various sectors – Not applicable since it is a new scheme. Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme in case of debt and equity ETFs/index funds through a functional website link that contains detailed description – Not Applicable for this scheme. Functional website link for Portfolio Disclosure: <ul style="list-style-type: none"> – (Click here for Monthly Portfolio Disclosure). – (Click here for Half Yearly Portfolio Disclosure).

	<p>The AMC shall disclose portfolio of the Scheme (along with IRR and yield of the instrument) as on the last day of every half year, within 10 days of close of each half-year on its website and on the website of AMFI in a user-friendly and downloadable spreadsheet format. Kindly refer (weblink - Half Yearly Portfolio) for half yearly portfolio disclosures - Not applicable as this is a new scheme.</p> <p>b. Investments of AMC in the Scheme</p> <p>The AMC shall not invest in any of the schemes unless full disclosure of its intention to invest has been made in the Scheme Information Document and that the AMC shall not be entitled to charge any fees on such investment.</p> <p>Kindly refer (weblink - Investments of AMC in the Scheme) for investments of AMC in the Scheme.</p> <p>c. Portfolio Turnover Ratio of the scheme - This being a Fund of Fund scheme, disclosure of Portfolio Turnover is not applicable.</p>																		
Expenses of the Scheme	<p>Actual expense ratio % - The AMC has estimated that as per the Regulation 52(B)(10), the total expense ratio of the scheme including weighted average of the total expense ratio levied by the underlying scheme(s) shall not exceed 1.00 per cent of the daily net assets of the scheme.</p> <p>Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme(s) shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme(s), subject to the overall ceilings stated above.</p>																		
Load Structure	<p>Exit Load:</p> <ol style="list-style-type: none"> In respect of each purchase (switch-in) of Units, an Exit Load of 1% is payable if Units are redeemed (switched-out) within 15 days from the date of allotment. No Exit Load will be charged, if Units are redeemed (switched-out) after 15 days from the date of allotment. <ul style="list-style-type: none"> Withdrawal within 15 days from the date of allotment under SWP may also attract an Exit Load like any Redemption. No Exit load will be chargeable in case of switches made between different plans and options within the Scheme. No Exit load will be chargeable in case of Units allotted on account of IDCW reinvestments, if any. Exit load is not applicable for Segregated Portfolios. <p>The exit load set forth above is subject to change at the discretion of the AMC and such changes shall be implemented prospectively.</p>																		
Recurring expenses	<p>These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' Fee, marketing and selling costs etc. as given in the table below. Further, as per clause 10.1.12 (a) of SEBI Master Circular dated June 27, 2024, all scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits.</p> <p>The AMC has estimated that as per the Regulation 52(B)(10), the total expense ratio of the scheme including weighted average of the total expense ratio levied by the underlying scheme(s) shall not exceed 1.00 per cent of the daily net assets of the scheme.</p> <p>Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme(s) shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme(s), subject to the overall ceilings stated above.</p> <p>For the actual current expenses being charged, the investor should refer to the website of the mutual fund.</p> <p>The AMC has estimated the following maximum expenses of the Scheme. Please refer to the table below for indicative details:</p> <table border="1"> <thead> <tr> <th>Expense Head</th> <th>% p.a. of daily Net Assets* (Estimated p.a.)</th> </tr> </thead> <tbody> <tr> <td>Investment Management & Advisory Fee</td> <td rowspan="14">Up to 1.00%</td> </tr> <tr> <td>Audit fees / fees and expenses of trustees</td> </tr> <tr> <td>Custodial fees</td> </tr> <tr> <td>Registrar & Transfer Agent Fees including cost of providing account statements, IDCW redemption cheques/warrants</td> </tr> <tr> <td>Marketing & Selling Expenses including Agents Commission and statutory Advertisement</td> </tr> <tr> <td>Costs related to investor communications</td> </tr> <tr> <td>Costs of fund transfer from location to location</td> </tr> <tr> <td>Brokerage & transaction cost pertaining to distribution of units</td> </tr> <tr> <td>Goods & Services Tax on expenses other than investment and advisory fees</td> </tr> <tr> <td>Goods & Services Tax on brokerage and transaction cost</td> </tr> <tr> <td>Other Expenses (to be specified as per Reg 52 of SEBI MF Regulations)</td> </tr> <tr> <td>Maximum Total expense ratio (TER) permissible under Regulation 52 (B) (a)</td> <td>Up to 1.00%</td> </tr> <tr> <td>Additional expense under Regulations 52(B)(a)***</td> <td>Up to 0.05%</td> </tr> </tbody> </table> <p>The above expenses are subject to change and may increase, decrease as per usual and, or any change in the Regulations but the total recurring expenses that can be charged to the Scheme will be subject to limits prescribed from time to time under the SEBI (MF) Regulations.</p>	Expense Head	% p.a. of daily Net Assets* (Estimated p.a.)	Investment Management & Advisory Fee	Up to 1.00%	Audit fees / fees and expenses of trustees	Custodial fees	Registrar & Transfer Agent Fees including cost of providing account statements, IDCW redemption cheques/warrants	Marketing & Selling Expenses including Agents Commission and statutory Advertisement	Costs related to investor communications	Costs of fund transfer from location to location	Brokerage & transaction cost pertaining to distribution of units	Goods & Services Tax on expenses other than investment and advisory fees	Goods & Services Tax on brokerage and transaction cost	Other Expenses (to be specified as per Reg 52 of SEBI MF Regulations)	Maximum Total expense ratio (TER) permissible under Regulation 52 (B) (a)	Up to 1.00%	Additional expense under Regulations 52(B)(a)***	Up to 0.05%
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	<p>*) Such expenses shall not be charged to the scheme where the exit load is not levied or applicable.</p> <p>The AMC may charge the following costs and expenses in addition to the total recurring expense limits as prescribed in the table above:-</p> <p>• Brokerage and transaction costs (inclusive of GST) which are incurred for the purpose of execution of trades, shall be charged to the scheme as per Regulation 32(14)(a) of SEBI (Mutual Funds) Regulations, 1996 not exceeding 0.12 per cent in case of cash market transactions and 0.08 per cent in case of derivatives transactions. With effect from April 1, 2023, to align with Indian Account Standards requirement, transactions cost incurred for the purpose of execution of trades are expensed out (i.e. charged to Revenue Account instead of Capitalization (i.e. forming part of cost of investment)). Any payment towards brokerage and transaction cost, over and above the said 0.12 percent and 0.08 percent for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. GST on investment management and advisory fee.</p> <p>The expense of the Direct Plan will be lower than that of Regular Plan of the Scheme. No commission or distribution expenses will be charged under the Direct Plan.</p> <p>Any other expenses which are directly attributable to the Scheme, may be charged with approval of the Board of Directors of Trustee Company within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.</p> <p>The AMC reserves the right to vary the expense ratios charged to the Scheme, at such frequencies as the AMC may decide, subject to the maximum SEBI permissible limits. The AMC would update the current expense ratios on the website at least three working days prior to the effective date of the change. This information is available on AMC's website at www.assetmanagement.hbsc.co.in.</p>																																																								
	<p style="text-align: center;">ILLUSTRATION OF IMPACT OF EXPENSE RATIO ON SCHEME'S RETURN:</p> <p style="text-align: center;">*Impact of TER on returns of both Direct plan and Regular plan through an illustration is provided below for reference.</p> <table border="1" data-bbox="332 677 1097 1089"> <thead> <tr> <th>Description</th> <th></th> <th>Regular Plan</th> <th>Direct Plan</th> </tr> </thead> <tbody> <tr> <td>Collections at Day Zero</td> <td>A</td> <td>100,000,000</td> <td>100,000,000</td> </tr> <tr> <td>Purchase Price per unit</td> <td>B</td> <td>10</td> <td>10</td> </tr> <tr> <td>Units allotted to domestic investors</td> <td>A/B</td> <td>C</td> <td>10,000,000.00</td> </tr> <tr> <td>Amount Invested</td> <td>D</td> <td>100,000,000</td> <td>100,000,000</td> </tr> <tr> <td>Yield on investment</td> <td>E</td> <td>8%</td> <td>8%</td> </tr> <tr> <td>Expense ratio</td> <td>F</td> <td>1.85%</td> <td>1.00%</td> </tr> <tr> <td>AUM after one month</td> <td></td> <td></td> <td></td> </tr> <tr> <td>AUM including Yield</td> <td>(D*E)+D</td> <td>G</td> <td>100,500,000</td> </tr> <tr> <td>Expenses for one month (EM) - approximated at average of opening and closing AUM</td> <td>(G-B)*F/12</td> <td>H</td> <td>137,544</td> </tr> <tr> <td>AUM after one month</td> <td>G-H</td> <td>I</td> <td>100,362,456</td> </tr> <tr> <td>NAV per unit</td> <td>J</td> <td>10.0362</td> <td>10.0418</td> </tr> <tr> <td>Annualized returns (Pre Expenses) %</td> <td>(I-D)/D*100/12</td> <td>K</td> <td>8.00</td> </tr> <tr> <td>Annualized returns (Post Expenses) %</td> <td>(I-H)/D*100/12</td> <td>L</td> <td>4.38</td> </tr> </tbody> </table> <p>This being a fund of funds scheme, the investor should note that the expenses to be borne by the investor includes the recurring expenses of the Underlying scheme in which Fund of Funds Scheme makes investments subject to the maximum limits prescribed under sub-regulation 6 & 6A of Regulation 52 of the SEBI Regulations.</p> <p>All scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall be paid from the scheme only, within the regulatory limits and not from the books of the Asset Management Company (AMC), its associate, sponsor, Board of Directors of Trustee Company or any other entity through any route. Provided that the expenses that are very small in value but high in volume may be paid out of AMC's books. Such expenses shall be paid out of AMC's books at a rate of not exceeding 2 bps of scheme AUM, whichever is lower. The AMC shall pay from its books only those expenses which are part of the miscellaneous expenses list provided by AMFI. Such expenses incurred by AMC shall be properly recorded and audited in the books of account of AMC at year end.</p> <p>TER for last 3 months as well as scheme factsheet shall be made available.</p> <p>TER web: http://www.aml.com/aml.com/COI_HSCCO/aml.com</p> <p>• Scheme factsheet weblink - Tegstheet</p>	Description		Regular Plan	Direct Plan	Collections at Day Zero	A	100,000,000	100,000,000	Purchase Price per unit	B	10	10	Units allotted to domestic investors	A/B	C	10,000,000.00	Amount Invested	D	100,000,000	100,000,000	Yield on investment	E	8%	8%	Expense ratio	F	1.85%	1.00%	AUM after one month				AUM including Yield	(D*E)+D	G	100,500,000	Expenses for one month (EM) - approximated at average of opening and closing AUM	(G-B)*F/12	H	137,544	AUM after one month	G-H	I	100,362,456	NAV per unit	J	10.0362	10.0418	Annualized returns (Pre Expenses) %	(I-D)/D*100/12	K	8.00	Annualized returns (Post Expenses) %	(I-H)/D*100/12	L	4.38
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<p>Tax treatment for the investors (Unitholders)</p>	<p>Investors are advised to refer to the details provided in the Statement of Additional Information and also independently refer to your tax advisor.</p>																																																								
<p>Daily Net Asset Value (NAV) Publication</p>	<p>NAV of the Scheme (Options) shall be made available at all Investor Service Centers of the AMC. The AMC shall update the NAVs under a separate head on the website of the Fund www.assetmanagement.hbsc.co.in and of the Association of Mutual Funds in India - AMFI (www.amfiindia.com) by 10.00 a.m. on the next Business Day. NAV of the Segregated Portfolio, if any, shall be declared on daily basis.</p>																																																								

<p>For Investor Grievances, please contact</p>	<p>Name and Address of Registrar Computer Age Management Services Limited (CAMS) HSBC Mutual Fund Unit Regate Tower-1, 15B, Anna Salai, Chennai 600002.</p>	<p>Name and Address of Mutual Fund Mr. Ankur Bhatnaga is currently designated as the Investor Relations Officer. His contact details are as follows: HSBC Asset Management (India) Private Limited Address: Unit No. 52, 1st Floor, Parade View, Pukhrami Lakshmi Parki Seta, Egmore, Chennai, Tamil Nadu - 600006, India Tel. : 1800-200-2434 / 1800-4190-200 Email: investor_line@mutualfunds.hsbc.co.in</p>
<p>Unitholders' information</p>	<p>Account Statement:</p> <p>The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application transaction to the Unit holder registered email address and/or mobile number.</p> <p>A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month. Investor those who have opted for delivery via electronic mode, a-CAS will be sent by the twelfth (12th) day from the month end and to investors who have opted for delivery via physical mode, physical CAS will be despatched by the fifteenth (15th) day from the month end. If there is any transaction in any of the demat accounts of the investor or in any of his mutual fund folios, then CAS will be sent to that investor through email on monthly basis. In case there is no transaction in any of the mutual fund and demat accounts then CAS with holding details will be sent to the investors by email on half yearly basis.</p> <p>In respect of half yearly CAS, the AMCs/ MF RTAs shall provide the date with respect to the common PAAs to the depositories on or before eighth (8th) day of April and October every year. The depositories shall then consolidate and dispatch the CAS to investors that have opted for delivery via electronic mode, on or before the eighteenth (18th) day of April and October and to investors that have opted for delivery via physical mode, on or before the twenty-first (21st) day of April and October. However, where an investor does not wish to receive CAS through email, option will be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/ MF RTAs.</p> <p>The default mode for dispatch of Consolidated Account Statement will be email.</p> <p>For further details, refer SAI.</p> <p>I. Periodic Disclosures:</p> <ul style="list-style-type: none"> ● Functional website link for Portfolio Disclosure: <ul style="list-style-type: none"> - Click here for Monthly Portfolio Disclosure - Click here for Half Yearly Portfolio Disclosure <p>The AMC shall disclose portfolio of the Scheme (along with ISIN and yield of the instruments) as on the last day of every half year, within 10 days of close of each half-year on its website and on the website of AMFI in a user-friendly and downloadable spreadsheet format. Kindly refer weblink - Half Yearly Portfolio for half yearly portfolio disclosures - Not applicable as this is a new scheme.</p> <p>II. Half yearly Disclosures: Financial Results</p> <p>The Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on AMC's website, containing details as specified in Twelfth Schedule of the Regulations and such other details as are necessary for the purpose of providing a true and fair view of the operations of the Fund.</p> <p>Kindly refer weblink - Half yearly Results for half yearly Financial Results.</p> <p>III. Annual Report</p> <p>A Scheme wise Annual Report, abridged summary thereof shall be provided to all Unitholders as soon as may be but not later than 4 months from 31 March of each year.</p> <p>The abridged, full Scheme wise Annual Report shall contain such details as are required under the Regulations/ Circulars issued thereafter.</p> <p>The Fund shall provide the Scheme wise annual report/ abridged summary thereof as under:</p> <ol style="list-style-type: none"> (i) By hosting the same on the websites of the AMC and AMFI. (ii) The physical copy of the scheme wise annual report/ abridged summary thereof shall be made available to the investors at the registered office of the AMC. A list of the scheme annual report or abridged summary shall be displayed prominently on the website of the Fund. (iii) By e-mailing the same to those Unit holders' whose email address is registered with the Fund. Unit holders are therefore requested to update their email address with the Fund to receive annual reports through email. <p>The AMC shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on its website and on the website of AMFI. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi. Further, AMC shall provide modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof.</p> <p>Kindly refer weblink - Scheme Annual Report for Annual report.</p>	

Risk-o-meter:

Based on the scheme characteristics, the Mutual Fund/AMC shall assign risk level for scheme. Any change in risk-o-meter shall be communicated by way of notice and by way of an e-mail or SMS to unitholders of the Scheme. Risk-o-meter shall be evaluated on a monthly basis and Mutual Funds/AMCs shall disclose the Risk-o-meter along with portfolio disclosure for all their schemes on their respective website and on AMFI website within 12 days from the close of each month. Mutual Funds shall disclose the risk level of schemes as on March 31 of every year along with number of times the risk level has changed over the year, on their website and AMFI website. Mutual Funds shall publish the changes on the Risk-o-meter in the Annual Report and Abridged Summary based on the guidelines prescribed by SEBI from time to time. The AMC shall comply with the requirements of SEBI circulars/guidelines issued in this regard from time to time.

Scheme summary document:

The AMC has provided on its website a standard scheme document called 'Scheme Summary Document' for all the Schemes which contains all the details of the Scheme including but not limited to Scheme features, Fund Manager details, investment details, investment objective, expense ratios, portfolio details, etc. Scheme summary document is uploaded on the websites of AMC, AMFI and stock exchanges in 3 data formats i.e. PDF, Spreadsheet and a machine readable format.

Notwithstanding anything contained in the SAI, Scheme Information Document and Key Information Memorandum of the Scheme(s) the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Investors can also obtain further changes after the date of the Key Information Memorandum from the Mutual Fund/Investor Service Centers or distributors.

Date: March 14, 2025.

Important Instructions

- Please refer to the SID, SA and the Common IIM carefully before filling the Application Form.
- Please refer to the sections on "Who can invest" and "Who cannot invest" for a list of eligible investors in the SID. Applications from investors resident in USA or Canada will not be accepted.
- All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- Investors are requested to use the services of AMFI certified Distributors appended with the AMC. The AMC shall not be liable to an investor with respect to investments made through non-empowered Distributors. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for "ARN Number" in the Application Form/Transaction Form. Any subsequent change/addition/removal of broker code will be based on the written request from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction.

Employee Unique Identification Number (EUID) - Para 15.11 of SEBI Master Circular on Mutual Funds dated June 27, 2004 required creation of a unique identity number of the employee, relationship manager, sales person or the distributors interacting with the investor for the sale of mutual fund products. In addition to the AMFI Registration Number (ARN) of the distributor, this has been recommended by SEBI in order to avoid any instance of mis-selling, particularly in advisory based transactions. This would further help tackle the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor. Due to this regulatory change, all employees of distributors who are involved in sale of mutual fund products are required to obtain an Employee Unique Identification Number (EUID). Applications received without a valid EUID and/or valid sub-broker code should be a valid ARN and not an internal code are subject to rejection by the fund.

B) Identification of Ultimate Beneficial Owner (UBO)

As per SEBI circular dated January 24, 2013, read with SEBI Circular dated October 12, 2013, non-individuals and trusts are required to provide details of controlling persons (CP) ultimate beneficiary owner (UBO) and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the circular as the natural person or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For investors other than individual or trusts:

- The identity of the natural person, who, whether acting alone or together, or through one or more juridical persons, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership or entitlement to:
 - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
 - more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership;
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For investors which is a trust:

The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies/foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors, viz. Foreign Institutional Investors, Sub-Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular October 12, 2013, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the

KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

E. Controlling Person Type (CPUBO) Codes:

CPUBO Code	Description	CPUBO Code	Description
C01	CP of legal person-partnership	C08	CP of legal arrangement-trust/other
C02	CP of legal person-other means	C09	CP of legal arrangement-trust/other-partner/associate
C03	CP of legal person-association/ managing official	C10	CP of legal arrangement-trust/other-partner/associate
C04	CP of legal arrangement-trust-settlor	C11	CP of legal arrangement-trust/other-partner/associate
C05	CP of legal arrangement-trust-trustee	C12	CP of legal arrangement-trust/other-beneficial-owner
C06	CP of legal arrangement-trust-protector	C13	CP of legal arrangement-trust/other-eth-equivalent
C07	CP of legal arrangement-trust-beneficiary	C14	Unknown

E) Applications under Power of Attorney (Body Corporate/Registered Society/Trust/Partnership)

The original Power of Attorney or a duly attested copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

A company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the schemes should furnish a certified copy of resolution or authority to make the application as the case may be and a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or Trust Deed and/or Partnership Deed and certificate of registration or any other document as the case may be. In case of a Trust/Fund, it shall submit a certified true copy of the resolution/important instructions from the Trustee(s) authorizing such purchases. The officials should sign the application under their official designation and furnish a list of authorized signatories. All communications and payments shall be made to the First Applicant only.

