

KEY INFORMATION MEMORANDUM

ICICI PRUDENTIAL ACTIVE MOMENTUM FUND

(An open ended equity scheme following momentum theme)

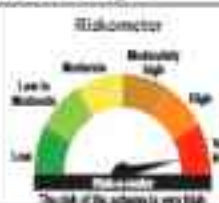
This scheme is suitable for investors who are seeking*:

- Long Term Wealth Creation
- An open ended equity scheme investing in equity and equity related instruments of companies reflecting momentum factors.

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Benchmark of the Scheme as per AMFI Tier 1 benchmark

(Nifty 500 TRI)



It may be noted that the scheme risk-a-meter specified above is based on the internal assessment of the scheme characteristics and may vary post IPO when the actual investments are made. The same shall be updated on ongoing basis in accordance with clause 17.4 of the SEBI Master Circular on Mutual Funds dated June 27, 2024 (Master Circular).

Offer of Units of Rs. 10 each during the New Fund Offer period and at NAV based prices on an on-going basis.

New Fund Offer opens	New Fund Offer closes
July 01, 2025	July 22, 2025

In accordance with the Master Circular, the AMC reserves the right to make any changes in the dates of the New Fund Offer (NFO) subject to the conditions that in case of pre-close the NFO shall be open for a minimum of three working days and the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI. The AMC shall publish an addendum to this effect on the website of the AMC and in one national and one regional newspaper of region where the Head office of AMC is situated.

The Scheme will re-open for continuous Sale and Repurchase within 5 business days from the date of closure.

Face Value of units of the Scheme is Rs. 10/- per unit.

Scheme Code ICIC/O/E/THE/25/01/0196

Name of Mutual Fund	ICICI Prudential Mutual Fund
Name of Asset Management Company	ICICI Prudential Asset Management Company Limited (Corporate Identity Number: U33399DL1993PLC054136)
Address of the Asset Management Company	Regd. Office: 12th Floor, Narain Mantri, 23, Barakhamba Road, New Delhi-110 001. Corporate Office: ICICI Prudential Mutual Fund Tower, Vastola, Santacruz East, Mumbai - 400655 Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 28862000, Fax: (91)(22) 2896 8933.
Name of the Trustee Company	ICICI Prudential Trust Limited (Corporate Identity Number: U74609DL1993PLC04154)
Address of the Trustee Company	12th Floor, Narain Mantri, 23, Barakhamba Road, New Delhi - 110 001.
Website	www.icicimutuals.com

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by AMC, Key Personnel, investor's rights & services, risk factors, penalties & litigations etc. investor should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre or distributors or from the website www.icicimutuals.com

The particulars of ICICI Prudential Active Momentum Fund (the Scheme) have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations 1996, (herein after referred to as SEBI (Mutual Funds) Regulations/SEBI Regulations) as amended till date, and filed with SEBI, along with a Due Diligence Certificate from the AMC. The units being offered for public subscription have not been approved or recommended by SEBI nor has SEBI certified the accuracy or adequacy of the Scheme Information Document.

This Key Information Memorandum is dated June 24, 2025.

INVESTMENT OBJECTIVE:

To generate long-term capital appreciation by investing in Equity & Equity related Instruments of companies reflecting momentum factors.

However, there can be no assurance or guarantee that the investment objective of the Scheme will be achieved.

ASSET ALLOCATION PATTERN:

Under normal circumstances, the asset allocation of the Scheme would be as follows:

Instruments	Indicative allocation (% of total assets)	
	Minimum	Maximum
Equity & Equity related instruments of Companies reflecting momentum factors	80	100
Other Equity and Equity related instruments	0	20
Debt & Money market instruments	0	20
Units issued by REITs and InvITs	0	10

The Margin may be placed in the form of such securities / instruments / deposits as may be permissible to be placed as margin from the assets of the Scheme. The securities / instruments / deposits so placed as margin shall be classified under the applicable category of assets for the purposes of asset allocation.

The Cumulative Gross Exposure across Equity, Debt, Derivatives, ADR/GDR, Foreign securities/Overseas ETFs, REITs and InvITs, Preference Shares and such other securities/assets as may be permitted by SEBI should not exceed 100% of the net assets of the scheme.

Exposure to various instruments will be as per the indicative table given below (below percentages shall be subject to applicable SEBI circulars).

Sr. No.	Type of Instrument	Percentage of exposure	Circular reference
1.	Block Lending	Up to 25% of net assets and single intermediary (broker) limit upto 5% of net assets.	Paragraph 12.11 of the Master Circular
2.	Derivatives	As follows	Paragraph 12.25 of the Master Circular
2.a)	Equity Derivatives for non-hedging purposes	up to 50% of the equity portfolio.	
2.a.i)	Equity derivatives for hedge purposes	up to 50% of the equity portfolio.	
2.b.i)	Debt Derivatives (hedge exposure) through instruments such as interest rate swaps and forward rate agreements	up to 50% of the debt portfolio.	
2.b.ii)	Debt Derivatives (non hedge exposure) through instruments such as interest rate swaps and forward rate agreements	up to 50% of the debt portfolio.	
3.	Securitized Debt	Up to 40% of the debt portfolio.	Paragraph 12.15 of the Master Circular
4.	ADR/GDR/Foreign securities/Overseas ETFs	Up to 25% of the net assets	Paragraph 12.19 of the Master Circular
5.	REITs and InvITs	Up to 10% of the total assets	Paragraph 12.21 of the Master Circular
6.	Structured Obligations and Credit Enhancements	Up to 10% of the debt portfolio of the scheme and the gross exposure in such instruments shall not exceed 5% of the debt portfolio of the schemes. a. Unapproved rating of debt instruments (i.e. without factoring-in credit enhancement) b. Below investment grade and c. Supported rating of debt instruments (i.e. after factoring-in credit enhancement) is above investment grade.	
7.	AT1 and Tier II bonds	Nil	Not applicable

Through instruments such as Index Futures, stock futures, Index Options, stock options including writing of covered call options.

The Scheme can take derivatives positions for stock derivatives, as permitted by SEBI.

- The Scheme may invest up to US \$10 million in in GDRs/ADRs/foreign equity securities/instrument funds and such limit shall be utilized in accordance with paragraph 12.19 of the master circular. Further, the said limits shall be valid for a period of six months from the date of closure of AFO. Post completion of the six-month period, the Scheme would invest up to 35% of the net assets in ADRs/GDRs/foreign securities/overseas ETFs, subject to the provisions of para 12.19 of the Master Circular and other relevant circulars as applicable. The limits would be soft limits for the purpose of reporting on monthly basis.
- Investment in Foreign ETF has currently been suspended pursuant to communication received from AMFI dated March 20, 2024, and the same shall be resumed in accordance with directions received from SEBI/AMFI in this regard from time to time, and in line with paragraph 12.19 of the Master Circular.
- In accordance with the seventh schedule of the SEBI (Mutual Funds) Regulations, 1996, the Scheme may invest in other Schemes under the same AMC or any other Mutual Fund without charging any fees, provided the aggregate inter-Scheme investment made by all the Schemes under the same management or in Schemes under management of any other asset management company shall not exceed 5% of the Net Asset Value of the Fund. No investment management fees shall be charged for investing in other Schemes of the Fund or in the Schemes of any other mutual fund.
- Debt Instruments will also include investment in Non-Convertible Preference Shares (NCPs) and the same shall be in accordance with paragraph 12.10 of the Master Circular. The investment restrictions as applicable to debt instruments shall also be applicable to NCPs.
- A part of the net assets may be deployed in the Tripartite Repo on Government securities or treasury bills (TREPS) to meet the liquidity requirements.

The Scheme may invest up to 20% in other equity and equity related instruments.

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. AMFI vide letter dated November 3, 2021 has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.

➤ Rebalancing due to Short Term Defensive Consideration:

Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations as per Para 1.14.1.2b of SEBI Master Circular on Mutual Funds dated June 27, 2024, and the fund manager will rebalance the portfolio within 30 calendar days from the date of deviation.

➤ Rebalancing due to Passive Breaches:

Further, as per Para 2.8 of SEBI Master Circular on Mutual Funds dated June 27, 2024, in any event, from time to time, in the event of deviation from mandated asset allocation due to passive breaches (consequence of technical, not arising out of omission and commission of the AMC), the fund manager shall rebalance the portfolio of the Scheme within 30 Business Days. In case the portfolio of the Scheme is not rebalanced within the period of 30 Business Days, justification in writing, including details of efforts taken to rebalance the portfolio must be placed before the Investment Review Committee of the AMC. The Investment Review Committee, if it so desires, can extend the timeline for rebalancing up to sixty (60) Business Days from the date of completion of mandated rebalancing period. Further, in case the portfolio is not rebalanced within the aforementioned mandated plus extended timelines the AMC shall comply with the prescribed restrictions, the reporting and disclosure requirements as specified in Para 13 of the Master Circular.

The AMC shall comply with the provisions of the applicable regulatory guidelines for all reporting and disclosure requirements, etc. as may be specified from time to time.

The securities mentioned in the asset allocation pattern should be primarily placed or unsecured. The securities may be acquired through secondary market purchases, Public Offering, other public offers, Private Placement, right offers (including renunciation) and negotiated deals.

➤ Deployment of Funds collected in New Fund Offer (NFO) period

Pursuant to SEBI Circular dated February 27, 2025, the AMC shall deploy the funds gathered during the NFO within 30 business days from the date of allotment of units. If the AMC is unable to deploy the funds within the 30 business day period, it writes explanation, including details of the efforts taken to deploy the funds, must be presented to the AMC's Investment Committee. The Investment Committee may extend the deployment timeline by up to 30 business days and will provide recommendations to ensure timely deployment in the future.

In case the funds are not deployed as per the asset allocation mentioned in the SFO as per the (stipulated) mandated plus extended timelines, AMC shall:

- not be permitted to receive fresh flows in the Scheme till the time the funds are deployed as per the asset allocation mentioned in the SFO;
- not be permitted to levy exit load, if any, and the investors selling the Scheme after 90 business days of not complying with the asset allocation of the scheme;
- inform all investors of the NFO, about the exit option without exit load, via email, SMS or other similar mode of communication;
- report deviation, if any, to Trustees at each of the above stages.

Apart from the above investment restrictions, the Scheme may follow certain

internal norms, viz. viz. limiting exposure to stocks, sectors etc., within the above mentioned restrictions, and these are subject to review from time to time.

Negative list: The Scheme will not invest/have exposure in the following:

Sr. No.	Particulars
1.	Credit default swaps
2.	Short Selling
3.	Derivatives (Bespoke)
4.	AT1 & Tied B Bonds

INVESTMENT STRATEGY:

The Scheme follows active investment strategy. The Scheme intends to generate long term capital appreciation by investing in broad range of companies & securities risk through diversification.

The Scheme will apply filters to the benchmark universe, based on risk, governance etc. criteria to narrow down the investable universe of stocks. Further, a proprietary momentum model will be used for stock-picking.

The model and portfolio shall be reviewed by the Fund Manager on a periodic basis and accordingly suitable changes shall be carried out. The changes in the portfolio pursuant to the review may involve both sale and purchase, both partial and complete, of the existing stocks and purchase of new stocks, if any.

The factors will be reviewed on a regular basis and changes shall be made to the Scheme portfolio at periodic intervals and/or at the discretion of the fund manager. The changes in the portfolio pursuant to the review may involve both sale and purchase, both partial and complete, of the existing stocks and purchase of new stocks, if any.

The Scheme may use derivative instruments like Stock / Index Futures or Options, Interest Rate Swaps, Interest Rate Futures, Forward Rate Agreements or other instruments for the purpose of hedging, portfolio balancing and other purposes, as permitted under the Regulations. Hedging using Interest Rate Futures could be perfect or imperfect, subject to applicable regulations. Usage of derivatives may expose the Scheme to certain risks inherent to such derivatives. For complete details on 'Derivative Strategies' kindly refer to SAI.

The Scheme may also invest up to 35% in interest markets in equity & equity related instruments including Global Depository Receipts (GDRs), ADRs, foreign securities, bonds and mutual funds and such other instruments as may be allowed under the Regulations from time to time.

Further, the Scheme may invest in other schemes managed by the AMC or in the schemes of any other Mutual Fund as terms of the prevailing Regulations. As per the Regulations, no investment management fees will be charged for such investments.

The Scheme may also invest in Debt and Money Market Securities/Instruments. The Scheme may also take exposure to Units of other Mutual Fund schemes. The Scheme aims to identify debt/money market securities which offer optimal level of yield/return, considering risk-reward ratio. With the aim of controlling risks, rigorous in-depth credit evaluation of the securities proposed to be invested in will be carried out by the Risk Management Team of the AMC. The credit evaluation includes a study of the operating environment of the issuer, the short as well as long-term financial health of the issuer. Rated debt instruments in which the Scheme invests will be of investment grade as rated by a credit rating agency. The AMC may consider the ratings of such rating agencies as approved by SEBI to carry out the functioning of rating agencies. The Scheme may invest in securitized debt. The Scheme may also undertake repo transactions in corporate debt securities in accordance with the directions issued by RBI and SEBI from time to time. Such investment shall be made subject to the guidelines which may be prescribed.

For the present, the Scheme does not intend to enter into underwriting obligations. However, if the Scheme does enter into an underwriting agreement, it would do so after complying with the regulations and with the prior approval of the Board of the AMCTrustee.

The Scheme may invest in units issued by REITs & InvITs and other permissible asset classes.

RISK PROFILE OF THE SCHEME

Mutual Fund (Units) involve investment risks (including the possible loss of principal). Please read the SFO carefully for details on risk factors before investment. Scheme Specific Risk Factors summarized below.

For details on risk factors and risk mitigation measures, please refer SFO.

The scheme shall predominantly invest in equity and equity related instruments of companies reflecting momentum characteristics. Momentum characteristics are identified based on Price Momentum (and/or Estimates Momentum) at other non-fundamental factors like volatility, beta, market caps, etc. Price Momentum strategy identifies stocks with favorable price trends across different time periods whereas Estimates Momentum strategy selects stocks based their earnings momentum & trends in analyst ratings for the stocks. The scheme can therefore have concentrated exposure to some sectors (weightages may be different from benchmark index). The scheme's flexibility to have higher weights in certain sectors compared to the benchmark index may lead to increased concentration risk.

Market Risk

The Scheme's NAV will react to the stock market movements. The investor could lose money over short periods due to fluctuation in the Scheme's NAV in response to factors such as economic and political developments, changes in interest rates and prevailing trends in stock prices and market movements, and over longer

periods during market downturns.

Settlement Risk

The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities as in certain cases, settlement periods may be extended significantly by unforeseen circumstances. Similarly, the inability to sell securities held in the Scheme portfolio may result, at times, in potential losses to the Scheme, and there can be a subsequent decline in the value of the securities held in the Scheme's portfolio.

Portfolio Concentration Risk

To the extent that the Scheme may concentrate its investments in the Securities of companies of certain companies/sectors, the Scheme will therefore be subject to the risks associated with such concentration. In addition, the Scheme may be exposed to higher levels of volatility and risk than would generally be the case in a more diverse fund portfolio of equity Securities. Such risks may impact the Scheme to the extent that it invests in particular sectors even in cases where the investment objective is more generic.

Volatility Risk

The equity markets and derivative markets are volatile and the value of securities, derivative contracts and other instruments correlated with the equity markets may fluctuate dramatically from day to day. This volatility may cause the value of investment in the scheme to increase.

Redemption Risk

Investors should note that even though the Scheme is an open ended Scheme, subscription/redemptions directly with the Fund would be subject to minimum application amount.

Right to Limit Redemptions

The Trustee, in the general interest of the Unit holders of the Scheme offered in this Document and keeping in view the unforeseen circumstances / unusual market conditions, may limit the total number of Units which can be redeemed on any Business Day. The same shall be in accordance with paragraph 1.12 of the Master Circular (Electricity) on redemption in Mutual Funds.

Risk associated with investing in equities and equity related instruments

- The value of the Scheme's investments, may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government, taxation laws or any other appropriate authority policies and other political and economic developments which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets. Consequently, the NAV of the Units of the Schemes may fluctuate and can go up or down.
- i. Investors may note that AMC/Fund Manager's investment decisions may not be always profitable, as actual market movements may be at variance with anticipated trends, trading volumes, settlement periods and transfer procedures may restrict the liquidity of these investments. Different segments of the Indian financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances. The inability of the Schemes to make intended securities purchases due to settlement problems could cause the Schemes to miss certain investment opportunities.
- ii. The Mutual Fund may not be able to sell / lend out securities, which can lead to temporary illiquidity. There are risks inherent in securities lending, including the risk of failure of the other party. In this case the approved intermediary is comply with the terms of the agreement. Such failure can result in a possible loss of rights to the collateral, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of corporate benefits accruing thereon.
- iii. Investors may note that the dividend is due only when declared and there is no assurance that a company (even though it may have a track record of payment of dividend in the past) may continue paying dividend in future. As such, the schemes are vulnerable to instances where investments in securities may not earn dividend or where issuer dividend is declared by a company in subsequent years in which investments are made by the scheme. As the profitability of companies are likely to vary and have a material bearing on their ability to declare and pay dividend, the performance of the schemes may be adversely affected due to such factors.
- iv. Securities, which are not quoted on the stock exchanges, are inherently illiquid in nature and carry a larger amount of liquidity risk, in comparison to securities that are listed on the exchanges or offer other exit options to the investor, including a put option.
- v. While securities that are listed on the stock exchange carry lower liquidity risk, the ability to sell these investments is limited by the overall trading volume on the stock exchanges. The liquidity of the Schemes' investments is inherently impacted by trading volumes in the securities in which it invests. This may however increase the risk of the portfolio.
- vi. Fund manager endeavours to generate returns based on certain post statistical trend. The performance of the schemes may get affected if there is a change in the said trend. There can be no assurance that such historical trends will continue.
- vii. In case of abnormal circumstances, it will be difficult to complete the share off transaction due to liquidity being poor in stock (unsubscribed) market. However, the Scheme will aim at taking exposures into relatively liquid stocks where there will be normal risk to square off the transaction. The Schemes investing in foreign securities will be exposed to settlement risk, as different

countries have different settlement periods.

viii. Changes in Government policy in general and changes in tax benefits applicable to mutual funds may impact the returns to investors in the Schemes or business prospects of the Company in any particular sector.

ix. In case of warrants, a relatively small movement in the price of the underlying security results in a disproportionately large movement, unfavourable or favourable, in the price of the warrant. The prices of warrants can therefore be volatile.

x. It is essential for the investors to understand that the right to subscribe which a warrant confers is invariably brot in time with the consequence that if the investor fails to exercise this right within the predetermined time –scale then the investment becomes worthless. Investment in a warrant can result in a total loss of the money invested plus any commission or other transaction charges.

