Offer for Sale of Units at 1/100th closing value of the Nifty India Internet Index as on the date of allotment for applications received during the New Fund Offer period and at approximately indicative NAV based prices (along with applicable charges and execution variations) during the Ongoing Offer for applications directly received at AMC. Creation Unit Size of the scheme 2,00,000 unit.

NFO Dates: 18/06/2025 to 25/06/2025 Scheme re-opens for continuous Sale on 02/07/2025

An open ended scheme replicating/tracking Nifty India Internet Index

New Fund Offer open on : 18/06/2025 New Fund Offer closes on : 25/06/2025

The scheme shall be listed within 5 business days from the allotment for ETF

e*. Non-Individual Investors involved/providing

any of the mentioned services

Application No.:

Returns that are commensurate with the performance of Nifty India Internet Total Return Index, subject to tracking error. Investment in securities constituting in Nifty India Internet Total Return Index. *Investors should consult their financial advisers if they are not clear about the suitability of the product The above Product Labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolioand the same may

	·	e actual investments are made.		The risk of the scheme is Very High	The risk of the benchmark is Very High
	b Broker / t ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
*EUIN Declaration: Declaration for "Execution Only" Transaction (EUIN box has been intentionally left blank by me/us as this transaction of in-appropriateness, if any, provided by the employee/relatives.	tion is executed without tionship manager/sales	any interaction or advice by s person of the distributor/su	/ the employee/relationship m ub broker. ** RIA/Declaration :	anager/sales person of the above di : "I/We hereby give you my/our cons	stributor/sub broker or notwithstanding the
feed/portfolio holdings/NAV etc. in respect of my/our investments un	der Direct Plan of all Sch	nemes managed by you, to t	he above mentioned SEBI-Re	gistered Investment Adviser/ RIA".	
Sign of 1 _# Applicant / Guardian / Auth. Signatory / PoA / Karta	Sign	of 2nd Applicant / Guardian	/ Auth. Signatory / PoA	Sign of 3 _{rd} Applicant /	Guardian / Auth. Signatory / PoA
TRANSACTION CHARGES (Please ⊘ any one		er Instruction No. 11 OR	·	LEVICTING INIVESTOR IN A	ALITUAL FUNDS
Applicable transaction charges will be deducted in case registered Distributor) based on the investor's assessm	e your distributor h	as opted for such char	rges. Upfront commission	I EXISTING INVESTOR IN None shall be paid directly by the bilder.	
1. EXISTING UNIT HOLDER INFORMATION - (
Folio No.	Details in match as	our records under the f per your demat accoun	Folio No. mentioned alon at. In case of mismatch, N	gside will apply for this applicate ew Folio will be Allotted as per o	tion, provided the particulars should details given in the below Sections.
2. DEMAT ACCOUNT DETAILS - Mandatory		The first applied the Demat Acc	cant details provided i count details mentione	n the Application Form / Ford below which is held with	olio No. should be same as per the Depository Participant.
National Securities Depository Limited (NSDL)				rvices (India) Limited (CDS	L)
5 DP Name			DP Name		
DP ID I N Benef. A/C No			6 Digit A/C No.		
Enclosures - Please (V) Client Masters List (3. APPLICANT(S) NAME AND INFORMATION [,		Holding Statement	Delivery Instruc	
1st SOLE APPLICANT Mr. / Ms. / M/s.				PAN	
(Please write the name as per PAN Card)					
ELEI Code for entities				- KIIO D	(2)
CKYC ID No. (KIN)			PIS India		for tax purpose / Resident of Canada lo ^{\$} (\$Default if not ✓)
GUARDIAN (In case 1st Applicant is a Minor) Mr. / Ms. / M/s.				Relationshi Mother	ip with Minor (Please ✓) ☐ Father ☐ Legal Guardian
GUARDIAN CKYC			KYC (Please ✓) □ Proof Attached	GUARDIAN PAN	
POA / Custodian Name:				КҮ	C (Please ✓) ☐ Proof Attached
POA / Custodian CKYC ID No. (KIN)			PC	DA / Custodian PAN	
Contact Person for Corporate Investor:				Designation:	
3. FIRST APPLICANT AND KYC DETAILS		narked as 🗱 are	-		
1 st SOLE APPLICANT Individual or Non*Date of Birth/ Incorporation MM Y Y Y (Individual) (Non-Individual) (Please write the Date of birth as per Aadhaar Card		II Ultimate Beneficial of Date of Birth (Plea (For minor applicant)	ase ✓) ☐ Birth		11b - Refer Instruction No. 17] ool Leaving Certificate / Mark Shee ers (Please specify)
Place of Birth / Coun	try of Birth / poration:	1	Nationality:	Gender	☐ Male ☐ Female ☐ Other
Type: Resident Individual Sole Prop		rust Bank / Fls			hrough Guardian NRI - NRO
HUF LLP Listed Company Private Compan NPO Registration Number of DARPAN Portal (M	y Public Ltd. Co andatorv)	mpany∐ Artificial Juri	dicial Person LPartner	ship Firm⊡ FOF - MF Schen	nes Other (Please specify)
a*. Occupation Details [Please (✓)]	Private Sector Business	Public Sector Retired	Government Servic	ce Student [Others_ (Please s	Professional Housewife
b*. Politically Exposed Person (PEP) Status (Also applie	cable for authorised s	signatories/Promoters/Ka	rta/Trustee/Whole time Dir	ectors) 🔲 I am PEP 🔲 I am I	Related to PEP Not Applicable
c*. Gross Annual Income (₹) [Please (✔)]	Below 1 Lakh	1-5 Lakhs	☐ 5-10 Lakhs	☐ 10-25 Lakhs	>25 Lakhs
d*. Net-worth (Mandatory for Non-Individuals) ₹			as on		Y Y (Not older than 1 year)