F) Prevention of Money Laundering and Know Your Client (KYC) Items

KYC (Know Your Customer) norms are mandatory for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor for respecting in Mutual Funds. Accordingly, financial transactions including redemptions, switches and all types of systematic plans and non-financial records will not be processed if the unit holders, including PDA holder, have not completed KYC requirements. Investors are requested to note that all investors are required to be KYA (KYC Registration Agency)/KYC compliant. Those investors who had obtained MF KYC compliance through CIL, KYC registration authority till 31 December 2011, are required to submit necessary supporting and update the missing information to be in compliance with the uniform KYC requirement laid down by SEBI.

For investors who have not completed KYC compliance through KRA, any application received without the requisite KYC information will be rejected. However, investors who have obtained KRA KYC compliance, as well as existing investors of the Fund who have registered their KYC details with the Fund shall be required to submit the additional KYC information to the Fund, only in the event of change in their occupation or income details. Only use the updated application forms on the separate KYC form of the Fund, available at ISCs or on the Fund's website for updating the additional information. The AMC reserves the right to reject the application and refund the application amount, post acceptance of the application, in the event that the required KYC information is not provided or not found adequate.

Implementation of Central KYC (CKYC)

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including creating, storing, safeguarding and retrieving KYC records in digital form. New individual investors investing into the Fund are requested to note the following changes, from February 1, 2017:

- new individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system, will be required to fill the new CKYC form while investing with the Fund.
- if any new individual investor uses the old KRA KYC form which does not have all the information needed for registration with CKYC, such investor will be required to either fill the new CKYC form or provide

the missing/additional information using the Suspenders KYC form.

- Investors who have already completed KYC and have a KYC Identification Number (KIN) from the KYC Registry can invest in schemes of the Fund during their 14 digit KIN in the application form. Further, in case the investor's PAN is not updated in KYC system, a self-certified copy of PAN Card will need to be provided.

B) Third Party Payments

- Third party payments i.e. where payment is made from a source other than that of the first holder will not be accepted by the Fund, except if made under the following exceptional categories, namely: i) as gift by parents/grandparents/related persons in favour of minor not exceeding Rs. 50,000/-; ii) employer on behalf of employee as payroll deductions or deductions out of expense reimbursements to SIP/Lumpsum investments; iii) Custodian on behalf of FPI client and iv) Payment by Asset Management Company (AMC) to a Distributor entered with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by the AMC through Systematic Investment Plans or Lumpsum Investment (w.e.f. January 16, 2012); v) Payment by a Corporate to its Agent/Distributor/Dealer, on account of commission or incentive payable for sale of its goods/services. In the form of the Mutual Fund Units through Systematic Investment Plan or Lumpsum Investment (w.e.f. April 20, 2015). In such cases, KYC acknowledgement along with additional declarations will have to be submitted along with the application form, failing which the application will be rejected. Such declaration to be submitted in original & in the prescribed standard format and unique across each lumpsum investment. (Declaration formats can be obtained from IFCs or downloaded from the Fund's website).

- In case of payment from a joint bank account, the holder in the folio has to be one of the joint holders of the bank account from which the payment is made, hence, joint holders may pre-register their bank accounts (single/multi-line) with the AMC/RTA, by completing the Multiple Bank Account Registration Form. If they intend to make payment on behalf of other joint holder/s in the folio, in such cases the application will be accepted and not treated as a third party payment.

- Where the payment instrument does not mention the bank account holder's name/s, investor should attach bank pass book copy/bank statement to substantiate that the first unit holder is one of the joint holder/s of the bank account. Where a payment is through a pre-funded instrument, a bank certification of the bank account no. and account holder's name should be attached in the required format. Pre-funded instrument issued against cash shall not be accepted for investments of Rs. 50,000 or more.

For RTGS/NFTT/online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.

- The AMC reserves the right to reject the application, post acceptance of the same, if any of the requisite documents/declarations are unavailable or incomplete, in which case the AMC shall refund the subscription money. No interest will be payable on the subscription money refunded. Refund order will be marked "A/c. closed only" and will be in favour of and be despatched to the Sole First Applicant, by courier/express post/registered post.

- Subject to the SEBI (NFI) Regulations, any application for Units may be accepted or rejected in the sole and absolute discretion of the Trustee. The Trustee may inter alia reject any application for the purchase of Units if the application is invalid or incomplete or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unit holders to accept such an application.

30) NRIs, Persons of Indian Origin, PRTs

The Foreign Exchange Management (Transfer of Issue of Security) by a Person Resident Outside India) Regulations, 2000 (the "FEMA Regulations") permit a NRI/POI to purchase on repatriation or non-repatriation basis, without limit, units of domestic mutual funds. Payment for such units must be made either by cheque or demand draft by means of: (i) inward remittance through normal banking channels; or (ii) out of funds held in the NRE/FCNR account. In the case of purchases on a repatriation basis or out of funds held in the NRE/FCNR/NFO account, in the case of purchases on a non-repatriation basis.

Investments by NRIs/PRTs shall be in accordance with the prevailing laws governing such investments.

Payment by the PRT must be made either by inward remittance through normal banking channels or out of funds held in foreign currency account or non resident user account maintained by the PRT with a designated branch of an authorized dealer in terms of paragraph 2 of Schedule 2 to the FEMA Regulations.

- Confirmation under the Foreign Account Tax Compliance Act (FATCA) for determining US person status (MANDATORY for ALL unitholders)

The United States Department of the Treasury and the US Internal Revenue

Service (IRS) has introduced The Foreign Account Tax Compliance Act (FATCA), effective 1 July 2014. The purpose of FATCA is to report financial assets owned by United States persons to the United States tax authorities. Accordingly, Government of India may collect information from banks and financial institutions and onward submit it to United States authorities.

All investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request confirming their status against a list of US indicia. The indicia's aim is to identify a United States Person as defined under the Laws of the United States of America. The absence of these completed documentations may prevent us from accepting the investment and may require us to identify existing investments in case the same is mandated by AMFI/SEBI. We may also be required to report information relating to these folios to the authority established by the Government of India.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on the fund website). AMC reserves the right to seek additional information/documents in order to ascertain your status.

12) Nomination Details

- If you are opening a new demat account, NP folio, you have to provide nomination. Otherwise, you have to follow the specified procedure for Opt-out.
- The nomination can be made only by individuals staying for holding units on their own behalf (single or joint).
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgment from the AMC/DP for each instance of providing or changing nomination.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and a Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio where minor is the unitholder.
- The signatories for this nomination form in joint folios/ account, shall be the same as that of your joint NP folio/demat account, i.e.
 - Either or Survivor Folios/Accounts - any one of the holders can sign.
 - First holder Folios/Accounts - only First Holder can sign.
 - Jointly Folios/Accounts - both holders have to sign.
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee to be provided.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family, or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominations: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation share should be in whole numbers without any decimals, adding upto a total of 100%. If the percentage of allocation share for each of the nominees is not mentioned, the allocation/claim settlement shall be made equally amongst all the nominees. Any odd lot after division shall be assigned/transferred to the first nominee mentioned in the form.
- In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro-rata to the remaining nominees.
- Every new nomination for a folio/ account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/ account.
- Nomination shall stand rescinded upon the transfer of units.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund/Trustee against the legal heir(s).
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/ accounts where the nomination has been registered, the AMC will not entertain any request for transmission/ claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
- While nominee details and Nomination Opt-Out both are mentioned, Nomination Opt-Out will be considered as "Default". Folio in such case will be updated without Nominee.

Transmission aspects:

- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account(s).
- In case of your nominee(s) do not claim the assets from the AMC DP, then the residual unclaimed assets shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall be against the AMC DP.
- Death of Nominee(s): In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder(s) is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed on pro-rata basis (as illustrated below) amongst the surviving nominees. Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s):

N share as specified by investor at the time of nomination		N assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	N share	Nominee	N initial share	% of A's share to be apportioned	Total N share
A	50%	A	0	0	0
E	30%	E	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

Instructions for filling up the Application Form

1. General Information

- i. The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box () where boxes have been provided.
- ii. Please do not overwrite. For any correction/changes if any made on the application form, applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signed by the sole/all applicants.
- iii. In view of the RBI Circular - DPSS.CO.DHD.No. 1002/01 DT.06.06.2009-10 dated 22nd February 2010. Kindly note the following:
No changes/corrections should be carried out on the cheques. For any change in the payee's details, amount in figures or amount in words, etc., fresh cheques would be required. Cheques with alterations/corrections will not be honoured effective 1st July 2010.

2. Applicant's Information

Applicants must provide all the details under Section 2 of the Application Form.

- i. Name and address must be given in full if D. Box Address alone is not sufficient.
- ii. Name and Date of Birth (DOB) for all the holders (including Guardian/Trustee of Minor) should match with PAN as per Income Tax records.
- iii. Email ID and Mobile number provided in the application form should be of the primary unit holder for speed and ease of communication. Where email ID and Mobile number is not provided the same will be updated from KYA records.
- iv. Non-Resident Investors and Foreign Nationals should mandatorily state their complete overseas address in the application form else the application will be rejected. Further, Investors are requested to note that in case information with regard to US Person or Canada Resident is subsequently received from the investor by way of change of address or obtained from KYA database, the AMC at a later date reserves the right to redeem the investments after providing due notification to such investor.
- v. Please provide the name of the Correct Person in case of investments to a Company/Body/Corporate/Partnership Firm/Trust/Society/PPS/Association of Persons/Body of Individuals.
- vi. If the application is on behalf of a Minor, the Guardian's Name and date of birth of the Minor should be provided.
- vii. The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- viii. Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only, else the transaction is liable to get rejected.
- ix. Existing unit holders are requested to review the Bank Account registered in the folio and ensure that the registered Bank Mandate is in favour of minor or joint with registered guardian in folio. If the registered Bank Account is not in favour of minor or not joint with registered guardian, unit holders will be required to submit the change of bank mandate, where minor is also a bank account holder (either single or joint with registered guardian), before initiation of any redemption transaction in the folio, else the transaction is liable to get rejected.

3. Bank Account Details / Multiple Bank Account Registration

Investor to ensure the name in the application form and in their bank account are the same. Applicants should provide the name of the bank, branch address, account type and account number of the Sole/First Applicant. As per SEBI guidelines, it is mandatory for investors to mention their bank account details in the Application Form. AMC will endeavour to directly credit redemption/dividend proceeds in customer's bank account wherever possible.

For NRI Investors - Kindly also provide the FRC (Foreign Invested Remittance Certificate) letter from your banker (i.e. source of funds confirmation) if your account type is NRE.

Applications without this information will be rejected.

Multiple Bank Accounts Registration/Deletion Facility

1. The fund offers its investors facility to register multiple bank accounts for pan-in & payout purposes and designate one of the bank account as "Default Bank Account". This facility can be availed by using a designated Multiple Bank Accounts Registration/Deletion Form (available at the SCs or downloaded from the Fund's website). In case of new investors, the bank account mentioned on the purchase application form, used for opening the folio, will be treated as default

bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any of other registered bank account. Registered bank accounts may also be used for verification of payments (i.e. receiving of subscription fund) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all dividends and redemptions benefits unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.

2. Bank Account Details with Redemption Request: Please note the following important points related to payment of redemption proceeds:

- a. Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.

- b. Unit holder(s) may choose to temporarily of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used.

3. Updation of Bank Account in a customer's account: folio should be submitted either using the Multiple Bank Account Registration Form or the standalone Change of Bank Mandate form only. Hence, any form containing redemption request will not have the facility to change the bank mandate or update a new bank mandate.

The Fund will continue to follow a cooling period policy whenever any change of Bank Mandate request is received/processed few days prior to submission of a redemption request.

4. Any request for change of bank mandate details will be entertained only if the Unit Holder provides any of the following documents along with the designated Multiple Bank Account Registration/Deletion form or a standalone separate Change of Bank Mandate form:

Any one of the following document to be provided for Existing (Old) as well as New Bank account:

- Cancelled original cheque leaf with Unit Holder name and bank account number printed on the face of the cheque. OR
- Copy of Bank Passbook having the name, address and account number of the account holder. OR
- Bank Statement issued within 2 months for new bank. In case of old bank account the date of statement will not be applicable.

Important: The above documents should be either in original or copy to be submitted along with original produced for verification. In case if documents for the existing bank account are not available, kindly visit HSBC/CAMS office for In Person Verification along with PAN/ Govt. Issued Photo Identification Proof for PAN Default cases. All documents to be self attested. Kindly carry original for adding a new bank.

- a. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within ten days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried with previous details only.

- b. Unilateral is strongly advised to register their various bank accounts and continuously update the bank account details with the mutual fund, using this facility, well in advance and specify any one of registered bank account for payment of redemption proceeds with each redemption request. If any of the registered bank accounts are closed/ altered, please intimate such change with an instruction to delete, after a form of your records using this form.

3. The registered bank accounts will also be used to identify the pan-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pan-in bank details with the accounts registered in the folio.

4. If a NRI folio, subscription investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB-NRO, NRE.

5. HSBC Mutual Fund, the AMC or its registrar and other service providers shall not be held liable for any loss arising to the unit holder(s) due to the credit of the redemption proceeds into any of the bank accounts registered in the folio.

6. HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unilateral on account of inadequate

or incomplete documentation resulting in delay or rejection of the request.

- k. In case a redemption request is received before the change of bank details has been validated and registered, the redemption request would be processed to the currently registered (existing or fund related) bank account. In such a case the Trustee / AMC will not be responsible in case of old bank mandate being frozen / locked by the bank for any purpose including non-maintenance of adequate balance.

3. Bank Mandate Registration as part of new folio creation: Investors or Unit Holders are requested to note that any one of the following documents shall be submitted by the investor or Unit Holder, in case the cheque / Fund Transfer Request provided along with fresh subscription / new folio creation does not belong to the bank mandate specified in the application form:

- a. Cancelled original cheque leaf with first Unit Holder name and Bank account number printed on the face of the cheque. OR
- b. Copy of Bank Passbook having the name, address and account number of the account holder. OR
- c. Bank Statement issued within 3 months for new bank, in case of old bank account the date of statement will not be applicable.

Important: The above documents should be either in original or copy to be submitted along with original produced for verification. Kindly visit HSC / CAMS office with originals for verification. All documents to be self attested.

Where such additional document(s) are not provided for the verification of bank account, the AMC reserves the right to capture the bank account used towards subscription for the purpose of redemption and dividend payments.

4. Investment and Payment Details

- i. The application amounts can be remitted by cheque / demand drafts available locally at any of the ISCs and designated collection centres. Application Forms accompanied with outstation cheques / statements / bank orders / money orders / cash will not be accepted.

- ii. All cheques and bank drafts must be drawn in the name of the Scheme e.g. "HSC Gold ETF Fund of Fund" and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application.

Please note that amount in words and figures on the cheque should not be in local languages.

- iii. Bank charges for outstation demand drafts will be borne by the AMC and units will be allotted inclusive of the DD charges incurred. The above will be limited to the bank charges as per table below.

Amount	DD Charges*
Units INR 5,000	INR 25 flat fee
INR 5,000 to INR 10,000	INR 50 flat fee
INR 10,001 to INR 1,00,000	INR 5 per INR 1,000 (Minimum charge INR 50)
Above INR 1,00,000	INR 5 per INR 1,000 (minimum INR 500 maximum INR 2,000)

* Demand Draft charges vary depending on the bank and the amount. Kindly note, additional GST may also be applicable.

However, such Demand Draft charges would be borne by the AMC only when the investor is not residing in any of the locations where the AMC or CAMS have official points of acceptance and the Demand draft has not been issued at the AMC / CAMS point of acceptance. Such demand drafts should be payable at the AMC / CAMS location where the investment application is submitted. The AMC will not entertain any request for refund of demand draft charges.

- iv. If no indication is given for the investment the default Option will be as follows:

Indication in the application form/Investor's choice	Default
Scheme Name	As indicated on the Application Form / Transaction Slip
Direct plan / Indirect (irrespective whether broker code written on the application or not)	Units will be allotted under "Direct Plan"
Distributor code is indicated on left bank or "Direct"	Units will be allotted under "Direct Plan"
Growth / IDCW Option / Sub-options	Growth Option / Sub-option
Payout / Reinvestment of IDCW	Reinvestment of IDCW
Mode of holding (in cases where there are more than one applicant)	Joint

Indication in the application form/Investor's choice: Default	
Status of First Applicant: Individual, HUF, Company etc.1	Others2
Demand Account Details3	Units will be held in physical mode

* For Investors, who wish to opt for Demand mode, the applicants under the Scheme (including a transferee) will be required to have a beneficiary account with a DP of NSDL / CDSL and will be required to indicate in the application the DP's name, DP ID number and its beneficiary account number with DP. In the absence of the information (including incomplete information / incorrect in respect of DP ID / DD ID), the application will be processed with statement option as "physical" only.

2 Tax rates (including the tax on dividend distribution) wherever applied on "others" by HSC Mutual Fund shall be the same as applicable to a Resident Indian Company.

With regard to Broker Code, default Plan as per the following table will apply to Investors:

Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

In cases of wrong / invalid / incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor / distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

iv. ASSA Facility

After SEBI circular dated March 15, 2010 read with circular dated July 26, 2010, investors can subscribe to the IPO through ASSA facility for IPOs launched on or after October 1, 2010. The ASSA facility shall conform with the current process, wherein cheques / demand drafts are accepted by the Mutual Fund as a mode of payment for subscription. ASSA is an application containing an authorization given to the investor to block the application money in his specified bank account towards the subscription of Units offered during the IPO of the Scheme. Thus, for an investor who applies through ASSA facility, the application money blocked towards subscription of Units shall be debited only if his / her application is selected for allotment of Units. The ASSA facility is offered by selected Self-Certified Syndicate Banks (SCSBs) which are registered with SEBI for offering the facility, and whose names appear in the list of SCSBs on the website of HSC, BSE and NSE. Investors are requested to check with their respective banks about the availability of the ASSA facility. Investors adopting the ASSA route may only fill up the ASSA application form.

PAYMENT MECHANISM

A) Lumpsum Investment:

- i. All cheques and bank drafts must be drawn in the name of the Scheme and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application.

Subscription through RTGS/NEFT:

Subscription through RTGS / NEFT can be done ONLY into the account maintained with HSC Bank Ltd as per the details provided below:

Bank	HSC Bank Ltd
Branch	52, 6C, M E Road, Pan, Mumbai
Account Type	CURRENT ACCOUNT
RTGS /IFSC Code	HSC0004000003
NEFT /PSC Code	HSC0004000002
Beneficiary Account Name	HSC Gold ETF - FOF
Account Number	003191849003

B) SIP Investment:

- i. Unit holders of the Scheme can benefit by investing specific fixed amounts periodically, for a continuous period. SIP allows the investors to invest a fixed amount every month or quarter for purchasing additional Units of the Scheme at NAV based prices. The requirement of "Minimum Amount for Application" will not be applicable in case of SIPs.

In case an investor wishes to invest through the SIP mode, the investor is required to provide:

- a mandate form to create SIP debits either through NACH or such other facilities as may be provided by the AMC along with a copy of the cancelled cheque (with name of the unit holder pre-printed).

For details on minimum investment amount and minimum installments refer to the table below:

Frequency	Minimum Investment Amount*	Date	Minimum number of Installments*
Daily	Rs. 500/-	All Business Days from Monday to Friday	Minimum 5 installments subject to aggregate of Rs. 5,000/-
Weekly	Rs. 500/-	Any Day from Monday to Friday	
Monthly	Rs. 1,000/-	Any Date of the month	Minimum 4 installments subject to aggregate of Rs. 5,000/-
Quarterly	Rs. 1,500/-	Any Date of the month	

* in multiples of Rs.1/- thereafter.

Daily SIP will be processed from Monday to Friday. In case of a non-business day falling between Monday to Friday (such days including then the daily SIP installment for that day will not be processed on the next business day.

- b) The cheque for the first SIP installment can carry any date. The first installment of the SIP will be processed subject to applicable NAV & fund. If any, on the date of receipt of the application form. The second installment in case of monthly SIP will be processed on any date as mentioned by the investor. If the choice of date for the second installment is not indicated by the investor, the second installment of SIP will be processed on the 10th of every month.

