# MIRAE ASSET GOLD SILVER PASSIVE FOF - APPLICATION FORM

An open -ended fund of fund scheme predominantly investing in units of Mirae Asset Gold ETF and Mirae Asset Silver ETF



Offer of units of Rs. 10/- each during the New Fund Offer and continuous offer for units at NAV based prices. NFO Dates: 11/08/2025 to 25/08/2025 Scheme re-opens for continuous sale & re-purchase from 01/09/2025

# MIRAE ASSET GOLD SILVER PASSIVE FOF

An open -ended fund of fund scheme predominantly investing in units of Mirae Asset Gold ETF and Mirae Asset Silver ETF

New Fund Offer open on : 11/08/2025 New Fund Offer closes on : 25/08/2025

Application No.:

Please Read All Instruments as given in KIM, to help you complete the Application Form Correctly,

**MICR Code** 

- To generate long term capital appreciation/income.
- Investments predominantly in units of gold and silver Exchange Traded Funds.

\*Investors should consult their financial advisors if they are not clear about the suitability of the product.



ISC Date Time Stamp Name & Broker Code/ Sub Broker / EUIN\* **Sub Agent Code Internal Code for AMC** ARN/RIA Code Agent ARN Code Reference No.

EUIN Declaration: Declaration for Execution Only Transaction(where Employee Unique Identhe EUIN box has been intentionally left blank by me/us as this transaction is executed without any advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes	y interaction or advice by the employee/relationship manager of the distributors/sub broker. RIA/Declaration: "I/We hereby	r/sales person of the above distributor/sub broker or notwithstanding the right give you my/our consent to share/provide the transactions data
Sign of 1st Applicant/ Guardian/ Auth. Signatory/ PoA/ Karta Sign of 2nd A	Applicant/ Guardian/ Auth. Signatory/ PoA/ Karta	Sign of 3rd Applicant/ Guardian/ Auth. Signatory/ PoA/ Karta
Please   ✓ Lumpsum Investment   ☐	Micro Application	SIP Application
TRANSACTION CHARGES (Please ② any one of the below. Refer	Instructions No. 11)	
☐ IAM A FIRST TIME INVESTOR IN MUTUAL FUNDS  Applicable transaction charges will be deducted in case your distributor has registered Distributor) based on the investor's assessment of various factors	opted for such charges. Upfront commission s	
1. EXISTING UNIT HOLDER INFORMATION- Please fill in your Fol	lio Number, PAN, KIN in below Sections 2	, 3, 4 & proceed to Section 7 for Investment Details.
		alongside will apply for this application.All Unit Holders in the C credentials may be filled in the below sections.
2. APPLICANT(S) NAME AND IN INFORMATION [Refer Instruction		
1 <sup>st</sup> SOLE APPLICANT Mr. / Ms. /M/s. (Please write the name as per PAN Card)		PAN
LEI Code for entities		
CKYC ID No. (KIN)	Pls indicate	e if US Person or a resident for tax purpose / Resident of Canada  Yes
GUARDIAN (In case 1st Applicant is a Minor) Mr. / Ms. / M/s.		Relationship with Minor (Please ✓ )  ☐ Mother ☐ Father ☐ Legal Guardian
GUARDIAN CKYC ID No. (KIN)	KYC (Please ✓) ☐ Proof Attached	GUARDIAN PAN
POA / Custodian Name:		KYC (Please ✓) ☐ Proof Attached
POA / Custodian CKYC ID No. (KIN)	POA/	Custodian PAN
Contact Person for Corporate Investor:	D	esignation:
3. FIRST APPLICANT AND KYC DETAILS All fields man	rked as 4*9 are Mandatory	
1st SOLE APPLICANT  Individual or  Non-Individual [Please fill	Ultimate Beneficial Ownership (UBO) Declara	tion Form in section 11a & 11b - Refer Instruction No. 17]
/I.a. 45, 4.4 15 / /N I.a. 4.4. 4.4. 4.4 15	Date of Birth (Please ✓ ) ☐ Birth Ce For minor applicant) ☐ Passpor	ertificate School Leaving Certificate / Mark Sheet rt of the Minor Others (Please specify)
Place of Birth / Country of Birth / Incorporation: (Please write the Date of birth as per Aadhaar Card	Nationality:	Gender
Type: Resident Individual Sole Prop NRI - NRE Trust	t 🗌 Bank / Fls 📗 FIIs 🗎 PIO 🔲 Soci	ety/AOP/BOI
☐ HUF☐ LLP☐ Listed Company☐ Private Company☐ Public Ltd. Comp	any Artificial Juridicial Person Partnershi	p Firm FOF - MF Schemes Other (Please specify)
NPO Registration Number of DARPAN Portal (Mandatory)	Dublic Coston Consumerant Comice	Children Desfessional Library (f.
a*. Occupation Details [Please (✓ )]	<ul><li>☐ Public Sector</li><li>☐ Government Service</li><li>☐ Retired</li><li>☐ Proprietorship</li></ul>	Student Professional Housewife Others (Please specify)
b*. Politically Exposed Person (PEP) Status (Also applicable for authorised sign		
c*. Gross Annual Income (₹) [Please (✓)]	1-5 Lakhs 5-10 Lakhs	☐ 10-25 Lakhs ☐ >25 Lakhs ☐ > 1 Crore
d*. Net-worth (Mandatory for Non-Individuals) ₹	_ 1-3 Lakiis 3-10 Lakiis	- 10 20 Earlie - 10 Toloro
	_	D M M Y Y Y Y (Not older than 1 year)
e*. Non-Individual Investors involved/providing	as on nange / Money Changer Services G	
	as on nange / Money Changer Services G. ing / Pawning No	D M M Y Y Y Y (Not older than 1 year) aming/Gambling/Lottery/Casino Services
any of the mentioned services	as on nange / Money Changer Services G. ing / Pawning No	D M M Y Y Y Y (Not older than 1 year) aming/Gambling/Lottery/Casino Services
4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Name of the Bank:	as on nange / Money Changer Services G. ing / Pawning No	D M M Y Y Y Y (Not older than 1 year) aming/Gambling/Lottery/Casino Services one of the above
4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Name of the Bank:  Core Banking A/c No.	as on nange / Money Changer Services G. In g / Pawning No.  Nos. 3 & 4]  A/c. Type P.	D M M Y Y Y Y (Not older than 1 year) aming/Gambling/Lottery/Casino Services one of the above
Addres  Annual of the mentioned services  Money Lendi  4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction  Name of the Bank:  Core Banking A/c No.  Branch Name:  Addres	as on nange / Money Changer Services G. In g / Pawning No.  Nos. 3 & 4]  A/c. Type P.	D M M Y Y Y Y (Not older than 1 year) aming/Gambling/Lottery/Casino Services one of the above
4. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Name of the Bank:  Core Banking A/c No.  Branch Name:  Bank Branch City:  MICR Code  Money Lendi  Money Lendi	as on nange / Money Changer Services G. In g / Pawning No.  Nos. 3 & 4]  A/c. Type P.	D M M Y Y Y Y (Not older than 1 year) aming/Gambling/Lottery/Casino Services one of the above  S. (✓) NRE CURRENT SAVINGS NRO Other