☐ Foreign Exchange / Money Changer Services

Money Lending / Pawning

☐ Gaming/Gambling/Lottery/Casino Services

☐ None of the above

Signature of 1st Applicant / Guardian / Auth. Signatory / PoA / Karta (AS IN BANK RECORDS) Signature of 2nd Applicant / Guardian / Auth. Signatory / Po./ (AS IN BANK RECORDS) Signature of 3rd Applicant / Guardian / Auth. Signatory / PoA (AS IN BANK RECORDS)

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9. FATCA & CRS DETAILS (Please consult your profess	ional t	ax advisor fo	r furth	er gui	idance	on F	ATC	A & C	CRS	clas	sifica	tion	)								
PART A To be filled by Financial Institutions or Direct	Repor	ting Non Fina	ıncial F	Entity	(NFE	5)															
We are a, Financial institution			Щ																		
or Direct reporting NFE    Name of sponsoring entit		sponsered by anot	ner entity,	please pr	orovide yo	ur spons	sor's G	IIN abo	ve and	I indica	te your s	sponso	r's nan	ne belov	W						
GIIN not available [Please tick (✓)] ☐ Applied for		Not required to	apply	for - p	lease	specif	v 2 c	diaits :	sub-	cate	orv					ot obta	aine	1 - No	n-par	ticina	ating FI
PART B (please fill any one as appropriate "to be fille		-		-		-	_				,,					-			,, pa.		
1 Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market)  Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)  Name of stock exchange:																					
2 Is the Entity a related entity of a publicy		Yes (If y	es nleas	e sner	rify nam	e of the	e liste	ed com	ınanv	and a	nne str	nck ex	rchand	ne on i	which	the str	nck is	regul	arly tra	ded)	
traded company (a company whose shares are regularly traded on an established securities managed to the company whose shares are	arkot)	_ ` ` `		•						ana	one ste	JOK CZ	onan	go on	WITHOIT	110 310	JOK 13	rogui	uny na	ucu)	
regularly traded on an obtablioned obtaining in	arnotj		Name of Listed compnay:  Nature of relation  Subsidiary of the Listed Company or  Controlled by a Listed Company																		
		Name of sto												•			•				
3 Is the Entity an active NFE		Yes (If y																			
		Nature of Bu	ısiness:																		
		Please spec	ifv the s	ub-cate	egory of	Active	NFE			Пм	ention	code	Refe	r instri	uction	15(c)					
4 Is the Entity an Passive NFE		☐ Yes (If y							t secti							.0(0)					
		Nature of Bu																			
		For det	ails ref	er inst																	
# If passive NFE, please provide below additional details. (Please attach							dator	y detai	ils if t	he UE	O does	s not l	nave a	PAN.	(Refe	Instru	ction	No. 16	i)		
PAN / Any other Identification Number (PAN, Aadhaar, Passport, Election ID, Govt. ID, Driving Licence NREGA Job Card, Others)  City of Birth - Country of Birth	Natio	upation Type: Service, Business, Others onality: er's Name: Mandatory if PAN in not available					DOB: Date of Birth Gender: Male, Female, Other														
1. PAN:	Occu	pation Type:								Date of Birth:											
City of Birth		nality:								Gender   Male   Female  Other											
Country of Birth:	Fathe	r's Name:								Gender											
2. PAN:	Occu	pation Type:								Date of Birth:											
City of Birth Country of Birth:		nality: r's Name:								Gender											
3. PAN:	Occu	pation Type:								Date of Birth:											
City of Birth	Natio	nality:								Gender Male Female Other											
Country of Birth:	Fathe	r's Name:								Gender   Wale   Telliale   Other											
