

DSP Nifty Midcap 150 Index Fund

An open ended scheme replicating / tracking Nifty Midcap 150 Index

NFO OPENS: 24-Nov-2025 NFO CLOSES: 08-Dec-2025

ASBA APPLICATION FORM

DATE _____

APPLICATION NO. _____

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA)

Investors must read the Scheme Information Document/Key Information Memorandum, Instructions, Terms and Conditions and Product Suitability before completing this Form.

Please refer page no. 4, for product labelling and riskometer.

BROKER/AGENT INFORMATION				FOR OFFICE USE ONLY			
Distributor / RIA / PMRN Name and ARN / Code	Sub Broker ARN & Name	Sub Broker/Branch/RM Internal Code	EUIN (Refer note below)	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.

- ☐ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.
Commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Sole / First Applicant's Signature Mandatory

DECLARATION

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the Scheme mentioned below and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

APPLICANT'S DETAILS

Name of First Applicant (as available in Demat Account)

Name of Second Applicant (as available in Demat Account)

Name of Third Applicant (as available in Demat Account)

Existing Folio if any

Mode of Holding

- ☐ Single ☐ Joint (default)
☐ Either or Survivor

DEPOSITORY ACCOUNT DETAILS: [mandatory] [Refer Instruction k]

Depository Name [Please tick ☒] ☐ National Securities Depository Ltd

☐ Central Depository Services [India] Limited

Depository Participant Name

DP-ID

I N

Beneficiary Account Number

(16 digit beneficiary account no. to be mentioned above)

INVESTMENT DETAILS [Default plan/option/sub option will be applied in case of no information, ambiguity or discrepancy in scheme details]

Scheme DSP Nifty Midcap 150 Index Fund

Plan

Option/Sub Option

BANK ACCOUNT FOR BLOCKING OF FUNDS [Refer Instruction d] [Bank Account should be in the name of First Applicant only]

Bank Account Number

Bank Name & Branch Address

Total Amount [Rs. In figures]

[Rs. In words].

NOMINATION

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

Share of nominee: ** if % is not specified, then the assets shall be distributed equally amongst all the nominees.

Identity Number: *** Provide only number: PAN or Driving Licence or Aadhaar (last 4 digits). Passport number (In case of NRI/OCI/PIO). Copy of the document is not required.

Nomination Details								
	Mandatory Details						Where nominee is a minor	
	Name of nominee	Share of nominee (%)**	Relationship	Postal Address (Mention complete postal address)	Mobile number & E-mail	Identity Number ***	Date of birth of nominee	Guardian Name
1				☐ Same as First Applicant				
2				☐ Same as First Applicant				
3				☐ Same as First Applicant				
		Total 100%	In case of each Minor as Nominee, please mention Guardian's relationship with Minor as Mother/Father/Legal Guardian. Kindly attach proof like Birth Certificate/School Leaving Certificate/Passport/Others.					

☐ **OPT-OUT declaration:** I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows; (please tick, as appropriate)

☐ Name of nominee(s) **OR** Nomination Registered#: ☐ Yes ☐ No

#Default: If no option is selected, whether nomination registered or not, along with the number of nominees will be treated as the default.

UNDERTAKING BY ASBA INVESTOR			CONTACT DETAILS OF 1ST APPLICANT
I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ("SEBI Regulations") as amended from time to time. In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/DSP Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of DSP Mutual Fund. In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the DSP Mutual Fund or DSP Asset Managers Private Limited, or DSP Trustee Pvt. Ltd. or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details, Amount applied for and the Account Number from where NFO amount was blocked.			Tel. No. (with STD code)
			Mobile
			Email:

Sole / First Applicant / Guardian	Second Applicant	Third Applicant
PAN MANDATORY	PAN MANDATORY	PAN MANDATORY

DSP Nifty Midcap 150 Index Fund		ASBA Application No.:																				
Plan/Option/sub option	Date <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td>D</td><td>D</td><td>/</td><td>M</td><td>M</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>		D	D	/	M	M	/	Y	Y	Y	Y										
D	D	/	M	M	/	Y	Y	Y	Y													
Received from 1st Applicant PAN																						
Depository Name [Please tick ☒] ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited																						
Depository Participant Name																						
DP-ID <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr> <td>I</td><td>N</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>			I	N																		
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SCSB ACCOUNT DETAILS: Bank A/c No. Bank Name & Branch																						

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D	D	/	M	M	/	Y	Y	Y	Y					
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 35%;">Received from Mr./Ms./Mrs./M/s.:</th> <th style="width: 20%;">SCSB Account details</th> <th style="width: 20%;">Total Amount to be Blocked</th> <th style="width: 25%;">SCSB Stamp, Signature</th> </tr> <tr> <td rowspan="3" style="vertical-align: top; padding: 5px;">Address:</td> <td style="padding: 5px;">A/c No.</td> <td style="padding: 5px;">Rs. in figures</td> <td rowspan="3" style="vertical-align: top; padding: 5px;">Date & time of receipt</td> </tr> <tr> <td style="padding: 5px;">Bank Name</td> <td style="padding: 5px;">Rs. in words</td> </tr> <tr> <td style="padding: 5px;">Branch Name</td> <td></td> </tr> </table>			Received from Mr./Ms./Mrs./M/s.:	SCSB Account details	Total Amount to be Blocked	SCSB Stamp, Signature	Address:	A/c No.	Rs. in figures	Date & time of receipt	Bank Name	Rs. in words	Branch Name	
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Address:	A/c No.	Rs. in figures	Date & time of receipt											
	Bank Name	Rs. in words												
	Branch Name													