In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next available Business Day.

In case of quarterly SIP, the date for next installment will be 10th of the relevant month. If any of above dates fall on a holiday, the transaction will be taken as of the next Business Day. Please refer to the Lead Structure of each Scheme for details of applicable leads. Outstation cheques will not be accepted.

- d) The cheques should be drawn in the name of the Scheme and crossed "Account Payee only" and must be payable at the locations where the applications are submitted at the Investor Service Centre. Outstation cheques will not be accepted and applications accompanied by such cheques are liable to be rejected.
- e) You can choose multiple SIP dates in the Auto Debit Form in case you wish to make the SIP investment on multiple dates each month.
- e) If SIP form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits will not happen and SIP instruction shall be rejected.
- f) In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- g) In case the frequency (Weekly/Monthly/Quarterly) and SIP date is not indicated, Monthly frequency shall be treated as the Default frequency. 10th shall be treated as the Default date.
- h) In case of investments under the SIP, if 3 consecutive payment instructions provided by the investor are dishonored for insufficiency of funds, the AMC reserves the right to discontinue the SIP.
- i) SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The SIP facility will automatically stand terminated upon the Unit holder attaining 18 years of age.
- j) Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar - CAMS. Please note that effect from December 1, 2024 it would take T+2 Business days for the SIP to discontinue from the date of receipt of the duly filed request. Any installment due during this period might get debited from the bank account if it falls within T+2 Business days. The AMC reserves the right to introduce discontinue SIP variants of SIP from time to time.

0) SIP under Micro Financial Product category

In accordance with guidelines issued by AMFI vide its circular dated July 14, 2009 SIPs up to Rs. 50,000/- per year per investor i.e. aggregate of installments in a rolling 12 months period or in a financial year i.e. April to March (hereinafter referred to as "Micro SIP") shall be exempted from the requirement of PAN, with effect from August 1, 2009. This exemption shall

be applicable only to investments by individuals (excluding POCs, Minors and Sole proprietary firms including joint holders, HUFs and other categories of investors) will not be eligible for this exemption. Micro SIP investors will remain to be KYC compliant by fulfilling the uniform KYC formalities and submit the requisite documents along with the investment application.

Micro SIP investors will be subject to uniform KYC process. This exemption on PAN shall not be applicable to normal purchase transactions up to ₹ 50,000/- which will continue to be subject to the PAN requirement.

Along with the KYC KYC acknowledgement and a proof of address, any one of the following photo identification documents can be submitted along with Micro SIP applications as proof of identification in lieu of PAN:

- Voter Identity Card • Driving License • Government / Defense identification card • Passport • Photo Retina Card • Photo Datab Card • Employee ID cards issued by companies registered with Registrar of Companies • Photo identification issued to Bank Managers of Scheduled Commercial Banks • General Officer / Elected Representative to the Legislative Assembly / Parliament • ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks • Senior Citizen / Freedom Fighter ID card issued by Government • Cards issued by Universities / deemed Universities or institutes under statutes like ICAR, IGMA, ISI • Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA / NSDL • Any other photo ID card issued by Central Government / State Governments / Municipal authorities / Government organizations like BSNL, EPFO.

Where photo identification documents contains the address of the investor, a separate proof of address is not required.

The photo identification document and the proof of address have to be current and valid and also to be either self attested or attested by the ARN holder (AMFI Registered Distributor) mentioning the ARN number.

While making subsequent Micro SIP applications with a mutual fund, investor can quote the existing folio number where a Micro SIP has been registered and therefore need not re-submit the supporting document. The Micro SIP application will be rejected by the AMC when it is found that the registration of the application will result in the aggregate of Micro SIP installments in a financial year exceeding Rs. 50,000 or where there are deficiencies in the documents submitted by the investors in lieu of PAN as mentioned above. The rejected application will be sent back to the investor with a deficiency memo. In case the first Micro SIP installment is processed i.e. the cheque may be booked, and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds shall be made by the AMC for the units already allotted and a communication to this effect will be sent to the investor. However, investors shall be allowed to redeem their investments at applicable NAV.

5. Systematic Transfer Plan

- The STP allows the investors to transfer sums of money each month/quarter/ half-year/annual basis from his investments in the Scheme.
- If your STP request specifies both amount and units, the STP will be processed on the basis of amount.
- If the scheme / plan / option is not mentioned and there is only one scheme/option available in the folio, the same will be processed.
- If no debit date is mentioned default date will be considered as 10th of every Month/Quarter/Year/Annual basis i.e. 10th of the subsequent month after STP registration. Wednesday will be the default day. In case of Portfolio's STP the default dates will be 1st and 15th of the month.
- In case the criterion of the minimum amount for the purpose of transfer of units under the STP facility is not met, the AMC reserves the right to discontinue the STP until the registration for STP.
- The minimum amount required under the source scheme for registering STP is Rs. 5,000.
 - The minimum amount for transfer under the STP facility for Liquid & Overnight Schemes - Rs. 1,000 and in multiples of Rs. 1 thereafter (Minimum Installment - \$).
 - All other Schemes - Rs. 500 and in multiples of Rs. 1 thereafter (Minimum Installment - \$).
- Daily and Weekly STP is available only for fixed amounts and is not available for capital appreciation.
- If unit balance amount is less than the STP amount, units available will be transferred to the Target scheme.
- If source scheme has zero balance, STP will be auto ceased.
- If end date is not mentioned, "Until Canceled" will be the Default option.

6. Mode of Holding

Demat/Non-Demat Mode: Investors have the option to hold the units in demat form. Please tick the relevant option of Yes/No for opting/not opting units of the Plan in demat form. If no option is provided, "No" will be the default option. Investor can hold units in demat/non-demmat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status,

the units would be allotted in non demat mode.

The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can re-materialize holdings in demat mode. All expenses in connection with conversion from demat to non demat mode or demat to demat mode will have to be incurred by the investor. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.

Unit-holders who intend to avail of the facility to trade in units are required to have a Demat Account. Unit-holders holding units in SCA form and desiring to trade in the units, can do so by dematerializing the SCA through depositories. For conversion of Mutual Fund units represented by SCA into dematerialized form or vice-versa, the unit-holders are required to approach depositories. Currently, the units are listed at National Stock Exchange, NSE.

7. Declaration and Signature

- Signature should be in black or blue ink only.
- Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/his official seal. In case of MUF, the Karta will sign on behalf of the MUF.
- Applications on behalf of minors should be signed by their Guardian.

HSBC Mutual Fund - AMC reserves the right to reject any application inter-alia in the absence of fulfillment of regulatory requirements, fulfillment of requirements of the SE, SA and furnishing necessary information to the satisfaction of the Mutual Fund - AMC.

Checklist for Documentation

Documents	Individuals	NRIs	Minors	Companies/Boys/Corporate	Trusts	Societies	MUF	Partnership Firms	FFIs	Investments through Corporate Attorney
1. Certificate of Incorporation/Registration				✓	✓			✓	✓	
2. Resolution/Authorization to invest				✓	✓	✓		✓	✓	
3. List of Authorized Signatories with Specimen Signatures				✓	✓	✓		✓	✓	✓
4. Memorandum & Articles of Association				✓						
5. Trust Deed					✓					
6. Bye-Laws						✓				
7. Partnership Deed/Deed of Declaration							✓	✓		
8. Notarized Power of Attorney										✓
9. Proof of PAN (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
10. Proof of KYC/DCYC - GN number	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
11. Overseas Auditor's Certificate (applicable for DTAA)		✓								✓
12. Foreign Inward Remittance Certificate (FIRC)		✓								✓
13. Date of Birth Certificate or School Leaving Certificate or Passport of Minor			✓							
14. Document evidencing relationship with Guardian			✓							
15. Declaration for identification of Beneficial ownership				✓	✓	✓		✓	✓	
16. FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
17. NPC					✓	✓				

All documents for entities above should be original/true copies certified by the Director/Trustee/Company Secretary/Authorized Signatory/Notary Public.

Official Points of Acceptance of Transaction Requests

Please check our website www.asiameragepmam.hsbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund.

CAMS SERVICE CENTRES / CAMS LIMITED TRANSACTION POINTS / CAMS COLLECTION CENTRES

For details on CAMS Service Centres, please visit www.asiameragepmam.hsbc.co.in

ASBA : All Self-Certified Syndicated Banks (SCSBs) and their branches, through which Applications Supported by Blocked Amounts (ASBA) are sought shall also be considered as Official Points of Acceptance for ASBA Applications.

TOLL FREE NUMBERS

Description	Toll Free Number	Email ID
Investor related queries	1800-4180-200 / 1800-200-2434	investor_line@mutualfunds.hsbc.co.in
Distributor related queries	1800-418-8000	partner_line@mutualfunds.hsbc.co.in
Online related queries	1800-4180-200 / 1800-200-2434	onlineamf@mutualfunds.hsbc.co.in
Investor (Dialing from abroad)	+91 44 30923900	investor_line@mutualfunds.hsbc.co.in

NFO Application Form

(To be Filled in BLOCK LETTERS only)

HSBC Gold ETF Fund of Fund

(An approved fund of fund scheme investing in the units of HSBC Gold ETF) New Fund Offer Period : March 18, 2024 to March 23, 2024

<p>This product is suitable for investors who are seeking*</p> <p>HSBC GOLD ETF Fund of Fund: The investment objective of the Scheme is to seek to provide returns that are in line with returns provided by HSBC Gold ETF. There is no assurance that the investment objective of the Scheme will be achieved.</p>	<p>Scheme Risk a- Metric</p>	<p>Benchmark: Benchmark Bloomberg</p> <p>Domestic Price of Gold</p>
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*Investment should match their financial objectives if an investor states whether the product is suitable for them. The above product labeling category during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics of model portfolio and the same may vary post NFO than the actual assessment as made.

Broker Name & AIN code	IBAN code	Sub-broker AIN code	Sub code	Branch Code	EUN	For Office Use Only

* I, the beneficiary confirm that by executing this form, I, the authorized signatory to this form to the SEBI Registered Investment Advisor (RIA) the benefit of my contributions made in the capacity of NFO (New Fund Offer) of HSBC Mutual Fund. I, the beneficiary confirm that the EUN code has been intentionally left blank by me, so as the transaction is executed without any restriction or advice by the respective relationship manager. I am a person of the above mentioned sub-broker or re-brokerage, if any, provided by the respective relationship manager. I am a person of the sub-broker or re-broker.

Sole First Applicant: Authorized Signatory
 Second Applicant: Authorized Signatory
 Third Applicant: Authorized Signatory

1. APPLICANT'S INFORMATION (If it is your Self No. below, in case of existing folio, insert only EUN and PAN details below (If not provided earlier) and proceed to Section 2)

Folio No. _____ Please note that applicant details and mode of funding will be as per existing Folio Number.

SOLE FIRST APPLICANT'S PERSONAL DETAILS Are you a resident of USA/Canada? Yes No ** Indian Citizen

Name as per PAN (Mandatory) (M/M) _____

Date Birth (Incorporation ** (Mandatory) _____ Proof Enclosed Birth Certificate School Leaving Certificate Passport Marriage/Divorce issued by HBC State Board Others _____ (please specify)

Gender Male Female Third Gender KYC Identification No. (KIN) _____

PAN (Mandatory) _____ LEI Code _____
 Proof to be enclosed PAN card Copy

Nationality _____ Country of Residence _____

GUARDIAN NAME AS PER PAN (if Sole First applicant is a Minor): Contact Person (in case of Non-Individual Investors only)

Name (M/M) _____

Date of Birth of Guardian* (Mandatory) _____ KYC Identification Number (KIN) _____

PAN** (Mandatory) _____ Proof to be enclosed PAN card Copy

Father Mother Legal Guardian** (court appointed Guardian)

* Document evidencing relationship with Guardian ** In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

Status of Sole (1st Applicant) (*): Resident Individual Resident Minor (through Guardian) Non-Resident (Resident) Non-Resident (Non-Resident) Non-Resident - Minor (Resident) Non-Resident - Minor (Non-Resident) Bank FPI QF/IEF ACP HLF FPI Sole Proprietor Private Limited Company Public Limited Co. Body Corporate Partnership Firm Trust NPS Trust Fund of Fund Open End Fund Pension and Retirement Fund Government Body INDO MCI Society LLP FPO Non Profit Organization Global Development Network Foreign National Others

2. KYC DETAILS (Mandatory) (Details of Guardian in case the applicant is a minor)

Investors are requested to complete the KYC section for Joint holders & POA also, as applicable.

a. Occupation (*): Private Sector Service Public Sector Service Government Service Professional Agriculturist Farmer
 Housewife Broker Doctor Power Dealer Business Chartered Accountant Chartered Engineer Arms manufacturer
 Coaching services officer Money lender Pans Broker Others

b. Gross Annual Income (Please *): Below ₹ 1 Lac ₹ 1-5 Lac ₹ 5-10 Lac ₹ 10-25 Lac ₹ 25 Lac - ₹ 1 Crore > ₹ 1 Crore

OR Net-worth in Rupees (Mandatory for Non-Individuals) * _____ as on (Date) _____

For Individual (Tick (*) if applicable): Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable

For Non-Individual Investors (Company, Trust, Partnership etc.):

I. Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If No, please attach mandatory UBO Declaration) Yes No

II. Foreign Exchange Money Change Services Yes No

III. Gaming/Gambling/Lottery/Taxi Services Yes No

IV. Money Lending/Financing Yes No

For Non-Individual Investors - Identification of Beneficial Ownership

Beneficiary UBO Declaration form duly filled and signed attached Yes No
 (One Required for a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company)

* Mandatory ** W.e.f. January 1, 2024 PAN applies to Mandatory for all investors including Joint Holder, POA holder, Guardian in case of Minor and NFO. † The EUN KYC name of the PAN for all the holders (including guardian in case of Minor) and POA holder should be identical. ‡ Please note that information sought here will be obtained from KRA also. In case of any difference, the KRA input will apply. † Transaction subject to rejection if minor has turned major and relevant documents for change in name not submitted. Refer HBC/SAI for instructions related to folio held in the name of Minor. -continued on next page-

ACKNOWLEDGEMENT SLIP (To be filled by the Applicant)

This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Received from: Mr/Ms/Ms _____

Folio No. _____ application for Units of HSBC Gold ETF Fund of Fund

Date: _____ Option: Open No-option Single Cleared/DOE

Mode: Direct Cheque on Bank Amount (₹) _____

SIP Investment STP Fresh Investment Cancellation of Investment

ECS (Debit Direct Debit Facility) Total Amount (₹) _____ Date: _____

Please Note: All purchases are subject to verification of documents. All transaction processing is subject to final verification.



3 CONTACT DETAILS AND CORRESPONDENCE ADDRESSAddress for Correspondence² (P.O. Box Address is NOT sufficient) (Should be same as in FSA record)

City _____ Fax Code _____
 State _____ Country _____

Overseas Address (Registered Address in case of Non-Individual Investor) (Mandatory in case of FSA PF) (see details available in mailing subject) (Should be same as in FSA record)

City _____ Zip Code _____
 State _____ Country (Mandatory) _____

CONTACT DETAILS OF SOLE FIRST APPLICANT

Mobile No. _____ Tel. (Res.) _____ Tel. (Office) _____

Mobile belongs to: Self Spouse Guardian (to whom entrusted) Dependent Children Dependent Parents Dependent Siblings Custodian POA PMS

E-mail _____ (Email ID to be filled in CAPITAL LETTERS)

E-mail belongs to: Self Spouse Guardian (to whom entrusted) Dependent Children Dependent Parents Dependent Siblings Custodian POA PMS Yes No * I, We, wish to receive scheme wise annual report or an abridged summary thereof; account statements; custody & other documents by email. If cancelled, by default the above will be sent on mail. I We confirm that primary email ID provided belongs to self or a family member.**4 JOINT APPLICANTS, IF ANY AND THEIR DETAILS (Please tick (✓) wherever applicable)**MODE OF HOLDING (✓) Single Joint (Default if not mentioned) Any one or Jointly

NAME OF SECOND APPLICANT AS PER PAN (Not applicable if Sole First Applicant is a Minor and Second Applicant cannot be a Minor)

Are you a resident of USA/Canada? (✓) Yes No* (Default if not asked)

Mr/Ms/Mx _____

Date of Birth** (Mandatory) _____ PAN** (Mandatory) _____ Proof/loaded (✓) PAN card CopyGender Male Female Third Gender KYC Identification Number (KIN) _____

Nationality _____ Country of Residence _____

Status of Second Applicant (✓): Resident Individual Non-Resident (Separable) Non-Resident (Non-Separable)a. Occupations (please ✓) Private Sector Service Public Sector Service Government Service Professional Agriculturist Retail Household Student Business Doctor Finance Dealer Money lender Casino Owner Arms manufacturer Consulting services officer Money lender Pawn Broker Others _____b. Gross Annual Income (please ✓): Below ₹ 1 Lac ₹ 1-5 Lacs ₹ 5-10 Lacs ₹ 10-25 Lacs ₹ 25 Lacs - ₹ 1 Cr > ₹ 1 Crc. Others (please ✓) Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable**CONTACT DETAILS OF SECOND APPLICANT**

Mobile No. _____ E-mail _____ (Email ID to be filled in CAPITAL LETTERS)

Mobile belongs to: Self Spouse Guardian (to whom entrusted) Dependent Children Dependent Parents Dependent Siblings Custodian POA PMSE-mail belongs to: Self Spouse Guardian (to whom entrusted) Dependent Children Dependent Parents Dependent Siblings Custodian POA PMS

NAME OF THIRD APPLICANT AS PER PAN (Not applicable if Sole First Applicant is a Minor and Third Applicant cannot be a Minor)

Are you a resident of USA/Canada? (✓) Yes No* (Default if not asked)

Mr/Ms/Mx _____

Date of Birth** (Mandatory) _____ PAN** (Mandatory) _____ Proof/loaded (✓) PAN card CopyGender Male Female Third Gender KYC Identification Number (KIN) _____

Nationality _____ Country of Residence _____

Status of Third Applicant (✓): Resident Individual Non-Resident (Separable) Non-Resident (Non-Separable)a. Occupations (please ✓) Private Sector Service Public Sector Service Government Service Professional Agriculturist Retail Household Student Business Doctor Finance Dealer Money lender Casino Owner Arms manufacturer Consulting services officer Money lender Pawn Broker Others _____b. Gross Annual Income (please ✓): Below ₹ 1 Lac ₹ 1-5 Lacs ₹ 5-10 Lacs ₹ 10-25 Lacs ₹ 25 Lacs - ₹ 1 Cr > ₹ 1 Crc. Others (please ✓) Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable**CONTACT DETAILS OF THIRD APPLICANT**

Mobile No. _____ E-mail _____ (Email ID to be filled in CAPITAL LETTERS)

Mobile belongs to: Self Spouse Guardian (to whom entrusted) Dependent Children Dependent Parents Dependent Siblings Custodian POA PMSE-mail belongs to: Self Spouse Guardian (to whom entrusted) Dependent Children Dependent Parents Dependent Siblings Custodian POA PMS

...continued on next page >

CALL US ATPlease visit our website www.accountmanagement.fabc.co.in for an updated list of Official Points of Acceptance of NISSE Mutual Fund. Please visit www.seamonline.com for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent - Computer Age Management System.**TOLL FREE NUMBERS**

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Going them ahead)
Toll Free Number	1800-419-200 / 1800-200-2434	1800-419-9000	1800-419-200 / 1800-200-2434	+91 44 29820900
Email ID	investor_3e@mutualfunds.fabc.co.in	partner_3e@mutualfunds.fabc.co.in	online@mutualfunds.fabc.co.in	investor_3e@mutualfunds.fabc.co.in

POA HOLDER NAME AS PER PAN (If no investment is being made by a Confidential Attorney please insert name of POA holder)

MO MO DA

Date of Birth (Mandatory) KYC Identification Number (NIN) **

PAN** (Mandatory) Proof enclosed (✓) PAN card Copy

Nationality Country of Residence

a. Occupation (please ✓): Private Sector Service Public Sector Service Government Service Professional Agriculturist Retired Homemaker Doctor Freelance Designer Money lender Casino Owner Arms manufacturer Gambling services provider Money lender Pawn Broker Other

b. Gross Annual Income (please ✓): Below ₹ 1 Lac ₹ 1-1.5 Lac ₹ 1.5-2 Lac ₹ 2-3 Lac ₹ 3-4 Lac ₹ 4-5 Lac ₹ 5-10 Lac ₹ 10-25 Lac ₹ 25 Lac-₹ 1 Crore >₹ 1 Crore OR Net-worth in Rupees (Mandatory for Non-Individuals) ₹

c. Other (please ✓): Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable

5 BANK ACCOUNT DETAILS (For Minor accounts - Deposits proceeds will be paid only to the Bank A/c held in the name of Minor)

Core Banking A/c No A/c Type (✓) Current Savings NRE* NRO* * For NRI Investors

Bank Name

Branch

City Pin Code

State Country

IBCR code RTGS/NEFT/EPSC code

Please provide a cancelled cheque leaf with your name and EPSC code preprinted if the bank details in Section 5 are different or Fund transfer is initiated.