#Additional details to be filled by controlling persons with tax residency/perr *To include US, where controlling person is a US citizen or green card holds % In case Tax Identfication Number is not available, kindly provide functions	er	•	nip/Greer	ı Card ir	n any co	untry o	ther th	han Ind	lia.												
10 DECLARATION FOR ULTIMATE BENEFICIAL OWNE							C		- in C	o m tu o l	ad bu	au ala I	inted	Ca		Nagaa I	int lan	au e dla	المقملة م		a natura Ilina
"This declaration is not needed for Companies that are listed on any recoperson(s), confirming ALL countries of tax residency / permanent resider Statement and Auditor's Letter with required details as mentioned in Form W	icy / citiz	ock exchange or enship and ALL	Tax Iden	tification	of such n Numb	ers for	EAC	H cont	ris Co trolling	ontroi g pers	ed by s on(s).	Owne	r-docu	umente	ed FF	riease i l's shou	uld pr	ow the	FFI Ov	ner F	Reporting
Our company is a Listed Company on a recognized stock  Name of the Stock Exchange where it is listed.	excha	nge in India / S	ubsidia	ary of a	a or Co	ontroll	led b	y a Li	sted	Con	npany	•	•	gory is		ed, no	need	o prov	ride UB	O deta	ails].
Name of the Listed Company (applicable if the investor is subsidiary							1 -														
	corporat	ed association	/ body o	f indiv	riduals		Pu	blic C	harita	able 1	rust		Priv	rate Ti	rust		Reli	gious	Trust		
□ Trust created by a Will.    □ Others [please specify]    □  10a. Ultimate Beneficiary Owner (UBO) / Controlling Per	son(s)	/ Senior Mar	aging	Officia	al deta	ails.															
Does your company/entity have any individual person(s) while If 'YES' - We hereby declare that the following individual person holds	o hold:	s direct / indire	ect conf	trolling	g own	ership												Nare		oelow.	
If 'NO' - declare that no individual person (directly / indirectly) holds con		•				-											•		_		
SMO) are provided below.																					
Cheque/DD should be I	 Drawn	in favour o	of the	Sche	 me N	ame	 Mira	ae As	 sset	 Nif	y Ind	dia I	nter	 net E	ETF						
Application No.:												-		-							

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO / SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
UBO / SMO Country of Tax Residency#			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country	Place of Birth	Place of Birth	Place of Birth
of Birth#	Country of Birth	Country of Birth	Country of Birth
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy] #			
UBO / SMO PEP#	I am PEP.  Related to PEP.  Not a PEP.	I am PEP. □ Related to PEP. □ Not a PEP. □	I am PEP.  Related to PEP.  Not a PEP.
UBO / SMO Address Type	Residence  Business  Registered Office	Residence  Business  Registered Office	Residence  Business  Registered Office
UBO / SMO Occupation	Public Service	Public Service	Public Service
SMO Designation#			
UBO / SMO KYC Complied**. If not complied, please complete KYC process independently and then submit the proof.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.

# #Mandatory column.

** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

### Instructions

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

(i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means."

For the purpose of this clause, "Control" shall include the right to control the management or policy decision.

- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

# C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

### D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Please Read All Instructions as given in KIM, to help you complete the Application Form Correctly.