Risk associated with investing in fixed income and money market securities:

- **Market Risk:** The Net Asset Value (NAV) of the underlying scheme, to the extent invested in fixed income securities, will be affected by changes in the general level of interest rates. The NAV of the underlying scheme is expected to increase from a fall in interest rates while it would be adversely affected by an increase in the level of interest rates.
- **Liquidity Risk:** The liquidity of a security may change depending on market conditions leading to changes in the liquidity premium linked to the price of the security. At the time of selling the security, the security can become illiquid leading to loss in the value of the portfolio. Money market securities, while fairly liquid, lack a well-developed secondary market, which may restrict the selling ability of the Scheme and may lead to the Scheme incurring losses till the security is finally sold.
- **Credit Risk:** Investments in fixed income securities are subject to the risk of an issuer's inability to meet interest and principal payments on its obligations and market perception of the creditworthiness of the issuer.
- **Price Risk:** Government securities, where a fixed return is offered has price-risk like any other fixed income security. Generally, when interest rates rise, prices of fixed income securities fall and when interest rates drop, the prices increase. The extent of fall or rise in the price is a function of the existing coupon, days to maturity and the increase or decrease in the level of interest rates. The new level of interest rate is determined by the rates at which government raises new money under the price levels at which the market is already dealing in existing securities. The price-risk is not unique to Government Securities. It exists for all fixed income securities. However, Government Securities are unique in the sense that their credit risk generally remains zero. Therefore, their prices are influenced only by movement in interest rates in the financial system.
- **Reinvestment Risk:** This risk refers to the interest rate levels at which cash flows received from the securities in the underlying scheme are reinvested. The additional income from reinvestment is the "Interest on Interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.
- **Settlement risk:** The inability of the underlying scheme to make intended securities purchases due to settlement problems could cause the underlying scheme to miss certain investment opportunities. By the same rationale, the inability to sell securities held in the underlying scheme's portfolio due to the extraneous factors that may impact liquidity would result, at times, in potential losses to the Plan, in case of a subsequent decline in the value of securities held in the underlying scheme's portfolio.
- **Regulatory Risk:** Changes in government policy in general and changes in tax benefits applicable to Mutual Funds may impact the returns to investors in the underlying scheme.
- **Risks associated with investment in unlisted securities:** Except for any security of an associate or group company, the underlying scheme has the power to invest in securities which are not listed on a stock exchange or in unlisted securities which in general are subject to greater price fluctuations, less liquidity and greater risk than those which are traded in the open market. These securities may lack a liquid secondary market and there can be no assurance that the underlying scheme will realise their investments in these securities at a fair value.
- Different types of fixed income securities in which the underlying scheme(s) would invest or given in the Scheme Information Document carry different levels and types of risk. Accordingly, the underlying scheme(s) risk may increase or decrease depending upon its investment pattern. e.g. corporate bonds carry a higher level of risk than Government securities. Further even among corporate bonds, bonds, which are AA+ rated, are comparatively less risky than bonds, which are A+ rated.
- The AMC may, considering the overall level of risk of the portfolio, invest in lower rated securities offering higher yields as well as zero coupon securities that offer attractive yields. This may increase the absolute level of risk of the underlying scheme(s).
- As zero coupon securities do not provide periodic interest payments to the holder of the security, these securities are more sensitive to changes in interest rates. Therefore, the interest rate risk of zero coupon securities is higher. The underlying scheme(s) may choose to invest in zero coupon securities that offer attractive yields. This may increase the risk of the portfolio.
- Securities, which are not quoted on the stock exchanges, are inherently illiquid in nature and carry a larger amount of liquidity risk, in comparison to securities that are listed on the exchanges or offer other exit options to the investor, including a put option. The AMC may choose to invest in unlisted securities that offer attractive yields. This may increase the risk of the portfolio.
- The underlying scheme(s) at times may receive large number of redemption

- requests, leading to an asset-liability mismatch and therefore, requiring the investment manager to make a distress sale of the securities leading to devaluation of the portfolio and consequently resulting in investment in lower yield instruments.
- Scheme's performance may differ from the benchmark index to the extent of the investments held in the debt segment, as per the investment pattern indicated under normal circumstances.
- The scheme may also invest in debt schemes of ICICI Prudential Mutual Fund or other schemes including debt ETFs which has objective to invest in debt and money market instruments and are subject to risks as stated above.

Risks associated with investing in units of mutual funds

The scheme may make investments in units of mutual funds. Investments in schemes of mutual funds are subject to market risk and there is no assurance or guarantee that the objectives of the scheme will be achieved. Further, any investment in mutual funds is also subject to risk factors outlined in the offer document of the mutual fund and on adverse performance of a mutual fund scheme in which the scheme has made investments could adversely impact the scheme's performance and NAV of the scheme.

Risks associated with investing in Tri-Party Repo through CCIL (TREPES)

The mutual fund is a member of securities segment and Tri-party Repo trade settlement of the Clearing Corporation of India (CCIL). All transactions of the mutual fund in government securities and in Tri-party Repo trades are settled centrally through the infrastructure and settlement systems provided by CCIL, thus reducing the settlement and counterparty risks considerably for transactions in the debt segments.

CCIL maintains prefunded resources in all the clearing segments to cover potential losses arising from the default member. In the event of a clearing member failing to honour his settlement obligations, the default fund is utilized to complete the settlement. The sequence in which the above resources are used is known as the "Default Waterfall".

As per the waterfall mechanism, after the defaulter's margin and the defaulter's contribution to the default fund have been appropriated, CCIL's contribution is used to meet the losses. Post utilization of CCIL's contribution if there is a residual loss, it is appropriated from the default fund contributions of the non-defaulting members.

Thus, the scheme is subject to risk of the initial margin and default fund contribution being invoked in the event of failure of any settlement obligations. In addition, the fund contribution is allowed to be used to meet the residual loss in case of default by the other clearing member (the defaulting member).

However, it may be noted that a member shall have the right to submit resignation from the membership of the Security segment if it has taken a loss through replenishment of its contribution to the default fund for the segments and a loss threshold as notified have been reached. The minimum contribution of a member towards replenishment of its contribution to the default fund in the 7 days (30 days in case of securities segment) period immediately after the above-mentioned loss threshold having been reached shall not exceed 3 times of its contribution to the Default Fund based on the last re-calculation of the Default Fund or specified amount, whichever is lower.

Further, it may be noted that, CCIL periodically publishes a list of securities eligible for contributions as collateral by members. Presence of Central Government securities and Treasury bills are accepted as collateral by CCIL. The risk factors may undergo change in case the CCIL modifies securities other than Government of India securities as eligible for contribution as collateral.

Risks associated with Repo in Corporate Debt

- Lending transactions:** The scheme may be exposed to counterparty risk in case of repo lending transactions. In the event of the counterparty failing to honour the repurchase agreement. However, in repo lending transactions, the collateral may be sold and a loss is realized only if the sale price is less than the repo amount. The risk may be further mitigated through over-collateralization (the value of the collateral being more than the repo amount). Further, the liquidation of underlying securities in case of counterparty default would depend on liquidity of the securities and market conditions at that time. It is endeavored to mitigate the risk by following an appropriate counterparty selection process, which includes their credit profile evaluation and over-collateralization to cushion the impact of market risk on sale of underlying security.
- Borrowing transactions:** In the event of the scheme being unable to pay back the money to the counterparty as contracted, the counterparty may dispose of the assets (as they have sufficient margin). This risk is normally mitigated by better cash flow planning to take care of such repayments. Further, there is also a Credit Risk that the Counterparty may fail to return the security or interest received on due date. It is endeavored to mitigate the risk by following an appropriate counterparty selection process, which includes their credit profile evaluation.

Risks associated with investing in Structured Obligation (SO) & Credit Enhancement (CE) rated securities

The risks factors listed below for the Structured Obligation & Credit Enhancement are in addition to the risk factors associated with debt instruments.

Credit rating agencies assign CE rating to an instrument based on any additional credit enhancement for the debt instrument issued by an issuer. The credit enhancement could be in various forms and could include guarantee, shared underlying, letter of comfort, etc. from another entity. This entity could be either related or non-related to the issuer like a bank, financial institution, etc. Credit enhancement could also include additional security in form of pledge of shares listed on stock exchanges, etc. SO transactions are asset backed mortgage backed securities, securitized paper backed by hypothecation of car loan

receivables, securities backed by trade receivables, credit card receivables, etc. Hence, for CE rated instruments evaluation of the credit enhancement provider, as well as the issuer is undertaken to determine the issuer rating. In case of SO rated issuer, the underlying asset pool or securitization, etc. is assessed to arrive at rating for the issuer. Following risks are associated with CE and SO:

- Liquidity Risk:** SO rated securities are often complex structures, with a variety of credit enhancements. Debt securities lack a well-developed secondary market in India, and due to the credit enhanced nature of CE securities as well as structured nature of SO securities, the liquidity in the market for these instruments is adversely affected compared to similar rated debt instruments. Hence, lower liquidity of such instruments, could lead to inability of the scheme to sell such debt instruments and generate liquidity for the scheme or higher impact cost, when such instruments are sold.
- Credit Risk:** The credit risk of debt instruments which are CE rated derives rating based on the combined strength of the issuer as well as the structure. Hence, any weakness in either the issuer or the structure could have an adverse credit impact on the debt instrument. The weakness in structure could arise due to inability of the investors to enforce the structure due to issues such as legal risk, inability to sell the underlying collateral or enforce guarantee, etc. In case of SO transactions, converging risk and risk of service increases the overall risk for the securitized debt or assets backed transactions. Therefore, apart from issuer level credit risk such debt instruments are also susceptible to structure related credit risk.

Risks associated with investing in Securitized Debt

A securitization transaction involves sale of receivables by the originator (a bank, non-banking finance company, housing finance company, microfinance company or a manufacturing/service company) to a Special Purpose Vehicle (SPV), typically set up in the form of a trust. Investors are issued rated Pass Through Certificates (PTCs), the proceeds of which are paid as consideration to the originator. In this manner, the originator, by selling his loan receivables to an SPV, receives consideration from investors much before the maturity of the underlying loans. Investors are paid from the collections of the underlying loans from borrowers. Typically, the transaction is provided with a limited amount of credit enhancement as stipulated by the rating agency for a target rating, which provides protection to investors against defaults by the underlying borrowers. Generally available asset classes for securitization in India are:

- Commercial vehicles
- Auto and two wheeler loans
- Mortgage loans (residential housing loans)
- Personal loans, credit card and other retail loans
- Corporate receivables
- Microfinance receivables

For detailed information of the above risk factor, please refer to Scheme Information Document.

Risks associated with investing in Derivatives

The Schemes may use various derivative products as permitted by the Regulations. Use of derivatives requires an understanding of not only the underlying instrument but also of the derivative itself. Other risks include the risk of mispricing or improper valuation and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.

The Scheme may use derivatives instruments like Stock Index Futures or other derivative instruments for the purpose of hedging and portfolio balancing, as permitted under the Regulations and guidelines. Usage of derivatives will expose the Scheme to certain risks inherent to such derivatives.

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities, identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

Thus, derivatives are highly leveraged instruments. Even a small price movement in the underlying security could have a large impact on their value.

The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.

The specific risk factors arising out of a derivative strategy used by the Fund Manager may be as follows:

- Execution Risk:** The prices which are seen on the screen need not be the prices at which execution will take place.
- Margin Risk:** This risk arises when the derivative instrument used to hedge the underlying asset does not match the movement of the underlying asset being hedged.
- Exchanges could raise the initial margin, variation margin or other forms of margin on derivative contracts, impose one sided margins or insist that margins be placed in cash. All of these might force positions to be unwound at a loss, and might adversely impact returns.**
- The derivative contracts of times are undertaken with various counterparties. These counterparties may not be able to meet the obligations under such derivative contracts. This would lead to credit risk in derivative transactions. Hence, derivative trades are undertaken with approved counterparties or through exchanges. This mitigates credit risk on derivative transactions.**

Additional risks for writing covered call options for equity shares

- Writing call options are highly specialized activities and entail higher than ordinary investment risks. In such investment strategy, the profits from call option writing is capped at the option premium, whereas the downside depends upon the increase in value of the underlying equity shares.
- The Scheme may write covered call option only in case it has adequate number of underlying equity shares as per regulatory requirement. This would lead to selling aside a portion of investment in underlying equity shares. If covered call options are sold to the maximum extent allowed by regulatory authority, the scheme may not be able to sell the underlying equity shares immediately if the view changes to sell and exit the stock. The covered call options need to be unwound before the stock positions can be liquidated. This may lead to a loss of opportunity to exit issue exit issues if the strike price at which the call option contracts have been written become illiquid. Hence, the scheme may not be able to sell the underlying equity shares, which can lead to temporary illiquidity of the underlying equity shares and result in loss of opportunity.
- The writing of covered call option would lead to loss of opportunity due to appreciation in value of the underlying equity shares. Hence, when the appreciation in equity share price is more than the option premium retained the scheme would be at a loss.
- The total gross exposure related to option premium paid and received may not exceed the regulatory limits of the net assets of the scheme. This may restrict the ability of Scheme to buy any options.

Benefits of using Covered Call strategy in Mutual Funds:

The covered call strategy can be followed by the Fund Manager in order to hedge risk thereby resulting in better risk-adjusted returns of the Scheme. The strategy offers the following benefits:

- Hedge against market risk - Since the fund manager sells a call option on a stock already owned by the mutual fund scheme, the downside from fall in the stock price would be lower to the extent of the premium earned from the call option.
- Generating additional return in the form of option premium in a range-bound market.

Thus, a covered call strategy involves going for unit holders in case the strategy plays out in the right direction.

Risk Factors with respect to Imperfect hedging using Interest Rate Futures

An interest rate Futures is an agreement to buy or sell a debt instrument at a specified future date at a price that is fixed today. Interest rate Futures are Exchange traded. These future contracts are cash settled.

1. Perfect Hedging means hedging the underlying using IFF contract of same underlying.
2. Imperfect hedging means the underlying being hedged and the IFF contract has correlation of closing prices of more than 92%.

In case of imperfect hedging, the portfolio can be a mix of:

- 1) Corporate Bonds and Government securities or
- 2) Only Corporate debt securities or
- 3) Only government securities with different maturities.

Risk associated with imperfect hedging includes:

Basis Risk: The risk arises when the price movements in derivative instrument used to hedge the underlying assets does not match the price movements of the underlying assets being hedged. Such differences may potentially amplify the gains or losses, thus adding risk to the position.

Price Risk: The risk of mispricing or improper valuation and the volatility of derivatives to correlate perfectly with underlying assets, rates and indices.

Risk of mismatch between the instruments: The risk arises if there is a mismatch between the price movements in derivative instrument used to hedge compared to the price movement of the underlying assets being hedged. For example, when IFF which has government security as underlying is used, to hedge a portfolio that contains corporate debt securities.

Correlation weakening and consequent risk of regulatory breach: SEBI Regulation mandates minimum correlation criterion of 0.9 (calculated on a 50 day basis) between the portfolio being hedged and the derivative instrument used for hedging. In cases where the correlation falls below 0.9, a rebalancing period of 5 business days has been permitted. Inability to satisfy this requirement to restore the correlation level to the stipulated level within the stipulated period, due to difficulties in rebalancing would lead to a lapse of the exemption in gross exposure computation. The entire derivative exposure would then need to be included in gross exposure, which may result in gross exposure in excess of 100% of net asset value.

Risks associated with investment in ADR/GDR/foreign equity securities/ Overseas Mutual Funds/Overseas ETFs

It is AMC's belief that the investment in ADRs/GDRs/overseas securities/ overseas mutual funds and ETFs offers new investment and portfolio diversification opportunities into multi-market and multi-currency products. However, such investments also entail additional risks. Such investment opportunities may be pursued by the AMC provided they are considered appropriate in terms of the overall investment objectives of the schemes. Since the Schemes would invest only partially in ADRs/GDRs/overseas securities/overseas mutual funds and ETFs, there may not be readily available and widely accepted benchmarks to measure performance of the Schemes. To manage risk associated with foreign currency and interest rate exposure, the Scheme may use derivatives for efficient portfolio management including hedging and in accordance with conditions as may be stipulated by SEBI/PFR from time to time.

To the extent that the assets of the Scheme will be invested in securities denominated in foreign currencies, the Indian Rupee equivalent of the net assets, distributions and income may be adversely affected by the changes in the value

of certain foreign currencies relative to the Indian Rupee. The repatriation of capital may be hampered by changes in regulations concerning exchange controls or political circumstances as well as the application to it of the other restrictions on investment.

Offshore investments will be made subject to approval of approvals, conditions thereof as may be stipulated by SEBI/PFR and provided such investments do not result in expenses to the Scheme in excess of the ceiling on expenses prescribed by and consistent with costs and expenses incidental to investment investing. The Scheme may, where necessary, appoint other intermediaries of capital as advisors, custodian/custodians etc. for managing and administering such investments. The appointment of such intermediaries shall be in accordance with the applicable requirements of SEBI and within the permissible ceilings of expenses. The fees and expenses would illustratively include, besides the investment management fees, custody fees and costs, fees of appointed advisors and sub-managers, transaction costs, and overseas regulatory costs.

Investors are requested to note that the costs associated with overseas investments like advisory fees other than those expenses permissible under regulation 52 of SEBI Regulations would not be borne by the scheme.

