

# ICICI PRUDENTIAL NIFTY TOP 15 EQUAL WEIGHT INDEX FUND

(An open ended index scheme replicating Nifty Top 15 Equal Weight Index)

Application No.

Application Form for Resident Indians and NRIs/PIOs. Investor must read Key Information Memorandum and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

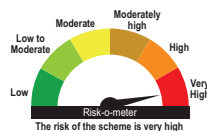
NFO Opens on	June 10, 2025
NFO Closes on	June 24, 2025

ICICI Prudential Nifty Top 15 Equal Weight Index Fund (the Scheme) is suitable for investors who are seeking\*:

- Long term wealth creation solution
- An index fund that seeks to track returns by investing in a basket of Nifty Top 15 Equal Weight Index stocks and aims to achieve returns of the stated index, subject to tracking error.

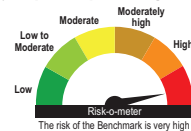
\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them

## Scheme Riskometer



## Benchmark : Nifty Top 15 Equal Weight TRI

### Benchmark Riskometer



#It may be noted that the scheme risk-o-meter specified above is based on the internal assessment of the scheme characteristics and may vary post NFO when the actual investments are made. The same shall be updated on ongoing basis in accordance with clause 17.4 of the Master Circular on Mutual Funds dated June 27, 2024 (Master Circular).

BROKER CODE (ARN CODE)/ RIA/PMRN CODE#	SUB-BROKER ARN CODE	SUB-BROKER CODE (As allotted by ARN holder)	Employee Unique Identification No. (EUIIN)
<p>#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.</p> <p>Declaration for "execution-only" transaction (only where EUIIN box is left blank) (Refer Instruction No. X). - I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.</p>			
SIGNATURE OF SOLE / FIRST APPLICANT		SIGNATURE OF SECOND APPLICANT	

Investor's name should be as per the PAN Card

<b>1 EXISTING UNITHOLDERS INFORMATION</b>		If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed to Step 4	
Name	Mr. Ms. M/s	FOLIO No.	

## 2 APPLICANT(S) DETAILS [Please Refer to Instruction No. II (b)] (Applicant's name should be as per PAN)

SOLE / 1 <sup>ST</sup> APPLICANT	Mr. Ms. M/s	FIRST	MIDDLE	LAST
----------------------------------	-------------	-------	--------	------

PAN/PEKRN*	Enclosed (Please ✓) <input type="radio"/> KYC Acknowledgement Letter	Date of Birth**

LEI Number (Legal Entity Identifier Number is for Transaction value of INR 50 crore and above. See Instruction No. XVIII)

NAME OF GUARDIAN (in case First/Sole applicant is minor)/CONTACT PERSON-DESIGNATION/PoA HOLDER (in case of Non-Individual Investors)

Mr. Ms.	FIRST	MIDDLE	LAST
---------	-------	--------	------

PAN/PEKRN* <input type="checkbox"/> KYC Proof Attached (Mandatory)	Relationship with Minor applicant: <input type="radio"/> Natural guardian <input type="radio"/> Court appointed guardian	Date of Birth (Mandatory)

### 2<sup>ND</sup> APPLICANT

Mr. Ms. M/s	FIRST	MIDDLE	LAST
-------------	-------	--------	------

PAN/PEKRN*	Enclosed (Please ✓) <input type="radio"/> KYC Acknowledgement Letter	Date of Birth (Mandatory)

### 3<sup>RD</sup> APPLICANT

Mr. Ms. M/s	FIRST	MIDDLE	LAST
-------------	-------	--------	------

PAN/PEKRN*	Enclosed (Please ✓) <input type="radio"/> KYC Acknowledgement Letter	Date of Birth (Mandatory)

If mandatory information left blank, the application is liable to be rejected. ¥ Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN).

## 3 BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT (Please Refer to Instruction No. V)

Mandatory information - If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here. Core Banking account (CBS) is mandatory

MANDATORY	Account Number	Account Type	<input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRO <input type="radio"/> NRE <input type="radio"/> FCNR
	Name of Bank		
	Branch Name	Branch City	
	9 Digit MICR code	11 Digit IFSC Code	Enclosed (Please ✓): <input type="checkbox"/> Bank Account Details Proof Provided.

## 4 YOUR INVESTMENT DETAILS OF ICICI PRUDENTIAL NIFTY TOP 15 EQUAL WEIGHT INDEX FUND

PLAN [Please tick (✓)]:	<input type="checkbox"/> ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan <input type="checkbox"/> ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan	OPTIONS [Please tick (✓)]:	<input type="checkbox"/> Growth option <input type="checkbox"/> IDCW Payout <input type="checkbox"/> IDCW Reinvestment
-------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------	------------------------------------------------------------------------------------------------------------------------------

Target Scheme for IDCW Transfer: Any of the open ended schemes of ICICI Prudential Mutual Fund in which the IDCW declared to be transferred from the source scheme.