ACKNOWLED GMENT SLIP

FOR INDIVIDUALS: Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

FOR NON-INDIVIDUALS: Is the "Entity" a tax resident of any country other than India? Yes No (If Yes, please provide country/ies in which the entity is a resident for tax purpose and the associated Tax Identification No. below)

In res, please provide countryles in which the entity is a resident for tax purpose and the associated rax identification two. below)										
1 st Applicant	uardian / Non-Individual)		2 nd A	pplicant	3 rd Applicant					
Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		Yes No	Do you have any nor Country(ies) of Birth Citizenship / Nationa and Tax Residency	1/ N N-		Do you have any non-Indian Country(ies) of Birth / Citizenship / Nationality and Tax Residency		☐ Yes ☐ No		
Country of Birth / Incorporation			Country of Birth			Country of Birth				
Country Citizenship Nationality			Country Citizenship / Nationality			Country Citizenship Nationality	I			
Are you a US specified person?		Yes No Please provide Tax Payer Id.	Are you a US specified person?		Yes No Please provide Tax Payer Id.	Are you a US specifi person?	ed	Yes No Please provide Tax Payer Id.		
For non-Individual inve	etor in ca	se your country of incorporation / Tax	residence is US, but vo	u are not :	a specified US person then please me	ention exemption code		Refer instruction 15(e)		
		vestors fill this section			ill in below details in case of joint a			relet mandelon 15(e)		
	Country	y:		Countr	y:		Country	y:		
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Address Type			Address Type			Address Type				
(Address Type: Resid	dential or	Business (default) / Residential / B	Business / Registered C	Office) (Fo	r address mentioned in form / exis	ting address appearing	j in folio)			
n case of applications w	rith POA, t	he POA holder should <b>fill</b> separate	form to provide the abo	ve details	mandatorily.					
11. DECLARATION	N AND S	IGNATURES / THUMB IMPRE	SSION OF APPLICA	NT(s) [F	Refer Instructions 2(f) ]					
agree to abide by the terms, co provisions of the Income Tax/ Asset Mutual Fund. (D) The information/details with the Al /I/We will indemnify the Fund, form of trail commission or an indicative portfolio and/or any /I/We have read, understood a (RIA) through the registrar or invest into the Scheme as per notify the AMC, in which even confirm that the information p will be presumed that applican has concealed the facts of be	onditions, rul Act, Anti Mon nformation of MC / Fund/Re MC, Trustee y other mode indicative yi nd shall be b otherwise. (I) the said FEN t the AMC res rovided by m this the ultimaneficial owner	es and regulations governing the scheme. (B) ey Laundering Laws or any other applicable la jiven in / with this application form is true an egistrars and Transfer Agent (RTA) from time to e, RTA and other intermediaries in case of any b), payable to him for the different competing Seld by the Fund/AMC/its distributor for this involund by the terms & conditions of the PIN agr Applicable to Foreign Resident's Residing in I MA regulations and other applicable laws and serves the right to redeem my / our investmen of us on this Form is true, correct, and comple ate beneficial owner, with no declaration to sul	I/We hereby declare that the a aws enacted by the Governme d correct and further agrees to time. I/We hereby confirm the dispute regarding the eligibilit Schemes of various Mutual Fuu estment. I/We have not receive reement available on the AMC india I/We confirm that I/We regulations. (J) I/We confirm ts in the Scheme(s). (K) FATC. te. I/We also confirm that I/We omit. In such case, the concern med in writing about any chang the control of the concern in such case, the concern med in writing about any chang the concern control of the concern med in writing about any chang the concern control of the concern med in writing about any chang the concern control of the concern concern control of the concern control of the concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern concern	mount investent of India from the AMC/F y, validity annote from am and nor have to website for the AMC/F AMC/CRS Certific have readined SEBI regents of India from the control of the AMC/F AMC/F We have readined SEBI regents of India from the Control of India from the Control of India from the India from t	Fund shall have the right to share my information and unforization of my/our transactions. (E) I/W ongst which the Scheme is being recommend been induced by any rebate or gifts, directly or transacting online. (H) RIA: I/We hereby agree sidency test as prescribed under FEMA provise are not United States person(s) under the la flication: I/We have understood the informatic flication: I/We have understood the informatic	s only and does not involve and acknowledging receipts of my) westment Managers (India) Pron and other details with the reference of the refer	is not design /our credit wi rivate Limiter gulatory and N holder has onfirm that I/ment. (G) App my transactic I/We am/are t(s) of Canad ead along wi t the same. Ir e allotment of	ned for the purpose of the contravention of any il constitute full discharge of liabilities of Mirae (JAMC) / Fund and undertake to update the government authorities as and when needed. disclosed to me/us all the commissions (in the We have not been offered/communicated any plicable to Investors availing the online facility: on details to the registered investment advisor "Person Resident in India" and are allowed to a. In case of change to this status, I / We shall the HATCA & CRS Instructions) and hereby case the above information is not provided, it units, if subsequently it is found that applicant		
		an/Authorised Signatory/PoA/Karta K RECORDS)	Signature of 2 nd App. (/	olicant/Gua	ardian /Authorised Signatory/PoA IK RECORDS)			rdian/Authorised Signatory/PoA K RECORDS)		