Risk associated with investing in REITs and InvITs

- **Market Risk:** REITs and InvITs are volatile and prone to price fluctuations on a daily basis owing to market movements. Investors may note that AMC Fund Manager's investment decisions may not always be profitable, as actual market movements may be at variance with the anticipated trends. The NAV of the Scheme is vulnerable to movements in the prices of securities invested by the scheme, due to various market related factors like changes in the general market conditions, factors and forces affecting capital market, level of interest rates, trading volumes, Real Estate and infrastructure sectors, settlement periods and transfer procedures. The scheme will undertake active portfolio management as per the investment objective to reduce the market risk.
- **Liquidity Risk:** As the liquidity of the investments made by the scheme could, at times, be restricted by trading volumes and settlement periods, the time taken by the Mutual Fund for liquidating the investments in the scheme may be high in the event of immediate redemption requirement. Investment in such securities may lead to increase in the scheme portfolio risk. The Scheme will try to maintain a proper credit-liquidity match to ensure redemption payments are made on time and not affected by illiquidity of the underlying units.
- **Reinvestment Risk:** Investments in REITs & InvITs may carry reinvestment risk as there could be repatriation of funds by the Trusts in form of buyback of units or dividend pay-outs, etc. Consequently, the proceeds may get reinvested in assets providing lower returns. However, the reinvestment risk will be limited as the proceeds are expected to be a small portion of the portfolio value.
- **Interest Rate Risk:** Securities / Instruments of REITs and InvITs run interest rate risk. Generally, when interest rates rise, prices of units fall and when interest rates drop, such prices increase.

The above are some of the common risks associated with investments in REITs & InvITs. There can be no assurance that a Scheme's investment objectives will be achieved or that there will be no loss of capital. Investment results may vary substantially on a monthly, quarterly or annual basis.

Risk associated with investing in Preference Shares

- Credit Risk** - Investments in Preference Shares are subject to the risk of an issuer's inability to meet dividend and redemption by the issuer. Further, for non-cumulative preference shares, issuer also has an option to not pay dividend on preference shares in case of inadequate profits in any year.
- Liquidity Risk** - Preference shares lack a well-developed secondary market, which may restrict the exiting ability of the Scheme and may lead to the Scheme incurring losses till the security is finally sold.
- Unsecured in nature** - Preference shares are unsecured in nature and rank lower than secured and unsecured debt in hierarchy of payments in case of liquidation. Thus, there is significant risk of capital erosion in case the company goes into liquidation.
- Market Risk** - The schemes will be vulnerable to movements in the prices of securities invested by the schemes which could have a material bearing on the overall returns from the schemes.

Risks associated with Stock Lending

Stock lending is lending of securities through an approved intermediary to a borrower under an agreement for a specified period with the condition that the borrower will return equivalent securities of the same type or cash at the end of the specified period along with the corporate benefits accruing on the securities borrowed.

The risk in security lending consist of the failure of intermediary / counterparty to comply with the terms of agreement entered into between the lender of securities i.e. the Scheme and the intermediary / counterparty. Such failure to comply can result in the possible loss of rights in the collateral put up by the borrower of the securities, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The scheme may not be able to sell lent out securities, which can lead to temporary illiquidity & loss of opportunity.

Investors are requested to refer to section "There will the Scheme allocate its assets?" for more information on exposure to Stock Lending.

The Scheme will not engage in Short Selling activity.

Risk associated with Thematic Schemes

Investing in thematic schemes is based on the premise that the Scheme will look to invest in companies belonging to a specific theme. This will limit the capability

of the Scheme to invest in other sectors/themes.

The Scheme would invest in equity and equity related securities of companies engaged in the particular theme and hence concentration risk is expected to be high.

Also, as with all equity investing, there is a risk that companies in that specific theme will not achieve its expected earnings results, or that an unexpected change in the market or within the company will occur, both of which may adversely affect investment results. Thus investing in a theme specific scheme could involve potentially greater volatility and risk.

Risk associated with creation of segregated portfolio

- Liquidity risk** - A segregated portfolio is created when a credit event occurs at an issuer level in the scheme. This may reduce the liquidity of the security issued by the said issuer, as demand for this security may reduce. This is also further accentuated by the lack of secondary market liquidity for corporate papers in India. As per SEBI norms, the scheme is to be closed for redemption and subscriptions until the segregated portfolio is created, running the risk of investors being unable to redeem their investments. However, it may be noted that, the proposed segregated portfolio is required to be formed within one day from the occurrence of the credit event.

Investors may note that no redemption and subscription shall be allowed in the segregated portfolio. However, in order to facilitate exit to and holders in segregated portfolio, AMC shall list the units of the segregated portfolio on a recognised stock exchange within 10 business days of creation of segregated portfolio and also enable transfer of such units on receipt of transfer requests. For the units listed on the exchange, it is possible that the market price at which the units are traded may be at a discount to the NAV of such units. There is no assurance that a deep secondary market will develop for units of segregated portfolio listed on the stock exchange. This could limit the ability of the investors to exit their.

- Valuation risk** - The valuation of the securities in the segregated portfolio is required to be carried out in line with the applicable SEBI guidelines. However, it may be difficult to ascertain the fair value of the securities due to absence of an active secondary market and difficulty to price in qualitative factors.

For details on risk factors and risk mitigation measures, please refer SII.

PLANS AND OPTIONS

PLANS/ OPTIONS AVAILABLE UNDER THE SCHEME

- ICICI Prudential Active Momentum Fund-Direct Plan
- ICICI Prudential Active Momentum Fund - Regular Plan

Options under each Plan(s)

- Growth
- Income Distribution cum Capital Withdrawal (IDCW)*
 - IDCW Payout
 - IDCW Reinvestment

*The subscription for IDCW option is disabled for the Scheme. The Trustee reserves the right to enable the IDCW option for the Scheme at a future date.

- Including Default option/ facility (as applicable) are as follows:

Plans:	- ICICI Prudential Active Momentum Fund - Direct Plan and - ICICI Prudential Active Momentum Fund - Regular Plan
Default Plan (if no plan is selected)	- If broker code is not mentioned the default plan is ICICI Prudential Active Momentum Fund - Direct Plan - If broker code is mentioned the default plan is ICICI Prudential Active Momentum Fund - Regular Plan
Default Plan (in certain circumstances)	- If ICICI Prudential Active Momentum Fund - Direct Plan is opted, but ARN code is also stated, then application would be processed under ICICI Prudential Active Momentum Fund - Direct Plan - If ICICI Prudential Active Momentum Fund - Regular Plan is opted, but ARN code is not stated, then the application would be processed under ICICI Prudential Active Momentum Fund - Direct Plan
Options/sub-options	- Growth Option and - IDCW* - IDCW Payout - IDCW Reinvestment
Default Option	Growth Option
Default sub option	IDCW Reinvestment

*The subscription for IDCW option is disabled for the Scheme. The Trustee reserves the right to enable the IDCW option for the Scheme at a future date.

ICICI Prudential Active Momentum Fund - Direct Plan is only for investors who purchase subscription units in a Scheme directly with the Fund.

For details with respect to AMFI Best Practices Guidelines dated February 2, 2024 on treatment of applications received with availed ARN or ARNs subsequently found to be invalid, investors are requested to refer to the relevant provisions of the SAI.

The Trustee reserves the right to add any other optional sub-options under the Scheme.

For detailed disclosure on default plans and options, kindly refer SII.

APPLICABLE NAV

The AMC will calculate and disclose the first NAV within 5 business days from the date of allotment. NAV will be calculated and disclosed at the close of every Business Day.

NAV will be determined on every Business Day except in special circumstances. NAV of the scheme shall be:

- Provisionally disclosed by the AMC under a separate head on the AMC's website (www.icicpruamc.com) by 11:00 p.m. on every business day;
- On the website of Association of Mutual Funds in India - AMFI (www.amfiindia.com) by 11:00 p.m. on every business day; and
- Shall be made available at all Customer Service Centres of the AMC.

The Scheme is permitted to take exposure to overseas securities. In case where the Scheme has taken exposure to overseas securities the NAV of the relevant scheme would be declared by 10:00 a.m. on the following business day.

In case the Scheme chooses to hold exposure to any overseas securities, NAV of the scheme for that day would continue to be declared on 10:00 am on the following business day. Subsequent to that day, NAV of the scheme shall be declared on 11:00 p.m. on the same day.

In case of any delay, the reason for such delay would be explained to AMFI and SEBI by the next business day. If the NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.

The below cut-off timings and applicability of NAV shall be applicable in respect of valid applications received at the Official Point(s) of Acceptance on a Business Day:

For Purchase of any amount:

- In respect of valid applications received upto 3:00 p.m. and where the funds for the entire amount are available for utilisation before the cut-off time i.e. 3:00 p.m. - the closing NAV of the day shall be applicable.
- In respect of valid applications received after 3:00 p.m. and where the funds for the entire amount are available for utilisation on the same day or before the cut-off time of the next business day - the closing NAV of the next Business Day shall be applicable.
- Irrespective of the time of receipt of application, where the funds for the entire amount are available for utilisation before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.

For Switch-ins of any amount:

In case of switch from one scheme to another scheme received before cut-off i.e. upto 3 p.m. having business day for both the schemes, closing NAV of the Business Day shall be applicable for switch-out scheme and for Switch-in scheme, the closing NAV of the Business Day shall be applicable, on which funds are available for utilisation in the switch-in scheme (utilisation shall be in line with the redemption request).

To clarify, for investments through systematic investment routes such as Systematic Investment Plans (SIP), Systematic Transfer Plans (STP), Flex STP, Capital Appreciation STP, IDCW Transfer, Trigger etc. the units will be allotted as per the closing NAV of the day on which the funds are available for utilisation by the Target Scheme irrespective of the installment date of the SIP/STP or record date of IDCW etc.

- "Switch Out" shall be treated as redemption application and accordingly, closing NAV of the day will be applicable based on the cut-off time for redemption followed for various type of schemes.

- "Switch In" shall be treated as purchase application and accordingly for unit allotment, closing NAV of the day will be applicable on which the funds are available for utilisation.

Redemptions including switch-outs:

In respect of valid applications received upto 3:00 pm on a business day by the Mutual Fund, same day's closing NAV shall be applicable.

In respect of valid applications received after the cut off time by the Mutual Fund, the closing NAV of the next business day.

e.g., If an investor submits redemption request at 2:00 pm on Monday, the same shall be processed at the closing NAV of Monday. If an investor submits redemption request at 3:30 pm on Monday, the same shall be processed at the closing NAV of Tuesday.

New Fund Offer period:

NFO opens on: July 08, 2025

NFO closes on: July 22, 2025

The AMC reserves the right to extend or pre-close the New Fund Offer (NFO) period, subject to the condition that in case of pre-close the NFO shall be open for a minimum of three working days and the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI. The AMC shall publish an addendum to this effect on the website of the AMC and in one national and one regional newspaper of region where the Head office of AMC is situated.

Overdraft Cheques, Bearer's Cheque and Demand Drafts will not be accepted.

NFO cheques will be accepted till the end of business hour's up to July 22, 2025.

Real Time Gross Settlement (RTGS)/Other Electronic Payment requests and Transfer cheques will be accepted till the end of business hour's up to July 22, 2025.

Switch-in requests from equity schemes and other schemes will be accepted up to July 22, 2025 till the cut-off time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Advantage Fund (GPF), ICICI Prudential Mordant 300 Index Fund, ICICI Prudential Strategic Mid and Energy Equity Fund of Funds, ICICI Prudential India Equity (PFI), ICICI Prudential Thematic Advantage Fund (PFI) and ICICI Prudential Global Stable Equity Fund (PFI) will not be accepted.

New Fund Offer Price:

The corpus of the Scheme will be divided into Units having an initial value of Rs. 10 each. Units can be purchased during the New Fund Offer Period at Rs. 10 each.

DURING NEW FUND OFFER/ DURING ONGOING OFFER:

Minimum Application Amount	Rs. 5000/- (plus in multiple of Rs. 1)
Minimum Application Amount for switch-ins	Rs. 5000/- and any amount thereafter.
Minimum Additional Application Amount	Rs. 1000/- (plus in multiple of Rs. 1)
Minimum Additional Application Amount for switch-ins	Rs. 1000/- and any amount thereafter.
Minimum Redemption Amount	Any Amount.

DISPATCH OF REDEMPTION REQUEST:

As per the Regulations, the Fund shall dispatch redemption proceeds 3 business days of receiving the redemption request in accordance with subject to exceptional situations and additional timelines for redemption payments provided by SEBI vide its letter no. JAMF/ 359 NEM-COV/ 28 / 2022-23 dated January 16, 2023.

BENCHMARK INDEX:

The Benchmark for the scheme shall be Nifty 500 TRI.

The Trustees reserves the right to change the benchmark in future if a benchmark better suited to the investment objective of the Scheme is available.

IDCW POLICY:

The Trustee may declare IDCW to the Unit holders under the Scheme subject to the availability of distributable surplus and the actual distribution of IDCW and the frequency of distribution will be entirely at the discretion of the Trustee. Such IDCW will be payable to the Unit holders whose names appear on the register of Unit holders on the record date as fixed for the Scheme. The IDCW declared will be paid net of tax deducted at source, wherever applicable, to the Unit holders within 7 business days from the record date. There is no assurance or guarantee to the Unit holders as to the rate of IDCW distribution nor that the IDCW will be paid regularly. If the Fund declares IDCW, the NAV of the Scheme will stand reduced by the amount of IDCW paid. All the IDCW payments shall be in accordance and compliance with SEBI, Stock Exchange Guidelines, as applicable from time to time.

The treatment of undivided redemption and IDCW amounts shall be as per paragraph 12.3 of the Master Circular.

*The subscription for IDCW option is disabled for the Scheme. The Trustees reserve the right to enable the IDCW option for the Scheme at a future date.

Registration Reserve: When units are sold, and sale price (NAV) is higher than face value of the unit, a portion of sale price that represents realized gains is credited to an Liquidation Reserve Account and which can be used to pay IDCW. IDCW may be distributed out of Investor Capital (Registration Reserve), which is part of sale price that represents realized gains.

NAME OF THE FUND MANAGER:

Ms. Muneeb Sakh and Ms. Shreela D'Silva.

Since the Scheme is a new Scheme, Name of the Fund Manager is not available.

Name of the Trustee Company: ICICI Prudential Trust Limited.

Performance of the Scheme: This Scheme is a new scheme and does not have any performance track record.

ADDITIONAL SCHEME RELATED DISCLOSURE

- Scheme's portfolio holdings:** Since the Scheme is a new Scheme, portfolio holdings and sector wise holdings are not available.
- DISCLOSURE OF NAME AND EXPOSURE TO TOP 7 ISSUERS, STOCKS, GROUPS AND SECTORS AS A PERCENTAGE OF NAV OF THE SCHEME IN CASE OF DEBT AND EQUITY ETFS/INDEX FUNDS THROUGH A FUNCTIONAL WEBSITE LINK THAT CONTAINS DETAILED DESCRIPTION -**
Not Applicable.
- PORTFOLIO DISCLOSURE:** Since the Scheme is a new Scheme, investment details are not available.
- SCHEME'S PORTFOLIO TURNOVER RATIO:** Since the Scheme is a new Scheme, Portfolio Turnover ratio is not available.

EXPENSES OF THE SCHEME:

During NFO: These expenses are incurred for the purpose of various activities related to the NFO like marketing and advertising, registrar expenses, printing and stationary, bank charges etc.

In accordance with the provisions of SEBI Circular, no New Fund Offer Expenses will be charged to the Scheme. The NFO expenses for launch of scheme will be borne by the AMC.

Exit Load:

- 1% of applicable Net Asset Value - If the amount sought to be redeemed or switch out is invested for a period of up to twelve months from the date of

allotment.

- Nil - If the amount sought to be redeemed or switch out is invested for a period of more than twelve months from the date of allotment.

RECURRING EXPENSES:

These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below.

The AMC has estimated that upto 2.25% of the daily net assets of the Scheme will be charged to the Scheme as expenses. For the actual current expenses being charged, the investor should refer to the website of the mutual fund. In case of any change in the expense ratio, the AMC would update the same on the website at least three business days prior to the effective date of the change. The requirement for disclosing such change would be subject to paragraph 10.1.1 of the Master Circular. Investor can refer <http://www.icicpram.com/Download/total-expense-ratioscheme> for Total Expense Ratio (TER) details (the details will be updated on the website in the month succeeding the month of allotment of the units).

Details of Annual Scheme Recurring Expenses under the Scheme is as follows:

Particulars	ICICI Prudential Active Momentum Fund (% p.a. of net assets)
Investment Management and Advisory Fee	
Audit Fee and expenses of trustees	
Custodian Fees	
Registrar & Transfer Agent Fees including cost of providing account statements/DCW/redemption cheques/ warrants	
Marketing & Selling Expenses including Agents' Commission and statutory advertisement	
Cost related to investor correspondence	
Cost of fund transfer from location to location	Upto 2.25
Cost towards investor education & awareness (Share)	
Brokerage and transaction cost pertaining to distribution of units	
Goods and Services Tax on expenses other than investment and advisory fees	
Goods and Services Tax on brokerage and transaction cost	
Other Expenses*	
Maximum total expense ratio (TER) permissible under Regulation 52 (6) (c)	Upto 2.25
Additional expenses under regulation 52 (6A) (c)* (more specifically elaborated below)	Upto 0.05
Additional expenses for gross new inflows from specified object* (more specifically elaborated below)	Upto 0.30
The aforesaid does not include Goods and Services Tax on investment management and advisory fees. The same is more specifically elaborated below.	

The returns of the Direct Plan for the Scheme shall be exclusive of distributor commission.

*As permitted under the Regulation 52 of SEBI (MF) Regulations, 1996 and pursuant to paragraph 15.11.1 of the Master Circular, SEBI (Mutual Funds) Second Amendment Regulations, 2012 and SEBI (Mutual Funds) (Fourth Amendment) Regulations 2020.

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. as compared to other Plan and no commission for deduction of Units will be paid/ charged under Direct Plan.

All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in other than Direct Plan.

The Scheme can charge expenses within overall maximum limits prescribed under SEBI (MF) Regulations, without any internal cap attached to any of the expense heads specified in the above table.

Types of expenses charged shall be as per the SEBI (Mutual Funds) Regulations, 1996.

- As per the Regulations, the maximum recurring expenses that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below.

First Rs. 100 crore	Next Rs. 100 crore	Next Rs. 1,250 crore	Next Rs. 3,000 crore	Next Rs. 6,000 crore	Next Rs.40,000 crore	Balance
---------------------	--------------------	----------------------	----------------------	----------------------	----------------------	---------

1.25%	1.00%	1.75%	1.60%	1.50%	TEI reduction of 0.05% for every increase of Rs. 1,000 crore of daily net assets at close thereof	1.00%
-------	-------	-------	-------	-------	---	-------

The above expense percentages includes additional expenses that can be charged towards (i) 5 bps under the Regulation 52(A)(a); (ii) 30 bps for gross new inflows from retail investors from IPO cities and (iii) Goods and Services tax on investment management and advisory fees. The same is more specifically elaborated below.