6 INVESTMENT & SOURCE OF FUNDS DETAILS (Please write Plan Option/Sub-option below)

Scheme Name **HSBC Gold ETF Fund of Fund**

Plan Option

Investment Amount ₹

Amount in words

Payment Mode: Cheque DD RTGS NEFT One Time Mandate (OTM) Electronic Transfer

Cheque/DD/RTGS/NEFT Details: Cheque/DD/RTGS/NEFT No.

Investment Date DD Charges, if any (₹)

Payment from Bank A/c No. A/c Type (✓) Current Savings NRE* NRO* FCNR* Other * For NRI Investors

Drawn On: Bank

Branch & City:

The scheme name mentioned on the application form and the cheque has to be the same. In case of any discrepancy between the two, units will be allotted as per the scheme name mentioned on the application only.

Documents attached to avoid Third Party Payment Rejection: Third Party Declaration Bank Certificate for Pre-funded Investments

For Minor investment, if Funds are from Parent/ Legal Guardian, attach Relationship Proof Birth Certificate Passport School Leaving Certificate Court Order

MANDATORY DECLARATION: The details of the bank account provided above pertain to my/our own bank account is/are: Yes No

If no, my relationship with the bank account holder (attach the Third Party declaration Form) (Please ✓) Employee Custodian AMC Corporate

7 SYSTEMATIC TRANSFER PLAN (STP) (Please write Scheme Name/ Plan Option/ Sub-option below) Registration**

Transfer From: Scheme **HSBC** Scheme Name

Transfer To: Scheme **HSBC** Scheme Name

Plan Option

Sub-option

STP Frequency: Daily* Weekly* Fortnightly Monthly (Default) Quarterly (10%)

STP Day: Monday Tuesday Wednesday (Default) Thursday Friday

Transfer Option: Fixed Amount Capital Appreciation (In Business Day of the month)

Transfer Amount: Amount per instalment ₹

Discretion Transfer Amount for Liquid & Overnight - ₹ 1,000 All other Schemes - ₹ 500

Instalment commencing: From To OR Third Cancelled (Default)*

STP Date: 1st 2nd 3rd 4th 5th 6th 7th 8th 9th 10th (1st week) 11th 12th 13th 14th 15th 17th 18th 19th 20th 21st 22nd 23rd 24th 25th 26th 27th 28th 29th 30th 31st

* To be submitted 7 days prior to the STP date in case of Registration & 14 days in case of Cancellation. ** 9 months for registration. The minimum amount required under the source scheme for registering STP is ₹ 5,000. Default Date will be applied in case of no information, ambiguity or discrepancy. † If no date is mentioned default date would be considered as 10th of every month quarter. ‡ Daily and Weekly STP facility shall be available only under Fixed Amount Systematic Transfer Plan. If the day for Weekly STP is not selected, Wednesday will be the default day. * If end date is not mentioned, Third Cancelled will be the default option.

8 CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS) (Mandatory for all investors including Co-Holder (Coordinating or one of several), Joint holder(s) and POA holder)

FATCA/CRS SELF CERTIFICATION FOR INDIVIDUAL INVESTORS (INDIVIDUAL NRI / ON BEHALF OF MINOR / PROPRIETORSHIP FIRM)

	Self / First Applicant / Co-Holder		Second Applicant		Third Applicant / POA holder	
Name and Country of Birth	Name _____ Country _____		Name _____ Country _____		Name _____ Country _____	
Address Type (For KYC address)	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Tax Residency (Is an you assessed for tax in any country other than India?)	<input type="checkbox"/> Yes <input type="checkbox"/> No					

If you have filed for all countries (other than India) in which you are a Resident for tax purposes, then you are Co-Holder, Resident, Cross-Country Tax Resident in the respective countries.

Country of Tax Residency*
 Tax Identification Number (TIN) or Functional Equivalent
 Identification Type (TIN or Other, please specify)
 If TIN is not available, please tick of the reason (as indicated below):

<input type="checkbox"/> A	<input type="checkbox"/> B	<input type="checkbox"/> C	<input type="checkbox"/> A	<input type="checkbox"/> B	<input type="checkbox"/> C	<input type="checkbox"/> A	<input type="checkbox"/> B	<input type="checkbox"/> C
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Reason A - The country where the Account Holder is liable to pay tax does not issue TIN to its residents.
 Reason B - No TIN required (Select this reason only for the authorities of the respective country of tax residence do not required the TIN to be collected)
 Reason C - Others - Please specify the reason.

*To state outside USA, where the individual is a citizen, green card holder of USA. *In case Tax Identification Number is not available, kindly provide its functional equivalent.

FATCA/CRS SELF CERTIFICATION FOR NON-INDIVIDUAL INVESTORS AND THEIR ULTIMATE BENEFICIAL OWNER (UBO) (COMPANY, TRUST/SOCIETY / PARTNERSHIP FIRM ETC.)

Please complete Annexure A & B

9 DEMAT ACCOUNT DETAILS (Please provide Demat proof to verify demat details)

Please provide details of your Depository Participant if you wish to hold units in Demat Form. NSDL CDSL

Depository Participant Name: _____ DP ID: | N: _____

Beneficiary Account No.: _____

10 NOMINATION DETAILS (Mandatory for own folios of Individual Beneficiaries only - whether holding Units singly or Jointly with other holders)

A) I / We wish to Nominate

I / We, hereby make a nomination and I / we hereby nominate the person(s) who shall receive all the assets held in my / our account in the event of my / our death and by executing the nomination(s) made by me / us previously in respect of the units held by me / us in the Listed Folio(s).

(Fill the separate nomination form).

B) I / We do not wish to Nominate (Nomination OPT-OUT):

I / We, the applicant(s) / beneficiary(s) hereby confirm that I / we do not wish to appoint any nominee(s) in respect of the mutual fund application(s) / units held in my / our account, that that(s) / We understand the applicant(s) / nominee(s) involved in non-appointment of any nominee(s) and we / we further agree that in case of my demise / death of all the unit holders in the folio, my / our legal heir(s) would need to submit all the requisite documents issued by the Court or such other competent authority, as may be required by the Mutual Fund / AMC for settlement of death claim / transmission of units in favour of the legal heir(s), based on the value of the assets held in the mutual fund folio(s).

Note: Where Nominee details and Nomination Opt-Out both are marked, Nomination Opt-Out will be considered as "Default". Folio in such case will be updated without Hesitation.

11 DECLARATION AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)

FATCA/CRS DECLARATION

I acknowledge and confirm that the information provided with respect to FATCA/CRS is true and correct to the best of my knowledge and belief. I certify that I am the Account Holder (or am authorized to sign for the Account Holder) of all the account(s) to which this form relates. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be responsible for it. I authorize the Fund to explore its records from the FATCA / CRS information provided by me and received by the Fund from other SEBI Registered Investment Advisors. Further, I authorize the Fund to share the gross information provided by me to the Fund with other SEBI Registered intermediaries to facilitate single administrative system. I also undertake to keep the Fund informed in writing about any change / modification / updates to the above information in future and also undertake to provide any other additional information as may be required in the Fund's and sent / or by the domestic tax authorities. I authorize the Fund / AMC / IIA to close or suspend my account(s) under limitation to me for non-submission of documentation.

OTHER DECLARATIONS

Having read and understood the contents of the Scheme Information Document, Key Information Memorandum, Statement of Additional Information and Addendum to the Scheme(s) issued till date, I / We hereby apply to the Trustee of SEBI Mutual Fund for sale of the relevant Scheme and agree to abide by the terms, conditions, rules and regulations of the Scheme and the above mentioned documents of SEBI Mutual Fund. I / We hereby authorize SEBI Mutual Fund, the AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / SEBI Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such other service providers as deemed necessary for conduct of business. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold the Fund, the AMC, its service providers or representatives responsible. I / We will also inform the AMC about any changes in my / our bank account. I / We confirm that I am / we are Not Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account (Applicable to FII).

I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the person being invested by me / us in the Scheme(s) is through legitimate means and is not held or designed for the purpose of circumventing and / or evasion of any Act, Rules, Regulations or any other applicable laws or Notifications issued by any governmental or statutory authority from time to time. I / We acknowledge that the AMC has not considered my / our tax position in particular and that I / we should seek tax advice on the specific tax implications arising out of my / our participation in the Scheme. I / We have understood the details of the Scheme and I / We have not received any been induced by any returns or gifts, directly or indirectly in making this investment. I / We confirm that the ARI holder has disclosed to me / us all the consequences (in the form of fund consequences or any other costs), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change in this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investment in the Scheme(s).

We confirm that we have not issued any bearer shares or share warrants. We also confirm that we will inform the AMC if bearer shares or share warrants are issued subsequently.

X	X	X
Self / First Applicant / Co-Holder / POA	Second Applicant / POA	Third Applicant / POA

Date: _____ Please write application Form No. / Folio No. on the reverse of the Closure / Renewal / Draft / Initial system which is applied to cover where the information provided is either ambiguous or has any discrepancy.

Applicable for Individual Unitholders only (effective from June 1, 2025 to August 31, 2025).
 Please read the instructions carefully before filling up this Form.

 Date:

Fresh Nomination - All unit holders need to sign (irrespective of mode of holding). <input type="checkbox"/> Fresh Nomination	Change of Existing Nomination: Cancellation of Nomination - Signature is per mode of holding. <input type="checkbox"/> Change of Existing Nomination <input type="checkbox"/> Cancellation of Nomination
--	--

Folio No.(s) having same mode of holding and pattern

1. _____	2. _____
3. _____	4. _____
Investor Name (Mr./Ms.) _____	

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) in the above specified folio(s) who shall receive all the assets held in my/our account in the event of my/our death. This nomination shall supersede any prior nomination made by us/one of us.

Nomination can be made upto three nominees in the account.	Mandatory Information		
	1st Nominee	2nd Nominee	3rd Nominee
Name of the Nominee (Mr./Ms.) _____	_____	_____	_____
Share of each Nominee* % _____	%	%	%
Date of Birth (for Minor) _____	_____	_____	_____
Relationship with the Applicant (select one)	<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Daughter <input type="checkbox"/> Son <input type="checkbox"/> Others (please specify) _____	<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Daughter <input type="checkbox"/> Son <input type="checkbox"/> Others (please specify) _____	<input type="checkbox"/> Spouse <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Daughter <input type="checkbox"/> Son <input type="checkbox"/> Others (please specify) _____
Nominee's Guardian (in case of Minor) Identification details (Please tick any one of the following and provide ID Number and no. copies retained)	<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar (masked - only last 4 digits visible) <input type="checkbox"/> Passport (for NRIs/OCI/PIOs) <input type="checkbox"/> Driving License	<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar (masked - only last 4 digits visible) <input type="checkbox"/> Passport (for NRIs/OCI/PIOs) <input type="checkbox"/> Driving License	<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar (masked - only last 4 digits visible) <input type="checkbox"/> Passport (for NRIs/OCI/PIOs) <input type="checkbox"/> Driving License
Address of Nominee(s)/Guardian in case of Minor	<input type="checkbox"/> Same as First Applicant	<input type="checkbox"/> Same as First Applicant	<input type="checkbox"/> Same as First Applicant
City _____ Pin code _____ State _____ Country _____	City _____ Pin code _____ State _____ Country _____	City _____ Pin code _____ State _____ Country _____	City _____ Pin code _____ State _____ Country _____
Mobile No. of Nominee(s)/Guardian in case of Minor _____	_____	_____	_____
Email ID of Nominee(s)/Guardian in case of Minor _____	_____	_____	_____
Name of the Guardian (in case of Minor) _____	_____	_____	_____
Guardian's Relationship with Nominee (non mandatory)	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian
I/We want the details of my/our account to be printed in the statement of holding, provided to me/ us by the AMC/DP or SGI/STP, (please tick, as appropriate) <input type="checkbox"/> Name of account(s) with Details and Percentage <input type="checkbox"/> Nomination without Details and Percentage (Default Option)			
This nomination shall supersede any prior nomination made by the account holder(s), if any.			

* Any unit left after division shall be assigned / transferred to the first nominee mentioned in the form.

 Request submitted by: Fresh Nomination Change of Existing Nomination Cancellation of Nomination

From _____

Folio No. _____

Subject to further verification and forwarding of mandatory information/documents. Please retain the original and processed

SGI Stamp & Signature

SIGNATURE(S)		
I/We have read the terms and conditions for accumulation and hereby authorize the above nominee(s) to receive all the amounts in my/our credit in the event of my/our death. Signature of the nominee(s) acknowledging receipt of my/our credit will constitute full discharge of liabilities in HSBC Mutual Fund.		
Name of the Holder		Signature/Thumb Impression
Sole/First Holder (Mr./Ms.)	Name	Signature/Thumb Impression
	Witness 1 Name & Address:	Witness 1 Signature
	Witness 2 Name & Address:	Witness 2 Signature
Second Holder (Mr./Ms.)	Name	Signature/Thumb Impression
	Witness 1 Name & Address:	Witness 1 Signature
	Witness 2 Name & Address:	Witness 2 Signature
Third Holder (Mr./Ms.)	Name	Signature/Thumb Impression
	Witness 1 Name & Address:	Witness 1 Signature
	Witness 2 Name & Address:	Witness 2 Signature

* Signature of witness along with name and address are required, if the account holder affixes thumb impression, instead of signature.

If the account holder affixes thumb impression instead of signature, additionally please provide a doctor's certificate and the thumb impression should be attested.

Note: The intermediary shall provide acknowledgment of the accumulation form to the account holder(s).

INSTRUCTIONS

- If you are opening a new demat account (DP ID), you have to provide nomination. Otherwise, you have to follow the specified procedure for DP-ID.
- The nomination can be made only by methods applicable to holding securities in the e-folio (eDP/EPFO).
- You can make nomination or change nominee any number of times without any restriction.
- There are certain returns acknowledgement from the AMC, DP for each instance of pending or closing nomination.
- The individuals including a Spouse, Trust, Body Corporate, Partnership Firm, Estate of Hindu Undivided Family, a Power of Attorney holder and/or Guardian of Minor can be eligible to name nominee.
- Nomination is not allowed in a folio where Minor is the beneficiary.
- The signature for the nomination form a joint holder account, shall be the same as that of your joint DP ID (i.e. depository ID).

- Minor or Derivative Folio: Accounts - any one of the folios can open.
 - First holder Folio: Accounts - only First Holder can open.
 - Joint Folio: Accounts - both holder have to open.
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee is to be provided.
 - Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
 - The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Estate of Hindu Undivided Family, or a Power of Attorney holder.
 - A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
 - Multiple Nominee: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allowance shall be in a whole number without any decimal, adding upto a total of 100%. If the percentage of allowance then for each of the nominee is not rounded off, the allocation shall be rounded off to the first nominee mentioned in the form.
 - In case of demise of the investor and any one of the nominees, the registered address shall distribute the assets pro-rata to the remaining nominee.
 - Every new nomination for a folio account shall supersede the existing nomination, if any.
 - Nomination made by a non-holder shall be applicable to the units held in all the schemes under the respective folio account.

- Nomination shall stand rescinded upon the demise of death.
- Transmission of money in favour of a Nominee shall be made through the asset management company (interim fund). Thereafter against the legal heir(s).
- The nomination will be executed only when the form is completed in all respects to the satisfaction of the AMC.
- In respect of folio accounts where the nomination has been registered, the AMC will not entertain any request for nomination / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
- When Nominee details and Transmission Opt/Off both are mentioned, Transmission Opt/Off will be considered as "Default". Folio in such case will be updated without Nominee.

Transmission aspect

- Upon demise of the investor, the nominee shall have the right to either continue as joint holder with other nominee(s) or for each nominee(s) to open separate single account. Folio.
- In case of joint nomination to continue the asset from the AMC, OR file the required legal/medical/official documents to verify the AMC in a case of NP deed and with the concerned Depository in case of Demat account.
- Nominee(s) shall stand as possible co-applicant to transfer the assets in the legal heir(s) of the deceased investor. In that regard, no dispute shall be against the AMC. DP.
- Death of Nominee: In the event of the emergency post-dating, the beneficiary(s), the beneficiary is an adult is asked to make a full nomination upon after the demise of the investor. The nomination will automatically stand cancelled in the event of the nominee(s) (or all) ceasing to be beneficiary(s). In case of multiple nomination, if any of the nominee(s) deceased at the time of death (as mentioned in the said nominee's) shall still be distributed or pro-rata basis (as mentioned above) amongst the surviving nominee(s). Nominee's legal heir cannot claim the assets on behalf of deceased Nominee(s).

% share as specified by investor at the time of nomination	% assets to be apportioned to surviving nominee(s) upon demise of investor and nominee 'X'				
	Nominee	% Share	Percentage	% of the share	Total % share to be apportioned
A	60%	A	B	B	B
B	10%	B	10%	40%	70%
C	30%	C	30%	30%	30%
Total	100%	-	60%	60%	100%

CALL US AT

Please visit our website www.assetmanagement.hsbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit www.investorline.com for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent - Computer Age Management System.

TOLL FREE NUMBERS

Deletions	Investor related queries	Distributor related queries	Debit related queries	Investor/ Billing/ Other related
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-8600	1800-4196-200/1800-200-2434	+91 44 33822900
Email ID	investor_line@mutualfunds.hsbc.co.in	distributor_line@mutualfunds.hsbc.co.in	debit_line@mutualfunds.hsbc.co.in	investor_line@mutualfunds.hsbc.co.in

Declaration Form of Ultimate Beneficial Ownership (UBO)/Controlling Persons

(MANDATORY for Non-Individual Investors)

1. INVESTOR DETAILS

Investor Name as per PAN

(Name as per PAN CARD)

Folio No./Application No.

IAN*

*If PAN is not available, specify Folio No. by

2. CATEGORY
 Our company is a Listed Company as a recognized stock exchange in India /Subsidiary of a or Controlled by a Listed Company (If the category is selected, we need to provide ICD details)

Name of the Stock Exchange where it is listed*

Security ID**

Name of the Listed Company (Applicable if the investor is subsidiary/associate)

 Unlisted Company

 Partnership Firm/LLP

 Unincorporated association/body of individuals

 Public Charitable Trust

 Private Trust

 Religious Trust

 Trust created by a Will

 Others (please specify)

*Mandatory in case of Listed company or subsidiary of the Listed Company

3. UBO/CONTROLLING PERSON(S) DETAILS

Does your company/entity have any individual person(s) who holds direct/indirect controlling ownership above the prescribed threshold limit? (Please -)

 Yes No

If 'YES' - We hereby declare that the following individual person holds directly/indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

BENEFIT form as downloaded from MCA portal is attached as documentary evidence of the UBO information in any other applicable supporting documents like shareholding pattern of the entity and its associates. Further, we hereby consent to submitting the appropriate documentary evidence substantiating this as and when required at AMC/RTA end.