# **Declaration Form of Non-Profit Organization (NPO)**

(Mandatory for Trusts/Society)



	ı												
Investor Name													
PAN													
I/We hereby confir [NPO] which has be of the Income-tax Registration Act, section 8 of the con	een co Act, 1 1860 (	onstitu  961 (4 21 of <i>1</i>	ted for 13 of 1 1860)	religion 1961), or any	ous or and is simila	charita regis ar Stat	able pu tered a	ırpose as a tr	referre ust or	ed to ir a soc	n clause iety und	(15) of der the	section 2 Societies
Enclosed relevant	docun	nentar	y proo	fevide	encing	the ab	ove de	finatio	n.				
We further confirm tha are as follows:	t we ha	ave reg	gistere	d with	DARP	'AN Po	rtal of	NITIA	ayog a	as NP(	O and re	gistrati	on details
Registration Number of DARPAN Portal													
If not, please register DARPAN portal registr or report to the relevan	ation o	details, orities a	MF/A as app	MC/R licable	TA will e.	be req	uired t	o regis	ster yo	ur enti	ty on the	e said po	ortal and /
as defined above of					•	or garniz	Lation		raini iş	ganao	i itoli p	roncorg	jai iizatioi i
I/We acknowledge and confirmation is fines or consequences as requely me/us or collect such fines/chentities] to disclose, share, resuch information as and wher RTAs ('the Authorized Parties Intelligence Unit-India (FIU-Inagencies without any obligation Informed in writing about any other additional information as	found to uired und larges in ly, remit n provide s') or an ND), the on of advatutory a changes	be false der the re a any oth in any fo ed by me y Indian tax / rev vising ma uthorities / modific	e or untruespective er mann rm, mode to any of or foreity venue a e/us of the sto faciliation to the storage of t	le or mise statuto ler as mile or ma of the Mile gn gove thoritie he same the above.	leading or require ght be a nner, all utual Fur rnmenta in India. Furthe gle subme informate requirements and the subme requirements and the subme requirements and the submers and t	or misrepements a pplicable / any of the distance of the dista	oresenting and auth and auth e. I/We he inform oonsor, Autory or side Indiuthorize update & uture wit	ng, I/We a orize you hereby au mation p Asset Ma judicial a a where to share for regul hin 30 da	am/are a u to dedu uthorize rovided nageme authoriti ver it is e the give latory pu	ware that the transfer of transfer of the transfer of tran	at I/We ma fines/charq A/Fund/Al ncluding a pany, trust ncies incli required a mation to c I/We also ges and un	y be liable ges under MC/Other II changes ees, their uding to the nd other SEB undertake	e for it for any intimation to participating s, updates to employees / he Financial investigation Il Registered e to keep you
Signature with relevant se	eal:												
Authorized Signa	atory			Au	thorized	d Signat	ory			Αι	uthorized	Signator	ry
Place:													

Date:___/__/___

### **INSTRUCTIONS**

Please read the Key Information Memorandum (KIM) and the terms of the Scheme Information Document (SID) and Statement of Additional Information (SAI) of the Scheme carefully before investing / filing the application form. All investors / applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (b) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned. (d) Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and/or rejection of your application. (e) If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s). (f) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected.

### 2. Applicant Information

- (a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF.
- (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Cuardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof.
- (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, Fil and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected.

### (d) KYC Requirements and Details:

Implementation of Central KYC (CKYC): The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC cords in digital form. Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

### Individual Investors:

- Individual Investors:

  (j) New Individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund.

  (ii) If any new individual investor uses the old KRAKYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form.

  (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can (III) Investors who have already completed CMYC and have a KY Libentification Number (KIN) from the CMYC, platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can
- the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applications. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Kfin technologies Ltd. or send an email to customercare@miraeasset.com.
- customercare@mineaesset.com.

  (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF.

  (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOAor Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

### 3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withheld the payment for upto 10 calendar days to ensure validation

of newbank mandate mentioned.

b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details updation request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the

### 4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the "Multiple Bank Accounts Registration Facility", to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

On Consolidate grigated because of the assure business and the substances of the leave the process of the substances of the substa

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds: Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NET UTCH.