(i) The AMC may charge Goods and Services tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations; whereas Goods and Services tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.

(ii) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from retail investors from IPO cities as specified by the Securities and Exchange Board of India, from time to time are at least :-

- 30 per cent of the gross new inflows from retail investors from IPO cities into the scheme, or;
- 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher.

Provided that if inflows from retail investors from IPO cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis.

Provided further that expenses charged under this clause shall be subject to distribution expenses incurred for bringing inflows from retail investors from IPO cities.

Provided further that amount incurred as expense on account of inflows from retail investors from IPO cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

For above purposes, IPO cities shall be beyond Top 30 cities as at the end of the previous financial year as communicated by AMFI. Retail investors would mean individual investors from whom inflows into the Scheme would amount upto Rs. 2,00,000/- per transaction.

Note : SEBI vide its letter no- SEBI/HO/MD-SEC-DPROV/2023/823/1 dated February 24, 2023 and AMFI letter dated No. 359/MD-CORP/IS-01/2023-23 dated March 11, 2023 has directed AMCs to keep 5-30 inclusive structure in abeyance with effect from March 11, 2023 till further notice.

(iii) Additional expenses, incurred towards different heads mentioned under sub-regulations (2) and (4) of Regulation 52 of the Regulations, not exceeding 0.05 per cent of daily net assets of the scheme. However, such additional expenses will not be charged if said limit is not levied or not applicable to the Scheme.

At least 2 hours apart on daily net assets shall be annually set apart for investor education and awareness initiatives. The same shall be within limits specified under Regulation 52 of the SEBI (Mutual Funds) Regulation.

Brokerage and transaction cost incurred for the purpose of execution of trade shall be charged to the schemes as provided under Regulation 52 (8A) (a) upto 12 bps and 5 bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage and transaction costs, over and above the said 12 bps and 5 bps for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under regulation 52.

Expenses shall be charged / borne in accordance with the Regulations prevailing from time to time.

The following is an illustration of the impact of expense ratio on the scheme's returns:

Particulars	Regular Plan	Direct Plan
Amount invested at the beginning of the year	10,000	10,000
Returns before Expenses	1,500	1,500
Expenses other than Distribution Expenses	150	150
Distribution Expenses	50	-
Returns after Expenses at the end of the year	1,300	1,350

For calculating expense of (ICF) Prudential Active Momentum Fund – Direct Plan, distribution expense will not be considered.

ACTUAL EXPENSES FOR THE PREVIOUS FINANCIAL YEAR:

Not Applicable as the Scheme is new.

TAX BENEFITS OF INVESTING IN THE MUTUAL FUND

Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz. www.icfprmutual.com and also independently refer to the tax advice.

PUBLICATION OF DAILY NET ASSET VALUE (NAV):

The AMC will calculate and disclose the first NAV within 5 business days from the date of valuation. The NAV will be calculated and declared at the close of

every Business Day. NAV will be determined on every Business Day except in special circumstances, NAV of the scheme shall be:

- Provisory declared by the AMC under a separate tweet on the AMC's website (www.icfprmutual.com) by 11.00 p.m. on every business day.
- On the website of Association of Mutual Funds in India - AMFI (www.amfiindia.com) by 11.00 p.m. on every business day and
- Shall be made available at all Customer Service Centres of the AMC.

The Scheme is permitted to take exposure to overseas securities. In case where the Scheme has taken exposure to overseas securities the NAV of the relevant scheme would be declared by 10.00 a.m. on the following business day.

In case the Scheme ceases to hold exposure to any overseas securities, NAV of the scheme for that day would continue to be declared on 10.00 am on the following business day. Subsequent to that day, NAV of the scheme shall be declared on 11.00 p.m. on the same day.

In case of any delay, the reasons for such delay would be explained to AMFI and SEBI by the next business day. If the NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.

FOR INVESTOR GRIEVANCES PLEASE CONTACT:

Name and Address of Registrar	Name, address, telephone number, fax number, e-mail address of IEO Prudential Mutual Fund
Computer Age Management Services Limited (CAMS), New No. 10, Old No. 178, Opp. to Hotel Park Drive, MG Road, Sec 16, Gurgaon	Mr. Rajat Kataria - Investor Relations Officer, 2nd Floor, Block E-2, NRI Knowledge Park, Western Express Highway, Goregaon, Mumbai - 400 063 Tel No: 022 28952000, Fax No: 022-2686 8333 e-mail - enquiry@icfprmutual.com Client-rel - 600 034

UNITHOLDERS' INFORMATION:

The AMC shall disclose portfolio of the scheme (along with ISIN) as on the last day of the month / half-year on AMC's website i.e. www.icfprmutual.com and on the website of AMFI within 10 days from the close of each month / half-year respectively. Further, the AMC shall disclose portfolio of the scheme on a fortnightly basis within 5 days of every fortnight. Mutual Funds/AMCs shall send the details of the scheme portfolio while communicating the fortnightly, monthly and half-yearly statement of scheme portfolio via email or any other mode as may be communicated by SEBI/AMFI from time to time. The AMC shall provide a feature wherein a link is provided to the investors to their registered email address to enable the investor to directly view/download only the portfolio of schemes subscribed by the said investor. Since the Scheme is a new scheme, Top 50 holdings and sector wise holdings are not applicable.

The AMC shall publish an advertisement in all India edition of at least two daily newspapers, one each in English and Hindi, every half year disclosing the listing of the half-yearly statement of the scheme's portfolio on the AMC's website and on the website of AMFI.

Risk-o-meter shall be evaluated on a monthly basis and Mutual Funds/AMCs shall disclose the Risk-o-meter along with portfolio disclosure for all their schemes on their respective website and on AMFI website within 10 days from the close of each month. Any change in risk-o-meter shall be communicated by way of Notice cum Advertisement and by way of an e-mail or SMS to unitholders of that particular scheme.

The AMC shall send via email for the fortnightly statement of scheme portfolio within 5 days from the close of each fortnight and the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month / half-year respectively. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database.

The AMC shall provide a physical copy of the statement of scheme portfolio without charging any cost, on specific request received from a unit holder.

In terms of Regulation 59 and paragraph 5.3 of the Master Circular, the AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, send a soft copy of its unaudited financial results on their website. The half-yearly unaudited report shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. Further, the AMC shall publish an advertisement disclosing the listing of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated. It is hereby notified that whenever the investor(s) have/has provided written e-mail address in the application form in any of the form belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Center/Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavor to provide the account statement to the investor(s) within 5 business days from the receipt of such request.

SEEDING OF AADHAAR NUMBER

Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz. www.icfprmutual.com

TRANSACTION CHARGES

No transaction charges to be levied on the investment amount from transactions/applications (including SIPs) received through distributors (i.e. for Regular Plans). Accordingly, payment of transaction charges to the distributors 2024 shall not be applicable under the Scheme.

Please refer to SAI for more details.

CONSOLIDATED ACCOUNT STATEMENT (CAS)

1. The Consolidated Account Statement (CAS) for each calendar month will be issued on or before fifteenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN). Further, CAS will be sent via email where any of the folios consolidated folio on email id or to the email id of the first unit holder as per KYC records.

2. For folios not included in the Consolidated Account Statement (CAS), the AMC shall immediately issue account statement to the investor on a monthly basis, pursuant to any financial transaction in such folios on or before fifteenth day of succeeding month. In case of a New Fund Offer Period (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email under LMD's to the investor's registered address and/or mobile number but later than five business days from the date of closure of the NFO.

3. In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investors within 3 business days from the receipt of such request.

4. In the case of joint holding in a folio, the first named unit holder shall receive the CAS/account statement. The holding pattern has to be same in all folios across Mutual Funds for CAS.

Further, in case if no transaction has taken place in a folio during the period of six months ended September 30 and March 31, the CAS denoting the holdings across all Schemes of all mutual funds, shall be emailed at the registered email address of the unitholders on Half yearly basis, on or before twenty first day of succeeding month, unless a specific request is made to receive the same in physical form.

The asset management company shall issue units in dematerialised form to a unit holder in a scheme within two working days of the receipt of request from the unit holder.

Each CAS issued to the investors shall also provide the total purchase value / cost of investment in each scheme.

Further, CAS shall be issued for the half-year (September-March).

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

In case of the units are held in dematerialised (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

In case of the units are held in dematerialised (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

CAS for investors having Demat account:

- Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of the mutual fund folios, depositories shall send the CAS within fifteen days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with which the account has been opened earlier will be the default depository.

The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 38(a) of SEBI (Mutual Funds) Regulations.

However, the AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

Note: The Scheme under this Scheme Information Document (SID) was approved by the Directors of ICICI Prudential Trust Limited on dated October 25, 2024. The Trustees have ensured that ICICI Prudential Active Momentum Fund approved by them is a new product offered by ICICI Prudential Mutual Fund and is not a minor modification of the existing Schemes.

For and on behalf of the Board of Directors of
ICICI Prudential Asset Management Company Limited

Place : Mumbai
Date : June 24, 2025

Nimish Shah
Managing Director

THIS PAGE HAS KEPT INTENTIONALLY BLANK

Application Form for Resident Indians and NRIs/PICs. Investor must read Key Information Memorandum and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

www.elsevier.com/locate/jbiotec

NFO Center Inc. May 23, 2005

ICI Prudential Active Momentum Fund (the Scheme) is suitable for investors who are seeking:

- Long Term Wealth Creation
- An open ended equity scheme investing in equity and equity related instruments of companies reflecting macroeconomic factors.

*Members should consult their financial adviser. The doubt about whether the product is suitable for them.

Schwere Diskontinuität



Reichsmark of the Scherrie in per AMT Tier 1
twentieth (Nifty 500 T10)

Use the following information to answer questions 1-4.



If it may be noted that the scheme NPS-0-90s specified above is based on the original approved version of the scheme character table and may vary and NFO when the actual investments are made. The same should be updated in ongoing basis in accordance with clause 17.4 of the SEBI Master Circular on Mutual Funds dated June 27, 2018 (Master Circular).

SECURITY CODE (PIN CODE) BIA/PMRN CODE	ISSUE SECURITY AUM CODE	ISSUE SECURITY (PIN) (To be filled by Add Investor)	Employee Unique Identification No. (EUN)
By mentioning BIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund. Declaration for "execution-only" transaction (only where EUN box is left blank) (Refer instruction no. X) - I/we hereby confirm that the EUN box has been intentionally left blank in order as this transaction is executed without any intervention or advice by the employee/relationship manager/representative of the above distributor/broker or understanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/representative of the distributor/broker.			
SECURITY CODE (PIN CODE) BIA/PMRN CODE	ISSUE SECURITY AUM CODE	ISSUE SECURITY (PIN) (To be filled by Add Investor)	Employee Unique Identification No. (EUN)

Investor's name should be as per the PAN Card

1 | EXISTING UNITHOLOERS INFORMATION

If you have an existing folio no. with PAN & KTC validation, please mention your name & folio no. and proceed to Step 4

[illegible]2. **APPLICANT'S DETAILS** (Please Refer to Instruction No. 1 (ii) (Applicant's name should be in per PWD))[illegible]

PAIDFOR®	Enclosed (Please ☐ ☐ EYC Acknowledgment Letter)	Date of Birth

[illegible]

NAME OF GUARDIAN (in case First Sale applicant is minor): CONTACT PERSON DESIGNATED FOR HOLIHEI (in case of Non-Individual Applicant)

[illegible]

FNU/PGDN ☐ KTC Read Attached (Mandatory) ☐ Relationship with Minor applicant ☐ National guardian ☐ Court appointed guardian										Date of Birth (Mandatory)									
CVC 1st Row										CVC 2nd Row									

2nd APPLICANT _____

100	200	300	400	500	600	700	800	900	1000	1100	1200	1300	1400	1500	1600	1700	1800	1900	2000	2100	2200	2300	2400	2500	2600	2700	2800	2900	3000	3100	3200	3300	3400	3500	3600	3700	3800	3900	4000	4100	4200	4300	4400	4500	4600	4700	4800	4900	5000	5100	5200	5300	5400	5500	5600	5700	5800	5900	6000	6100	6200	6300	6400	6500	6600	6700	6800	6900	7000	7100	7200	7300	7400	7500	7600	7700	7800	7900	8000	8100	8200	8300	8400	8500	8600	8700	8800	8900	9000	9100	9200	9300	9400	9500	9600	9700	9800	9900	10000
-----	-----	-----	-----	-----	-----	-----	-----	-----	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	-------

RAJAIPSEKH* KYC Id No.*		Enrolled (Phone) ☐ KYC Acknowledgment Letter	Date of Birth (Mandatory)
-------------------------------	--	---	---------------------------

3rd APPLICANT _____[illegible]

1. NAME _____											
2. DATE _____											
3. TIME _____											
4. LOCATION _____											
5. WEATHER _____											
6. WIND _____											
7. WAVE _____											
8. SEA _____											
9. SWELL _____											
10. OTHER _____											

If mandatory information left blank, the application is liable to be rejected. Individuals must who are regulated under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN).

3 BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT Please Refer to Instruction No. VI

Mandatory information: - If left blank, the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account) for cash holders (not to hold safe in deposit form, please ensure that the bank account linked with the deposit account is a standard bank. One Booking success (CBS) is mandatory

Account Number	Account Type
	☐ Savings ☐ Current ☐ MTD ☐ MFB ☐ MCH

NAME	DATE	TIME	LOCATION	REMARKS
NAME of Boat				

English	Branch	
Native	City	

[illegible]

4 YOUR INVESTMENT DETAILS OF ICICI PRUDENTIAL ACTIVE MOMENTUM FUND

PLAN (Please Print)	☐ ICICI Prudential Active Momentum Fund - Regular Plan ☐ ICICI Prudential Active Momentum Fund - Direct Plan	OPTIONS (Please Print) ☐ Growth option
------------------------	---	---

5 PAYMENT DETAILS (ICICI Prudential Active Momentum Fund)

The cheque should be drawn in favour of "ICICI Prudential Active Momentum Fund" and crossed "Account Payee Only". The cheque should be payable at the centre where the application is signed. For third party investment, refer instruction no. 10.

Mode of Payment ☐ Cheque ☐ Funds Transfer ☐ NEFT ☐ RTGS

Investment Amount Cheque Number Date

BANK DETAILS: ☐ Same as above (Please tick ☒ if you) ☐ Different from above (Please tick ☒ if it is different from above and fill in the details below)

A/c Number Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR

Name & Branch of Bank Branch City

Mandatory Endorsement (Please tick ☒ if the first instalment is not through Cheque) ☐ Cheque Copy ☐ Bank Statement ☐ Banker's Attestation

Applications with Third Party Cheques, pre-funded instruments etc. and in circumstances as detailed in AMFI Circular No.135/07/10-11 shall be processed in accordance with the said circular. Third Party Payment Declaration form is available in www.iciciprudent.com or ICICI Prudential Mutual Fund branch offices.

6 MODE OF HOLDING

☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

7 TAX STATUS (Please tick ☒)

☐ Resident Individual ☐ NRI ☐ Partnership FIRM ☐ Government Body ☐ PF category I ☐ APS Trust ☐ HRA ☐ Non-Resident ☐ On behalf of Minor ☐ Company ☐ AOP/BOI ☐ PF category II ☐ NRI Trust/Original/Charitable ☐ PF category III ☐ Mutual Funds ☐ HUF ☐ Body Corporate ☐ Private Limited Company ☐ Public limited company ☐ Mutual Funds (FC Scheme) ☐ Deceased Individual ☐ Financial Institution ☐ Trust/Body/BOI ☐ Limited Partnership (LLP) ☐ Sole Proprietorship ☐ Others (Please specify) _____

8 DEMAT ACCOUNT DETAILS (Optional - Please refer instruction No. 10)

NSDL Depository Participant (DP) ID (NSDL only) Beneficiary Account Number (NSDL only)

CDSL Depository Participant (DP) ID (CDSL only)

9 CORRESPONDENCE DETAILS

OF SOLE/FIRST APPLICANT:

Correspondence Address (Please provide full address)*

Address

City / State Pin Code

Country Tel.

Overseas Address (Mandatory for NRI / FR Applicants)

(Please refer to the instruction No. 10-2)

Address

City / State Pin Code

Country Tel.

Tel.

First Unitholder: Name Email

Address No. provided pertains to: (Please tick ☒) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Trust ☐ Other (Please specify) _____

Second Unitholder: Name Email

Address No. provided pertains to: (Please tick ☒) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Trust ☐ Other (Please specify) _____

Third Unitholder: Name Email

Address No. provided pertains to: (Please tick ☒) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA ☐ Trust ☐ Other (Please specify) _____

☐ Please tick ☒ if you wish to receive Annual Report / Annual Statement via Post - (Default communication mode is E-mail) (Refer instruction No.10-1)

☐ Please tick ☒ if you wish to receive Account Statement / Other statutory information via Post instead of Email (Refer instruction No.10-1)

Please tick ☒ if you wish to receive Account Statement through e-mail ☐ Daily ☐ Weekly ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Annually

* Mandatory information - If NR above, the application is liable to be rejected.

** Mandatory in case the Sole/First applicant is minor or under 18 years of age in Registered Fund. * For KYC requirements, please refer to the instruction No. 10-5 & VI

*** Please refer to instruction no. VII

10 FATCA AND CRS DETAILS FOR INDIVIDUALS (including Sole Proprietor) (Mandatory)

Non-Individual Investors should mandatorily fill separate FATCA Form (Annexure II)

The below information is required for all applicants:

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			☐ Indian ☐ U.S. ☐ Others (Please specify) _____
Second Applicant			☐ Indian ☐ U.S. ☐ Others (Please specify) _____
Third Applicant			☐ Indian ☐ U.S. ☐ Others (Please specify) _____

Are you a tax resident (i.e., are you assessed for Tax in any other country outside India)? ☐ Yes ☐ No (Please tick ☒)

If YES (please fill for ALL countries other than India) in which you are a Resident for tax purpose i.e., where you are a Citizen/Resident / Green Card Holder / Tax Resident in the respective countries.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (If available please specify)	If TIN is not available please tick ☒ Reason A, B or C as indicated below
First Applicant / Guardian				Reason: A ☐ B ☐ C ☐
Second Applicant				Reason: A ☐ B ☐ C ☐
Third Applicant				Reason: A ☐ B ☐ C ☐

☐ Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

☐ Reason B - No TIN required. Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected.