If 'NO' - declare that an individual person (directly/indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1: Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/ SMO*			
UBO/ SMO PAN# (For Foreign National, TIN to be provided) % of beneficial interest	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >5% controlling interest <input type="checkbox"/> >20% controlling interest <input type="checkbox"/> NA. (for SMO)	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >5% controlling interest <input type="checkbox"/> >20% controlling interest <input type="checkbox"/> NA. (for SMO)	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >5% controlling interest <input type="checkbox"/> >20% controlling interest <input type="checkbox"/> NA. (for SMO)
UBO/ SMO Country of Tax Residency*			
UBO/ SMO Taxpayer Identification Number/ Equivalent ID Number*			
UBO/ SMO Identity Type			
UBO/ SMO Place & Country of Birth*	Place of Birth Country of Birth	Place of Birth Country of Birth	Place of Birth Country of Birth
UBO/ SMO Nationality			
UBO/ SMO Date of Birth*	DD MM YYYY	DD MM YYYY	DD MM YYYY
UBO/ SMO PEP*	Yes - PEP <input type="checkbox"/> Yes - Related to PEP <input type="checkbox"/> No - Not a PEP <input type="checkbox"/>	Yes - PEP <input type="checkbox"/> Yes - Related to PEP <input type="checkbox"/> No - Not a PEP <input type="checkbox"/>	Yes - PEP <input type="checkbox"/> Yes - Related to PEP <input type="checkbox"/> No - Not a PEP <input type="checkbox"/>
UBO/ SMO Address (include City, Pincode, State, Country)	Address City Pincode State Country	Address City Pincode State Country	Address City Pincode State Country
UBO/ SMO Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Regd. Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Regd. Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Regd. Office
UBO/ SMO Email ID			
UBO/ SMO Mobile No.			
UBO/ SMO Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
UBO/ SMO Father's Name			
UBO/ SMO Occupation	<input type="checkbox"/> Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others	<input type="checkbox"/> Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others
SMO Designation#			
UBO/ SMO KYC Complied**	<input type="checkbox"/> Yes <input type="checkbox"/> No If 'Yes', please check the KYC acknowledgment. If 'No', complete the KYC and confirm the status.	<input type="checkbox"/> Yes <input type="checkbox"/> No If 'Yes', please check the KYC acknowledgment. If 'No', complete the KYC and confirm the status.	<input type="checkbox"/> Yes <input type="checkbox"/> No If 'Yes', please check the KYC acknowledgment. If 'No', complete the KYC and confirm the status.
SEPC Form or any other relevant supporting documents as applicable ***	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached	<input type="checkbox"/> Attached

* Mandatory column. Note: If the given columns are not sufficient, required information in the given format can be enclosed in additional sheet(s) duly signed by Authorized Signatory. # Participating Mutual Fund(s): IFA may call for additional information/ documentation wherever required or if the given information is not clear/ incomplete, correct and you may provide the same as and when related. ** In case of Foreign National, who are not KYC Complied, they need to attach the ID proof in English, along with the Nationality proof. Address proof given in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country. *** Documentary proof for UBO.

DECLARATION

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We and/or we agree that I/We may be liable for it including any penalty levied by the statutory legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable client holding pattern and MF/SEI/other registered intermediaries can make reliance on the same. I/We hereby authorize you (RTA/Fund/AMC/Other participating entities) to disclose, share, rely, visit in any form, mode or manner, all any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Registrar, Asset Management Company, trustee, their employees/RTAs (the Authorized Parties) or any Indian or foreign governmental or statutory or judicial authorities, agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me in of the same. Further, I/We authorize to state the prior information to other USBI Registered Intermediaries or any registered intermediaries registered with SEBI/BI/DBA/BI/DBA to facilitate single submission, update & for other relevant purposes. I/We also undertake to keep you advised in writing about any changes/modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your Fund's end or by domestic or overseas regulatory/tax authorities.

Signature with relevant seal

<input checked="" type="checkbox"/>	Authorized Signatory 1	<input checked="" type="checkbox"/>	Authorized Signatory 2	<input checked="" type="checkbox"/>	Authorized Signatory 3
Name		Name		Name	
Designation		Designation		Designation	
Date	00/00/0000	Date			

INSTRUCTIONS ON CONTROLLING PERSONS/ULTIMATE BENEFICIAL OWNER

As per PMLA guidelines and relevant SEBI circulars issued from time to time, individuals and trusts are required to provide details of controlling persons (CP) (where beneficial owner (UBO)) and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the broader as the natural person or persons, who ultimately own, control or influence a client and/or persons in whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. The investor other than individual or trust:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical persons, exercises control through ownership or who substantially has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership;
- more than 10% of the property or capital or profits of the juridical person, where the juridical person is an incorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where an actual person exerts control through ownership interest, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangement or in any other manner.

(iii) Where an actual person is identified under clauses (i) or (ii) above, the identity of the

relevant natural person who holds the position of senior managing official.

B. For investors which is a trust:

The identity of the trustee of the trust, the trustee, the provider, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exception in case of listed companies/ foreign investors:

The client or the owner of the controlling interest is a company listed on a stock exchange or is a company-owned subsidiary of such a company, there is no need for identification and verification of the identity of the shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Information relating to foreign investors' viz., Foreign Institutional Investors, Sub-Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MISD/11/2012 dated September 1, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

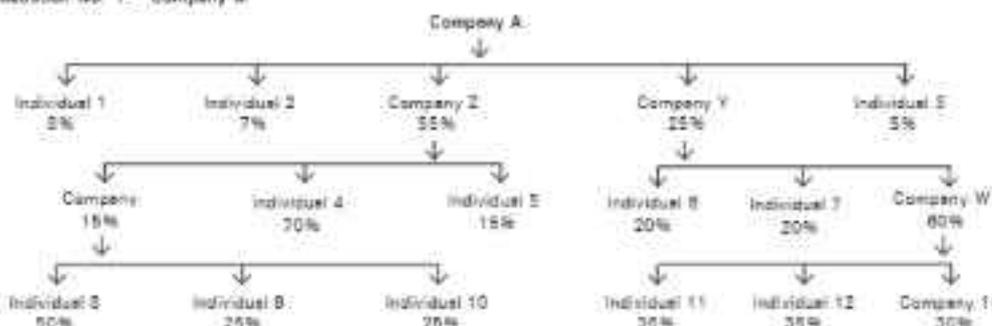
D. KYC requirement:

Beneficial Owner(s)/ Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KYCAs subject to certain AMC KYC acknowledgment proofs to be submitted for all the UBO(s)/SMO(s).

In case of Foreign Nationals, who are not KYC compliant, they need to attach the ID proof in English along with the Affidavit proof. Address proof again in English (if the documentary proofs in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country).

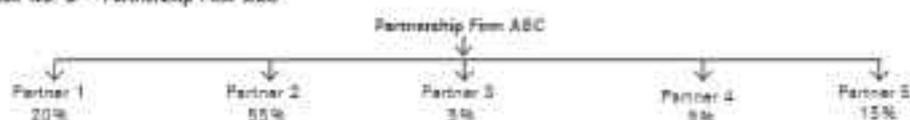
SAMPLE ILLUSTRATIONS FOR ASCERTAINING BENEFICIAL OWNERSHIP

Illustration No. 1 – Company A



For Company A, Individual 4 is considered as UBO as it holds effective ownership of 18.18% in Company A. Hence details of Individual 4 must be provided with KYC proof. Beneficial owners of Company A, Z & Y to be provided along with details of persons of Company T who are senior managing officials and those exercising control.

Illustration No. 2 – Partnership Firm ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >= 10% of capital. KYC proof of these partners needs to be submitted including identifying

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefited for >= 10% of funds held. KYC proof for them based on trust needs to be submitted. Additionally, if they have constituted any person or group of persons as Settlor of Trust, relevant information to be provided along with the proof submitted.

INVESTOR'S DETAILS

Investor Name as per PAN

Name as per PAN CARD

 PAN:

 Mobile No. =
 I/We hereby confirm that above stated entity/organization is falling under "Non-profit organization" (NPO) which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

 Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal _____

If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.

 I/We hereby confirm that the above stated entity/organization is NOT falling under Non-profit organization as defined above or in PMLA Act/ Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you (RTA/Fund/AMC/Other participating entities) to disclose, share, rely, create in any form, mode or manner, all any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees/RTAs (the Authorized Parties) or any Indian or foreign governmental or statutory or judicial authorities/agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax/revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered intermediaries or any other statutory authorities to facilitate single submission/updates for regulatory purposes. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your/Fund's end or by domestic or overseas regulator/tax authority.

SIGNATURE WITH RELEVANT SEAL

X Authorized Signatory	X Authorized Signatory	X Authorized Signatory
Date: <input type="text"/> / <input type="text"/> / <input type="text"/>	Place: _____	

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FATCA and CRS Self Certification for Non-Individuals

(Mandatory for Non-Individual Investors including NMF) Please turn over for Definitions/Instructions/Guidance

APPLICANT DETAILS									
Applicant Name as per PAN					Date of Birth (DD/MM/YYYY)				
PAN			Application No.			Folio No.			
Type of address given as NDA <input type="checkbox"/> Residential in India <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office									

INCORPORATION and TAX RESIDENCY DETAILS (Mandatory)					
Place of Incorporation		Country of Incorporation		Date of Incorporation	
Is Entity a resident of any country other than India? <input type="checkbox"/> Yes <input type="checkbox"/> No				If Yes, please provide country in which the entity is resident for tax purposes and its associated Tax ID number (if any)	
Country of Tax Residency		TIN or equivalent number*		Identification Type (TIN or Other, please specify)	
1					
2					
3					
4					

* In case Tax Identification Number is not available, Entity provide its Income tax identifier (i.e. use TIN or its Income tax identifier is not available, please provide Company Identification Number or Global Identification Number or GIN, etc.

In case the Entity's Country of Incorporation/Tax Residency is U.S. the Entity is not a Specified U.S. Entity as per Definition 2(i), please mention the appropriate code in the box (Refer instruction 2(i))

FATCA and CRS DETAILS (Mandatory)
 (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NPEs)	
We are a (Please ✓ as appropriate) <input type="checkbox"/> Financial Institution (Refer definition 4) or <input type="checkbox"/> Direct reporting NPE (Refer definition 6)	GIN <input type="text"/> Note: If you do not have a GIN (Global intermediary identification number) and you are quoted by another entity, please provide your sponsor's GIN above and indicate your sponsor's name below Name of sponsoring entity: <input type="text"/>
GIN - Not Available (Please ✓ as appropriate). If the entity is a financial institution,	<input type="checkbox"/> Applied for <input type="checkbox"/> Not required to apply for - please specify 1 digit sub-category <input type="checkbox"/> (refer definition 6) <input type="checkbox"/> Not obtained - Non-participating FI

PART B (please fill any one as appropriate. To be filled by NPEs other than Direct Reporting NPEs)	
Is the Entity a publicly traded company? <input type="checkbox"/> No <input type="checkbox"/> Yes (Is it a company whose shares are regularly traded on an established securities market? (Refer Definition 2(i)))	<input type="checkbox"/> Yes (If yes, please specify one or more stock exchanges on which the stock is regularly traded) Name of stock exchange: <input type="text"/>
Is the Entity a related entity of a publicly traded company? <input type="checkbox"/> No <input type="checkbox"/> Yes (Is company whose shares are regularly traded on an established securities market? (Refer definition 2(i)))	<input type="checkbox"/> Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company: <input type="text"/> Name of relation: <input type="checkbox"/> Subsidiary of the Listed Company -OR- <input type="checkbox"/> Controlled by a Listed Company Name of stock exchange: <input type="text"/>
Is the Entity an Active NPE? <input type="checkbox"/> No <input type="checkbox"/> Yes (Refer definition 2(i))	<input type="checkbox"/> Yes (Also provide UBO Form) <input type="checkbox"/> No Name of Entity: <input type="text"/> Please specify the sub-category of Active NPE <input type="checkbox"/> (Minimum code - refer 2(i))
Is the Entity a Passive NPE? <input type="checkbox"/> No <input type="checkbox"/> Yes (Refer definition 2(i))	<input type="checkbox"/> Yes (Also provide UBO Form) <input type="checkbox"/> No Name of Entity: <input type="text"/>

* If having NPE, please provide the below additional details for each of the Controlling persons. (Please attach additional sheets if necessary)

Sr. No.	Name of UBO	Passport Identification Number PAN Equivalent ID Number	Place of Birth	Country of Birth	Corporate Type (Service, Business, Other)	Nationality	Father's Name	Date of Birth (dd/mm/yyyy)	Gender (Male, Female, Other)
1									
2									
3									

The Central Board of Direct Taxes has notified Rules 116 to 118K, 2013 of the Income Tax Rules, 1962, which Rules require India as financial institutions such as the Bank to self-assess and process, for self-assessment purpose information and various certifications and documentation from all your account holders. In certain cases, information will have to be reported to tax authorities/registered agencies. To meet this obligation, we may also be required to provide information to any authorities such as withholding agents for the purpose of issuing appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

If any controlling person of the entity is a U.S. citizen or resident or green card holder, please indicate United States in the foreign country information field along with the U.S. Tax Identification No.

If the resident is applying TIN or functional equivalent of the country in which you are tax resident issues such identifiers. If no TIN is available or has not yet been issued, please provide an explanation and attach this to the form.

DECLARATION & SIGNATURE(S)	
I acknowledge and declare that the information provided with respect to FATCA/CRS is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or incorrect or misleading or incomplete, I am aware that it will be regarded as if I authorize the Fund to update or correct them. The FATCA/CRS information provided by me and received by the Fund from other CRS Reporting Intermediaries. Further, I authorize the Fund to share the above information provided by me to the Fund with other CRS Reporting Intermediaries to facilitate single common operations. I also authorize to keep the Fund informed in writing about any change/incomplete/inaccurate in the above information in future and to not withhold to provide any other additional information it may be required by the Fund and act or by the directors tax authorities. I authorize the Fund to use the above information to comply with applicable laws and regulations.	X Authorized Signatory (with Company Stamp from Bank/Company seal)
Date: _____	Place: _____

DEFINITIONS / INSTRUCTIONS / GUIDANCE

- A. Financial Institution (FI)**—The term FI means any financial institution that is a:
- Depository institution**—accepts deposits or is a depository institution or similar business
 - Central institution**—an entity that as a principal purpose or one of its principal purposes is to hold financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals or exceeds 25 percent of the entity's gross income during the shorter of: (i) the three-year period ending on December 31 of the year preceding the year in which the determination is made, or (ii) the period during which the entity has been an institution before the determination is made
 - Investment entity**—conducts a business or operates for or on behalf of a customer for any of the following activities: (i) trading in money market instruments, foreign exchange, foreign commodity, (ii) investment in real estate, portfolio management, (iii) leasing, administering or managing funds, money or financial assets on behalf of other persons, (iv) the gross income of which is primarily attributable to trading, commodity, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a securities customer, a specified insurance company, or an investment entity described herein. An entity is treated as primarily conducting an activity only to the extent of the 7 activities described above, or an entity's gross income is primarily attributable to trading, commodity, or trading in financial assets if the entity's gross income attributable to the relevant activities equals or exceeds 25 percent of the entity's gross income during the shorter of: (i) the three-year period ending on 31 March of the year preceding the year in which the determination is made, or (ii) the period during which the entity has been an institution.
 - Specified insurance company**—The term specified insurance products v.a. life insurance or cash value products
 - Banking company or treasury company**—(i) an entity that is a holding company or treasury company that is a part of a supervised affiliate group that includes a depository, financial institution, specified insurance company, or investment entity

B. Direct Reporting NRE—means a Non-Financial Entity (NFE) that elects to report information about its direct or indirect substantial U.S. person to the IRS.

C. CCR as required—Corporate risk index

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Directly Qualified Investment Fund, a Broad Participation Investment Fund, a Special Purpose Vehicle, Investment Fund, or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employee stock ownership plan, a grantor trust, or a pension fund
04	Entity in an Indian Trust or entity located in an investment entity
05	Qualified credit card issuer
06	Investment Advisor and Investment Manager
07	Design collector investment vehicle
08	Trustee of an Indian Trust
09	Trust or trust client trust
10	Non-reporting local bank
11	Trust with Low-Risk accounts
12	Specified investment entity and controlled foreign corporation
13	Specified, Closely Held Investment Vehicle
14	Direct Documented FI

D. Non-Financial Entity (NFE)—Entity that is not a financial institution (including a security FI) Type of NFE included from FATCA reporting are as follows:

- Publicly traded corporation (listed company)**—A company is publicly traded if its stock is regularly traded on one or more established securities markets.
- Related entity of a listed company**—The NFE is a related entity of an entity of which it regularly trades on an established securities market.
- Active NFE**—(a) any one of the following:

Code	Sub-Category
01	Less than 25 percent of the NFE's gross income for the preceding financial year or other appropriate reporting period is passive income, and less than 25 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are either that produce or are held for the production of passive income.
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing.
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the underlying stock of, or providing financing and services to, one or more businesses that engage in trade or business other than the business of a financial institution, except that an entity shall not qualify for NFE status if the entity's activities include itself or an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or an investment vehicle whose purpose is to acquire or hold companies and their subsidiaries in those companies or related assets for investment purposes.
04	The NFE is not yet operating a business and has no prior operating history, but is actively raising capital and plans to use the capital to operate a business other than that of a Financial Institution, provided that the FI shall not qualify for the exception after the date that is 24 months after the date of the initial organization of the NFE.
05	The NFE has been a Financial Institution at the past five years, and on the general of liquidating or ceasing to do business with the relevant customer or connection agreement or a contract other than that of a Financial Institution.
06	The NFE primarily engages in financing and holding transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or holding services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is not primarily engaged in a business other than that of a Financial Institution.
07	Any NFE is a vote for public organization which meets all of the following requirements: <ul style="list-style-type: none"> It is established and operated in or jurisdiction of business exclusively for religious, charitable, scientific, artistic, cultural, athletic, or

Code	Sub-Category
	<ul style="list-style-type: none"> charitable purpose; or it is established and operated in or jurisdiction of business and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, title league or an organization organized exclusively for the promotion of social welfare. It is exempt from income tax in India. It has no shareholders or members who have a proprietary or beneficial interest in its income or assets.

The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

Code	Sub-Category
A	An organization exempt from tax under section 501(c) or any other federal retirement plan as defined in section 72(s)(9)(C)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-2(b)(2)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-2(b)(2)(i)
F	A holder of securities, commodities, or derivatives financial instruments (including futures, principal contracts, futures, forwards, and options) that is regulated as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 3211, or an entity regulated as all items during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 3494
J	A trust as defined in section 671
K	A trustee
L	A trust exempt from tax under section 664 or described in section 664-1(a)(1)
M	A tax exempt trust under a section 402(b) plan or section 407(b) plan

E. Other Activities

- Related entity**—An entity is a related entity of another entity of which entity controls the other entity or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value of an entity.
- Passive NFE**—The term passive NFE (including NFE that is not (i) an Active NFE (including publicly traded entities or tax related entities), or (ii) a withholding foreign partnership or withholding foreign trust) means a relevant U.S. Treasury Regulations (1000 Statute) person having controlling interest in a passive NFE who is liable to be reported for an information reporting purpose.
- Passive income**—The term passive income means the portion of gross income that consists of:
 - Dividends, including dividends declared amounts;
 - Interest, (i) income aggregated to interest, including annuities interest and amounts received from or with respect to a grant of annuities contracts if the amounts received depend on which or participate the performance of the grant, (ii) Earm and royalties, other than rent and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE; (iii) Contract; (iv) The amount of gain from income from the sale or exchange of property that the gross rate is passive income described in this section; (v) The amount of gain from losses from transactions (including futures, forwards, and similar transactions); any commodities, derivatives, (vi) Any commodity holding transaction, determined by treating the entity as a controlled foreign corporation; or (v) Active business gain or losses from the sale of commodities, but only if substantially all of the things sold or purchased are property (b) The status of foreign contract; (c) Cash or other foreign currency loans; (d) Net income from natural resource contracts; (e) International trade and other contracts; (f) Income earned by an insurance company in connection with its reserves for insurance and annuity contracts.
- Controlling person**—Controlling persons are named persons who exercise control over an entity in the case of a trust, such term means the trustee, the settlor, the protector (if any), the beneficiaries or class of beneficiaries, and other named persons exercising ultimate effective control over the trust. In the case of a legal arrangement other than trust, such term means persons in a supervisory or fiduciary position. The term "Controlling Person" shall be interpreted in a manner consistent with the Financial Action Task Force recommendations.
- Ignorant US Person**—Any US Person other than (i) a publicly traded corporation; (ii) A corporation that is a member of the same expanded affiliated group; (iii) An entity organization; (iv) An individual retirement plan; (v) The United States or an agency or instrumentality of the United States; (vi) Any trust (including Domestic Trusts and United States persons); or (vii) Another trust; (viii) A bank, trust, real estate investment trust; (ix) A regulated investment company; (x) An entity regulated under the SEC under the Investment Company Act of 1940; (xi) A common trust fund; (xii) A tax exempt trust; (xiii) A regulated asset trust; (xiv) A regulated broker.
- Expanded affiliated group**—Expanded affiliated group is defined to mean one or more chains of members connected through ownership (25% or more, by vote or value, at the rate may be) by a common parent entity of the controlling entity directly or indirectly or other entity whose meaning the requirements in at least one of the other sections.
- Directly Documented FI**—An FI meeting the following requirements: (i) The FI is an FI entity because it is an investment entity; (ii) The FI is not listed or related to any FI that is a depository institution, securities customer, or specified insurance company; (iii) The FI does not maintain a financial account for any nonparticipating FI; (iv) The FI provides the designated withholding agent with all of the documentation and agrees to verify the withholding agent if that is always an commissioner; and (v) The designated withholding agent agrees to report the IRS (as the case of a reporting jurisdiction) to the relevant foreign government or agency (based) if all of the information described in (b) (or aggregated) will report to any specified U.S. person and (2) Notwithstanding the previous sentence, no designated withholding agent is required to report information with respect to an indirect owner of the FI that holds an interest through a participating FI, a covered company (FI) other than an non-individualized FI, an entity that is a U.S. person except financial institution, or an exempt NFE.