### Investment Details:

- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Mutual Fund (MAMF)/AMC office or Authorised Collection Centre(s).
- Militar Asset Mutual in India (MAMP) Amount of authorised october of the theque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective scheme name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of MAMF are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate
- from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account chaques, the CAF must be accompanied with a photocopy of the chaque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

- Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

- For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".

  e) Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions except in the following cases:

   Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal
  - Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions
  - Custodian on behalf of an FII or a Client.
- f) Physical Copies: Investors who requires physicals copies kindly reach us through email id: customercare@miraeasset.com Toll Free Number: 1800-2090-777.

### Communication:

The investor whose transaction has been accepted by the MAMF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the
- first holder and pattern of holding.

  2. A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by email on or before 12th of the succeeding month who have opted for e-CAS and on or before 15th day of the succeeding month to investors who have opted for delivery via physical mode.
- 1 shi day of the succeeding month to investors who have opted for cleavery via physical mode.

  3. Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 18th day of succeeding month who have opted for e-CAS and on or before 21st day of the succeeding month to investors who have opted for delivery via physical mode, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable For further details, refer SAI.

  4. Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email /
- physical mode.
- Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has communication from us in lieu of printed documents, when a unit holder has communicated insiner email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time

## Online Transactions/Personal Identification Number (PIN):

This facility (transact@ease) enables Investors to transact on the website of the Fund which is https://transact.miraeassetmf.co.in/investor.This facility can be availed by all KYC Compliant Investors (Fresh/Existing) using their e-mail Address and Mobile Number. The Units can be transacted only in the Physical mode

Nomination Details: The Nomination Details will be as is Registered with Depository Participant for this application.

10. Waiver of Entry Load and Payment of commission and load structure: No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

1. Broker code, if already printed on the forms must be struck off and countersigned by the investors.

2. Ensure that the broker code block in the form is not left blank (i.e. it should be either struck of or indicated 'direct' or NA).

However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

# 11. Transaction charges (TC):

In case of applications of Rs. 10,000 & more and routed through a distributor who has opted for such TC, are deducted from the subscription may be applicable from time to time: (I) Rs. 150/- from a first time mutual fund investors application (An investor who invests for the first time ever in any mutual fund either by way of subscription); (ii) Rs. 100/- from an existing mutual fund investors application. Units will be issued against the balance amount. Please tick the appropriate box as applicable to you. If no option is ticked, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors. Transaction charges shall not be deducted for transactions carried out through the stock exchange platforms (i.e. BSE StAR MF, NSE NMFII, ICEX and Channel Partners Platform). In addition to above, upfront commission to distributors shall continue to be paid by the investor directly.

# 12. Employee Unique Identification Number (EUIN):

Employee Unique Identification Number (EUIN):
In order to assist in in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIN (for non-advisory transactions) (execution only) & advisory transactions) obtained from AMF1 in the CAF. The EUIN is a 7 digit unique alpha numeric number (one alphabet and six numerals) individual ARN holders including senior citizens are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIN will not give an "advisory" character to the transaction. However, in case of any explicitional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction. transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application

- 13. Units in Demat mode: All the units of the fund will be held in Dematerialized ("Demat") Form, the statement of holding of the Units in Demat mode: All the units of the fund will be neld in Dematernalized (Demat) Form in statement of nolding of the beneficiary account holder will be sent by the respective Depository Participant periodically. Unit holders must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DPID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the electrication are incompleted in order to the liberator of the property o applications write the details at effective with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.
- 14. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the 2015 tax authorities. Accordingly, AMC may be required to report information reliang to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority, "Tax Authority", Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to cary duactions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

# **INSTRUCTIONS**

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with theinvestment request. The indicia's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia: Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / Vio address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA(5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners /shareholders (9) The Director / Promotor / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

### 15. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no

TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Financial Institution (FI): The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

### Non-Financial Entity (NFE): Types of NFEs that are regarded as excluded NFE are:

- a. Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of
- shares traded on the exchange).
  b. Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.
  c. Active NFE: (is any one of the following):

Code	Sub-category Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses of harmanial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquirile or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE; shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion oscial welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely: (1) an Investor Protection Fund referred to in clause (23EA), of section 10 of the Act;
80	The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.

- Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGAJob Card.
- Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details. (i) An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37), (ii) The United States or any of their political subdivision or instrumentalities. (iii) A state, the District of Columbai, a possession of the United States or any of their political subdivision or instrumentalities. (iv) A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i), (v) Acorporation that a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i), (vi) A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state, (vii) A real estate investment trust. (viii) A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940. (ix) A common trust fund as defined in section 584(a). (x) A bank as defined in section 581. (xi) A broker. (xii) A trust exempt from tax under section 664 or described in section 4947(a)(1). (xiiii) A tax exempt trust under a section 403(b) plan or section 457(g) plan.

Passive Income includes: Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

Passive NFE means: any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

Direct reporting NFE means: a NFFE that elects to report information about its direct or indirect substantial U.S. owners to

Owner documented FFI: An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent are is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemedcompliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE

- 16. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of the United States (U.S.) and Residents of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

  No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.

  - of the Scheme of the Fund.

    For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.

    In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Residen(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable NetAsset Value.

### 17. Ultimate Beneficial Owner (UBO)

. Ultimate Beneficial Owner (UBO)
Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company Instead on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website www.miraeassetmf.co.in for the Declaration Form.

### A A Ultimate Beneficial Owner means:

I. For Investor other than Trust: A'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights) agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the

natural person who holds the position of senior managing official should be provided.

ii. For Trust: The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

B Applicability for foreign investors: The identification of beneficial ownership in case of Foreign Institutional Investors (Fils), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular . CIR/MIRSD/11/2012 dated September 5, 2012.

C UBO Code Description: UBO-1: Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. UBO-2: Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. UBO-3: Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. UBO-4: Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. UBO-5: Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above). UBO-6: The settlor(s) of the trust. UBO-7: Trustee(s) of the Trust [Po-7: Trustee(s) of the Trust [if applicable]. UBO-9: The beneficiaries with 10% or more interest in the trust if they are natural person(s). UBO-10: Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

18. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent tra

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on www.miraeassetmf.co.in).

19. LEI (Legal Entity Identifier) Code: The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

# APPLICATION FORM FOR AUTO SWITCH OUT TO NEW FUND OFFER SCHEME MIRAE ASSET NIFTY INDIA INTERNET ETF

(AUTO SWITCH OUT APPLICATION FORM)