☐ Reason C - Others, please state the reason thereof.

Address Type of Sole/1st Holder: ☐ Residential ☐ Registered Office ☐ Business

Address Type of 2nd Holder: ☐ Residential ☐ Registered Office ☐ Business

Address Type of 3rd Holder: ☐ Residential ☐ Registered Office ☐ Business

Annexure I and Annexure II are available on the website of AMC i.e., www.iciciprudent.com or at the Investor Service Centres (ISCs) of ICICI Prudential Mutual Fund.

11 KYC DETAILS (Mandatory)

Occupation (Please tick ☒)

Sole/First Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agricultural ☐ Retired ☐ Homemaker ☐ Student ☐ Farmer ☐ Others (Please specify) _____

Second Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agricultural ☐ Retired ☐ Homemaker ☐ Student ☐ Farmer ☐ Others (Please specify) _____

11 KYC DETAILS (Mandatory, Contd.)

Occupation: (Please tick ☐)

Third Applicant: ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agricultural ☐ Retired
☐ Housewife ☐ Student ☐ Farm/Doctor ☐ Others (Please specify): _____

Source Annual Income: (Please tick ☐)

Self/First Applicant: ☐ Below 1 Lac. ☐ 1-5 Lacs. ☐ 5-10 Lacs. ☐ 10-25 Lacs. ☐ 25 Lacs-1 crore ☐ >1 crore
 Net worth (Mandatory for Non-Individuals) ₹ _____ as on _____ (Not older than 1 year)

Second Applicant: ☐ Below 1 Lac. ☐ 1-5 Lacs. ☐ 5-10 Lacs. ☐ 10-25 Lacs. ☐ 25 Lacs-1 crore ☐ >1 crore OR Net worth ₹ _____

Third Applicant: ☐ Below 1 Lac. ☐ 1-5 Lacs. ☐ 5-10 Lacs. ☐ 10-25 Lacs. ☐ 25 Lacs-1 crore ☐ >1 crore OR Net worth ₹ _____

PEP status: (Please tick ☐)

Self/First Applicant: For Individuals (Please tick ☐) ☐ I am Politically Exposed Person (PEP) ☐ I am Related to Politically Exposed Person (RPEP) ☐ Not applicable.
 For Non-Individuals (Please tick ☐) (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. KYB/2019 Foreign Exchange / Money Change Services - ☐ YES ☐ NO. If Banking/Banking Subary/Credit Services - ☐ YES ☐ NO. If Money Lending/Pawning - ☐ YES ☐ NO)

Second Applicant: ☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

Third Applicant: ☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

* (Also applicable for the authorized signatories/Promoters/Ricor/ Trustees/Whole time Directors)

PEP are defined as individuals who have been entrusted with prominent public functions by a foreign country including the Heads of States or Governments, senior politicians, senior government/judiciary officers, senior executives of state-owned corporations and important political party officials are considered as PEP. Family members or close relatives of such individuals are considered as RPEP.

As per the prevailing regulatory requirements, it is necessary to obtain approval of senior management of the AMC for establishing business relationship with PEPs and their close relatives/ members of family members. In case the applicant or its UBO is a PEP or RPEP, the application shall be processed subject to approval of the senior management of the AMC, which may take upto 2 business days.

12. NOMINATION

NOMINEE (OPT-IN) Details or OPT-OUT Declaration is Mandatory to process the application. Please choose from below Option A or Option B as appropriate. (Refer instruction IV)

A) FOR NOMINATION OPT-IN: I/WE HEREBY NOMINATE THE UNDERMENTIONED NOMINEE(S) TO RECEIVE THE AMOUNT TO MY/OUR CREDIT IN EVENT OF MY/OUR DEATH AS FOLLOWS:

Nomination Details			
Nomination can be made upto three nominees in this document.	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
Mandatory Information			
1 Name of the nominee(s)	_____ _____ _____	_____ _____ _____	_____ _____ _____
2 Share of each Nominee%	_____%	_____%	_____%
3 Date of Birth (In case Nominee is Minor)	____-____-____ (DD-MMM-YYYY)	____-____-____ (DD-MMM-YYYY)	____-____-____ (DD-MMM-YYYY)
4 Relationship with the Applicant (Select one)	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify) _____	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify) _____	☐ Spouse ☐ Father ☐ Mother ☐ Daughter ☐ Son ☐ Others (please specify) _____
5 Nominee/ Guardian (In case of Minor) identification details (Please tick only one of the following and provide ID Number and no copies required)	☐ PAN _____ ☐ Aadhaar (last 4 digits) _____ ☐ Passport (for NRIs/OCs/PIOs) _____ ☐ Driving License _____	☐ PAN _____ ☐ Aadhaar (last 4 digits) _____ ☐ Passport (for NRIs/OCs/PIOs) _____ ☐ Driving License _____	☐ PAN _____ ☐ Aadhaar (last 4 digits) _____ ☐ Passport (for NRIs/OCs/PIOs) _____ ☐ Driving License _____
6 Address of Nominee(s)/ Guardian in case of Minor City / Place, State & Country	_____ _____ _____ Pincode: _____	_____ _____ _____ Pincode: _____	_____ _____ _____ Pincode: _____
7 Mobile of nominee(s)/ Guardian in case of Minor	_____	_____	_____
8 Email ID of nominee(s)/ Guardian in case of Minor	_____	_____	_____
Non-mandatory details			
9 Nominee/ Guardian Name (In case Nominee is Minor)	_____	_____	_____

X. My/our self/other decision shall be assigned/transferred to the first nominee mentioned in the form.

Name of nominee(s) with %

Workshops of First Year Students

Signature of Dead End Number

Structure of Job Cost Number

We are filing under "Non-Staff Organization" ("NFO") which has been designated for companies or individuals who are not subject to the rules of the Commission. The NFO designation is based on a company's status as a company registered under the Securities Exchange Act of 1934 (15 U.S.C. 77f) and the Securities Act of 1933 (15 U.S.C. 77a).

It was shown that the Spectral Ratio of Output (SRO) of the HHT system

First, please register immediately and confirm with the above information. Failure to get above confirmation in accordance with the period is considered, wherever applicable, as loss of HF / AMC to register your entry card in the above period and may report by the relevant authorities in applicable. We assume again that we may be liable for any fines or consequences as required under the respective national measurements and therefore you should contact Resolutions under information in order to collect such Resolutions in your entry manner as agreed to be suitable.

[illegible]

Source: <http://www.fishbase.org>

RESEARCH ON THE EFFECTS OF

WILLIAMSON, J. 1992. *Journal of the American Veterinary Medical Association* 151:1229-1230.

⁸Signature of witness, along with name and address, are required. If the witness is a police officer, the signature must be in ink.

Slaves of the Nation

Eleutherus / Therapsid (mammalian)

Negative:

Structure of the curriculum

Sale / First Notice (6/1/2001)

William E. Shafer, Jr. & Anthony J. Arduini

Submitted: 22 November 2007

Witness 1 Name & Address: _____

Keywords: child sexual abuse; disclosure; social support

Copyright Material (Rev. A04)

1870-1871

Submitted: November 4, 2011; accepted: February 6, 2012.

Witness Testimony A. Anderson

Williams 2 Classification

Third Matter (Mr. Mr.)

W. D. Jones

Willemsen T, Ellegren H

Witness: _____ Name & Address _____

References

ICI
FIDELITY™
MUTUAL FUNDS

ICICI Prudential Active Momentum Fund

ACKNOWLEDGEMENT SLIP (Please Return this Slip)

To be listed in the Journal, Submit to publisher of Science and Technology of Materials Information

Journal of Management Inquiry 24(1)

turns of the century.

(100)
Practical
Action
Measurement
Form

☐ Fidelity Prudential Active Momentum Fund - Regular Plan

OPTION



For office use only

Here stamp, date and receive
at's attention.

FOR ANY EXISTING OR FURTHER INFORMATION PLEASE CONTACT US: 100 Prudential Asset Management Company Limited
Central Service Office, 2nd Floor, Block D-2, Wilson Executive Park, Wilson Damansara Highway, Gurney East, Wembley, 410603, Tel:

TOLL FREE NUMBER: 1-800-222-9900 (WITH AREA) 1-800-200-9900 (TOLL-FREE) **EMAIL:** info@hvacpros.com **WEBSITE:** www.hvacpros.com

INSTRUCTIONS TO INVESTORS

I. GENERAL INSTRUCTIONS

- The application form is for Resident Investors/NRI/FRs and should be completed in English in BLOCK letters.
- The scheme names and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.
- The Application completed in all respects along with the cheque must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or for the account payable is liable to be rejected and the money paid, if any, will be refunded without interest.
- No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form to acknowledge receipt of the application.
- In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application form, transaction slip, the AMC reserves the right to reject the application for information slip, in case the investor(s) has/have not underlined in every place where such corrections/overwriting has/have been made.
- Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
- Any detail of the investor in his DP account will override the detail mentioned in this application form in case of mismatch between both.

II. UNITHOLDERS INFORMATION

- Existing Unit-holders:** If you have an existing folio with KYC validation, please mention the Folio Number in Step I and proceed to Step II in the application form. Please note that the application details and mode of funding will be as per the existing folio. Partial Demat of units is not allowed.

a) New Applicant

- Name and address must be given in full (PO Box Address is not sufficient).
- Name and Date of Birth as per PAN card should be made mandatory.
- In the case of NRI/PPIO/FR investors, an overseas address must also be provided. For non-residents and foreign residents, followed to trade subject to RBI and FEMA guidelines, copy of passport/PPIO Card/POC Card and overseas address proof is mandatory. In case of Merchant Navy NRIs / Seafarers, declaration or certified copy of CDC (Certificate Discharge Certificate) is to be submitted.
- Name of the guardian alongwith relationship must be mentioned. If the investments are being made on behalf of a minor, Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian; joint holding is not allowed. If the first applicant is a minor, in case of investment in the name of minor, payment for investment must be from the bank account of the minor or from a joint account of the minor with the guardian only or else the transaction is liable to be rejected.

In case of a minor, it is mandatory to submit photocopy of any one of the following towards proof of date of birth at the time of initial investment:

- Birth certificate of minor or
- School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states / ICSE / CBSE etc. Containing the minor's date of birth, or
- Passport of minor
- Any other authentic proof witnessing the date of birth of the minor.

In case of natural guardian, a document evidencing the relationship has to be submitted, if the same is not available as part of the documents submitted as proof of date of birth of the minor applicant.

In case of court appointed legal guardian - photocopy of the court order should be submitted alongwith the application.

E. Minor Attaining Majority - Status Change

- Minor attaining majority, the unit holder shall submit a letter along with the documents as mentioned below:
 - A signed request form to change account status from minor to major duly filled containing details like name of the minor, folio no. etc.
 - New Bank Mandate.
 - Signature of the minor who has turned major, duly attested by -
 - the power/authorisation witness signature is registered in the records of the Fund Registrar and Transfer Agent (RTA) against the folio of the minor and holder of OF
 - the message of a scheduled bank signature attestation by way of Banker's Certificate or letter

or KYC, PAN and Aadhaar number of the investor.

or Additional KYC, FATCA & CRS - Self Certification

Depending upon applicable provisions, the ICICI Prudential Asset Management Company Limited (the AMC) may consider seeking additional corroborative documents for necessary diligence of each case.

Guardian name and details will be deleted on change of the status from minor to Major. The standing instruction including SIP, STP and SWP will be registered only till the date of minor attaining majority, though the instructions may be for a period beyond that date.

- In case of an application under Power of Attorney (POA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the request Power of Attorney or the relevant resolution in authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

Power of Attorney (POA) (in case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under holder folio, both the signature of the investor and the POA holder must be clearly captured in the POA document, to be accepted as a valid document. As per law of banking regulations, it authorizes the fund would not be in a position to process the transaction unless, POA holder's signature is available in the POA or proof of identity along with signature

is provided along with the POA.

- PAN is mandatory:** As per SEBI Circular MFD/199/20-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all retail fund investments w.e.f. 1st January 2008. However, PAN is not required for investors who are exempted from PAN requirement, please refer to KYC Form for exceptions of PAN requirement.

- Exemption from requirement of Permanent Account Number (PAN) for minor investments in the schemes of the Fund:** Investment in mutual fund schemes (including investments through Systematic Investment Plan (SIP)) upto Rs. 50,000/- per investor per year per mutual fund, shall be exempted from the requirement of PAN.

- The exemption shall be available under all the schemes of the Fund for investments upto Rs. 50,000/- aggregate under all the schemes of the Fund) in a rolling 12 month period or financial year (i.e. April to March by individuals (including NRIs but not POCs), Minors, Trusts, proprietary firms, and joint holders. HUFs and other categories will not be eligible.

- In case the first Mutual SIP investment is processed via the cheque (i.e. by banked), and the application is found to be defective, the Minor SIP registration will be issued for future installments. No funds to be made for the units already debited, investor will be sent a communication to this effect, however, redemptions shall be allowed.

- In case of investments held jointly, first holder must not possess a PAN.

- Eligible investors may invest in the schemes of the Fund through SIP (including additional purchases) without submitting PAN subject to the threshold amount as specified above.

- Eligible investors should attach copy of Know Your Client (KYC) acknowledgement letter quelling PAN. Except KYC reference no. (PDRN) obtained from KYC Registration Agency alongwith the investment application form.

- Eligible investors must have only one PDRN.

- In case KYC status is failed for a particular PDRN further SIP transaction/ investments will not be allowed in such folio having such PDRN.

- Applicants should indicate their status by ticking the appropriate check-box. Applicants without a tick in the 'Status' box will be considered as investor by 'Others'. Those who select the status as 'Others' they should specify their status in the space provided.

- Applicants should specify the mode of funding. In case it is not mentioned the default will be 'salary or savings'. In the case of joint holders, the first named holder shall receive the Account Statements, IDCWs / Redemptions / refund warrants and any other correspondence sent from time to time.

- Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FII/Society/POFDD).

- In case of fixed/fund/taxer purchases, if the name of the Scheme on the application form/instrument slip differs with the name on the Cheque, then the AMC will still units under the Scheme mentioned on the payment instrument. In case of heavy additional purchases, if the Scheme name is not mentioned on the application form/instrument slip, then the units will be allotted under the Scheme mentioned on the Cheque. The PAN/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchases under the same scheme of fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

III. DEMAT/IN-DEMAT MODE

Investors have an option to hold the Units in dematerialized form, by providing DP details. Units shall be directly credited to the investor's demat account after the realization of funds and derivatives will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/correct or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master Demat account statement. Demat option will be not be available for Daily/Weekly/fortnightly DCDF options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Altogether letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investor who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except Units held in Equity Linked Savings Scheme (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions in the SID of respective Scheme and guidelines/procedures/regulations to be followed by the Depositories (NSDL / CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transactable, subject to the applicable regulations and the guidelines as may be amended from time to time.

Reinvestment of IDCW payout: In case Unit holder has opted for IDCW payout option under Weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be automatic payout for IDCW payout, as per the provisions of the respective scheme part of IDCW distribution tax and other statutory levy. If any, also the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme (then by issuing additional Units of the Scheme at the prevailing ex-DCW Net Asset Value per Unit on the record date. There shall be no cash lead on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less

INSTRUCTIONS TO INVESTORS (Contd.)

From the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 to pay Notice cum Addendum no.017/09/2012 published on October 01, 2012.

IV. NOMINATION

- Investors need to mandatorily declare either the nomination or the declaration for opting out of nomination for individuals applying for / holding units on their own behalf singly or jointly else the application is liable to be rejected.
- You may nominate persons to receive the Units/accounts standing to your credit payable in the event of death of the Unit Holder(s) in respect of investment under a Folio. In case Unit holder do not wish to nominate, please tick the declaration for non-nomination to nominate in this form or submit the signed Declaration form separately available in our website www.icicifund.com.
- Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. All joint holders need to sign the nomination form. Nomination is not allowed in case the first applicant is a minor. Nomination form cannot be signed by Power of Attorney (PoA) holder.
- Nomination will be registered where nomination is made by a sole proprietorship or the proprietor is providing his/her personal guarantee for KYC and all the details are of the individual itself.
- All payments and settlements made by such nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustee.
- A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Declaration of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, Union Territory, any person designated by virtue of his office or religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands withdrawn upon the transfer of units.
- Every new nomination for a folio should will overwrite the existing nomination.
- Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to be signed by all the holders.
- On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- Investors who want to make multiple nominations (Maximum 3) need to fill the separate Multiple Nomination Form available on www.icicifund.com and submit it to the AMC. Separate form is available on www.icicifund.com and submit it to the AMC.
- Nomination can be made for maximum number of three nominees. In case of Multiple nominees, the percentage of allocation/ share in which nominee and without discount in favour of each of the nominees should be indicated against the name of the nominee. Such allocation/ share should total to 100 percent. In the event of the Unit holder(s) fail to indicate the percentage of allocation/ share for each of the nominees, the Fund/ AMC, by making default apportionment settle the claim equally amongst all the nominees. Any addl or after division shall be assigned / transferred to the first nominee mentioned in the form.
- In case of multiple nominees, in the death of one or more nominees, the transmission of units shall be made in favour of the surviving nominee(s) in proportion to their share of the units.
- In case the Investor specifies multiple nominees, then he / she shall also specify the percentage share for each nominee. In absence of such specification, the regulated entity shall apportionment the assets equally among all the nominees. In case of demise of the Investor and any one of the nominees, the regulated entities shall distribute the assets pro rata to the existing nominees.

V. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular BARRP/CF/CR/ST/2009 dated April 15, 1998. Applications without this information will be deemed to be incomplete. An investor at the time of purchase of units must provide the details of the pay-out bank account (i.e. account into which redemption/IDCW proceeds are to be paid) in Section 3 in the Application Form. Please quote 3 Digit MICR Code No. and 11 Digit IFSC code of your bank and branch corresponding to Bank Account details. (This number appears on every leaf of your chequebook). The AMC reserves the right to make IDCW/Redemption payments through ECS/RTGS, where details are available.

Investors/Unit Holders are requested to note that any one of the following documents shall be submitted if cheque provided along with fresh subscription/new folio creation does not belong to bank mentioned in Section 3 in the Application Form.