Mode of Holding:	ANTS, IF							datory					
2 <sup>nd</sup> APPLICANT Mr. /		one or Sur Not A		n case of M	Sing		☐ <b>Joint</b> ne name as per PAN Car	d)	(Plea		at the Default o		
PAN Details						Pls indicates	if US Person or a resid	ent for tax pu	rpose / Reside	nt of Cana	ada 🗌 Yes	☐ No* (	*Default if not
CKYC ID No. (KIN)							KYC Pls 🕢	☐ Proof A	attached (/	ate of B As per PAN	irth(Mandatory Card)	) D D N	/ M Y Y Y
Place of Birth			<del>-</del>	c	ountry of Birt	h			Nati	onality:	<u> </u>		
a*. Occupation Detai	ils [Plea	se( <b>√</b> )]		Private S Busines		ublic Sector etired	☐ Governme	ent Service	Student Proprie		☐ Profession ☐ Others	_	Housewife specity)
b*. Politically Exposed	l Person	(PEP) Statu	ıs 🗌	I am PE	EP 🗌 la	am Related t	o PEP   Not Applic	able					
c*. Gross Annual Inc	ome (₹)	[Please(✓	<b>)</b> ]	Below 1	Lakh 🗌 1-	-5 Lakhs	☐ 5-10 Lak	hs	☐ 10-25 L	akhs	☐ >25 Lakhs		> 1 Crore
d*. Net-worth ₹						— as on —	D M M Y	YY	(Not olde	er than 1 y	year)		
Mode of Holding:  3 <sup>rd</sup> APPLICANT Mr. /		one or Sur (Not A		n case of N	Sing		☐ Joint ne name as per PAN Car	d)	(Plea		at the Default o		-
PAN Details						Pls indicates	if US Person or a resid	ent for tax pu	rpose / Reside	nt of Cana	nda 🗌 Yes	☐ No* (	*Default if not
CKYC ID No. (KIN)							KYC Pls 🕢	☐ Proof A	ttached (/	ate of B	irth(Mandatory Card)	) D D N	M Y Y Y
Place of Birth				c	ountry of Birt	h			Nati	onality:			
a*. Occupation Detai	ils [Plea:	se( <b>√</b> )]		Private S Busines	_	ublic Sector etired	☐ Governme		Student Proprie		Profession Others		Housewife specity)
b*. Politically Exposed	l Person	(PEP) Statι	ıs 🗌	I am PE	EP 🗌 la	am Related to	o PEP   Not Applic	able					
c*. Gross Annual Inc	ome (₹)	[Please(✓	´)] 🗆	Below 1	Lakh   1-	-5 Lakhs	☐ 5-10 Lak	hs	☐ 10-25 L	akhs	□ >25 Lakhs		> 1 Crore
d*. Net-worth ₹						— as on —	D M M Y	YY	(Not olde	er than 1 y			
6. MAILING ADD	RESS [F	lease pro	vide you	ır E-mail	ID and Mobi	le Number t	to help us serve yo	u better Re	fer Instructi	ons 6 ]			
Local Address of 1 <sup>st</sup> A	pplicant												
					City		Stat	е			Pin Code		
Tel. Off.						Resi			Mobile				
Mobile No specified ab ☐ Spouse ☐ Gua		igs to□ Sel r Minor Inv			nvestor being(P ependent Chilo		one option from below  Dependent Parents	*	Dependent S	iblings			
E - Mail^^													
^^Please Use Block Let copies are required kind				ID would r	mandatorily rec	eive all Comm	nunications, Statement	of Accounts	and Abridged A	Annual Rep	oort through e-n	nail only.lr	ncase if physic
Email address specifie		Ü						,					
☐ Spouse ☐ Gu	ardian(fo	r Minor Inv	estment)		ependent Child	dren	☐ Dependent Parent	s 🗆	Dependent S	iblings			
6a. Mandatory fo	r NRI / F	II Applica	nt [Pleas	e provid	e Full Addre	ss. P. O. Bo	x No. may not be s	ufficient. F	or Overseas	Investor	s, Indian Add	lress is	preferred]
Overseas Correspond	ence Ado	Iress											
7 INIVESTMENT	AND PA	YMENT D	ETAILS	(For con	plete inform	ation on In	vestment Details pl	ease Refer	to Instruction	ns No. 6			
/. INVESTIMENT				•			Regular	Plan	Growth (Defa	ult) 🗌 I	DCW Payout DCW Reinvestr	ment	
Scheme - Mirae A													
	Capital Wi	thdrawal.											
Scheme - Mirae A	•		Self (Non-	Third Part	y Payment)		Third Party Payment (	Please attac	h 'Third Party I	Payment D	eclaration Forn	n')	
Scheme - Mirae A	· ( <b>~</b> )]		Self (Non- Cheque/D	D 🗌 OT	M (One Time Mandat	te) RTGS	/ NEFT Core Ba	nking A/c N	o				
Scheme - Mirae A *Income Distribution cum Payment Type [Please	e (✓)]		Cheque/D	D	, , ,	que / DD /		nking A/c N Net Pu		Drawn	Declaration Form On Bank / Franch	Pay-l	n Bank A/c
Scheme - Mirae A *Income Distribution cum Payment Type [Please Payment Mode [Please	e (✓)]		Cheque/D	D	M (One Time Mandat	que / DD /	/ NEFT Core Ba	nking A/c N Net Pu	o. urchase	Drawn	on Bank /	Pay-l	
Scheme - Mirae A *Income Distribution cum Payment Type [Please Payment Mode [Please Cheque / DD / UM	e (✔)] e (✔)] IRN No. /	UTR No.	Cheque/D	D OT	M <sub>(One Time Mandat</sub> nount of Che S / NEFT in fi	que / DD / igures (Rs.)	/ NEFT Core Ba	nking A/c N Net Pu Am	o. urchase ount	Drawn B	on Bank / ranch	Pay-l (For	Cheque On
Scheme - Mirae A *Income Distribution cum Payment Type [Please Payment Mode [Please Cheque / DD / UM	( ( ∕ )] e ( √ )] IRN No. /	UTR No.	Cheque/D & Date	D OT	M <sub>(One Time Mandat</sub> nount of Che S / NEFT in fi	que / DD / igures (Rs.)	NEFT Core Ba DD Charges, if any	Net Pu Am	orchase ount	Drawn B	on Bank / ranch	Pay-l (For	Cheque On
Scheme - Mirae A *Income Distribution cum Payment Type [Please Payment Mode [Please Cheque / DD / UM	( ( ∕ )] e ( √ )] IRN No. /	UTR No.	Cheque/D & Date	D OT	M <sub>(One Time Mandat</sub> nount of Che S / NEFT in fi	que / DD / igures (Rs.)	DD Charges, if any	Net Pu Am	orchase ount	Drawn B	on Bank / ranch	Pay-l (For	Cheque On
Scheme - Mirae A *Income Distribution cum Payment Type [Please Payment Mode [Please Cheque / DD / UM  8. DEMAT ACCOL National Securities I	( ( ∕ )] e ( √ )] IRN No. /	UTR No.	Cheque/D & Date	Arrange Demat	M <sub>(One Time Mandat</sub> nount of Che S / NEFT in fi	que / DD / igures (Rs.)	DD Charges, if any sequence of name	Net Pu Am	orchase ount	Drawn B	on Bank / ranch	Pay-l (For	Cheque On