ASBA Forms should be submitted only with an authorized branch of designated SCSBs.
 Not to be submitted at any offices of DSP Mutual Fund or its Registrar, CAMS

Application Supported by Blocked Amount (ASBA)

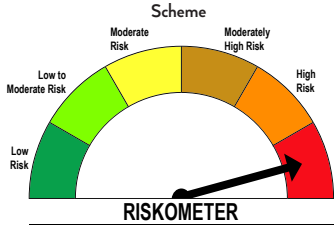
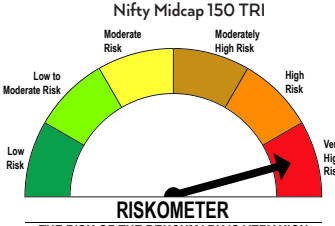
ASBA provides an alternative mode of payment whereby the application money remains in the investor's account till allotment of units. ASBA process facilitates investors to apply through Self Certified Syndicate Banks (SCSBs), in which the investors have their bank accounts. SCSBs are those banks which satisfy the conditions laid by SEBI. SCSBs would accept the applications, verify the application, block the fund to the extent of investment amount, unblock once the allotment is finalized and debit the investor's account.

Instructions and Terms and Conditions

Please read Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM), all relevant Addenda available with DSP Mutual Fund offices and instructions carefully before filling up the application form. Investors are deemed to have read, understood and accepted the terms subject to which these offers are being made and bind themselves to the terms upon signing the application form

- a. This application form may be used by both resident and non-resident investors. U.S. Person and Residents of Canada should not invest in any of the Schemes of DSP Mutual Fund. For more details, please read the Notice and addenda issued in this reference.
- b. ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.
- c. An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically to the SCSB with whom the investors holds the bank account which is to be blocked
 - i. In case of ASBA application in physical mode, the investor shall submit the ASBA form at the bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
 - ii. In case of ASBA application in electronic form, the investor shall submit the ASBA form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund schemes authorising to block the subscription money in a bank account.
- d. The Bank Account Number in the form should necessarily be of the first applicant only. In case where the bank account is jointly held, the first applicant should be one of the joint holders.
- e. ASBA application form will not be accepted by any of the offices of DSP Mutual Fund or its Registrar & Transfer Agent, i. e Computer Age Management Services (P) Ltd. (CAMS).
- f. Investors shall ensure that the bank account details mentioned in the ASBA application form is correct and the funds are available in the account for the SCSB to block the amount.
- g. Upon submission of an ASBA form with the SCSB, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the designated branch to block such amount in the Bank account.
- h. The SCSBs shall block the subscription money based on the authorization given by the account holder in the ASBA application form. The subscription money shall remain blocked in the Bank account till allotment of units under the scheme or till rejection of the application, as the case may be.
- i. The SCSBs may at any time before the closure of the NFO reject the ASBA application and inform the investor accordingly.
- j. During processing of the application by the RTA, if the applications is found to be incomplete or incorrect, the SCSB will be informed on the same who will than unblock the investor account with appropriate remarks in the investor account

- k. The names of the applicants, the manner of holding, the mode of holding in the application form should be exactly matching with the information available in the demat account. In case of any mismatch, incorrect or incomplete information, the application may be rejected by the SCSB or the Registrar & Transfer Agent.
- l. All investor related details for allotment of units such as names of the applicants, manner of holding, mode of holding, bank account, etc will be updated as per the demat account.
- m. The investors should check their demat account for allotment of units within 10 working days of the NFO closure. No physical account statement will be sent to the investors by DSP Mutual Fund or its registrar, CAMS.
- n. All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the issue, with a copy to the SCSB, giving full details such as name, address of the applicants, subscription amount blocked on application, bank account number and the designated branch or the collection centre of the SCSB where the ASBA form was submitted by the investor.
- o. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before the 3.00 p.m. or such other time as may be decided by respective SCSBs.
- p. DSP Mutual Fund or its Registrar, CAMS shall not be liable for any negligence or mistake committed by the SCSBs.
- q. Further, Investors may please note that the Fund/ AMC and its empanelled brokers has not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/ portfolio with regard to the scheme.
- r. Note on EUIN: Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

PRODUCT LABELLING & SUITABILITY		Riskometer	
This product is suitable for investors who are seeking*: - Long-term capital growth - Investment in equity and equity related securities covered by Nifty Midcap 150 Index, subject to tracking error. *Investors should consult their financial advisers if in doubt about whether the Scheme is suitable for them.			

Investment Objective - The investment objective of the Scheme is to generate returns that are commensurate with the performance of the Nifty Midcap 150 Index, subject to tracking error. **There is no assurance that the investment objective of the Scheme will be achieved.**

The product labelling assigned during the New Fund Offer ('NFO') is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made

List of Self Certified Syndicate Banks (SCBs)

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Please visit www.sebi.gov.in/pmd/scsb.html or www.nseindia.com (IPO Section) for list of currently available Self Certified Syndicate Banks offering ASBA facility with their designated branches.

(Investors should check with their bank branch to confirm whether the branch is offering ASBA facility.)

Email: service@dspim.com
Website: www.dspim.com

Contact Centre: 1800 200 4499