- Original cancelled cheque having the First Holder Name printed on the cheque.
- Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application.
- Photocopy of the bank statement duly attested by the bank manager with designation, employee number and bank seal.
- Photocopy of the bank pass book duly attested by the bank manager with designation, employee number and bank seal.
- Photocopy of the bank statement plus cancelled cheque duly attested by the AMC branch officer after verification of original bank statement and bank shown by the investor or their representative.
- Confirmation by the bank manager with seal, designation and employee number on

the bank's letter head confirming the investor details and bank mandate information. Please note that unit holder opting to invest in demat, please ensure that the bank account linked with the demat account is mentioned in the application form.

Monthly payment of IDCW payment would be made as per the bank account details available in DEMAT file.

Multiple Bank Account Registration: The AMC / Mutual Fund provides a facility to the investors to register multiple bank accounts. Currently upto 5 for individuals and 10 for Non-individuals, for receiving redemption/IDCW proceeds etc. by producing necessary documents. Investors must specify any one account as the "Default Bank Account". The investor may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption. Investors having units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the Multiple Bank Accounts Registration Form available at our Investor Service Centres (ISCs) or on our website www.icicifund.com.

Change of Bank Mandate: With effect from October 25, 2011, the request for change of bank (ICD) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement pass book duly attested by the new Bank, endorsing the name and bank account details of the investor.

VI. DIRECT CREDIT OF IDCW/REDEMPTION

ICD Prudential AMC had entered into an arrangement with certain banks, such as ICICI Bank & HDFC Bank for direct credit of redemption and IDCW proceeds. If the investor holds a bank mandate in any of the specified banks. However, the AMC will not be responsible for any delay on the part of the bank for executing the direct credit. The Fund reserves the right to issue a payment instrument in place of the electronic payment facility. The AMC may order the list of the banks participating in direct credit arrangements from time to time.

/ withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add/ withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, in the case may be.

VII. E-MAIL COMMUNICATION

- Investors should ensure that the email id provided is that of First / Sole holder or of their Family member. Family means spouse, dependent children, Dependent Sibling or dependent parents. This email address and mobile no. provided shall be registered in the folio for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole holder available in the KYC records, shall be registered in the folio. If the AMC / RTA finds that the email address / mobile number provided may not be of the actual investor or the same appears incorrect / doubtful, the AMC / RTA may not capture / update such email address / mobile number in the folio.
- The AMC shall send the annual report or an abridged summary thereof to the unitholders whose email addresses are registered with the Fund. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database. Physically copy of scheme info annual report or abridged summary shall be provided to investors who have opted to receive the same.
- Delivering service through the internet & web-based services such as e-folio is a more efficient delivery channel. When an investor has provided an email address in his DP account, the same will be registered in our records for eDocs and will be issued as your consent to receive. All relevant communications, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode/means. These documents shall be sent physically in case the Unit holder opts/opts out for the same. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email. The Mutual Fund / Registrar are not responsible for email not reaching the investor and for all consequences thereof. The investor shall from time to time inform the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor.

The AMC / Trustee reserve the right to send any communication in physical mode.

VIII. KNOW YOUR CUSTOMER (KYC) NORMS

KYC (Know Your Customer) are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are mandatorily required to use the Common KYC application form to apply for KYC and mandatorily undergo in Person Verification (IPV) (requirements with SEBI registered intermediaries. Individual client who has registered under Central KYC Records Registry (CKYC) has to fill the 14 digit KYC Identification Number (KIN) in application form for Common KYC Application Form please visit our website www.kycpractitioner.com.

IX. TRANSACTION CHARGES:

With effect from March 1, 2014, no transaction charges to be levied on the investment amount from the said distribution (including SIPs) received through distributors (i.e. for Regular Plans). Accordingly, payment of transaction charges to the distributors has been discontinued from March 1, 2014.

X. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EURN)

Investors availing advisory services from non-individual distributors are requested to note that EURN would not be in the problem of recording even if the employee relationship manager/ sales person have the employment of the distributor.

Distributors are advised to ensure that the subscriber affixes his/her ARN code in the forms separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EURN of the Sales Person (if any) in the EURN form.

Investors are requested to note that EURN is largely applicable to sales persons of non-individual ARN holders (whether acting in the capacity of the main distributor or sub-

investor). Further, SEBI will not be applicable for overseas distribution who comply with the requirements as per AMFI circular CIR/ AMFI/14/12-13 dated July 23, 2012.

21. Signatures:

The signature(s) should be in English/In any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Where representations must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under a notarized official seal. Applications by minors should be signed by their guardians, in case of a Hindu (including Hindu Jugal), the Karta should sign on behalf of the Jugal.

If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarized photocopy of the POA. Alternatively, the original POA may be submitted, which will be returned after verification. If the POA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporate or any non-individual investors, a list of authorized signatories should be submitted along with Application form or in case of any change in the authorized signatory list, the AMC/Registrar must be notified within 7 days.

In case of application under POA or by a non-individual (i.e. Company, trust, society, partnership firm etc.), the relevant POA or the resolution should specifically provide for/authorize the POA holder/authorized signatory to make application/invest/manage on behalf of the investor.

Signature mismatch cases: While processing the redemption request in case the AMC/Registrar come across a signature mismatch, then the AMC/Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

XX. INVESTMENT DETAILS

DURING NEW FUND OFFER/ DURING ONGOING OFFER:

Minimum Application Amount	Rs. 1000/- (plus in multiples of Rs. 1/-)
Switch-in	Rs. 1000/- and any amount thereafter
Minimum Additional Amount	Rs. 1000/- (plus in multiples of Rs. 1/-)
Switch-in	Rs. 1000/- and any amount thereafter
Minimum Redemption Amount	Any Amount
Systematic Withdrawal Plan (SWP)	Available

PLANS/OPTIONS AVAILABLE UNDER THE SCHEME

- ICICI Prudential Active Momentum Fund - Direct Plan
- ICICI Prudential Active Momentum Fund - Regular Plan

Options under each Plan(s):

- Growth
- Income Distribution cum Capital Withdrawal (IDCW)*
 - ✓ IDCW Payout
 - ✓ IDCW Reinvestment

*The subscription for IDCW option is disabled for the Scheme. The Trustees reserve the right to enable the IDCW option for the Scheme at a future date.

Plans	• ICICI Prudential Active Momentum Fund - Direct Plan and • ICICI Prudential Active Momentum Fund - Regular Plan
Default Plan (if no plan is selected)	If lesser code is not mentioned the default plan is ICICI Prudential Active Momentum Fund - Direct Plan If lesser code is mentioned the default plan is ICICI Prudential Active Momentum Fund - Regular Plan
Default Plan (in certain circumstances)	If ICICI Prudential Active Momentum Fund - Direct Plan is opted, but ARN code is also stated, then application would be processed under ICICI Prudential Active Momentum Fund - Direct Plan If ICICI Prudential Active Momentum Fund - Regular Plan is opted, but ARN code is not stated, then the application would be processed under ICICI Prudential Active Momentum Fund - Direct Plan
Options/ sub-options	• Growth Option and • IDCW* ➤ IDCW Payout ➤ IDCW Reinvestment
Default Option	Growth Option
Default sub-option	IDCW Reinvestment

*The subscription for IDCW option is disabled for the Scheme. The Trustees reserve the right to enable the IDCW option for the Scheme at a future date.

ICICI Prudential Active Momentum Fund - Direct Plan is only for investors who purchase purchase units in a Scheme directly with the Fund.

For details with respect to AMFI Best Practices Guidelines dated February 2, 2024 on treatment of applications received with invalid ARN or ARNs, subsequently found to be invalid, investors are requested to refer to the relevant provisions of the SIs. The Trustees reserve the right to add/alter other options/sub-options under the Scheme.

For detailed disclosure on default plans and options, kindly refer SIs.

IDCW TRANSFER PLAN

*The subscription for IDCW option is disabled for the Scheme. The Trustees reserve the right to enable the IDCW option for the Scheme at a future date. Investors may note that IDCW Transfer Plan will be available under the Scheme whenever the investor opts for this facility. The IDCW received will be automatically invested into any open-ended scheme (Target Scheme) of the Fund. The amount to

the extent of distribution will be automatically reinvested in the new DCW date in the Target Scheme selected by the investor at the applicable NAV of that scheme. The provision of "Minimum Application Amount" specified in the SI of the respective Target Scheme will not be applicable for OTP facility.

XXI. MODE OF PAYMENT

- The cheque should be drawn in favour of "ICICI Prudential Active Momentum Fund" and crossed "Account Payee Only". The cheque should be payable to the centre where the application is lodged. The cheque should be drawn on any bank which is situated at and is a member bank-member of the Bankers' Clearing House. Cheques drawn on a bank not participating in the Clearing House will not be accepted.

ii) Outstation Cheques will not be accepted

WCR cheques and Transfer cheques will be accepted till the end of business hours up to July 22, 2025.

Electronic Payments and Real Time Gross Settlement (RTGS) requests will be accepted till the end of business hours up to July 22, 2025.

Switch-in requests from equity schemes and other schemes will be accepted up to the end of business hours July 22, 2025 till the cut-off time applicable for switches.

Switch-in request from ICICI Prudential US Branch Equity Fund, ICICI Prudential Global Advantage Fund (GAF), ICICI Prudential Hedging 100 Index Fund, ICICI Prudential Strategic Metal and Energy Equity Fund of Funds, ICICI Prudential India Equity FOF, ICICI Prudential Global Equity Fund (GEF) and ICICI Prudential Thematic Advantage Fund (TAF) will not be accepted.

Investors can also subscribe to the New Fund Offer (NFO) through ASBA facility.

Switch into the Scheme: Investors who hold units in any of the schemes of ICICI Prudential Mutual Fund except ICICI Prudential US Branch Equity Fund, ICICI Prudential Global Advantage Fund (GAF), ICICI Prudential Hedging 100 Index Fund, ICICI Prudential Strategic Metal and Energy Equity Fund of Funds, ICICI Prudential Thematic Multi-Asset Fund of Funds and ICICI Prudential Global Equity Fund (GEF) may switch all or part of their holdings in the Scheme during the New Fund Offer Period and on ongoing basis subject to the provisions in the scheme information document of the respective scheme. Switch-in requests are subject to the minimum application amount as mentioned in this Scheme Information Document.

For switch-in requests received from the open-ended scheme during the New Fund Offer Period (NFO) under the Scheme, the switch-in requests from such Scheme will be affected based on the applicable NAV of such Scheme as on the day of receipt of the switch request, subject to applicable cut-off timing provisions. However, the switch-in requests under the Scheme will be processed on the date of the statement of the units.

- Payments by Stock-in-kind, Money Order, Cash, Postal Order, Outstation Cheques, Banker's Cheque, Demand Drafts, Post-dated Cheques and Non-CTS Cheques will not be accepted.

- The Trustees shall have absolute discretion to accept/reject any application for purchase of units. If in the opinion of the Trustees, increasing the size of Scheme's Unit capital is not in the general interest of the Unit-holders, or the Trustees for any other reason believes it would be in the best interest of the Scheme or its Unit-holders to do otherwise, such an application.

iii) NRIF/PO Investors

- 1. Repatriation basis:** Payments by NRIF/PO investors of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels or (ii) out of funds held in NRIF/PO account payable in part and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased through NRIF/PO Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRIF investors.

- 2. Non-Repatriation basis:** NRIFs or Persons of Indian origin residing abroad (investing on a non-repatriable basis) may do so by using cheques or drafts on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

- 3. FIRC certificate:** In case of investments by Non-Resident Indians (NRIs), if FIRC certificate was not submitted, CAMS/AMC will not provide FIRC followed letters to banks.

- In case of Non-Anchor Investors, realization of the application amount by the AMC should be on or before the closure of 3 (three) business days from the end of Non-Anchor Investor NFO Period. Otherwise, AMC reserves the right to reject the application and refund the amount.

XXII. THIRD PARTY PAYMENTS:

Investment/Subscription made through Third-Party Cheques will not be accepted. Third party Cheque(s) for this purpose are defined as:

- Investment made through instruments issued from an account other than that of the beneficiary investor.

- In case the investment is made from a joint bank account, the first holder of the mutual fund investment is not one of the joint holders of the bank account from which payment is made.

- Third party cheques for investment/Subscription shall be accepted, only in exceptional circumstances, as detailed below:

- Payment on behalf of a person in consideration of natural love and affection or as a gift will be only applicable for ICICI Prudential Child Care Fund (CCF) Plan.
- Payment by Employer on behalf of employee under Systematic Investment Plan or long-term savings subscription through Payroll Deductions.
- Collection on behalf of a foreign institutional investor (FI) or a client.
- Payment made by the AMC to a Distributor empowered with it on account of commission, incentive, etc. in the form of the Mutual Fund units of the Scheme managed by such AMC through SIP or long-term savings subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

- B) Payment made by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal-agent relationship) in respect of commission or incentive payable for sale of its goods/services, in the form of Mutual Fund units of the schemes managed by such AMC through SIP or lump sum one time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI from time to time.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction subscription form, as stated below:

- Determining the identity of the Investor and the person making payment to mandatory Know Your Client (KYC) for Investor and the person making the payment.
- Obtaining necessary declaration from the Investor/Contributor and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- Verifying the source of funds to ensure that funds have come from the donor's account only.

In case of Investments/subscriptions made via RTGS, NEFT, bank transfer, etc. banking etc. Following additional checks shall be carried out:

- If payment is made by RTGS, NEFT, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the instruction should be there pay in account available in the records, or from the account of the bank named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to regular all such accounts, as the investments/subscriptions received from the said regular accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available at www.kicciinvestments.com or KICJ Prudential Mutual Fund branch offices.

XV. PLEDGING

The Units can be pledged by the Unitholders as security for raising loans subject to the sanction of the lending institution and the terms and conditions laid down by the Depositories. The Registrar will take note of such pledge / charge in its records as information.

XVI. ULTIMATE BENEFICIAL OWNERS(S) (UBO(S))

Pursuant to SEBI Master Circular No. CIR/SEBI/MF/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on Identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MRD/G/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of UBO(s). In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual investors/investors are mandated to provide the details on UBO(s) by filling up the declaration form for "Ultimate Beneficial Ownership" which is available on our website: www.kicciinvestments.com or at any of the Investor Service Centre (ISC) of KICJ Prudential Mutual Fund.

XVII. FATCA AND CRS DETAILS

The Regulations require us to collect information about each investor's tax residency in certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax adviser. Further if you are a Citizen or resident or green cardholder or tax resident after non residency, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID Number. If there is any change in the information provided, promptly inform the us within 30 days.

XVIII. As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/52 O.P.S. COGO No.90106/24/801/2020-21, dated 5th January 2021, RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems, viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, 70-digit Legal Entity Identifier (LEI) information is included while initiating any transaction of value INR 50 crore and above by entities (non-individual).

XIX. As per Prevention of Money Laundering (Maintenance of Records) Amendment Rules, 2021 dated 7th March 2021, definition of Non-Profit Organisation (NPO) has been revised. "Non-profit organisation" means any entity or organisation, constituted for religious or charitable purposes referred to in clause (25) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

Prudential
Prudential
MUTUAL FUND

PAN BASED MANDATE

Tick ☒ **CREATE** ☐ **MODIFY** ☐ **CANCEL**

I/We hereby authorize **ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED** to debit (tick ☒) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number

with Bank IFSC or MICR

an amount of Rupees ₹

FREQUENCY ☒ Mthly ☐ Qtrly ☐ H-Yrly ☐ Yrly ☐ As & when presented DEBIT TYPE ☒ Fixed Amount ☐ Maximum Amount

PAN No. Mobile No.

Reference Email ID

I agree for the debit of mandate processing charges by the bank, whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD
From To

Maximum period of validity of this mandate is 40 years only

1. 2. 3.

Declaration: I/We hereby declare that the particulars given in this mandate are correct and complete and no other information is required to make my/our investment in ICICI Prudential Mutual Fund as may be authorized by the AMC from time to time. I/We hereby confirm adherence to the terms of this facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Separation of OTM NACH Based Mandate facility and consented from time to time and of ICICI Prudential. Authorization to Bank: This is to confirm that the declaration has been correctly read, understood & made by me/ us. I am authorizing the use of my/our bank's debit facility to debit my account. I/We have understood that I/We authorize to debit my/our account by appropriately debiting and agreeing to debit the bank's debit facility as per the bank's latest schedule of charges. This is to confirm that our bank is registered for NACH facility and the mandate is provided to ICICI Prudential Mutual Fund as per the bank's latest schedule of charges. I/We hereby authorize the bank to debit my/our account for any charges towards mandate verification, registration, transactions, etc. as applicable.

Prudential
Prudential
NFO FORM

ICICI Prudential Active Momentum Fund
SIP Registration-cum-Mandate Form for SIP

Application No.

New Fund Offer Opens on: July 08, 2025 | New Fund Offer Closes on: July 22, 2025

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in **ENGLISH** in **BLACK/BLUE INK** and **BLOCK LETTERS**.

BROKER CODE (ARN CODE) / RIA CODE	SUB-BROKER/ARN CODE	SUB-BROKER CODE (AS ALLOTTED BY ARN-HOLDER)	EMPLOYEE UNIQUE IDENTIFICATION NO. (EURN)
-----------------------------------	---------------------	---	---

By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the a/c of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EURN box is left blank) - I/We hereby confirm that the EURN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/responsible of the above distributor/sub broker or notwithstanding the advice of the employee/relationship manager/responsible of the distributor/sub broker.

----------------------	----------------------	----------------------

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

FOLD NO. Set/lot Applicant:

☐ Registration via Existing OTM (Please mention UMRN & multiple OTMs used in total (Tick to send ☒))

Scheme Name: **ICICI Prudential Active Momentum Fund** | Plan: OPTION:

SUB-OPTION:

Please refer instructions and Key Information Memorandum (KIM) available on ICICI Prudential Mutual Fund website for options, sub-options and other facilities available under each scheme of the Fund.

Each SIP Amount: Rs. in words

SIP Frequency: ☐ Daily (Only business days) ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly (Default is Monthly)

SIP Date: SIP Start Month/Year: SIP End Month/Year: SIP Installments:

In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP. Fortnightly is available on 1st and 16th of each month, as applicable. Investors can choose any day of the week from Monday to Friday to register under weekly frequency. If on the chosen date is a non-business day, the transaction would be processed on the next business day. (Further of installments have to be mentioned only in case of Daily/Weekly/Fortnightly frequencies. Refer SIC no.120)

EXISTING OTM / FIRST INSTALLMENT BANK DETAILS: Cheque No. Cheque Amount: Rs.