☐ I/We wish to make a my / our death.	a nomination and	I do hereby n	ominate th			nall receive all						it in tl	ne event	of
Particulars	S		Nominee	e 1		Nominee 2					Nom	inee	3	
Nominee Name*														
Nominee Address*														
Relationship with the	e Applicant*													
Allocation*														
Nominee PAN (Gaurdia in case of Minor)*	an PAN to be Provided													
Identity number* (tick a														
Passport Number L														
Date of Birth (in case of M	Minor)													
Mobile Number*														
Email ID*														
In case if Nominee is a Minor														
Guardian Name														
Guardian's Relations	ship with the	Mother	Father	Legal Guardiar	Mother	Father	Le	gal Guardian	M	other	Fathe	er 🗀	Legal Gua	ardian
Minor (Attach Proof)							_							
Nominee/Guardian S	Signature													
Norminee/ Guardian e	ngriature													
*Mandatory Fields Birth certificate proof to be attache	ed in case of Minor													
Nominee Name o														
I/We want the details of my/c  Name of the Nominee(s)		rinted in the Sta on: Yes/No ^	tement of Ho	olding / SOA, provid	ded to me/us by	the AMC/DP as	follo	ows; (Please t	ick as a	ppropri	ate)			
^ If there is no option ticked,			ected in the s	statement of accou	nt.									
Incase if you do i	not wish to r	nominate												
Declaration for	r opting-out	of nomina	ation											
Incase of death of all the accinclude documents issued by							n for	claiming ofof	assets	held in	my / our	MF F	olio which n	nay also
			<b>,</b> ,											
* If the account holder affixes thun	nb impression, instead of	of wet signature. S	gnature of two	witness(es), along with	n name and addre	ss are required.								
	Name & Address									Sign	atur	е		
Witness 1														
Witness 2	Witness 2													
<b>DECLARATION A</b>														
I / We have read the terms ar nominee(s) acknowledging red							to r	my / our credit	s in the	event o	f my / our	death	. Signature	of the
(, 30	. , ,		3.5				7							
Sign of 1 <sup>st</sup>	<sup>t</sup> Applicant	Sign of 2 <sup>nd</sup> Applicant							Sign of 3 <sup>rd</sup> Applicant					