					Mutua	i Funa
Name & Broker Code/ ARN/RIA Code		Sub Broker / Agent ARN Code	Sub Agent Code	EUIN*	Internal Code for AMC	ISC Date Time Stamp Reference No.
UIN De	eclaration: Declaration for "Execution Only"	Transaction (where Employee transaction is executed withou	Unique Identification Number-EUII	N* box is left blank). Please ref	er instruction 12 for complete details of	on EUIN. I/We hereby confirm that the EU sub broker or notwithstanding the advice
n-appro oldings	been intentionally left blank by me/us as this priateness, if any, provided by the employee //NAV etc. in respect of my/our investments u	e/relationship manager/sales p nder Direct Plan of all Scheme	person of the distributor/sub broker. s managed by you, to the above me	RIA/Declaration: "I/We here ntioned SEBI-Registered Inventor	by give you my/our consent to share/pestment Adviser/ RIA".	provide the transactions data feed/portfol
	n of 1st Applicant / Guardian / Auth. Signa	*	Sign of 1⁵tApplicant / Guardian / A	0 7		dian / Auth. Signatory / PoA / Karta
1. E	XISTING UNIT HOLDER INFORM	ATION (The details in o	our records under the folio Name of 1 st Unit Holder:		ill apply for this application.	
	DDITIONAL PURCHASE IN EXIS	TING SCHEME FROM V	•		NTENDED	
(YC co	ompliance status: Please (✓)	1 st App	licant	2 nd Applicant	☐ 3 rd Applicant	
chem	e -			Regular Plan Direct Plan	Growth (Default) IDCW F	Payout IDCW* Reinvestment Frequency^
OCW fre	equency is applicable only for Mirae Asse	t Liquid Fund, Mirae Asset C	Overnight Fund & Mirae Asset Lov	v Duration Fund. Default op	tion here will be Daily if frequency r	not selected.
	Distribution cum Capital Withdrawal. IDC	Non-Third Party Pa			each 'Third Party Payment De	
	Banking A/c No.:					CURRENT SAVINGS NR
Che		Amount of Cheque / E RTGS / NEFT in figure				r-In Bank A/c No. or Cheque Only)
		CTOO / NEI T III ligure	S (t) Amount	Branc	n (FC	or Griedue Griffy
	SACTION CHARGES (Refer Instru					
	ction charges, ₹100/- will be de DEMAT ACCOUNT DETAILS – Mandat		•			,
	nal Securities Depository Li				Services (India) Limited	
DP Na	ame:		С	P Name:		
OP ID		eneficiary A/c No.		B Digit A/C No.		
	sures: Please (✓ )	ent Masters List (CML)		on cum Holding Statem		elivery Instruction Slip (DIS)
J. 0	WITCH REQUEST -T WISH TO SE	VITCH UNITS / AIWOUN	IT AS UNDER CONSIDERII			
rom (	Scheme -			Regular Plan Direct Plan	Growth (Default)   IDCW	Payout   IDCW* Reinvestment   Frequency^
IDCW fr	requency is applicable only for Mirae Asso	et Liquid Fund, Mirae Asset (	Overnight Fund & Mirae Asset Lo	w Duration Fund. Default o	otion here will be Daily if frequency	not selected.
Income	Distribution cum Capital Withdrawal. IDC	W ^Frequency can be Daily	or Weekly or Monthly; If not sele	cted Monthly will be conside	ered as default, refer SID for more d	etails
	nt (in figures) (₹):		Or Units	(in figures):		Or All Units
	nt (in words) (₹):	Indoor of ETE				
10 30	heme: Mirae Asset Nifty India	Internet ETF				
4. D	ECLARATION AND SIGNATURES	6 / THUMB IMPRESSIO	N OF APPLICANT(s) [Refe	r Instructions 2(f)]		
To The Tr	ustees, Mirae Asset Mutual Fund (The Fund) – (A) hibide by the terms, conditions, rules and regulations	laving read and understood the cor	ntents of the SID of the Scheme applied for	or (Including the scheme(s) availab		
Asset Mu	s of the Income Tax Act, Anti Money Laundering Lav tual Fund. (D) The information given in / with this	application form is true and correct	t and further agrees to furnish additiona	I information sought by Mirae Ass	et Investment Managers (India) Private Lin	nited (AMC) / Fund and undertake to update the
I/We will in	on/details with the AMC / Fund/Registrars and Trans ndemnify the Fund, AMC, Trustee, RTA and other in all commission or any other mode), payable to him f	termediaries in case of any dispute	regarding the eligibility, validity and author	orization of my/our transactions. (E	) I/We further declare that "The ARN holder I	nas disclosed to me/us all the commissions (in the
indicative I/We have	portfolio and/ or any indicative yield by the Fund/AN e read, understood and shall be bound by the terms	MC/its distributor for this investment & conditions of the PIN agreement	. I/We have not received nor have been in available on the AMC website for transactions.	duced by any rebate or gifts, direct cting online. (H) RIA: I/We hereby a	ly or indirectly in making this investment. (G) agree to consent the AMC to share my transa	Applicable to Investors availing the online facilit action details to the registered investment advisor.
invest into	ugh the registrar or otherwise. (I) Applicable to Fore the Scheme as per the said FEMA regulations and AMC, in which event the AMC reserves the right to I	other applicable laws and regulation	ons. (J) I / We confirm that I am / We are r	ot United States person(s) under t	he laws of United States or resident(s) of Ca	nada. In case of change to this status, I/We sha
confirm th will be pre	at the information provided by me / us on this Form sumed that applicant is the ultimate beneficial owner.	is true, correct, and complete. I / We er, with no declaration to submit. In s	e also confirm that I / We have read and us such case, the concerned SEBI registered	nderstood the FATCA& CRS Terms d intermediary reserves the right to	and Conditions and hereby accept the sam reject the application or reverse the allotmer	e. In case the above information is not provided, nt of units, if subsequently it is found that applica
	ealed the facts of beneficial ownership. I/We also unl/We hereby voluntarily submit Aadhar card to the Fi			the above information in future & a	llso undertake to provide any other additiona	al information as may be required at your end. (
0						
OWLEDGMENT SLIP	Received Application from Mr.		1		1	as per details below:
GME	Scheme Name	and Plan	•	nent Details		of Collection Centre / ISC
WLED	Mirae Asset Nifty Indi	a Internet ETF	Cheque / DD No.:			
<u>ó</u>			Dated			

Bank & Branch_

Cheque / DD is subject to realisation