A/c No. Bank Name:

(Please see overleaf)

Prudential
Prudential
NFO FORM

ACKNOWLEDGEMENT SLIP (To be filled in by the investor)
ICICI Prudential Active Momentum Fund

Folio No. / Application No.

Name of the investor:

Scheme Name: Plan & Option:

SIP Amount: Rs. SIP Frequency: SIP Tenor:

Mandatory fields in OTM form as per NPCI: • Mandate Date is Mandatory • Transaction type to be selected • Only one Account type to be selected • Bank account number and Bank name • Please mention IFSC Code (23 Alpha-numeric Characters) / MICR Code (9 Numeric) • Mandate amount to be mentioned should be shown in figures and words • Valid PAN • OTM start date & end date • Name & Signature(s) as per bank records • Mandate Date and the Validity of the mandate should be mentioned in DDMM/YYYY format • Mandate start (From) date should be after Mandate (Application) date • Maximum duration of the mandate is 42 years.

GENERAL INSTRUCTIONS

UMIN (Unique Mandate Reference Number) is provided by NPCI which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected as the investor bank account is debited in advance or after the specific SP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, rioting, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMCMutual Fund (including its affiliates), and any of its officers, directors, personnel and employees, the Registrars & Transfer (RT) agent and the service providers herein for any delay/wrong details on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

Registration of OTM/PAN BASED MANDATE FACILITY: As an investor, I/we hereby request you to register me/us for availing the facility of OTM/PAN based mandate and carrying out transactions of debit/credit (push/pull) which is my/our bank through Call Centre or/and also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund), to call/email or my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/we hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/we hereby agree and confirm to inform AMC promptly in case of any changes. I/we interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on toll-free no. 1000 222 890 (MTHL-BSPIL) or 1000 200 6666 (Others).

Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SPs but the amount should not exceed the maximum amount mentioned per transaction.

INSTRUCTIONS FOR EXISTING OTM AND PAN BASED MANDATE FACILITY

- Investor can transact through OTM facility registered for the PAN in the respective folio(s).
- If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTM's registered or reject the request.
- The units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
- Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/ or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
- AMC reserves right to reject or process the application subject to internal verification.
- PAN based mandate will be mapped to all the folios whenever investor is the Sole/First holder subject to completion of mandate registration with the bank.
- PAN based mandate will not be applicable, if bank details provided is for Minor's Account.
- Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
- Mandate start (From) date should be after Mandate (Application) date.

OPTIONAL ACCOUNT STATEMENT DETAILS (OPTIONAL - PLEASE REFER INSTRUCTION NO. 38)

NSDL Depository Participant (DP) ID (NSDL only) : Beneficiary Account Number (BENL only)

CDSL Depository Participant (DP) ID (CDSL only)

YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Minor SPs which together with the current application will result in a total investments exceeding Rs 50,000 in a year as mentioned in the instruction NAW(5) of the common application form. The AMN holder has disclosed to me/us of the commissions (in the form of total remuneration or any other mode) payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to make. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

---	---	---

TERMS AND CONDITIONS

SIP Payment through NACH

- The bank account provided for NACH should be participating in NACH clearing respectively.
- Investors can choose any preferred date of the month as SIP debit date, in case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In case the Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
- In case of SIP initiation where the mode of payment is through NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request of least 30 days prior to the date of first instalment. AMC reserves the right to register the first triggered SIP instalment from subsequent month onwards. In case the period between the time-stamping of the SIP request and the first triggered SIP instalment is less than 30 days. In case of SIP via existing OTM, investor can choose to start the SIP in 15 days after the date of submission. In case of incomplete mandate form, AMC may initiate remediation process to obtain complete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent instalments. SIP start date shall not be beyond 180 days from the date of submission of SIP application and SIP end date shall not be beyond 40 years from the SIP start date. The applicant will have the right to discontinue SIP at any time, he or she can do this by providing a written request at the office of the ICICI Prudential Mutual Fund/Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be valid in the scheme.
- The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI).
- Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registered and other service providers responsible under law for any damages/compensation for any loss or if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/issue not attributable to ICICI Prudential Mutual Fund/AMC/the Trustee.
- ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason therefor.
- In case of "All Pay" Cheques, investors need to mention the MICR No. of holder actual Bank Branch.
- SIPs will be registered in a folio held by (i) minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- New Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. In case multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
- Existing Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme eligible for SIP available in the investor's folio. In case multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
- In case SIP date is not mentioned/not applicable, then the SIP will be registered on 15th (default date) of each Month/Quarter as applicable.
- a) In daily SIP frequency transaction will be processed on Business days only in case any scheduled Business day is declared as Non-Business day by AMC, Regulators, Government etc. for any reason the said transaction will be processed with the next available NAV. The investor will not hold AMC Bank liable for processing such transactions the next day.
b) For Weekly frequency, investors can choose any day of the week from Monday to Friday, house Day is not specified by the investor transaction will be processed on Wednesday.
c) For Fortnightly frequency, the transaction will be processed on 1st and 15th day of each month as applicable.
d) For Monthly and Quarterly frequencies, the transaction will be processed on any day basis.
e) In case the SIP Date selected is a non-business day the transaction will be processed on the next business day.
f) In case both SIP end date and number of instalments are mentioned in the SIP application for Daily, weekly and fortnightly frequency then SIP shall get registered as per the number of instalments provided.
Minimum number of instalments for daily, weekly, fortnightly and monthly frequencies will be 3 and 4 for quarterly frequency.
The minimum instalment amount under Daily, Weekly and Fortnightly frequencies shall be the same as minimum amount prescribed for SIP under monthly frequencies in the respective schemes.
- If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
- If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
- In case End date mentioned in SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned in mandate. In case SIP "end Month/year" is incorrect or not mentioned by the investor in the SIP form then AMC reserves the right to consider the SIP end date as five years from the start date as default.
- Existing investors have to provide their folio numbers.

17. For minimum application amount to be invested in SIP, risk factors, features, asset structures, etc., please refer to the scheme related documents available on www.icicimutuals.com or with any of the customer service centres of ICICI Prudential Mutual Funds.

18. ICICI Prudential Mutual Fund, its registered and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using this facility and bears full responsibility.

19. In case of application is received for change of scheme / change of bank and the existing SIP is registered beyond 40 years from the date of application the SIP end date will be modified accordingly as per end date mentioned in the new mandate (with maximum validity of 40 years).

20. DEMAT/ON-DEMAT MODE:

Investors have an option to hold the Units in dematerialized form. By providing SIP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will not be available for Daily/Weekly/Fortnightly/IDCW options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Statement letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Schemes (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/ CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

Reinvestment of IDCW payout: In case Unit holder has opted for IDCW payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for IDCW payout, as per the provisions of the respective scheme. If of IDCW distribution tax and other statutory levy, if any, when the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-IDCW Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less than the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. The unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued their subscriptions with effect from October 1, 2012 on per Notice cum Addendum No. 01/09/2012 and dated on October 01, 2012.

20. FOR EXISTING OTM AND PAN BASED MANDATE FACILITY

- Investor can transact through OTM facility registered for the PAN in the respective folio(s).
- If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTMs registered or reject the request.
- Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
- AMC reserves right to reject or process the application subject to internal verification.
- PAN based mandate will be stopped to all the folios whenever investor is the Self/first holder subject to completion of mandate registration with the bank(s).
- In case the applicant is minor, the PAN based mandate will not be applicable.
- Investor can transact using this mandate, within the limit of maximum amount and format specified.
- Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all the auto/periodic commitments for transactions under the relevant mandates. Investor agrees that his bank may charge service fees for any disbursement.

21. Date of Birth (DOB):

- Existing Folio:** If you have an existing folio, the DOB will be captured as per the folio. If the DOB is not available in the existing folio, the same will be captured from the new application, via Common Application form.

- New Folio:** The DOB given in the common application will be considered.

THIS PAGE HAS KEPT INTENTIONALLY BLANK

FOLIO BASED MANDATE

PRUDENTIAL MUTUAL FUND		UMRN											Date						
Tick ☒		Sponsor Bank Code											Utility Code						
CREATE ☒ MODIFY CANCEL		We hereby authorize		ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED										to debit (tick ☒)		☐ SB ☐ CA ☐ CC ☐ SB-MF ☐ DB-NRD ☐ Other			
		Bank a/c number																	
with Bank												IFSC							
an amount of Rupees												₹							
FREQUENCY		☒ Mthly		☒ Qly		☐ H-Vly		☐ Vly		☒ As & when presented		DEBIT TYPE		☒ Fixed Amount		☐ Maximum Amount			
Folio No.												Mobile No.							
Reference												Email ID							

I agree for the debit of immediate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From :

To :

Maximum period of validity of this number is 10 years and:

1. 2. 3.

Declaration: I/We hereby declare that the participant given in this module are correct and complete as far as we are aware. All respondents will be held liable to inform others about this copyright issue in HNC/STING other module may be entered by the HNC from time to time. This hereby confirms and agrees to the terms of this liability, which by: C/O Pradip for Aave Maheeswari Company Limited the AMQ is issued for Terms & Condition under the version of DTN/IN Basic Member Policy, and consent to work in to limit of HNC/STING (Public). Authorization to Basic: This is to confirm that the declaration has been carefully read, understood & made by me/ us, confirming the accuracy of the data by my/ our record. I/We have understood that this is subject to confirmation by appropriate persons and I/We agree to be the User with responsibility for it or the same will be held by me/ us after the date. This is to state that I/We have registered for this liability and that I/We will be held by C/O Pradip for Aave Maheeswari Company Limited for any liability that may be held by me/ us for all the above. I/We hereby declare with full knowledge, understanding, free will, and without any coercion.

IP Address
PROXY STATUS
USER AGENT

ICICI Prudential Active Momentum Fund
SIP Registration-cum-Mandate Form for SIP

Advertisement

New Fund Offer Opens on: July 08, 2025 | New Fund Offer Closes on: July 22, 2025

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK/BLUE INK and BLOCK LETTERS.			
BROKER CODE (ARN CODE)/ RIA CODE	SUB-BROKER ARN CODE	SUB-BROKER CODE (AS ALLOTTED BY ARN HOLDER)	EMPLOYEE UNIQUE IDENTIFICATION NO. (EUN)
By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.			
Declaration for "execution only" transaction (only where EUN box is left blank) - I/We hereby confirm that the EUN box has been intentionally left blank by <i>me/us</i> as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of it is appropriate, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.			
<i>(Signature of Sub-Broker)</i>	<i>(Signature of Investment Adviser)</i>	<i>(Signature of Employee)</i>	
The Investor, ICICI Prudential Mutual Fund (PWF) have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Document.			
FOLIO NO.	Service Agent		
☐ Registration via Existing OTM. (Please mention OTM Folio No. OTM must be valid. Tick to opt 1/2)			

Scheme Name: ICICI Prudential Active Momentum Fund | Herf: _____ OPTION: _____

Reprints and other information: Members@CDO.org or CDO Product Manual[®] are available for authors, self-publishers and other facilities available under each version of the text.

Each SIP Amount: \$ In words:

SIP Frequency: ☐ Daily (Only business days) ☐ Weekly¹ ☐ Fortnightly² ☐ Monthly ☐ Quarterly³ (Default is monthly)

SIP Date: SIP Start Month/Year: SIP End Month/Year: SIP Investment Amount:

*The cost of Quarterly SAT only Weekly frequency is available under JPT TOP UP. **Fidelity is available on 1st and 15th of each month, as applicable. ***Investors can choose display of the most recent Monday to Friday to represent weekly frequency. If the case the chosen date is a non-business day, the transaction would be processed at the next business day. Number of installments have to be maintained only in case of Daily/Weekly/Fortnightly frequencies. (Rule 23C-1.2(f))

EXISTING OTM / FIRST INSTALLMENT BANK DETAILS:		Cheque No.	Cheque Amount Rs.
A/c No.	Bank Name		

(Please see overview)

PACIFIC
FLUENT
INDUSTRIAL

ICICI Prudential Active Momentum Fund

Radio No. / Amplification No.

Name of the Investor: _____
 Subfund Name: _____ Plan & Option: _____
 SIP Amount Rs. _____ SIP Frequency: _____ SIP Tenure: _____

Mandatory fields in OTM form as per NPCI: • Mandate Date is Mandatory • Transaction type to be selected • Only one Account type to be selected • Bank account number and Bank name • Please mention IFSC Code (11 Alpha numeric Characters) / MICR Code (9 Numeric) • Maximum amount to be debited (should be given in figures and words) • Valid till • OTM start date & end date • Name & Signature(s) as per Bank records • Mandate Date and the validity of the mandate should be mentioned in DDMM/YYYY format • Mandate start (From) date should be after Mandate (Application) date. • Maximum duration of this mandate is 40 Years.

GENERAL INSTRUCTIONS

UMR# (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its regulators and other service providers responsible if the transaction is delayed or not effected as the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, moving, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the bank.

The investor hereby agrees to indemnify and hold harmless, AMC/Mutual Fund (including its affiliates), and any of its officers, directors, personnel and employees, the Regulator & Transfer (NET) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account, if the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the service providers responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

Registration of One Time Mandate (OTM) Facility: As an investor you hereby request you to register mandate for enabling the facility of OTM and carrying out transactions of additional purchases/redemptions with in regular basis through Call Centre under your authority the distributor(s) to initiate the above transactions on your behalf. In this regard, We also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to collect all on regular registered mandate mandatement at for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is (delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation) verification of the transaction due to any reason, We shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. If We hereby confirm that the information/documents provided by you in this form are true, correct and complete in all respect. We hereby agree and confirm to inform AMC promptly in case of any changes. We interested in receiving prioritized material from the AMC, via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 183 (MNL/BSNL) or 1800 200 0886 (Others).

Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

INSTRUCTIONS FOR OTM MANDATE FACILITY

- Investor can transact through OTM facility registered for the respective folio(s).
- If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTMs registered or reject the request.
- The units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
- Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
- AMC reserves right to reject or process the application subject to internal verification.
- Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
- Mandate start (From) date should be after Mandate (Application) date.

DEBIT ACCOUNT STATEMENT DETAILS (OPTIONAL) - PLEASE REFER INSTRUCTION NO. 28

NSDL: Depository Participant (DP) ID (Only apply) Beneficiary Account Number (Only apply)

CDSL: Depository Participant (DP) ID (Only apply)

--	--

YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Mono-SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year as described in the instruction No.10 of the common application form. The AMI hereby has disclosed to me all the commissions (in the form of trail commission or any other model, payable is form for the different competing Schemes of various Mutual Funds here amongst which the Scheme is being recommended to invest. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

1st Holder	2nd Holder	3rd Holder
------------	------------	------------

TERMS AND CONDITIONS

SIP Payment through NACH

- The bank account provided for NACH should be participating in NACH clearing respectively.
- Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In case the Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
- In case of SIP transaction where the mode of payment is through NACH, investors are not required to do an NACH purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP installment from subsequent month onwards. In case the period between the time-lumping of the SIP request and the first triggered SIP installment is less than 30 days, in case of SIP with existing GTM, investor can choose to start the SIP in 30 days after the date of submission. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days from the date of submission of SIP application and SIP end date shall not be beyond 40 years from the SIP start date. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centre. Notice of discontinuance should be received 30-days prior to the subsequent SIP date. All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP commencement/registration by the fund shall be linked in the Scheme.
- The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI).
- Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable for any damages/compensation for any loss in if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles by NACH or any other reason/ fault not attributable to ICICI Prudential Mutual Fund/the AMC/ the Trustee.
- ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
- In case of "At Par" Cheques, investors need to mention the MICR No. of his/her actual bank branch.
- SIPs will be registered in a folio held by a minor only if the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- New Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. In case multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
- Existing Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor's folio. In case multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
- In case the SIP date is not mentioned/incorrect, then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.
- In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non-Business day by AMC, Registrar, Government etc. for any reason, the said transaction will be processed with the next available NAV. The investor will not hold AMC/Bank liable for processing such transactions the next day.
 - Investors can choose any day of the week from Monday to Friday to register under weekly frequency. In case Day is not specified by the investor transaction will be processed on Wednesday.
 - For Fortnightly frequency, the transaction will be processed on 7th and 10th day of each month, as applicable.
 - For Monthly and Quarterly frequencies, the transaction will be processed on any day basis.
 - In case the SIP Date selected is a non-business day, the transaction will be processed on the next business day.
 - In case both SIP end date and number of installments are mentioned in the SIP application for Daily, weekly and fortnightly frequency then SIP shall get registered as per the number installments provided.

Minimum number of installments for daily, weekly, fortnightly and monthly frequencies will be 6 and 4 for quarterly frequency.

The minimum installment amount under Daily, Weekly and Fortnightly frequencies shall be the same as minimum amount prescribed for SIP under monthly frequencies in the respective schemes.

- If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
- If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.

- In case End date mentioned on SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned on mandate. In case SIP "end Month/Year" is incorrect or not mentioned by the investor in the SIP form then AMC reserves the right to consider the SIP end date as five years from the start date or default.

- Existing investors have to provide their folio numbers.

- For minimum application amount to be invested in SIP, risk factors, features, fund structures, etc. please refer to the scheme related documents available at www.iciciprudentia.co.in or with any of the customer service centres of ICICI Prudential Mutual Fund.

- ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.

- In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non-Business day by AMC, Registrar, Government etc. for any reason, the said transaction will be processed with the next available NAV. The investor will not hold AMC/Bank liable for processing such transactions the next day.

- In case of application is received for change of scheme / change of bank and the existing SIP is registered beyond 40 years from the date of application the SIP end date will be modified accordingly as per end date mentioned in the new mandate (with maximum validity of 40 years).

DEMAT/ON-DEMAT MODE

Investors have an option to hold the Units in dematerialized form. By providing SIP details, Units shall be directly credited to the investor's demat account after the realization of Units and depositaries will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client Investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly/IDCW options. Investors desiring to get allotment of units in demat mode must have a demat account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

Reinvestment of IDCW Payout (Payout of Income Distribution cum Capital Withdrawal option) : In case (if) holder has opted for IDCW payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for IDCW payout, as per the provisions of the respective scheme (net of IDCW (distribution tax and other statutory levy, if any), else the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme/Plan by issuing additional Units of the Scheme at the prevailing ex-DCW Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less than the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Scheme no.011/2012 published on October 01, 2012.