# e detail of this page should be filled by Non-Individual investors only

### FOR NON-INDIVIDUALS ONLY To be filled by Financial Institutions or Direct Reporting Non Financial Entity (NFEs) PART A Financial institution Note: If you do not have a GIIN but you are sponsered by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Direct reporting NFE Name of sponsoring entity: [Please tick (/)] GIIN not available [Please tick (✓)] ☐ Not required to apply for - please specify 2 digits sub-category Applied for ☐ Not obtained - Non-participating FI PART B (please fill any one as appropriate "to be filled by NFEs other then Direct Reporting NFEs") Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) Name of stock exchange: 2 Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Is the Entity a related entity of a publicy traded company (a company whose shares are Name of Listed compnay: regularly traded on an established securities market) Nature of relation Subsidiary of the Listed Company or Controlled by a Listed Company Name of stock exchange: 3 Yes (If yes, please fill UBO declaration in the next section.) Is the Entity an active NFE Please specify the sub-category of Active NFE Mention code: Refer instruction 15(c) Yes (If yes, please fill UBO declaration in the next section.) Is the Entity an Passive NFE Nature of Business: For details refer instruction no. 15. # If passive NFE, please provide below additional details. (Please attach additional sheets if necessary). Also provide below mandatory details if the UBO does not have a PAN. (Refer Instruction No. 16) Occupation Type: Service, Business, Others PAN / Any other Identification Number (PAN, Aadhaar, Passport, DOB: Date of Birth Nationality: Gender: Male, Female, Other City of Birth - Country of Birth Father's Name: Mandatory if PAN in not available Occupation Type: Date of Birth: City of Birth Nationality: Gender Male Female Other Father's Name: Country of Birth: 2. PAN: **Occupation Type:** Date of Birth: City of Birth Nationality: Gender ☐ Male ☐ Female Other Country of Birth: Father's Name: 3. PAN: Occupation Type: Date of Birth: City of Birth Nationality: Gender Male Female Other Father's Name: Country of Birth: #Additional details to be filled by controlling persons with tax residency/permanent residency/citizenship/Green Card in any country other than India. \*To include US, where controlling person is a US citizen or green card holder % In case Tax Identfication Number is not available, kindly provide functional equivalent ARATION FOR ULTIMATE BENEFICIAL OWNERSHIP [UBO] (Refer instruction No. 17) \*This declaration is not needed for Companies that are listed on any recognized stock exchange or is a Subsidiary of such Listed Company or is Controlled by such Listed Company. Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BENE Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details]. Name of the Stock Exchange where it is listed. Security ISIN Name of the Listed Company (applicable if the investor is subsidiary/associate): ☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals Public Charitable Trust Private Trust □ Trust created by a Will. □ Others [please specify] 11a. Ultimate Beneficiary Owner (UBO) / Controlling Person(s) / Senior Managing Official details.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? # 🗌 Yes

Cheque/DD should be Drawn in favour of the Scheme Name Mirae Asset Gold Silver Passive FoF

Application No.:

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO / SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
UBO / SMO Country of Tax Residency#			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth#	Place of Birth	Place of Birth	Place of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	I am PEP	I am PEP □ Related to PEP. □ Not a PEP. □	I am PEP
UBO / SMO Address Type	Residence  Business  Registered Office	Residence  Business  Registered Office	Residence  Business  Registered Office
UBO / SMO Occupation	Public Service	Public Service	Public Service
SMO Designation#			
UBO / SMO KYC Complied**. If not complied, please complete KYC process independently and then submit the proof.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.

# Mandatory column.
\*\* In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be

translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information

### Instructions

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

-more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.

-more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means."

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

### B For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

# C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

### D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s)/SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country

FATCA AND CRS DETAILS (Self Certification) (Refer instruction No. 15) (FOR INDIVIDUALS & NON-INDIVIDUALS FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below. FOR NON-INDIVIDUALS: Is the 'Entity" a tax resident of any country other than India? Yes No (If Yes, please provide country lies in which the entity is a resident for tax purpose and the associated Tax Identi cation No. below) 1st Applicant (Sole / Guardian / Non-Individual 2<sup>nd</sup> Applicant 3<sup>rd</sup> Applicant Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Yes No Yes No Yes No Tax Residency Country of Birth / Incorporation Country of Birth Country of Birth Country Citizenship / Nationality Country Citizenship / Nationality Country Citizenship / Nationality Are you a US specified person? Yes \_\_ No Are you a US specified Yes No Are you a US specified Yes No Please provide Tax Payer Id. Please provide Tax Payer Id Please provide Tax Payer Id. For non-Individual investor, in case your country of incorporation / Tax residence is US, but you are not a specified US person then please mention exemption code Refer instruction 15(e)) Individual or Non-Individual investors fill this section Individual investor have to fill in below details in case of joint applicants if ticked Yes above Country: Country: Country: Tax Residency Tax Residency Tax Residency No.: No.: No.: Status: 1 Status: 1 Status: 1 Type: Type: Type: Country: Country: Country: Tax Residency Tax Residency Tax Residency No.: No.: No.: Status: 2 Status: 2 Status: 2 Type: Type: Type: Country: Country: Country: Tax Residency Tax Residency Tax Residency No.: No.: No.: Status: 3 Status: 3 Status: 3 Type: Type: Type: Address Type Address Type Address Type (Address Type: Residential or Business (default) I Residential I Business I Registered Office) (For address mentioned in form I existing address appearing in folio) In case of applications with POA, the POA holder should fill separate form to provide the above details mandatorily. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(s) [Refer Instructions 2(f) of KIM] To The Trustees, Mirae Asset Mutual Fund (The Fund) – (A) Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s) available during the New Fund Offer period); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any agree to abide by the terms, conditions, rules and regulations governing the scheme. (B) IWNe hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of mylour credit will constitute full discharge of liabilities of Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTAand other intermediaries in case of any dispute regarding the eligibility, validity and authorization of mylour transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/or any indicative pided by the Fund/AMC/fix distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility. Whe have not been offered/communicated any indicative portfolio and shall be bound by the terms & conditions of the PIN agreement available on the AMC we besite for transacting online. (I) RIA: I/We hereby agree to consent the AMC to share my transaction distribute to return & Accordance and the propriet of the provisions. I/We further declare that I/We am/are "Person Resident's not i concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaars I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio. For Lumpsum 'OR' SIP

**Payment Details** 

Amount (Rs)

Dated\_\_\_\_\_ Bank & Branch

Cheque/ DD No.:

ACKNOWLEDGMENT SLIP

Received Application from Mr. / Ms. / M/s.