Transaction Form for Existing Investments

This Form is for use by existing Customers of HSBC Mutual Fund only.
To be Filled in BLOCK LETTERS only.

HSBC Gold ETF Fund of Fund

An open-ended fund of fund scheme investing in the units of HSBC Gold ETF.

New Fund Offer Period : March 19, 2016 to March 31, 2016

<p>This product is suitable for investors who are seeking:</p> <p>HSBC GOLD ETF Fund of Fund: The investment objective of the Scheme is to seek to generate returns that are in line with returns generated by HSBC Gold ETF.</p> <p>There is no assurance that the investment objective of the Scheme will be achieved.</p>	<p>Scheme Risk-factor</p>	<p>Estimated Expenses (if applicable)</p>
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* Investors should consult their financial adviser if in doubt about whether the product is suitable for them. The return profile (including original duration) of the New Fund Offer (NFO) is based on assumed assumptions of the scheme characteristics or model portfolio and the data may vary post NFO when the actual investments are made.

Scheme Name & ARN code /RIA year*	Sub-fund ARN code	Sub-Fund	Branch Code	EURN
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* If the beneficiary confirms the beneficiary relationship (BRL) details, I/We authorize you to share with the SEBI Registered Investment Advisor (RIA) the details of my/our transactions in the scheme(s) of HSBC Mutual Fund. If the beneficiary confirms that the SEBI RIA has been mentioned satisfactorily, you will be able to do the transactions as entered without any restriction or advice by the employee relationship manager. Kind please inform of the above information to the broker or terminating the advice of the representative, if any, provided by the employee relationship manager. Kind please inform of the Director, our broker.

Sale / 1st Debtor / Authorized Signatory 2nd Debtor / Authorized Signatory 3rd Debtor / Authorized Signatory

1 INVESTOR DETAILS (DETAILS OF SOLD PARTY / UNIT HOLDER)

Unit Holder Name: PAN No.

Date of Birth: / / PAY (Residency):

Mobile No: KYC Identification No. (KIN):

Mobile belongs to: Self Spouse Guardian (in case of minor) Depositor (Children) Depositor (Parent) Dependent (Belongs) POA Custodian PUA

Legal Entity (if applicable) / EDI Number:

2 ADDITIONAL PURCHASE DETAILS

(Refer instruction 2)

Scheme Name: **HSBC Gold ETF Fund of Fund**

Plan: System: Fund Category:

Investment Type: DD Cheque, if any (₹):

Payment from: Sell A/c No.

A/c Type: Current Saving FND FSE FCB Other

Drawn as: Bank A/c Cash

Payment Mode: Cheque DD RTGS NEFT One Time Transfer (OTT) Electronic Transfer

Cheque/DD/RTGS/NEFT/OTT:

No.:

Remittance Date: / /

City:

DEBIT ACCOUNT DETAILS (Please provide details of your DP if you wish to link with in Debit Trust)

Debit Account Name: NDL GDL

Debit Account No: DP ID: / /

3 SWITCH OUT DETAILS

(Refer instruction 4)

Switch From Scheme: **HSBC**

Switch To Scheme: **HSBC Gold ETF Fund of Fund**

Instant (if in Equity) OR No. of lots: OR All Unit

(Please note that the Switch can be done either in Units or in Amount and not in both) * Default Option may be applied in case of no information, ambiguity or discrepancy.

4 DECLARATION AND SIGNATURES (The case of joint holding, signature of all unit holders are mandatory)

I/We read and understand the contents of the Scheme Information Document, Key Information Memorandum, Document of Additional Information and details of the Scheme(s) (collectively called as SIDs). I/We hereby apply to the Trustee of HSBC Mutual Fund for the sale of the relevant Scheme and agree to abide by the terms, conditions, order and regulations of the Scheme and the above mentioned documents of HSBC Mutual Fund. I/We hereby authorize HSBC Mutual Fund, its A/C and all its agents to disclose any and details including investment details to any one third party (HSBC Mutual Fund's Bank(s) and/or Depository (Trustee, Investment Advisor and to help by any one third party) provided by me/us, or to disclose to such other party with a permission or consent necessary for the conduct of business. I/We agree to not be obliged to make payments/refund amount through participation in ECI (Direct Debit Facility). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We hold not liable the Fund, the A/C, its service providers or representatives respectively. I/We will also inform the A/C about any changes in my/our bank account. I/We have read and agreed to the terms and conditions for ECI (Direct Debit). I/We confirm that I am/We are the true beneficiary of Indian National Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRD/FCNR Account (Applicable to NRI).

I/We confirm that the details provided by me/us are true and correct. I/We hereby declare that the amount being invested by me/us in the Scheme(s) is through legitimate sources and is not held or derived for the purpose of circumvention of any Act, Rules, Regulations or any other applicable laws or Notifications issued by any governmental or statutory authority from time to time. I/We acknowledge that the A/C has not considered my/our tax position in particular and that I/We should seek our advice on the specific tax implications arising out of my/our participation in the Scheme.

SIGNATURE(S) (In presence of all unit holders in Block or Block and one)

<input type="text"/>	X	<input type="text"/>	X	<input type="text"/>	X
Sale / First Debtor / Custodian / PA		Second Debtor / PA		Third Debtor / PA	

ACKNOWLEDGEMENT SLIP (To be filled by the investor)

Pan No: Scheme Name: **HSBC Gold ETF Fund of Fund**

Received from:

Additional Purchase Amount (₹): OR Unit

Cheque/DD No(s): Date: / / Debit to Bank

Switch Amount (₹): OR Unit

Please Note: All purchases are subject to realization of payments. All transactions processing is subject to fund realization.

INSTRUCTIONS

1. General Information

1) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box (☐), where boxes have been provided. 2) Please do not overfill. No changes/corrections should be carried out on the cheques. For any change in the cheque details, amount in figures or amount in words, etc., fresh cheque would be required. Cheque with alterations/corrections will not be honoured effective 1st July, 2010. 3) Investors should refer to the Scheme Information Document, Statement of Additional Information, Amdenda and KM of the respective Scheme(s) carefully before filing the Application Form.

2. Applicant's Information

1) It is mandatory to provide the following details: a) The Folio number (FN) and Name of the Applicant should be written by the Applicants on the reverse of the cheques and bank drafts accompanying the Application Form. b) W.e.f. January 1, 2008, PAN number is mandatory for all investors including Joint Holders. PAN holder: Guardian in case of Minor and MHA. W.e.f. January 1, 2011, all the applicants need to be KYC Certified irrespective of the amount invested (including cheque). W.e.f. January 1, 2012, applicants who are not KYC compliant are required to complete the uniform KYC process. W.e.f. February 1, 2013, New individual investors who have never done KYC under IMA/KYC Registration Agency regime and whose KYC is not registered or verified in the KYC system will be required to fill the new KYC form while investing with the fund. 4) Transactions subject to restriction if minor has turned minor and relevant documents for change in status not submitted. Tolerate DO/DA for instructions related to folios held in the name of Minor. 5) Existing unit holders are requested to update the Bank Account registered in the folio and ensure that the registered Bank Mandate is in favour of minor or joint with registered guardian in folio. If the registered bank Account is not in favour of minor or not joint with registered guardian, unit holders will be required to submit the change of bank mandate, where minor is not a bank account holder (either single or joint with registered guardian), before initiation of any redemption transaction in the folio, else the transaction is liable to get rejected.

3. Investment and Payment Details

1) The application amounts can be tendered by cheque/demand draft payable locally at any of the BICs and designated collection centres. Application Forms accompanied with subscription cheques, draft/cheques, postal orders, money orders, cash will not be accepted. 2) Cheques/demand draft for Additional Purchases must be drawn in the name of the Scheme(s), "HSSC Bank DTF Fund of Fund" and crossed "Account Payable". A separate cheque must accompany each Application. Please note that amount in words and figures on the cheque should not be in local languages. 3) Bank charges for subscription demand drafts will be borne by the AMC and units will be allotted inclusive of the DD charges incurred. The above will be limited to the bank charges as per table below.

Amount	DD Charges*
Up to INR 5,000	INR 25 flat fee
INR 5,000 to INR 10,000	INR 50 flat fee
INR 10,001 to INR 1,00,000	INR 5 per INR 1,000 (minimum charge INR 50)
Above INR 1,00,000	INR 5 per INR 1,000 (minimum INR 500 maximum INR 2,000)

* Demand Draft charges vary depending on the bank and the amount. Study your account Gilt may also be applicable.

However, such Demand Draft cheques should be borne by the AMC only when the investor is not residing in any of the locations where the AMC or CAMS have office/points of acceptance and the Demand draft has not been issued at the AMC/CAMS point of acceptance. Such demand drafts should be payable in the AMC/CAMS office where the investment application is submitted. The AMC will not entertain any request for refund of demand draft charges, unless if any indication is given for the investors the default option will be as follows:

DISCREPANCY IN INVESTOR DETAILS	Default
Scheme Name	As indicated in the Application Form/Transaction Slip
Direct debit order (irrespective whether leave code written on the application or not)	Units will be allotted under "Direct Plan"
Distributable code is incorrect or left blank or "Direct"	Units will be allotted under "Direct Plan"
Growth/DCN/Option/ Sub-options	Growth/Option/ Sub-option
Reinvest/ Reinvestment of IDCII	Reinvestment of IDCII
Mode of holding (in cases where there are more than one applicant)	Joint
Status of First Applicant (Individual, HUF, Company etc.)	Direct*
Demat Account Details**	Units will be held in physical mode

* For investors who wish to opt for Demat mode, the applicants under the Scheme (including a transferee) will be required to have a beneficiary account with a DP of NSDL/CDSL and will be required to indicate in the application the DP's name, DP ID Number and its beneficiary account number with DP. In the absence of the information (including incomplete information, incorrect) in respect of DP ID, BO ID, the application will be processed with statement option as "physical" only. ** Tax rates (including the tax on dividend distribution) wherever applied on, others by HSSC Mutual Fund shall be the same as applicable to a Resident Indian Company.

4) In case of wrong/invalid/incomplete APN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct APN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall process the transaction under Direct Plan from the date of application without any delay.

With regard to Bank Code, default Plan as per the following table will apply to investors.

Bank Code mentioned by the investor	PAN mentioned by the investor	Default Plan to be assigned
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

PAYMENT MECHANISM

All cheques and bank drafts must be drawn in the name of the Scheme and crossed "Account Payable only". A separate cheque or bank draft must accompany each Application. Subscription through RTGS/NEFT: Subscription through RTGS/NEFT can be done ONLY into the account maintained with HSSC Bank Ltd as per the details provided below:

Bank	HSSC Bank Ltd
Branch	62/86/1/ D, Pash, Pm, Mumbai
Account Type	Current Account
IFOS/PSC Code	HSSC0400002
NEFT/PSC Code	HSSC0400002
Beneficiary Account Name	HSSC 044 ETF / FOF
Account Number	302-117849-803

Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only, else the transaction is liable to get rejected.

4. Instructions for Switch Out

1) Investors can switch out amount/units, subject to meeting the minimum amount criterion of the respective schemes. 2) Switch Out from an existing scheme to the IPO scheme during the IPO period or business days during business hours will be processed at the NAV applicable on the date of acceptance of switch request. 3) Separate Switch-out request is required for Regular Plan and Direct Plan. Switch-out request is required to clearly contain the name of the Plan option being which the below mentioned business rule will apply. 4) If the folio has both the Plans, option and both plans have unit balance under same option then switch-out will be processed in Regular Plan. 5) If the folio has both the Plans, option and One plan has Nil balance and other has unit balance under same option, switch-out will be processed from Plan option which has unit balance. 6) If the folio has only one Plan, option then switch-out will be processed from that Plan, option. 7) Folio has both the Plans and both plans have unit balance under different options then the switch request will be rejected.

5. Transaction Charges

The AMC discontinued the payment of transaction charges to distributors with effect from July 1, 2005. Accordingly, effective from July 1, 2005, no transaction charges will be deducted from the subscription amount, lumpsum or Systematic Investment Plan invested under Regular Plan and the full amount of subscription after deduction of statutory charges, if any will be invested in the scheme(s) of the Fund on or after the Effective Date.

6. Mode of Holding

Demat/Non-Demat Mode: Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details in details of DP ID-BO ID provided by the investor, a incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.

The investor can demonstrate his/her holdings in non demat mode. Similarly, investor can demonstrate holdings in demat mode. All expenses in connection with conversion from demat to demat mode or demat to demat mode will have to be incurred by the investor. All relevant letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.

7. Declaration and Signatures

1) Signature should be in black or blue ink only. 2) Signatures should be in English or in any Indian language. Third impressions and Signatures in languages not specified in the Eligible schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. In case of HUF, the Name will sign on behalf of the HUF, or Applicants on behalf of minors should be signed by their Guardian.

HSSC Mutual Fund AMC reserves the right to reject any application when it is in the absence of fulfillment of regulatory requirements, fulfillment of requirements of the SEI, SAI and furnishing necessary information to the satisfaction of the Mutual Fund. AMC

CALL US AT

Please visit our website www.assetmanagement.hssc.co.in for an updated list of Official Points of Acceptance of HSSC Mutual Fund. Please visit www.seionline.com for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent- Computer Age Management System.

TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Deliver related queries	Investor Billing/ fees related
Toll Free Number	1800-4130-200 / 1800-200-2434	1800-413-9000	1800-4130-200 / 1800-200-2434	+91 44 39923900
Email ID	investor_inq@mutualfund.hssc.co.in	partner_inq@mutualfund.hssc.co.in	enquiry@mutualfund.hssc.co.in	investor_inq@mutualfund.hssc.co.in

DISTRIBUTOR INFORMATION		Only Registered Distributors Request this to purchase in domestic units						Date
Branch Name & A/c No. 1888 814 008	Sub-branch A/c No.	Sub Code	EUN	SCSB	SCSB DFC Code	Investors Member Code	IL No.	Application No.

1. We hereby declare that by submitting this form, I/We authorize you to share with the SEBI Registered Investment Advisor (RIA) the details of my/our transactions in the scheme(s) of HSBC Mutual Fund. I/We hereby declare that the RIA has not been instructed to block my/our units in the investment account without my/our instructions or advice in the investor/relationship manager/ sub-branch of the above distributor/ sub-branch or notwithstanding the advice of a representative, if any, provided by the investor/relationship manager/ sub-branch of the distributor/ sub-branch.

Self or Applicant Authorized Signatory	Head Applicant Authorized Signatory	Third Applicant Authorized Signatory
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1. INVESTOR'S DETAILS (Names should be in the same segment as appearing in your Demat account. In case of discrepancies, the Application is liable to get rejected.)

Name as per PAN (Mandatory)*** PAN

EYC Identification Number (EIN) PAN status

Proof to be attached (+) Not used Copy

Guardian's (If Self or Applicant is a minor) OR Contact Person's (In case of Non-Individual Investor only)

Name as per PAN PAN

EYC Identification Number (EIN) PAN status

Proof to be attached (+) Not used Copy

Are you a resident of USA/Canada? (+) Yes No *Default if not ticked.

2. INVESTMENT DETAILS

Scheme Name : **HSBC Gold ETF Fund of Fund** Plan/Option/Sub-option

Total Amount to be Blocked (in Figure) (in words)

3. SCSB ACCOUNT DETAILS (Please refer website of NSDL, CDSL and SEBI for list of SCSBs) (MANDATORY)

Bank Name

Branch Address

Bank A/c No. Bank City

Bank A/c No. A/c Type (+) Current Savings NRO* NRE* * For NRI Investors

4. DEMAT ACCOUNT DETAILS (MANDATORY)

	NSDL	CDSL
ID Name	<input type="text"/>	<input type="text"/>
DP ID	<input type="text"/>	<input type="text"/>
Demat Account No.	<input type="text"/>	<input type="text"/>

5. UNDERTAKING BY ASBA INVESTOR/ACCOUNT HOLDER

I/We hereby authorize that I/We act as an ASBA investor(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. I/We authorize HSBC Mutual Fund (HSBC MF) to do all acts as are necessary to make an application for purchase of units in the IPO/Listing of the amount to be stated mentioned above in the "ASBA Details" or crediting of funds in the bank account maintained with the SCSB specified in the ASBA Form, transfer of funds in the Investor's account designated for the purpose on receipt of verification from the Registrar after finalization of the issue of allotment and/or to receive Units on such transfer of funds, etc. (b) Request to HSBC Mutual Fund to issue instructions to the SCSB to ensure the block on the funds in the bank account specified in the ASBA Form, upon finalization of the issue of allotment and to transfer the respective money to the Investor's account designated for the purpose. I/We also the amount available in the bank account specified in the ASBA Form is sufficient for blocking the amount equivalent to the application money; the SCSB shall cover the application. If I/We DP ID, Client ID or PAN furnished by me to the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the A/C, PAN, A/c No and SCSB shall not be liable for losses, if any. I/We hereby authorize the SCSB to make relevant entries as may be required to be done during the IPO, in the event of price variance.

Having read and understood the contents of the ID and PAN, I/We hereby apply under Direct ASBA Certified specified distribution to the Deposits of HSBC Mutual Fund for one of the Schemes. Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received any information by any other source, directly or indirectly, in making this investment. I/We hereby authorize HSBC Mutual Fund, as Investment Manager and its Agent to disclose details of my/our investment to my/our local/HSBC Mutual Fund's Branch and/or Distributor, Retail Investment Advisor and to verify my/our bank details provided by me. I/We hereby declare that the procedure given above is correct and request my/our colleagues to make payment relevant amount through participating in the IPO. If the transaction is delayed or not effected at all for reasons of exceptions or technical interventions, I/We trust on HSBC Asset Management (India) Pvt. Ltd. (Investment Manager in HSBC Mutual Fund), their appointed service providers or representatives respectively. I/We will also release HSBC Asset Management (India) Pvt. Ltd. along any change in my/our bank account. We have understood that the process above does not offer any guarantee or assured return and that the scheme is subject to credit risk or default risk including possible loss of principal, any loss in case of a default will be borne by me/us. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRD/FCNR Account. I/We confirm that the details provided by me/us are true and correct. I/We hereby declare that the amount being invested by me/us in the Scheme of HSBC Mutual Fund is derived through legitimate sources and is not held or deposited for the purpose of circumvention of any Act, Rules, Regulations or any orders or legislation in any other applicable laws or any Notifications, Directives issued by any governmental or statutory authority from time to time. *Applicable to NRI.

