

**Elite Wealth Ltd(DP ID-IN301670 &IN303989 & 12066800)**

(SEBI/HO/OIAE/OIAE\_IAD-3/P/ON/2025/01650, dated January 10, 2025)

**Nomination Form for Demat Accounts and Mutual Fund (MF) Folios**

|                                                                                                                                                                                                        |                          |                               |                      |                       |                                   |                            |                                   |                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-------------------------------|----------------------|-----------------------|-----------------------------------|----------------------------|-----------------------------------|-----------------|
| I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) * |                          |                               |                      |                       |                                   |                            |                                   |                 |
| <b>Nomination Details</b>                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
|                                                                                                                                                                                                        | <b>Mandatory Details</b> |                               |                      |                       |                                   |                            | <b>Additional Details</b><br>**** |                 |
|                                                                                                                                                                                                        | <b>Name of nominee</b>   | <b>Share of nominee (%)**</b> | <b>Relation ship</b> | <b>Postal Address</b> | <b>Mobile number &amp; E-mail</b> | <b>Identity Number ***</b> | <b>D.o.B. of nominee</b>          | <b>Guardian</b> |
| Nominee 1                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 2                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 3                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 4                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 5                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 6                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 7                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 8                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 9                                                                                                                                                                                              |                          |                               |                      |                       |                                   |                            |                                   |                 |
| Nominee 10                                                                                                                                                                                             |                          |                               |                      |                       |                                   |                            |                                   |                 |

\*Joint Accounts:

| Event                                                           | Transmission of Account / Folio to                                                                       |
|-----------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|
| Demise of one or more joint holder(s)                           | Surviving holder(s) through name deletion<br>The surviving holder(s) shall inherit the assets as owners. |
| Demise of all joint holders simultaneously – having nominee     | Nominee                                                                                                  |
| Demise of all joint holders simultaneously – not having nominee | Legal heir(s) of the youngest holder                                                                     |

\*\* if % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in 'Transmission aspects').

\*\*\* Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required.

\*\*\*\* to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

1) I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC / DP as follows; (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nomination: Yes / No

2) I hereby authorize \_\_\_\_\_(nominee number \_\_\_\_) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to \_\_% of assets in the account / folio or Rs. \_\_\_\_\_.

(strike off portions that are not relevant)

3) This nomination shall supersede any prior nomination made by me / us, if any.

|              | First Holder        | Second Holder          | Third Holder             |
|--------------|---------------------|------------------------|--------------------------|
| Signatures   |                     |                        |                          |
| Witness(es), | Name of the witness | Address of the witness | Signature of the witness |
| Witness -1   |                     |                        |                          |
| Witness -2   |                     |                        |                          |

\* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

### **Rights, Entitlement and Obligation of the investor and nominee:**

- If you are opening a new demat account / MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed asset shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account / folio, in case of your physical incapacitation. This mandate can be changed any time you choose.
- The signatories for this nomination form in joint folios / account, shall be the same as that of your joint MF folio / demat account. i.e.
  - 'Either or Survivor' Folios / Accounts - any one of the holder can sign
  - 'Jointly' Folios / Accounts - both holders have to sign

### **Transmission aspects**

- AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

| % share as specified by investor at the time of nomination |             | % assets to be apportioned to surviving nominees upon demise of investor and nominee 'A' |                 |                                  |               |
|------------------------------------------------------------|-------------|------------------------------------------------------------------------------------------|-----------------|----------------------------------|---------------|
| Nominee                                                    | % share     | Nominee                                                                                  | % initial share | % of A's share to be apportioned | Total % share |
| A                                                          | 60%         | A                                                                                        | 0               | 0                                | 0             |
| B                                                          | 30%         | B                                                                                        | 30%             | 45%                              | 75%           |
| C                                                          | 10%         | C                                                                                        | 10%             | 15%                              | 25%           |
| <b>Total</b>                                               | <b>100%</b> | <b>-</b>                                                                                 | <b>40%</b>      | <b>60%</b>                       | <b>100%</b>   |

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