Mirae Asset Gold Silver Passive FoF

Scheme Name and Plan

Date & Stamp of Collection Centre / ISC

as per details below:

# **Declaration Form of Non-Profit Organization (NPO)**

(Mandatory for Trusts/Society)



Investor Name														
PAN														
I/We hereby confir [NPO] which has been of the Income-tax Registration Act, 1 section 8 of the cor	een co Act, 1 1860 (2	onstitu 961 (4 21 of 1	ted foo 13 of 1 1860)	religion 1961), or any	ous or and is simila	charita regis ar Stat	able pu tered a	irpose as a ti	referre rust or	ed to ir a soc	n claus iety ur	e (15) nder th	of section e Societie	es
Enclosed relevant	docum	nentar	y proo	fevide	encing	the ab	ove de	finatio	n.					
We further confirm that are as follows:	t we ha	ıve reg	jistere	d with	DARP	AN Po	rtal of	NITIA	ayog a	as NPC	) and ı	egistra	ation deta	ils
Registration Number of DARPAN Portal														
If not, please register DARPAN portal registr or report to the relevant	ation d t autho m that	etails, rities a the ab	MF/A as app ove st	MC/Rilicable	TA will e. entity / c	be req	uired t	o regis	ster yo	ur entit	ty on th	ne said	portal and	d/
I/We acknowledge and confirm above specified information is fines or consequences as required me/us or collect such fines/chentities] to disclose, share, relusion such information as and wher RTAs ('the Authorized Parties Intelligence Unit-India (FIU-IN agencies without any obligation Intermediaries or any other stainformed in writing about any other additional information as	found to uired und arges in y, remit in provide s') or any ND), the on of advatutory authanges /	be false er the re any other n any for d by me / Indian tax / revising me uthorities modification.	or untruspective er mann mod to any of or foreivenue a e/us of to sto faciliation to faciliation	e or mise statuto er as mile or ma of the Mile gn gove uthoritie he same itate singthe above	eleading of the second	or misrepements a pplicable / any of had, its Spall or state or outs r, I/We a dission / Lation in fi	oresenting and authore. I/We he inform consor, Autory or side Indiuthorize update & uture witl	ig, I/We orize you ereby a mation passet Ma judicial a where to share for regunin 30 da	am/are a u to dedu uthorize provided anageme authoriti ever it is e the givulatory pu ays of sue	ware that the let such for the let such for the let such for the let such that the l	at I/We nat I/We nat I/We nat I/We nat I/We nation to I/We als	nay be lia arges und AMC/Oth all chang stees, the cluding t and other o other S o underta	ble for it for a der intimation ar participatinges, updates eir employee to the Financer investigatinges.	any ing s to es / cial ion red
Signature with relevant se	eal:		1						1					_
Authorized Signa	itory			Au	thorized	d Signat	ory			Αι	uthorize	d Signa	itory	
Place:														

Date:\_\_\_/\_\_/

### **INSTRUCTIONS**

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

### 1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at application NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

### Applicant Information

- (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas
  address must be provided (mandatory). Alocal address if available should also be mentioned in the CAF.
- (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

### (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form.

### Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

### Individual Investors:

Individual Investors:

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRAsystem will be required to fill the new CKYC form while investing with the Fund.

(ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the new CKYC form or provide the missing /additionalinformationusing the Supplementary CKYC form.

(iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across.

(e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Ms. Karvy Fintech Pvt. Ltd. or send an email to customercare@miraeasset.com.

(f) (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf

(ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney.

(iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

### 3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on a. Cancelled original cheque or the new bank mandate with lirst until notice frame and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is

Itable to be rejected.

If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

4. Multiple Bank Accounts Registration Facility:
The unitholder may register more than one bank account through the 'Multiple Bank Accounts Registration Facility", to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

# 5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds: Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The

AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

## 6. Investment Details:

a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).

b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post cheque should be drawn in lavor of respective scrieme name. Non mitro Volisiation Unequestimoney Orders/Post. Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne

- by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. Please refer: Download Forms: Get Forms of Mutual Fund Online | Mirae Asset
- In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted. For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of
- Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

  Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

  Plized Investments please mention "Plized" in the column "Broker (Acent Code".

For Direct Investments, please mention "Direct" in the column "Broker / Agent Code"

- Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following
  - Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal
- guardian.

  Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions
- Custodian on behalf of an FII or a Client.
- f) Options Available: The Scheme offers two plans i.e. Regular and Direct and will have the following Options: (1) Growth Option and (2) Income Distribution cum Capital Withdrawal (IDCW), Option. IDCW may be declared by the Trustee, subject to the availability of distributable surplus as per the Regulations. Reinvestment of Income Distribution cum capital withdrawal option can be availed at Daily, Weekly (Record date: Wednesday) & Monthly basis (Record date: 24th of every month). Kindly read the SID for frequency availability in respective scheme applied for. Amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

  g) Physical Copies: Investors who requires physicals copies kindly reach us through email id:customercare@miraeasset.com
- Toll Free Number: 1800-2090-777.