I/We acknowledge that the A/C has not been opened by me for purchase in particular and that I/We should bear the effect on the specific tax implications arising out of my/our participation in the scheme. I/We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change in this status, I/We shall notify the A/C in which event the A/C (having the right to initiate my/our investment in the Scheme).

Signature of the Applicant(s)	1. <input type="text"/>	2. <input type="text"/>	3. <input type="text"/>	Attn: NRI Investor; payment must be made through NRE/FCNR Account)
Signature of the Bank Account Holder(s)	1. <input type="text"/>	2. <input type="text"/>	3. <input type="text"/>	

Date	<input type="text"/>	Application No.	<input type="text"/>
Scheme Name	<input type="text"/>	Option	<input type="text"/>
Received from Mr./Ms.	<input type="text"/>		
Address	<input type="text"/>		
Pin Code	<input type="text"/>		
Mobile	<input type="text"/>	Bank A/c No.	<input type="text"/>
Bank Name & Branch Address	<input type="text"/>		
Total Amount to be Blocked (Rs.)	<input type="text"/>		
(in words)	<input type="text"/>		

ACKNOWLEDGEMENT HELP FOR INVESTOR

SCSB Stamp, Signature, Date & Print of Firm/Institution

Background:

In its continuing endeavour to make the existing New Fund Offer process more efficient, SEBI introduced a supplementary process of applying in New Fund Offer, viz. the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been modified for ASBA process. The salient features of circular no. SEBI/CFD/DLI/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

1. **Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorization to block the application money in a bank account.

2. **Self Certified Syndicate Bank (SCSB):** SCSSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSSBs will be approved by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSSBs, whose names appear in the list of SCSSBs displayed on SEBI's website. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSSBs who become eligible to provide these services and also the existing SCSSBs designate additional branches that also provide this facility. An updated list of all the registered SCSSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, investors may also contact the AMC, R&TA for information about the SCSSBs or the ASBA process. These SCSSBs are deemed to have entered into an arrangement with the issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. An SCSSB shall identify its Designated Branches (DBs) at which an ASBA Applicant shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSSBs maintained by it. The SCSSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSSB on its website: (i) Name and address of the SCSSB; (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email IDs; (iii) Name and contact details of a nodal officer at a senior level from the CB.

3. **Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she:

- is a "Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on non-repetition basis, Eligible NRIs applying on repetition basis (i.e. any Investor),
- is applying through blocking of funds in a bank account with the SCSSB. Such investors are hereinafter referred as "ASBA Investors".

4. **ASBA Facility in Brief:** Investor shall submit his/her Application through an ASBA Application Form, either in physical or electronic mode, to the SCSSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA Application Form, physical or electronic, on the basis of an authorization to this effect given by the account holder at the time of submitting the Application. The Application Amount shall remain blocked in the aforesaid ASBA Account until the allotment in the New Fund Offer and consequent transfer of the Application Amount against the allotted Units to the issuer's account designated for the purpose, or until withdrawal/refusal of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA shall send an appropriate request to the Controlling Branch of the SCSSB for unblocking the relevant bank accounts and for transferring the amount allowable

to the successful ASBA Applicants to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA shall notify the SCSSBs to unblock the blocked amount of the ASBA Applicants within one day from the day of receipt of such notification.

5. **Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA to obtain information about all ASBAs and to treat those applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

6. Other Information for ASBA Investors:

- On the closure date of the NFO, the ASBA form should be submitted to the SCSSBs before the 3.00 p.m. or such other time as may be decided by respective SCSSBs.
- The Applicant intending to invest in the Scheme through ASBA Process will be required to have a beneficiary account with a Depository Participant (DP of NSDL/CDSL) and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units during the NFO.
- Signatures as available with depository will be taken for all purpose after the allotment of units (stage of demat holding).
- All credit details in our records would be taken from the demat account (DP ID) provided by you.
- Bank account details provided in the ASBA Application form will be used for refunding/reject applications where DP ID is not matching.
- Bank Mandate for redemptions/dividend will be as per your DP ID (stage of demat holding).
- SCSSB shall give ASBA investors an acknowledgement for the receipt of ASBAs.
- SCSSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
- SCSSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are categorically redressed.
- SCSSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
- R&TA shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSSB concerned.
- ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar, SCSSBs, on the following technical grounds:

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- Mode of ASBA (i.e. either Physical ASBA or Electronic ASBA, not selected or ticked).
- ASBA Application Form without the stamp of the SCSSB.
- Application by any person outside India if not in compliance with applicable Foreign and Indian laws.
- Bank account details not given/incorrect details given.
- Duly verified Power of Attorney, if applicable, not submitted along with the ASBA Application Form.
- Its corresponding records available with the Depositories matching the parameters namely: (a) Names of the ASBA applicants (including the order of names of joint holders); (b) DP ID; (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- Insufficient funds in the investor's account.
- Application accepted by SCSSB and not uploaded to/with the Exchange/ Registrar.

INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM

I. GENERAL INSTRUCTIONS

Please read the terms of the Key Information Memorandum, the Scheme Information Document carefully before making an investment decision and filling up the Application Form. Investors are deemed to have accepted the terms of subject to which these offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment for investment.

Manner of Filing Application Form

Manner must be completed in BLOCK LETTERS in ENGLISH.

Please tick in appropriate box for relevant options whenever applicable. Signatures should be in English or in any Indian Language. Thank us provisions must be attested by a Magistrate/Notary Public (under his/her official seal). Submission of Application: Only completed applications along with full payment must be sent to the designated Collection Center or may be sent through Agents of HSBC Mutual Fund. Note: Kindly retain the acknowledgement slip attested stamped by the collecting authority.

II. BENEFICIAL INVESTOR INFORMATION

1. The Third Party should provide the Folio Number of the Beneficial Investor already having an account in any of the HSBC Mutual Fund Schemes in Section I. In case the Beneficial Investor does not have a Folio Number, the Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.

2. Investors are requested to provide names of the FD Client, if the Beneficial Investor is a FD Client. Please note that the name of the First Sole Applicant should be match with the details mentioned in the Application Form. If the Beneficial Investor is employee, a separate list of employees mentioning their roles and signatures and list of authorized signatories on behalf of employees along with the KYC compliance and PAN details of each employee shall be furnished by the employer as is detailed.

If the Beneficial Investor is an authorised distributor, a separate list of the distributors mentioning their ARN numbers and signatures shall be furnished.

If the Beneficial Investor is agent distributor dealer, a separate list of the agent distributors dealers with their signatures and list of authorized signatories on behalf of agent distributor dealer along with the KYC compliance and PAN details of each agent distributor dealer shall be furnished by the employer on the letter head.

III. THIRD PARTY INFORMATION

Third Party (Custodian or Employer, making payment towards subscription of Units in the name of the Beneficial Investor(s)).

Full Name and relationship of Third Party with the Beneficial Investor must be provided. The Relationship declared by the Third Party will suggest that the payment is made on behalf of Beneficial Investor(s) i)

a. On behalf of employee under Systematic Investment Plan or as long term costless subscription, through Payroll deductions or deductions out of expense reimbursements made by employer; or

b. On behalf of an FPI or a Client made by the Custodian

c. Payment by an AMC to its empowered distributor on account of commission (brokerage etc.) in the form of Mutual Fund units through SIP or long term cost free subscription.

d. Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or amount payable for sale of its goods/services in the form of Mutual Fund units through SIP or long term cost free subscription.

Making address and contact details of Third Party must be written in full.

IV. THIRD PARTY PAYMENT DETAILS

Third Party must provide in the Declaration Form the details of the Pay-in Bank Account i.e. account from which subscription payment is made in the name of the Beneficial Investor(s).

The Declaration Form with complete payment details shall be rejected. The following document(s) is/are required to be submitted by Third Party in the mode of payment selected.

(i) Source of funds - if paid by cheque

In case the account number and account holder name of the third party is not preprinted on the cheque, then the third party should provide any one of the following documents:

1. A copy of the bank passbook or a statement of bank account having the name and address of the account holder and account number, or

2. A letter (in original) from the bank on its letterhead certifying that the third party maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). The said letter should be certified by the bank manager with his/her full signature, name, employee

code, bank seal and contact number.

The original documents along with the documents mentioned above should be submitted to the Official Point of Acceptance of HSBC Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the HSBC Mutual Fund Registrar and Transfer Agent. The original documents will be returned across the courier after due verification.

(ii) Source of funds - if funded by pre-funded investments such as Pay Order, Demand Draft, Banker's cheque etc. against registered Pay-in account

a. a proof of debit to the investor's bank account in the form of a bank manager's certificate with details of account holder's name, bank account number and PAN as per bank records, if available, or

b. a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder are available, or

c. a copy of the passbook/bank statement evidencing the debit for issuance of a DD.

(iii) Source of funds - if paid by RTGS, Bank Account-to-Account Transfer, NEFT, ECS, etc.

1. In such case, investor is required to provide a copy which has been provided to the Bank indicating the account number and the debit instructions.

2. For payment through online AMC may match pre-invest account details with registered Pay-in bank accounts of the Investor.

(iv) Source of funds - if paid by a pre-funded instrument issued by the Bank against Cash

Subscription through a pre-funded instrument procured against cash shall only be accepted for investments below Rs. 50,000/- Investor is required to provide a banker's certificate for issuance of a CD against cash stating the investor's name, bank account number and PAN as per bank records (if available).

However, investors are requested to note that each bank account number of the investor is same as the one of the registered bank account mandate(s) with the mutual fund or with the bank details mentioned in the Application Form.

V. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language. Declaration on behalf of FDI (Client/Employer/Agent/Dealer/Distributor) should be signed by their Custodian/Employer/AMC/Corporate respectively.

VI. PERMANENT ACCOUNT NUMBER

As per SEBI Circular dated April 27, 2007, Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, effective July 2, 2007. Transactions by unit holder-investors who fail to submit copy of PAN available to be rejected.

Accordingly, it is mandatory for all investors to quote their Permanent Account Number (PAN) and submit certified copy of the PAN and issued by the Income Tax Department, irrespective of the nature of investment, while making an application for Purchase of Units, Permitting an incorrect PAN or not furnishing these details could invite a penalty of Rs. 10,000/- per the extent provisions of the Income Tax Act, 1961.

However, there are certain nature of transactions and type of clients for which PAN is not mandatory, as mentioned below:

- Micro SIPs: SIPs upto Rs. 50,000/- per year per investor.
- Investments from investors residing in the state of Jharkhand.
- Investments from Central Government, State Government and the official appointed by the Courts e.g. Official Liquidator, Court Receiver etc. (under the category of Government).

In all the above cases, investors are requested to submit such documents as mentioned under "You PAN based KYC applicability" under the Section "Know Your Customer".

VII. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/REG.AML-1/06 dated January 31, 2006 mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy. The Third Party should ensure that the amount invested in the Scheme is through legitimate source only and does not involve and is not designed for the purpose of any concealment or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption

Act and/or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Third Party and with a view to monitor transactions for the prevention of money laundering, HSB Mutual Fund reserves the right to seek information and/or verify and obtain documentation for establishing the identity of the third party, proof of residence, source of funds, etc. It may identify identity and obtain any incomplete or additional information for this purpose.

HSBC Mutual Fund and their Director, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of fleeing the follow rejection of any application, absence of funds or mandatory redemption of Units due to non-compliance with the provisions of the Act, SEBI (AMFI circulars) and KYC policy and/or where the AMC believes that transaction is suspicious in nature within the purview of the Act and SEBI (AMFI circulars) and reporting the same to FIU-IND.

8. KNOW YOUR CUSTOMER (KYC) COMPLIANCE

a. KYC is mandatory for investment in mutual funds and all applicants need to comply with this requirement.

b. With effect from 1st February 2017, any individual customer who is new to KRA system and whose KYC is not registered or verified in the KRA system, needs to fill a new KYC form viz. "CKYC Form" to comply with the KYC requirement. For more information on KYC requirement, please visit our web site (www.asiammanagement.hsbc.co.in/) or speak to your investment adviser.

For non-Individual applicants, KYC Compliance requires identification of the customer by seeking relevant information on incorporation, commencement of business, tax status, source of funds, source of income, address and certain details of Promoters/Partners/Trustees/Whole Time Director(s) of HUF. SEBI has appointed KYC Registration Agencies (KRA) to facilitate a single KYC across all SEBI registered intermediaries. For more information on KYC requirement, please visit our web site or speak to your investment adviser.

c. If the investment is being made by a Chartered Attorney on behalf of an applicant, the Attorney needs to comply with KYC requirements.

• Non PAN Based KYC applicability

Non PAN Based KYC applicability For certain nature of transactions and type of cheques, PAN is not mandatory. In such cases, criteria standard KYC through CVL will not apply. In such cases, the cheques will have to submit certain documents as elaborated below and KYC will be handled by the AMC Registrar & Transfer Agent i.e. Computer Age Management Services Private Limited directly. Such nature of transaction and type of cheques and the documents required for successful completion of KYC is mentioned below:

A. **Micro SIPs:** Micro schemes such as Micro SIPs upto Rs. 50,000/- per year per investor.

Documents required

1. Standard specified identification instruments like Voter ID card, Government / Defense ID card, Card of reputed employer, Driving License, Passport in lieu of PAN.

2. Proof of address copy: It is clarified that where photo identification document contains the address of the investor, a separate proof of address is not required.

3. Supporting documents copy shall be self-attested by the investor attested by the ARN holder mentioning the ARN number or attested by any competent authority. However, it is clarified that investors with PAN are required to follow PAN based criteria standard KYC through CVL procedure as mentioned above.

B. Investment from investor residing in Sikkim

Documents required

1. Proof of address of Sikkim state and application form should mention the same address.

2. Address proof shall be self-attested by the investor attested by the ARN holder mentioning the ARN number or attested by any competent authority.

C. Investment from Central Government, State Government and the officials appointed by the courts, e.g. Official Liquidator, Court receiver, etc. (under the category of Government)

Documents required

1. Proof of identity as documentary evidence in support of the claim for such an exemption.

2. Such proof shall be self-attested by the investor attested by the ARN holder mentioning the ARN number or attested by any competent authority.

For complying with the KYC requirement, all the investor must approach POS or CVL for submitting their KYC Application Form (KAF) and the necessary documents of Proof of Identity and Proof of Address. The list of all documents required to fill up the form and the detailed process is mentioned above and can be found in the KYC Application Form. An updated list of POS is available at www.asiammanagement.hsbc.co.in/.

After verification of the KYC Application Form and accompanying documents, investors will receive a letter certifying their KYC compliance. Investors are requested to visit the Knowledge Centre Section of our website www.asiammanagement.hsbc.co.in/ and also read the KYC section of Statement of Additional Information (SAI) in detail.

Investors are requested to note that KYC would be subject to verification of the veracity of the claim of the investors by collecting sufficient documentary evidence. The AMC reserves the right to ask for the necessary documentation to the satisfaction of the Internal Audit.

The AMC, under powers delegated by the Trustee, shall have absolute discretion to reject any application, prevent further transactions by a Unit holder, if after due diligence, the investor or holder's person making the payment on behalf of the investor does not fulfil the requirements of the KYC Policy or the AMC believes that the transaction is suspicious in nature with regard to money laundering.

CALL US AT

Please visit our website www.asiammanagement.hsbc.co.in/ for an updated list of Official Points of Acceptance of HSB Mutual Fund. Please visit www.asiamonline.com for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent - Computer Age Management System.

TOLL FREE NUMBERS

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200 / 1800-200-2439	1800-419-8830	1800-4190-200 / 1800-200-2434	+91 44 30921905
Email ID	investor_line@mutualfunds.hsbc.co.in	partner_line@mutualfunds.hsbc.co.in	enquiry@mutualfunds.hsbc.co.in	investor_line@mutualfunds.hsbc.co.in

3 SIP & INVESTMENT DETAILS**First SIP Details**

Name in To: Debt: Credit Account:

Bank Name: A/C No:

Branch: City:

A/C Type: Current Savings FDR[†] TDR[†] Other

UPIID for DDN:

Reason for your SIP: Child's Education Child's Marriage House Car Retirement Other

Scheme Name: Risk: Option: Sub-option:

IDCW Frequency:

SIP Start: 1st 2nd 3rd 4th 5th 6th 7th 8th 9th
 10th (Default) 11th 12th 13th 14th 15th 16th 17th 18th

SIP Period: From To

(If start date is not entered then the SIP will be considered based on end date provided in NACH Mandate)

SIP Frequency: Daily Weekly[‡] Monthly[†] Quarterly (3M)

SIP Day: Monday Tuesday Wednesday[‡] Thursday Friday

SIP Amount (Rupee): (Rupee)

SIP Top Up (optional) - Available only for investments effected through Auto Debit.

Top Up Amount: Top Up Frequency: Half Monthly Annual (Default)[†]

Top Up to continue SIP account number^{**}: OR Top Up to continue SIP:

[†] For NRI Investors. [‡] If the day for Weekly SIP is not selected, Wednesday will be the default day. ^{††} If the start date is a non-working day then the next working day would be considered as 10th of every month. ^{†††} Minimum gap of 21 days required between first cheque and subsequent investment. In case of discrepancy in the SIP Period the case mentioned in the Debit Mandate will be considered. ^{††††} Please ensure the amount mentioned in the NACH form is a total of per SIP installment requested above. ^{†††††} SIP Top Up will cease once the mentioned amount is reached. ^{††††††} It is the date from which SIP Top-Up amount will cease. Effective February 1, 2021, bank will be alerted only upon receipt of either gross amount by the Fund House for automatic completion of any scheme category investment.

4 DECLARATION AND SIGNATURE(S) (to be signed by all Unit Holders if Mode of Holding is Joint)

OTHER DECLARATIONS (Signatures) should be as it appearing on the Application Form and in the same order

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of HSCC Mutual Fund. I/We hereby declare that I/We do not have any existing Mutual SIPs which together with the current application will result in aggregate investment exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any sales or gifts directly or indirectly in making this investment. I/We hereby declare that the particular gross rate are correct and express my/our assent to make payments referred above through participation in Electronic Clearing arrangement (NACH (National Automated Clearing House)). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not be in the way institution or any of their appointed service providers or representatives responsible. I/We will also inform HSCC Mutual Fund about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned therein.

I/We hereby agree my/our consent to disclose, share, rent to any firm, bank or company, all/any of the information provided by me/us, including all changes, updates to such information as per rules provided by me/us to the group companies of HSCC Mutual Fund for any valid business purposes including marketing or sales promotion or work any statutory or judicial authorities, without any prior intimation to me/us, and notified by me/us otherwise.

X	X	X
Sole/First Applicant/Guardian/PA	Second Applicant/ PA	Third Applicant/ PA

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

CALL US AT

Please visit our website www.assetmanagement.hscf.co.in for an updated list of Official Points of Acceptance of HSCC Mutual Fund. Please visit www.seamonline.com for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent / Computer Age Management System.

TOLL FREE NUMBERS

Descriptor	Investor related queries	Distributor related queries	Online related queries	Investor (Disting. treat. queries)
Toll-free Number	1800-4150-200 / 1800-200-2424	1800-419-9500	1800-4150-200 / 1800-200-2424	+91 44 39923500
Email ID	investor-3re@mutualfunds.hscf.co.in	service-3re@mutualfunds.hscf.co.in	ar@seam@mutualfunds.hscf.co.in	investor-3re@mutualfunds.hscf.co.in

SYSTEMATIC INVESTMENT PLAN (SIP)/ MICRO SIP - GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with Black or dark blue ink. All information sought in the form is mandatory unless where it is specifically indicated as optional. All instructions & terms are subject to SEBI and CDSL guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the correction.