THIS PAGE HAS KEPT INTENTIONALLY BLANK

Terms and Conditions

- 1) An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes:
 - (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA").
 - (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
- 2) From one bank account more than five applications will not be allowed.
- 3) Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form.
- 4) To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.
- 5) Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.
- 6) Bank account details provided in the application will be the bank account number from where the NFO application amount is authorised to be blocked. Bid amount, bank and branch name shall be given in this block.
- 7) Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.
- 8) In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB, which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.
- 9) **Demat/Non-Demat Mode:**
 1. Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode. Demat is mandatory for NFO applications of ETF schemes only.
 2. The investor can dematerialise his/her holdings in non demat mode. Similarly, investor can rematerialise holdings in demat mode. All expenses in connection with conversion from demat to non demat mode or non demat to demat mode will have to be incurred by the investors.
 3. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.
- 10) SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows:
 1. Axis Bank Ltd 2. State Bank of Hyderabad 3. Corporation Bank 4. State Bank of Travencore 5. IDBI Bank Ltd. 6. State Bank of Bikaner and Jaipur 7. YES Bank Ltd. 8. Punjab National Bank 9. Deutsche Bank 10. Union Bank of India 11. HDFC Bank Ltd. 12. Bank of Baroda 13. ICICI Bank Ltd 14. Vijaya Bank 15. Bank of Maharashtra 16. State Bank of India 17. Andhra Bank 18. HSBC Ltd. 19. Kotak Mahindra Bank Ltd. 20. Bank of India 21. CITI Bank 22. Indusind Bank 23. Allahabad Bank 24. Kesor Vyasa Bank Ltd. 25. The Federal Bank 26. Indian Bank 27. Central Bank of India 28. Oriental Bank of Commerce 29. Standard Chartered Bank 30. J P Morgan Chase Bank, N.A. 31. Nutan Nagarik Sahakari Bank Ltd. 32. UCO Bank 33. Canara Bank 34. United Bank of India.
- 11) The investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to ICICI Prudential Mutual Fund.

ANNEXURE II

FATCA/CRS, ULTIMATE BENEFICIAL OWNERSHIP (UBO) AND ADDITIONAL KYC SELF CERTIFICATION FORM FOR NON-INDIVIDUALS

(Please seek appropriate advice from your professional tax advisor on your residency and related FATCA and CRS guidance)



PAN/PEPIN										FOLIO										
Name of the entity																				
Type of Address given of RDA ☐ Residential ☐ Business ☐ Residential/Business ☐ Registered Office Date of Incorporation: / /																				
City of Incorporation																				
Country of Incorporation																				
ADDITIONAL KYC INFORMATION																				
Gross Annual Income (Rs.) (Please tick ☒) ☐ Below 1 lac ☐ 1 - 5 lacs ☐ 5 - 10 lacs ☐ 10 - 25 lacs ☐ >25 lacs - 1 crore ☐ > 1 crore																				
OR																				
Net Worth: Rs. _____ as on / / (Not older than 1 year)																				
Entity Constitution Type (Please tick ☒) ☐ Partnership Firm ☐ LLP ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI ☐ Trust ☐ Unincorporated ☐ Limited Liability Partnership ☐ Artificial Jurisdiction ☐ Other specify: _____																				
Politically Exposed Person (PEP) Status* ☐ I am PEP ☐ I am Related to PEP ☐ Not applicable																				
*PEP are defined as individuals who are or have been entrusted with significant public functions in a foreign country, viz. Heads of States or of Governments, senior politicians, senior Government/parliamentary officials, senior personnel of state owned enterprises, important political party officials, etc.																				
Non-Individual Investors involved in providing any of the mentioned services ☐ Foreign Exchange / Money Change Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Providing ☐ None of the above																				
FATCA & CRS DECLARATION																				
Please tick the applicable tax residency declaration																				
Is "Entity" a tax resident of any country other than India? ☐ Yes ☐ No. If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below:																				
Sr. No.	Country	Tax Identification Number ^a	Identification Type (TIN or other ^a , please specify)																	
1																				
2																				
3																				
^a In case Tax Identification Number is not available, kindly provide its functional equivalent.																				
In case TIN or its functional equivalent is not available, please provide Category Identification Number or Global Equity Identification Number or GIN, etc.																				
In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a specified U.S. Person, mention Entity's exemption code here: _____																				
PART A (To be filed by Financial Institutions or Direct Reporting NREs)																				
We are a Financial Institution (Refer 1 of Part C) ☐										GIN										
OR										Note: If you do not have a GIN (Global Intermediary Identification Number) but you are sponsored by another entity, please provide your sponsor's GIN below and indicate your sponsor's name below.										
Direct Reporting NRE (Refer 2(a) of Part C) ☐										Name of sponsoring entity: _____										
GIN not available (Please tick as applicable)										☐ Applied for ☐ Not obtained - Non-participating FI										
If the entity is a financial institution										☐ Not required to apply for - please specify 2 digit sub-category (Refer 1.A of Part C)										
PART B (Please fill every as appropriate) (To be filed by NREs other than Direct Reporting NREs)																				
1.	Is the Entity a public trading company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C)										Yes ☐ If yes, please specify the below details: Name of the stock exchange: _____ Security GIN#: _____									
2.	Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)										Yes ☐ (If yes, please specify the below details): Name of listed company: _____ Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of the stock exchange: _____ Security GIN#: _____									
3.	Is the Entity an active NRE (Refer 2c of Part C)										Yes ☐ Nature of Business: _____ Please specify the sub-category of Active NRE (Maximum code - Refer 2c of Part C)									
4.	Is the Entity a passive NRE (Refer 2d of Part C)										Yes ☐ Nature of Business: _____									

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category)

☐ Unlisted Company

☐ Partnership Firm

☐ Limited Liability Partnership Company

☐ Unincorporated Association / Body of Individuals

☐ Public Charitable Trust

☐ Religious Trust

☐ Private Trust

☐ Other (please specify _____)

Please list below the details of controlling persons(s) conferring ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented PPs should provide PP-Owner Reporting Statement and Auditor's Letter with required details as mentioned in form W8-BEN-E (Refer 3(a) of Part C)

UBO / Controlling Person(s) details

 Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ Yes ☐ No

If 'Yes' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit.

Details of such individual(s) are given below.

If 'NO' - declare that no individual person directly / indirectly holds controlling ownership in our entity above the prescribed threshold limit.

Details of the individual who holds the position of Senior Managing Official (SMO) is to be provided below.

Details	UBO-1 / Senior Managing Official (SMO)	UBO2	UBO3
Name of the UBO/SMO			
UBO / SMO Name (For Foreign National, Title to be provided)			
% of beneficial interest	>10% controlling interest: ☐ >15% controlling interest: ☐ >25% controlling interest: ☐ NA, (for SMO): ☐	>10% controlling interest: ☐ >15% controlling interest: ☐ >25% controlling interest: ☐ NA, (for SMO): ☐	>10% controlling interest: ☐ >15% controlling interest: ☐ >25% controlling interest: ☐ NA, (for SMO): ☐
Country of Tax residency ¹			
UBO / SMO Country of Tax Residency ²			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number ³			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth ⁴	Place of Birth: _____ Country of Birth: _____	Place of Birth: _____ Country of Birth: _____	Place of Birth: _____ Country of Birth: _____
UBO / SMO Nationality			
UBO / SMO Date of Birth (dd-mm-yyyy) ⁵			
UBO / SMO PEP ⁶	Yes - PEP: ☐ Yes - Related to PEP: ☐ No - Not a PEP: ☐	Yes - PEP: ☐ Yes - Related to PEP: ☐ No - Not a PEP: ☐	Yes - PEP: ☐ Yes - Related to PEP: ☐ No - Not a PEP: ☐
UBO / SMO Address (include City, Pincode, State, Country)	Address: _____ _____ _____ City: _____ Pincode: _____ State: _____ Country: _____	Address: _____ _____ _____ City: _____ Pincode: _____ State: _____ Country: _____	Address: _____ _____ _____ City: _____ Pincode: _____ State: _____ Country: _____
UBO / SMO Address Type	Residence: ☐ Registered Office: ☐ Business: ☐	Residence: ☐ Registered Office: ☐ Business: ☐	Residence: ☐ Registered Office: ☐ Business: ☐
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
UBO / SMO Father's Name			
UBO / SMO Occupation	Public Service: ☐ Private Service: ☐ Business: ☐ Others: ☐	Public Service: ☐ Private Service: ☐ Business: ☐ Others: ☐	Public Service: ☐ Private Service: ☐ Business: ☐ Others: ☐

Details	UBO-1 / Senior Managing Official (SMO)	UBO2	UBO3
SMO Designation:			
UBO / SMO KYC Completed?	Yes / No If Yes, please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.	Yes / No If Yes, please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.	Yes / No If Yes, please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.

8 Mandatory column

** In case of Foreign Nationals, who are not KYC completed, they need to attach the ID proof in English along with the Nationality proof. Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

* ICICI Prudential Asset Management Company Ltd, or Registrar and Transfer Agent of ICICI Prudential Mutual Fund (the RTA) may call for additional information/documentation whenever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

FATCA - On Taxes and Conditions

The Central Board of Direct Taxes has notified under 114F to 114H as part of the Income Tax Rules, 1962, which require Indian financial institutions to send address or present tax and beneficial owner information and certain certification and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the amount or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax adviser. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States as the foreign country information held along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are the resident issues such identifier. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Certification: I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS instructions) and hereby confirm that the information provided by me/us on this form is true, correct and complete. I/We hereby agree and confirm to inform ICICI Prudential Asset Management Company Limited/ICICI Prudential Mutual Fund/Trustees for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) or Automatic Exchange of Information (AEOI).

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We warrant/declare that I/We may be liable for it including any penalty levied by the statutory/regulatory authority. I/We hereby confirm the above beneficial interest after providing all applicable shareholding pattern and ICICI Prudential Asset Management Company Ltd. and the RTA can make reliance on the same. I/We hereby authorize ICICI Prudential Asset Management Company Ltd. and the RTA to disclose, share, rely, report in any form, media or manner at all, any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund's Sponsor, Asset Management Company, Trustees, or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required just after investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered intermediaries for any regulated intermediaries registered with SEBI / RBI / IRDA / IPRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep ICICI Prudential Asset Management Company Ltd or the RTA informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required by ICICI Prudential Asset Management Company Ltd, the RTA, or by domestic or overseas regulator/ tax authorities.

Signature with relevant seal

<i>Authorized Signatory</i> Name: _____ Designation: _____	<i>Authorized Signatory</i> Name: _____ Designation: _____	<i>Authorized Signatory</i> Name: _____ Designation: _____
--	--	--

Place: _____

Date: ____ / ____ / ____

PART C - FATCA Instructions & Definitions

1. Financial Institution (FI)

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of:
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
 - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer:
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities or commodity futures trading; or
 - (ii) Individual and collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
 - or
 - The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, if an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- FI not required to apply for GIN:
- A. Reasons why FI not required to apply for GIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE) - Entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets.
Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

- b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

C. Active NFE : (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income.
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing.
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes.
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE.
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution.
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution.
07	Any NFE that fulfills all of the following requirements: • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: - For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (i) an Investor Protection Fund referred to in clause (23EA); (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause (23EB); and (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

- (i) **Related entity**
An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.
- (ii) **Passive NFE**
The term passive NFE means
- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
 - (ii) an investment entity defined in clause (ii) of these instructions;
 - (iii) a withholding foreign partnership or withholding foreign trust;
- (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes.)
- (iii) **Passive income**
The term passive income includes income by way of:
- (1) Dividends;
 - (2) interest;
 - (3) income equivalent to interest;
 - (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE;
 - (5) Annuities;
 - (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income;
 - (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets;
 - (8) The excess of foreign currency gains over foreign currency losses.

(8) Net income from swaps

(13) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on Identification of Beneficial Ownership issued by SEBI, persons (other than individuals) are required to provide details of Beneficial Owners (BO). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- More than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution(s) identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustees, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Unknown

(M) Specified U.S. person - A U.S. person other than the following:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- any corporation that is a member of the same expanded affiliated group, as defined in section 1471(a)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- the United States or any wholly owned agency or instrumentality thereof;
- any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- any organization exempt from taxation under section 501(c) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- any bank as defined in section 581 of the U.S. Internal Revenue Code;
- any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4847(a)(2) of the U.S. Internal Revenue Code;
- a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or

(iii) any tax-exempt trust under a plan that is described in section 403(a) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:

- (a) The FFI is an FFI solely because it is an investment entity;
- (b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FFI does not maintain a financial account for any non-participating FFI;
- (d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

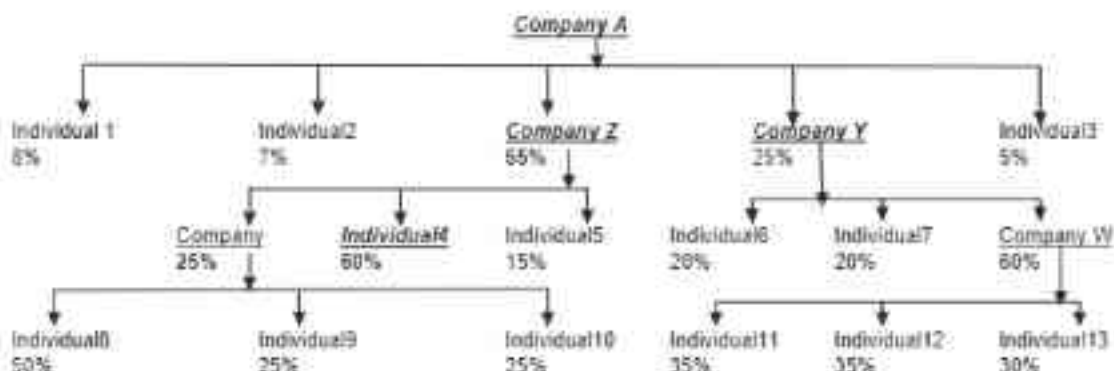
(viii) Exemption code for U.S. persons

Code	Sub-category
A	An organization exempt from tax under section 501(c)(3) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4847(b)(1)
M	A tax exempt trust under a section 403(a) plan or section 457(g) plan

Declaration Form of Ultimate Beneficial Ownership (UBO) / Controlling Persons

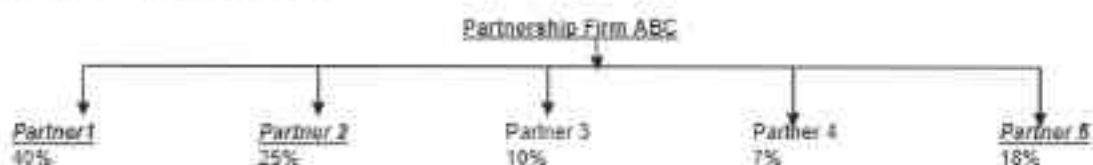
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



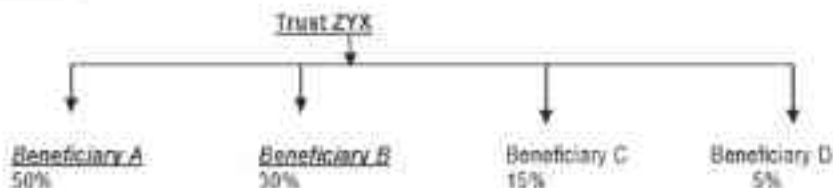
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof. Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2, 3 and 5 are considered as UBO as each of them holds $\geq 10\%$ of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for $\geq 10\%$ of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

Other Cities/ Additional official transaction acceptance points (CAMS Transaction Points) (Contd.)

and B, First Floor, Culture Market, Block-D, Patuli Road, Dikima Vihar, Rajapur Township, Tehsil Rajpur, District - Patna, Punjab. Pin Code :- 140401 • Kundahwa : 1st Floor, Son no. 8, Sector 17, Huda Kundahwa, Harjpur-138118 • Chennai-Porur : 1st Floor, Porur court, New No 117, Old No 353, Thattai Chetty Street, George Town, Porur, Chennai - 600021 • Paloneilly : Property No. 8 Ground Floor, Peta Gaudin Roger Nagar, Paloneilly, Uttar Pradesh 220005 • Bikaner Unit No CP-1, Hapur Vihar, Awan Vihar Colony, Main Road, Bikaner 337001 • Haldia : Ground floor, MP, C&H road, Margdarshan More, Basudhara, Haldia, West Bengal- 721002 • Thane - Jom Marol Road : 1st Floor, Myor CHS Ltd, Ram Marol Road, Thane West - 400602 • Ranchi II : Tapak Serrery Building, 1st Floor, Unit no 102 and 2nd floor unit no 101, Uda Park Road, Near Pongal Chowk, Ranchi, Jharkhand 834001 • Buzel : Unit No 1840, Ground floor, Pkara Stry, Gwalior, Tripoli Haveli, Buzel - 272001 • Prayagraj : Gauri Complex, B-42/53, First Floor, Kheta No 3278, G.T. Road, Near Etila Thana, Prayagraj, Tehsil Prayagraj District Ropar Tehsil Pin Code 144401 • Gandhinagar : Shikhar Centrum Shop No 101, 1st Floor, Plot no 2, sector 11, Gandhinagar 382003 • Rajmundry II : Ground Floor, 7-2B - 36/1, Vygram Road, Rajmundry, Andhra Pradesh - 533101

In addition to the existing Official Point of Acceptance of Transactions, Computer Age Management Services Ltd (CAMS) the Registrar and Transfer Agent of ICICI Prudential Mutual Fund, having its office at New No. 25 Old No. 176, Shop to near Pata Gauri, MSR Side (N.H. Road), Chennai - 600034 shall be an official point of acceptance for electronic transactions received from the Channel Partners with whom ICICI Prudential Asset Management Company Limited has entered or may enter into specific arrangements for all financial transactions relating to the units of mutual fund schemes. Additionally, the secured internet sites operated by CAMS will also be official point of acceptance only for the limited purpose of all channel partners' transactions based on agreement entered into between PMF and such authorized entities.

In addition to the existing Official Point of Acceptance of Transactions, authorized Points of Service (POS) of MF Utilities India Private Limited (MFI) shall be an official point of acceptance for all deposit and non-deposit transactions. The updated list of POS of MFI is available at www.mfiindia.com. The online transaction portal of MFI is www.mfiindia.com.