### 7. Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the

### following procedure:

- 1. Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of e first holder and pattern of holding.
- 2. The CAS shall be generated on a monthly basis and shall be issued on or before 12th of the immediately succeeding month to the unit holder(s) in whose folio(s) transaction(s) has/have taken place during the month.
- 3. In case there is no transaction in any of the mutual fund folios then CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (i.e. September/ March)] 4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical mode.
- email / physical mode.

  5. Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time. address from time to time.

### Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is

this racing (uarisacitigease) eliables investors to datasaction the website of the Full williams. this::/ltmasct.miraeasesetmf.co.in/investor. This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical

Nomination Details: The Nomination Details will be as is Registered with Depository Participant for this application.

## Waiver of Entry Load and Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that

- the application is treated as a direct application:

  1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.
- Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as

"Direct" in the interest of the investors

11. Transaction charges (TC):
In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such 1°C, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you.If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

### Employee Unique Identification Number (EUIN):

Employee Unique Identification Number (EUIN):
In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions (execution only) & advisory transactions) obtained from AMF1 in the CAE. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals), Individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where

there is no interaction by the employee/sales person/relationship manager of the distibutor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

application form(s).

13. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information /documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

### **INSTRUCTIONS**

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any citizen or residence (1) US is the piace of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / l'Old address / hold mail address / Po Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (6) Having US beneficiary owners/shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

### 15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain As a part of regulatory process, the Nice Thay seek adultional personal, tax and beheincal owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group

ne investor may receive more than one request for information if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If

no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

### Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more
  established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).
- b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market
- c. Active NFE: (is any one of the following):

### Code Sub-category

- Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
- The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
- Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or roviding financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
- The NFE is not yet operating a business and has no prior operating history, but is investing capital into asse with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall no qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
- The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
- The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
- Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other thar pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's climitation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely-. (1) an Industries Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively fo Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of
- The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.
- d. Dodument Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D' Driving License; "E" NREGA Job Card.
- $e.\,Exemption\,code\,for\,U.S.\,person\,(Refer\,114F(9)\,of\,Income\,Tax\,Rules,1962\,for\,details.$
- (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37), (ii) The United States or any of its agencies or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i). (v) A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i). (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state. (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (x) Abank as defined in section 581. (xi) Abroker.
- (xii) A trust exempt from Tax under section 664 or described in section 4947(a)(1). (xiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Payout of Income Distribution cum capital withdrawal options; Interest; income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least interest, Rents and royalities, other man rents and royalities derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annutties; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent in there is a change in circumstances; and The designated withholding agent agrees to report the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:
- No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.
- · For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.
- In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable Net Asset Value.

### 17. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration

### A Ultimate Beneficial Owner means:

I. For Investor other than Trust: A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

B Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (FlIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

CIR/MIRSD/11/2012 dated September 5, 2012.

C UBO Code Description: UBO-1: Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust, UBO-9: The Protector(s) of the Trust (BO-9: The beneficiaries with 15% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions. In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code: The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

# 20. Instruction for Nomination:

A.Nomination shall be mandatory for single holding only. The requirement of nomination shall be optional for jointly held accounts / folios.

B.Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.

 $\hbox{C.Nomination is not allowed in a folio of a Minor unitholder}.$ 

D.If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis)

E.A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.

F. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated

by virtue of his office or a religious or charitable trust.

G.The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.

H.A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.

In An order resident indiant may be not influenced supplication exact angle continuity guardines. In Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of 10 nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding up to a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.

J. Every new nomination for a folio/account shall overwrite the existing nomination, if any.

K. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account. L.Nomination shall stand rescinded upon the transfer of units.

M.Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/sis/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.

N.Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).

O.Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.

P.Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.

. Q. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC

R.In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

S.Nomination section (Opt-In/ Opt-Out) shall be mandatory for all new folios which are opened by individual (Single Holding) and no new folios will be created without such details. The AMC has the discretion to reject the application in case the nomination section is incomplete or left blank

# Acceptance of financial transactions received through email by a non-individual entity 1. AMC shall obtain a Board resolution and authority letter from the entity

- Documents exceuted through affixing DSC shall be valid and binding on the non individual investor even if it is not received thorugh the official email id, the domain name should be that of the same organisation
- Scanned copy of the transaction letter/request form signed by the employee of the non individual investor/ MFD may also be accepted provided that the domain of the sender is from the same oraganisation
- 4. Change in bank details/addition in bank details/change in registered email address or contact details shall not be allowed through email

# APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET GOLD SILVER PASSIVE FOF



								Mutua	l Fund
Na	ame & Broker Code/ ARN/RIA Code	Sub Brok Agent ARN		Sub Agent Code	•	EUIN*	Internal	Code for AMC	ISC Date Time Stamp Reference No.
box has been in in-appropriater	intentionally left blank by me/us as th	nis transaction is executed /ee/relationship manager/s	without any in sales person o	teraction or advice by the of the distributor/sub broken	employee/re er. <b>RIA/Dec</b>	elationship manager, laration: "I/We herel	/sales person by give you m	of the above distributor/ y/our consent to share/p	on EUIN. I/We hereby confirm that the EUIN sub broker or notwithstanding the advice or provide the transactions data feed/portfolio
J	,			3,,					
Sign of 1s	st Applicant/ Guardian/ Auth. Sign	natory/ PoA/ Karta	Sign of 2	2nd Applicant/ Guardian	Auth. Sigr	natory/ PoA/ Karta	Sig	n of 3rd Applicant/ Gu	ardian/ Auth. Signatory/ PoA/ Karta
1. EXIST	TING UNIT HOLDER INFOR	MATION (The detail	s in our re	cords under the fol	io numbe	er mentioned w	ill apply fo	r this application.	)
Folio No.:				e of 1 <sup>st</sup> Unit Holder					
	TIONAL PURCHASE IN EXI			E THE SWITCH OU			NTENDED	Ord Applicant	
KYC compli	iance status: Please (✓)		Applicant		2 <sup>™</sup> Appl			3 <sup>rd</sup> Applicant	
Scheme -					☐ Di	gular Plan  rect Plan	Growth (De	' I	Payout   IDCW* Reinvestment   Frequency^
f frequency is r	cy is applicable only for the Mirae not selected the default option wo	e Asset Liquid Fund, Mira ould be daily for IDCW re	e Asset Over investment.	rnight Fund & Mirae Ass For IDCW payout defau	et Low Dur It frequenc	ation Fund. y in monthly. ** Inco	ome Distribut	ion cum capital withdra	awal, refer SID for the more details.
Payment Ty	ype: Please (✔)	☐ Non-Third Par	ty Paymer	nt 🔲 Third Pa	rty Payn	nent (Please att	ach 'Third	Party Payment De	claration Form')
Core Banki	ing A/c No.:					A/c. Ty <sub>l</sub>	pe Please (	/)	CURRENT SAVINGS NRC
Cheque /	/ DD / UTR No. & Date	Amount of Chequ RTGS / NEFT in fig		Net Purchas Amount	se	Drawn on E Branch		-	r-In Bank A/c No. or Cheque Only)
			<u> </u>			<u> </u>		(-	,,
	TION CHARGES (Refer Inst n charges, ₹100/- will be d								
2a. DEMA	AT ACCOUNT DETAILS - Mand	atory for units in Dema	at Mode - Pl	ease ensure that the s	equence	of names as ment	tioned as giv	ven in folio, matches	s as per the Depository Details.
National S	Securities Depository I	Limited (NSDL)			Central	Depository S	Services	(India) Limited	(CDSL)
DP Name:	:				DP Nan	ne:			
DP ID I	N	Beneficiary A/c No.			16 Digit A	/C No.			
	• • •	Client Masters List (C	•			Holding Stateme		D	elivery Instruction Slip (DIS)
3. SWITC	CH REQUEST - I WISH TO S	SWITCH UNITS / AM	OUNT AS	UNDER CONSIDER	ING ABO	OVE THE PURC	HASE		
From Sche	eme -					egular Plan [	Growth (D	'I	Payout IDCW* Reinvestment Frequency^
DCW frequenc f frequency is r	cy is applicable only for the Mirae not selected the default option wo	e Asset Liquid Fund, Mira ould be daily for IDCW re	e Asset Over investment.	rnight Fund & Mirae Ass For IDCW payout defau	et Low Dur It frequenc	ation Fund. y in monthly. ** Inco	ome Distribut	ion cum capital withdra	awal, refer SID for the more details.
Amount (in	n figures) (₹):			Or Unit	s (in figu	ıres):			Or All Units
Amount (in	n words) (₹):								
To Scheme	e: Mirae Asset Gold Sil	Iver Passive FoF							
To The Trustees,		A) Having read and understood	the contents of	the SID of the Scheme applied	for (Including	the scheme(s) availabl			ereby apply for units of the said such scheme and
provisions of the	Income Tax Act, Anti Money Laundering I	Laws or any other applicable la	ws enacted by t	he Government of India from ti	ime to time. (0	C) Signature of the nomi	inee acknowledg	ging receipts of my/our credi	signed for the purpose of the contravention of any it will constitute full discharge of liabilities of Mirae
information/detai	ills with the AMC / Fund/Registrars and Tra	ansfer Agent (RTA) from time to	time. I/We here	by confirm that the AMC/Fund	shall have th	e right to share my inforr	mation and other	r details with the regulatory	nited (AMC) / Fund and undertake to update the and government authorities as and when needed.
form of trail comn	mission or any other mode), payable to hir	m for the different competing S	chemes of vario	us Mutual Funds from amongs	st which the S	cheme is being recomm	nended to me/us	. (F) I/We hereby confirm the	nas disclosed to me/us all the commissions (in the at I/We have not been offered/communicated any Applicable to Investors availing the online facility:
I/We have read, u	understood and shall be bound by the terr	ms & conditions of the PIN agre	ement available	e on the AMC website for trans	acting online	. (H) RIA: I/We hereby a	gree to consent	the AMC to share my transa	action details to the registered investment advisor /are "Person Resident in India" and are allowed to
invest into the Sc	cheme as per the said FEMA regulations a	and other applicable laws and r	egulations. (J) I	/ We confirm that I am / We are	not United S	tates person(s) under th	ne laws of United	d States or resident(s) of Ca	nada. In case of change to this status, I / We shall g with the FATCA & CRS Instructions) and hereby
confirm that the ir	information provided by me / us on this For	rm is true, correct, and complet	e. I / We also co	nfirm that I / We have read and	understood t	he FATCA& CRS Terms	and Conditions	and hereby accept the sam	e. In case the above information is not provided, it nt of units, if subsequently it is found that applicant
	he facts of beneficial ownership. I/We also ereby voluntarily submit Aadhar card to the			out any changes/modification	to the above i	nformation in future & a	lso undertake to	provide any other additiona	al information as may be required at your end. (L)
NLEDGMENT SLIP	ceived Application from M	r. / Ms. / M/s							as per details below:
N W W	Scheme Name	e and Plan			ment D	etails		Date & Stamp	of Collection Centre / ISC
W M	lirae Asset Gold Silver F	Passive FoF		mount (Rs) Cheque / DD No.:					

Dated\_

Bank & Branch

(AUTO SWITCH OUT APPLICATION FORM)