1. ONE TIME DEBIT MANDATE FORM (OTM)

- Investors who have already submitted One Time Debit Mandate Form (OTM) or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility, they fill the form with the new bank details.
- Investors, who have been registered for OTM facility, may fill the OTM form and submit duly signed with their name mandatorily.
- Alongwith OTM, investors need to provide an original cancelled cheque (for a copy) with name and account number pre-printed of the bank account to be registered along with. However, this may not be accepted. Investors should bank account details as subject to their party validation.
- Investors are deemed to have read and understood the terms and conditions of SIP registration, Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Introduction and address to correct their name in case of the registrant (reference) of NSDL Mutual Fund.
- Date and Validity of the mandate should be mentioned in DD/MM/YYYY format.
- Special Bank Code and Utility Code of the Service Provider will be mentioned by NSDL Mutual Fund.
- For the convenience of investors, the frequency of the mandate mentioned "as and when provided".
- From Date and To Date is mandatory. However, the maximum duration for withdrawal is 40 years.

Mandatory Information to be provided by investors in One Time Debit Mandate Form (OTM):

* Date of Mandate	* Bank's Type
* Bank Account Number	* Bank Name
* IFSC and/or MICR Code	* Minimum Amount (Regular or System and credit)
* Full Mr./ M/s/ Ms.	* Mandate From Date
* Mandate To Date	* Signature(s) as per Bank records
* Name(s) of all bank accounts	

1. Applicant Information:

Please furnish the Full Name, Name and Full of Self, First Applicant Section 1 of the Form. Your name should be printed in the specified line.

Investors, the holder should provide the Full & Name of the Self, Primary Holder in case the name as provided in the application does not correspond with the name appearing in the issuing PAN, the applicant form may be rejected.

Your personal information and bank account details must apply to this investment in itself.

Please provide the Mobile Number and E-Mail Address of the Self, First Applicant in the form in case of Individuals and Key Contact in case of Non-Individuals. This would help in mandatory communication with you as your investment.

KYC is mandatory. Please attach copies of KYC acknowledgment letter for all applicants. KYC/ KYC required for other investments upto Rs. 50,000 in a year. * 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for individuals who has registered under Central KYC Records Registry (CKYCR).

Payment account (PAN) - Presence of PAN is mandatory for all applicants except when specific dispensation is permitted under law.

Upon signing and submitting the Application Form and sending payment it will be deemed that the investor have accepted, agreed to and shall comply with the terms and conditions detailed in the respective Scheme Documents.

2. SIP & Investment Details:

- The cheque should be drawn in the name of the holder and crossed "Account Payee only" and must be payable to the holder, where the application is submitted at the Investor Service Centre.
- Five Investors only will be used for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (mentioned in the Key Information Memorandum).
- Five Investors can apply for SIP into respective Scheme. Please Update relevant any existing investment form. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not submit your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please refer "Direct Plan" and the relevant rules.
- Where a One Time Mandate is already registered in a form for a bank account, the User (Holder) is not required to fill the OTM form multiple copies. However, please overwrite the (HOLD) date last name & account number.
- Where the OTM mandate is getting submitted for the first time, please fill the OTM form multiple form for (HOLD) (CIN) (Auto debit mandatorily).
- Select cancelled cheque last of the bank where OTM is getting registered if the total portfolio charges is not from the same bank account.
- Investors can choose any preferred day/ date of the month as SIP debit day. Also, it can be mandatory date falls on a non-business day or on a date which is not business a particular month, the SIP will be processed on the immediate next business day.
- Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance of in the respective CAUS. Please note that after from Date/Date 1, 2024 a valid till T+2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any withdrawal made during the period might be debited from the bank account if a valid within T+2 Business days. The AMC reserves the right to withhold discontinue SIP, means of SIP from time to time.

Further in case of 3 consecutive SIP payment instructions provided by the investor are

delivered for insufficiency of funds, the AMC reserves the right to discontinue the SIP. In case of such cancellation, the investor gives sufficient time for re-booking an SIP as stated (unless stated) as stipulated by AMC.

S. No.	SIP Interval	No. of failed debit attempts prior to cancellation of SIP
1.	Daily	3
2.	Weekly, Fortnightly	3
3.	Monthly	3
4.	Bi-monthly, Quarterly or Long-term SIPs	2

Where SIP

- As per a SIP notification and plan has listed as per 4, 2000, SIPs or Long-term purchase 20 eligible investors in a single 12-month period not exceeding Rs. 20,000 shall be exempt from the requirement of PAN. From January 1, 2013, KYC is mandatory for all holders of these investments.
 - The exemption of PAN requirement is only available to individuals.
 - Eligible investors may avail through SIP or long-term purchase without providing PAN subject to the limit/limit as mentioned above.
 - Eligible investors should attach a copy of KYC acknowledgment letter (pending PAN stamp) KYC Reference No. (CKYCR) along with the application form.

Third Party Payments:

- Third Party Payments for investments are not accepted except in the below cases:
 - Payment by cheque or postal order payable to SIP or long term investments through any bank branches or branches out of regular investments.
 - Cheque or bill of lading or bill of exchange made by Commission.
 - Payment by an AMC to its registered distributor as account of commission, incentive etc. in the form of Mutual Fund bank through SIP or long term investments.
 - Payment by a Corporate to its Agent, Dealer, Distributor as account of commission or incentive payable for sale of its mutual securities in the form of Mutual Fund bank through SIP or long term investments.

In the above cases, necessary declaration/holder confirmation needs to be provided together the source of funds for the investment. Please refer to the SAC for more details.

SIP Top-Up Facility:

- Investors can opt for SIP Top-Up facility wherein the amount of SIP can be increased at fixed intervals.
 - Top-Up facility will be available for valid new investments under SIP or renewal of SIP & for the existing SIPs.
 - Top-Up facility will be available only for investment under SIP effected through auto - AMC.
 - Amount of Top-Up shall be a multiple of OTM.
 - Top-Up can be done on a half yearly, annual basis. Default option is annual.
 - Top-Up facility will not be available for investment under SIP where the term date period has not been calculated by the investor at the time of investment.
 - The holder have the option of continuing the threshold in terms of amount or the date by to which the Top-Up will continue. On reaching the threshold, Top-Up will stop in respect of the SIP concerned until such time and SIP will continue until continue to the extent which was initiated last for such period it may be specified in the SIP application form.

3. Yes or no return cheque should be crossed "Account Payee only" and show following the scheme name where the investment is a specific scheme.

- Please ensure that the investment cheque issued by you complies with CTS 2017 requirement stipulated by the Reserve Bank of India. The words "CTS 2017" should appear on the face of the cheque.
- Payment made by Cash/ Money Order/ Postal Order/ Non-NOC Cheque, certificate cheques are not accepted.
- For details terms and conditions of SIP, SIP Top-Up, OTM facility, please refer our website www.nsdlmutualfund.com and also refer to scheme related documents.
- Self: Effective February 1, 2021, there will be allowed only open receipt of redemption amount by the Fund House for redemptions irrespective of any scheme category/ investment amount. Self-Settled (SSS) will be applied towards redemptions effected by all products including systematic, irrespective of prior or post February 1, 2021.
- Applications accepted after your request will be handled. AMC/ETF shall have absolute discretion to reject any such Application Form.
- Investors are advised to retain the acknowledgment slip of the receipt a confirmation of processing of their SIP Mandate form from the NSDL Mutual Fund Investor Service Centre (ISC) - CAUS.

3. Minimum applicable amount and number of installments:

Frequency	Minimum Investment Amount*	Days	Minimum number of Installments
Daily	Rs. 200/-	All Business Days from Monday to Friday*	Minimum 3 installments subject to aggregate of Rs. 4,000/-
Weekly	Rs. 200/-	Any Day from Monday to Friday	Minimum 3 installments subject to aggregate of Rs. 4,000/-
Monthly	Rs. 1,000/-	Any Date of the month	Minimum 4 installments subject to aggregate of Rs. 4,000/-
Quarterly	Rs. 1,000/-	Any Date of the month	Minimum 4 installments subject to aggregate of Rs. 4,000/-

* as multiple of Rs. 1 thereafter

* Daily SIP will be processed from Monday to Friday. In case of a non-business day falling between Monday to Friday, such days will not be; and the daily SIP installment for that day will not be processed on the next business day.

4. Declaration & Signature:

The holder need to sign here in accordance to the Mode of Holding provided by us and as per the mode of holding in the bank account in the same expense and manner to the relevant forms provided in the form.

SAMPLE OTM DEBIT MANDATE FOR NACH/ECS/AUTO DEBIT

Please refer the instructions in RED to fill the OTM Debit mandate. This will help to ensure all the mandatory information is correctly filled by you and avoid rejection of mandate registration.

Instructions to Fill OTM Debit Mandate

OWIN	FOR OFFICE USE ONLY	Date	Don't fill this field																									
Source Bank Code	Keep Blank	Anyone to be selected	<input type="radio"/> Create <input type="radio"/> Modify <input type="radio"/> Cancel																									
Utility Code	Keep Blank	WIn handle reference	HSSC Mutual Fund																									
To debit / Account	<input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB NRO <input type="checkbox"/> SB NRI <input type="checkbox"/> Other	Bank Account No.	Write from left most column																									
with Bank	Name of customer bank	ES/MS/CH	Name should be mentioned, else check the HSSC NACH																									
An amount of Rupees	Amount in words should match with amount in figure	₹	Amount in figure																									
Debit Type	<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount	Frequency	<input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented																									
Reference 1	Police No.	Reference 2	(Application Number)																									
Mobile No.	Mobile No.	E-mail ID	E-mail ID																									
<p><small>I, I agree for the debit of mandate processing charges by the bank when I am authorizing to debit my account in per bank schedule of charges of the bank. I, It is to confirm that the declaration has been correctly made, understood & made by me/ us, I am authorizing the use of the mandate to debit my account, based on the transactions as agreed and signed by me. I have understood that I am authorizing to correct / amend this mandate by appropriately communicating the cancellation/ amendments request to the user entry/operation of the bank when I have authorized debit.</small></p>																												
<p><small>Maximum period of validity of this mandate is 48 months only</small></p>		<p><small>Maximum period of validity of this mandate is 48 months only</small></p>																										
<p><small>From</small></p> <table border="1" style="width: 100%; text-align: center;"> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table> <p><small>To</small></p> <table border="1" style="width: 100%; text-align: center;"> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table> <p style="text-align: center;">End Date</p>																									<p><input checked="" type="checkbox"/> Sign of Account Holder</p> <p><small>Signature of Primary Bank Account Holder</small></p>	<p><input checked="" type="checkbox"/> Signature of joint holders as per bank records, also keep blank</p> <p><small>Signature of Bank Account Holder Signature of Bank Account Holder</small></p>	<p style="text-align: center;">Name of Account Holder (As in bank records)</p>	<p style="text-align: center;">Name of Joint Holder as per bank record also keep blank</p>
<p><small>Maximum period of validity of this mandate is 48 months only</small></p>																												

Please read the instructions overleaf and attached necessary documents for registration of Bank Accounts. Strike off the Sections not used by you to avoid unauthorized use.

File No. <small>(For filing this form)</small>	OR	Application No. <small>(For New Unit Holder)</small>
Name of Sole/First Unit Holder		Permanent Account No. (PAN)

A. Old/Existing Bank Account details

Bank Account No.	Bank A/c. Type: <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRI-NRO <input type="checkbox"/> NRI-NRE <input type="checkbox"/> Other
Bank Name	

** In case of non-availability of a full set of proof documents in mandatory documents, a-PAN registration (PTI) is mandatory.
B. Change in Tax Status

In case of Change in Tax Status, please tick the applicable new tax status: <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI on Non-Resident Status			
Overseas Address (Mandatory in case of NRI/PTI applicants)			
State	Country (Mandatory)	City	Zip Code

C. Addition of Bank Accounts

* If you are changing an existing bank account with a new one for redemption/dividend proceeds in future, please mention the new bank account in Part C as well as in Part D. If the new bank account is not mentioned in Part C, redemption/dividend proceeds will be sent to existing default bank account only. * For each bank account mentioned in Part C, investor should submit original of any one of the documents mentioned below. If copies are submitted, the originals should be produced for verification.

Please register my / our following additional bank account(s) for all investments in my / our Folio. I / we understand that I / we can choose to receive dividend/proceeds in any of these accounts, by making a specific request in my / our redemption request. I / we understand that the bank accounts listed below shall be taken up for registration in my / our folio and the same shall be registered only if there is a scope to register additional bank accounts in the folio subject to a maximum of five in the case of individuals and ten in the case of non-individuals.

 Bank A/c. Type: Savings Current NRI-NRO NRI-NRE Other

For each bank account, investor should produce original for verification or submit original of the documents mentioned below.

1	Core Bank Account No. Bank Name City MICR Code*	Account Type (+) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR (+) Branch PIN Code IFSC Code** Any one Document with name of investor pre-printed <input type="checkbox"/> Cancelled Cheque Leaf <input type="checkbox"/> Passbook
2	Core Bank Account No. Bank Name City MICR Code*	Account Type (+) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR (+) Branch PIN Code IFSC Code** Any one Document with name of investor pre-printed <input type="checkbox"/> Cancelled Cheque Leaf <input type="checkbox"/> Passbook
3	Core Bank Account No. Bank Name City MICR Code*	Account Type (+) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR (+) Branch PIN Code IFSC Code** Any one Document with name of investor pre-printed <input type="checkbox"/> Cancelled Cheque Leaf <input type="checkbox"/> Passbook
4	Core Bank Account No. Bank Name City MICR Code*	Account Type (+) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR (+) Branch PIN Code IFSC Code** Any one Document with name of investor pre-printed <input type="checkbox"/> Cancelled Cheque Leaf <input type="checkbox"/> Passbook
5	Core Bank Account No. Bank Name City MICR Code*	Account Type (+) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR (+) Branch PIN Code IFSC Code** Any one Document with name of investor pre-printed <input type="checkbox"/> Cancelled Cheque Leaf <input type="checkbox"/> Passbook

* 7 digit code on your cheque next to the cheque number.
** 11 digit code printed on your cheque.
† For NRI Investors.
D. Default Bank Account:

If you are changing an existing default bank account with any one for redemption/dividend proceeds in future, please mention the new bank account in Part C as well as in Part D. From among the bank accounts mentioned above or those already registered with you, please register the following bank account as a Default Bank Account for payment of future redemption and/or dividend proceeds, if any, in the above mentioned folio.

Core Bank Account No.	Bank Name
-----------------------	-----------

E. Bank Account Deletion

Name of Sole First Unit Holder

Please delete the following Bank account as registered account for my unit above file:

Bank Account No

Bank Name

Bank Account No

Bank Name

Deletion of a default bank account will not be effective in the Future unless the investor submits another valid registered Bank Account as a default account in Part D of this Form.

F. My identity details for PV (only) entered & signed check for registration*

Description	First Holder / Guardian	Joint Holder†	Joint Holder‡
DIN**			
Holder's Name			
Contact Number			
Signature‡	X	X	X

* Also see section D of this Form and holder where made of holding in the form a spouse or partner

† See Mutual Funds Identity Proof for PV (except Investor like Foreign, Non-EE, Status Card, Existing Account, Address Number / Number in its record and

‡ It is signed by all the holder(s) as per the mode of holding. In case of Non-Individual Unit holders, it is signed by AUTHORIZED SIGNATORY

G. In-Person verification (For Office Use only) – applicable only if the old / existing bank number proof not submitted

I have done the In-Person verification of the above referred investor along with ID document specified above, matched with the information available in the referred Folio(s) and found them in order. Also verified the original of new bank number documentary proof with the copies shared and found them in order.

Employee Name		X
Employee No.		
Location Name	CADIZ AMC -	
Date	01/01/2011	
		Signature with Branch Seal

H. Declaration & Signatures (It is signed by all the holder(s) as per the mode of holding)

I/We have read and understood the Instructions and the Terms and Conditions for Multiple Bank Accounts Registration and agree to abide by the same.

I/We acknowledge that my/our request will be processed only if all details are properly filled and valid documents are attached, failing which the request may be rejected/delayed in the case may be in which case I/We will not hold HSBC Mutual Fund, the AMC and the Registrar liable for any loss due to delayed execution or rejection of the request.

X	X	X
Sole/First Unit Holder	Second Unit Holder	Third Unit Holder

INSTRUCTIONS AND TERMS & CONDITIONS

- The facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new). Individuals/HUF can register upto 3 different bank accounts for a folio by using this form. Non individuals can register upto 10 different bank accounts for a folio. For registering more than 3 accounts, please see extra copies of this form.
- Supporting Documents as mentioned in Part C will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which valid documents are provided. Accounts not matching with bank documents will not be registered.
- If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, then any one of the following documents should be submitted as a supporting:
 - Canceled original cheque leaf with first Unit Holder name and bank account number printed on the face of the cheque. OR
 - Copy of Bank Passbook having the name, address and account number of the account holder.
 - Bank Statement (issued within 3 months for new bank, in case of old bank account the date of statement will not be applicable)
 Important: The above documents should be either in original or copy to be submitted along with original produced for verification.
- Bank account registration/deletion request will be accepted and processed only if ALL the details are correctly filled and the necessary documents are attached. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
- The first sole unit holder in the folio should be one of the holder(s) of the bank account being registered. Unit holder(s) cannot provide the bank account(s) of any other person or where the First Sole Unit holder is not an account holder in the bank account provided.
- The investor can change the default bank account only by submitting this form. In case multiple bank accounts are opted for registration as default Bank Account, the mutual fund reserves the right to register any one of them as the default bank account.
- A single confirmation of registration of the additional bank account details will be dispatched to you within 15 calendar days of receipt of your request. Unit holder(s) must preserve this written confirmation as the account statement will only reflect the default bank number.
- If any of the registered bank accounts are closed / closed / shared, please intimate the AMC in writing of such change with an instruction to delete that from our records.
- The Bank Account shows as the primary / default bank account will be used for all Redemption periods / Dividend periods. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
- If request for redemption is received prior to / together with a change of bank account or before verification and validation of the new bank account, the redemption request should be processed in the currently registered default (old) bank account.
- If in a folio, purchase investments are made US or NRO bank account, the bank account type for redemption can be US or NRO only. If the purchase investments are made with NRE account(s), the bank account type for redemption can be US /NRO /NRE.
- The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their bank accounts in all units using the facility and ensure that payments for ongoing purchase transactions are done any of the registered bank accounts only, to avoid finalities transactions and potential rejection due to mismatch of pay-in bank details with the accounts registered in the folio.
- HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unit holder(s) in account of inadequate or incomplete documentation leading to delay or rejection of the request.

CALL US ATPlease visit our website www.assetmanagement.hbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit www.cesonline.com for an updated list of Official Points of Acceptance of our Registrar / Transfer Agent - Computer Age Management System.**TOLL FREE NUMBERS**

Description	Investor related queries	Distributor related queries	Online related queries	Investor (during term ahead)
Toll free number	1300-419-200 / 1300-200-2434	1300-419-9000	1300-419-200 / 1300-200-2434	+91 44 56921900
Email ID	investor_line@mutualfunds.hbc.co.in	partner_line@mutualfunds.hbc.co.in	online@mutualfunds.hbc.co.in	investor_line@mutualfunds.hbc.co.in

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Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

HSBC Asset Management (India) Private Limited

CIN NO: U74140MH2001PTC134220

8-11 Floors, NESCO IT Park, Building No. 3,
Western Express Highway, Goregaon (East), Mumbai 400 063, India

Website : www.assetmanagement.hsbc.co.in

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200-1800-200-2434	1800-419-9800	1800-4190-200-1800-200-2434	+91 44 56623990
Email ID	investor.line@mutualfunds.hsbc.co.in	distribut.line@mutualfunds.hsbc.co.in	onlineent@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

To get your updated account statement email us at : mfsoa@mutualfunds.hsbc.co.in

We're always here to help you, so feel free to reach out to us

Self-service request at your Fingertips

- Visit Website • Invest Online • Insta Statement • Download Forms
- Transact via Whats App • Use 24/7 ChatBot - Ask Me



Remember, you can also find out more via our social media handles :     

Please check our website www.assetmanagement.hsbc.co.in for an updated list of Official Points of Acceptance of HSBC Mutual Fund

CAMS SERVICE CENTRES / CAMS LIMITED TRANSACTION POINTS / CAMS COLLECTION CENTRES

For details on CAMS Service Centre, please visit www.camsonline.com