- 1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of Mirae Asset Mutual Fund (MAMF) only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
- 2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website www.miraeassetmf.co.in carefully before investing.
- 3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of Mirae Asset Mutual Fund to switch their units. MAMF reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID The application for Auto Switch will be processed on the closing day of the NFO.
- 4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
- 5. Auto-Switch Transaction reported from Offshore FOF schemes will be process with NAV as per below mentioned table 5a.The acceptance of Auto-switch transaction cut off is as mentioned below:

SCHEME NAME/ CATEGORY	Last date of Acceptance of Auto Switch Transaction
MIRAE ASSET NYSE FANG + ETF Fund of Fund	21-Aug-2025 [CUTOFF 15:00 HOURS]
MIRAE ASSET S&P 500 TOP 50 ETF Fund of Fund	21-Aug-2025 [CUTOFF 15:00 HOURS]
Mirae Asset Global X Artificial Intelligence & Technology ETF Fund of Fund	21-Aug-2025 [CUTOFF 15:00 HOURS]
MIRAE ASSET HANG SENG TECH ETF Fund of Fund	21-Aug-2025 [CUTOFF 15:00 HOURS]
Mirae Asset Global Electric & Autonomous Vehicles ETFs Fund of Fund	21-Aug-2025 [CUTOFF 15:00 HOURS]
Liquid and Debt Funds	25-Aug-2025 [CUTOFF 15:00 HOURS]
Equity Funds	25-Aug-2025 [CUTOFF 15:00 HOURS]

- 6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
- 7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes Specified Schemes allotment date.
- 8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
- 9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
- 10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
- 11. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- 12. The Application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- 13.MAMF reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
- 14. Employee Unique Identification Number (EUIN) would assist in tackling the problem of mis-selling even if the employee/ relationship manager / sales person leave the employment of the distributor.

SIP ENROLMENT CUM rith Goal SIP & Top - Up Fac	cility	Registration Cu	(OIM) FORM um Mandate Form For NAC	CH/Direct Debit	rae <del>asse</del> t		
	A	pplication No.:		Mutua	Mutual Fund		
Name & Broker Code/ ARN/RIA Code	Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.		

EUIN Declaration: Declaration for "Execution Only" Transaction (where Employee Unique Identification Number-EUIN\* box is left blank). Please refer instruction KIM for complete details on EUIN. I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. RIA/Declaration: "I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA". Please SIP ENROLMENT with One Time Mandate (OTM) (Please fill all sections) SIP Top-up Facility Goal SIP EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.) Name of 1st Unit Holder(as per PAN card) Folio No. SIP ENROLMENT DETAILS (Please check the Minimum Amount Criteria for the scheme applied for. [Refe Frequency Please 🕢 🗌 Monthly (Default) Quarterly Regular Plan Direct Plan Growth ☐ IDCW Payout □ IDCW Reinvestment Scheme: Mirae Asset Gold Silver Passive FoF \*IDCW - Income Distribution Cum Capital Withdrawal (Please choose Any Date from 1st till 28th of the month, SIP Date If left blank 5th will be considered as the default date) SIP Start Month (MM/YY) SIP End Month (MM/YY) Goal SIP - Do you want to assign a goal for your SIP. Yes No If yes please select (✓) your goal [Refer General Instruction No. 24] If Goal & SIP amount is same default will be taken as ₹ 1 crore Goal Amount ₹ Retirement Planning (Default) ☐ Tax Savings ☐ Dream House □ Dream Vacation Others-Dream Car Kids Marriage SIP TOP-UP FACILITY (You can start SIP Top-up facility after minimum 6 months from 1st SIP) [Refer General Instruction No. 23] All Applicants have to submit NACH mandate and will need to fill the maximum amount in line with Top Up amount, SIP amount & tenure. (Not available for micro SIPs) (minimum ₹ 500/- & in multiples of ₹ 1/- only) **Top-up Start Month (MM/YY)** Top-up End Month (MM/YY) Top-up Amount (₹) Existing Investors Availing Top-Up: Please provide current SIP IH Number as per SOA Frequency Please 🗸 🗌 Half Yearly 🔲 Yearly (Default) SIP PAYMENT DETAILS (New Investors - Please provide copy of cancelled cheque and mention relevant SIP details in the form and One Time Mandate.) Cancelled cheque Leaf First SIP Cheque No. Drawn on Bank DECLARATION & SIGNATURE: To The Trustees, Mirae Asset Mutual Fund - Having read and understood the contents of the SID of the Scheme applied for (Including the scheme(s)); I/We hereby apply for units of the said such scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme & conditions of SIP enrolment and registration through NACH/ECS or Direct Debit (Auto Debit). I/We also agree that if the transaction is delayed or not effected for reasons of incomplete or incorrect or any other operational reasons, I/We would not hold Mirae Asset Investment Managers (India) Private Limited, their appointed service providers or representatives responsible. I/We also undertake to keep sufficient funds in my bank account on the date of execution of the said standing instructions. "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us". "I/We have not made any other Micro application [including Lumpsum + SIPs] which together with the current application would result in aggregate investments exceeding ₹50,000 in a rolling 12 month period or in a financial year". **UMRN** Date MIRAE ASSET 0 0 0 0 0 0 5 ✓ CREATE X MODIFY Utility Code N A 0 0 1 X CANCEL I/We hereby authorize Mirae Asset Investment Managers (India) Pvt. Ltd. Sponsor Bank Code To Debit (tick ✓) SB □ CA SB-NRE ☐ SB-NRO Other Bank A/c IFSC / MICR With Bank ₹ An Amount Of Rupees DEBIT TYPE X Fixed Amount X Mthly X Qtly X H-Yrly ✓ Maximum Amount **FREQUENCY** X Yrly As & when presented Reference 1 Reference 2 1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. 3.I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit. PERIOD Maximum period of validity of this mandate is 40 years only From То Maximum period of validity of this mandate is 40 years only

Phone No.