Target Scheme Name & Plan: ICICI Prudential

Option & Sub-option:

The cheque should be drawn in favour of "ICICI Prudential Nifty Top 15 Equal Weight Index Fund" and crossed "**Account Payee Only**". The cheque should be payable at the centre where the application is lodged. For third party investment, refer instruction no. XIV.

**Mode of Payment** ☐ Cheque ☐ Funds Transfer ☐ NEFT ☐ RTGS

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. Third Party Payment Declaration form is available in [www.icicipruamc.com](http://www.icicipruamc.com) or ICICI Prudential Mutual Fund branch offices.

## 11 KYC DETAILS (Mandatory, Contd.)

**Occupation** [Please tick (✓)]

**Third Applicant** ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired  
☐ Housewife ☐ Student ☐ Forex Dealer ☐ Others (Please specify) \_\_\_\_\_

**Gross Annual Income** [Please tick (✓)]

**Sole/First Applicant** ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore

Net worth (Mandatory for Non-Individuals) ₹ \_\_\_\_\_ as on 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 (Not older than 1 year)

**Second Applicant** ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore OR Net worth ₹ \_\_\_\_\_

**Third Applicant** ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 crore ☐ >1 crore OR Net worth ₹ \_\_\_\_\_

**PEP status** [Please tick (✓)]

**Sole/First Applicant** **For Individuals** [Please tick (✓)]: ☐ I am Politically Exposed Person (PEP) ☐ I am Related to Politically Exposed Person (RPEP) ☐ Not applicable

**For Non-Individuals** [Please tick (✓)] (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. XVIII):

(i) Foreign Exchange / Money Changer Services – ☐ YES ☐ NO; (ii) Gaming /Gambling /Lottery/Casino Services – ☐ YES ☐ NO; (iii) Money Lending / Pawning – ☐ YES ☐ NO

**Second Applicant** ☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

**Third Applicant** ☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP) ☐ Not applicable

\* (Also applicable for the authorised signatories/ Promoters /Karta /Trustee /Whole time Directors)

PEP are defined as individuals who have been entrusted with prominent public functions by a foreign country, including the Heads of States or Governments, senior politicians, senior government/judicial/military officers, senior executives of state-owned corporations and important political party official are considered as PEP. Family members or close relatives of such individuals are considered as RPEP.

As per the prevailing regulatory requirements, it is necessary to obtain approval of senior management of the AMC for establishing business relationship with PEPs and their close relatives/ accounts of family members. In case the applicant or its UBO is a PEP or RPEP, the application shall be processed subject to approval of the senior management of the AMC, which may take upto 2 business days.

## 12 NOMINATION DETAILS (Refer instruction IV)

**NOMINEE (OPT-IN)** Details or **OPT-OUT Declaration** is **Mandatory** to process the application. Please choose from below **Option A** or **Option B** as appropriate. (Refer instruction VII).

**A) FOR NOMINATION OPT-IN: I/WE HEREBY NOMINATE THE UNDERMENTIONED NOMINEE(S) TO RECEIVE THE AMOUNT TO MY/OUR CREDIT IN EVENT OF MY/OUR DEATH AS FOLLOWS:**

Nomination Details				
Nomination can be made upto three nominees in the account.		Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
<b>Mandatory information</b>				
1	Name of the nominee(s)	Mr./Ms.	Mr./Ms.	Mr./Ms.
2	Share of each Nominee#	%	%	%
3	Date of Birth (in case Nominee is Minor)	dd-mmm-yyyy	dd-mmm-yyyy	dd-mmm-yyyy
4	Relationship with the Applicant (select one)	<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) _____	<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) _____	<input type="radio"/> Spouse <input type="radio"/> Father <input type="radio"/> Mother <input type="radio"/> Daughter <input type="radio"/> Son <input type="radio"/> Others (please specify) _____
5	Nominee/ Guardian (in case of Minor) Identification details [Please tick any one of the following and provide ID Number and no copies required].	<input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar(last 4 digits) **** * <input type="checkbox"/> Passport(for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____	<input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar(last 4 digits) **** * <input type="checkbox"/> Passport (for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____	<input type="checkbox"/> PAN _____ <input type="checkbox"/> Aadhaar(last 4 digits) **** * <input type="checkbox"/> Passport(for NRIs/OCIs/PIOs) _____ <input type="checkbox"/> Driving License _____
6	Address of Nominee(s)/ Guardian in case of Minor City / Place: State & Country	Pincode: _____	Pincode: _____	Pincode: _____
7	Mobile of nominee(s)/ Guardian in case of Minor	_____	_____	_____
8	Email ID of nominee(s)/ Guardian in case of Minor	_____	_____	_____
<b>Non-mandatory details</b>				
9	Nominee Guardian Name (in case Nominee is Minor)	_____	_____	_____

# Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows; (please tick, as appropriate)
<input type="radio"/> <b>Name of nominee(s) with %</b> <input type="radio"/> <b>Nomination: Yes / No (Default)</b>

<b>B) FOR NOMINATION OPT-OUT:</b> <input type="checkbox"/> (Please tick (✓) if the unit holder does not wish to nominate anyone)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td style="height: 40px; text-align: center; vertical-align: middle;">Signature of First Unit holder</td></tr> <tr><td style="height: 40px; text-align: center; vertical-align: middle;">Signature of 2nd Unit holder</td></tr> <tr><td style="height: 40px; text-align: center; vertical-align: middle;">Signature of 3rd Unit holder</td></tr> </table>	Signature of First Unit holder	Signature of 2nd Unit holder	Signature of 3rd Unit holder
Signature of First Unit holder				
Signature of 2nd Unit holder				
Signature of 3rd Unit holder				
<div style="border: 1px solid black; padding: 5px;">           I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.         </div>				

**12. NON-PROFIT ORGANIZATION (NPO) DECLARATION** (Please Refer instruction no. XVI).

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	<input type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>
If yes, please quote Registration No. of Darpan portal of Niti Aayog	

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

**INVESTOR(S) DECLARATION & SIGNATURE(S)^:** The Trustee, **ICICI Prudential Mutual Fund**, I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962. I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/ us. **I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. I/We hereby provide consent for uploading/updating/ fetching CKYC record from Central KYC Records Registry. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

<b>Sole/1st Applicant</b>	<b>2nd Applicant</b>	<b>3rd Applicant</b>

^Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature		
	<b>Name of the Holder</b>	<b>Signature / Thumb Impression</b>
<b>Sole / First Holder (Mr./Ms.)</b>	<b>Name:</b>	<b>Signature /Thumb Impression:</b>
	<b>Witness 1 Name &amp; Address:</b>	<b>Witness 1 Signature:</b>
	<b>Witness 2 Name &amp; Address:</b>	<b>Witness 2 Signature:</b>
<b>Second Holder (Mr./Ms.)</b>	<b>Name:</b>	<b>Signature /Thumb Impression:</b>
	<b>Witness 1 Name &amp; Address:</b>	<b>Witness 1 Signature:</b>
	<b>Witness 2 Name &amp; Address:</b>	<b>Witness 2 Signature:</b>
<b>Third Holder (Mr./Ms.)</b>	<b>Name:</b>	<b>Signature /Thumb Impression:</b>
	<b>Witness 1 Name &amp; Address:</b>	<b>Witness 1 Signature:</b>
	<b>Witness 2 Name &amp; Address:</b>	<b>Witness 2 Signature:</b>



### ACKNOWLEDGEMENT SLIP (Please Retain this Slip)

To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

Application No.

EXISTING FOLIO NO.	/	
--------------------	---	--

Name of the Investor: \_\_\_\_\_

ACKNOWLEDGEMENT

ICICI Prudential Nifty Top 15 Equal Weight Index Fund	<input type="checkbox"/> ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan	<b>OPTION</b> <i>[Please tick (✓)]:</i>	<input type="checkbox"/> Growth	<input type="checkbox"/> IDCW Payout
	<input type="checkbox"/> ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan		<input type="checkbox"/> IDCW Reinvestment	<input type="checkbox"/> IDCW Transfer*
	Target Scheme (Any of the open ended schemes of ICICI Prudential Mutual Fund in which the IDCW declared to be transferred):		(*Please fill in the Target Scheme details below:)	
Scheme Name & Plan: ICICI Prudential _____				
Option & Sub-Option: _____				

**For office use only**

Time stamp, date and receiver's signature

FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US: **ICICI Prudential Asset Management Company Limited**  
Central Service Office, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. India  
**TOLL FREE NUMBER:** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL:** enquiry@icicipruamc.com **WEBSITE:** www.icicipruamc.com



# INSTRUCTIONS TO INVESTORS

## I. GENERAL INSTRUCTIONS

- The application form is for Resident Investors/NRIs/FIIs and should be completed in English in **BLOCK** Letters.
- The scheme name and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.
- The Application completed in all respects along with the cheque must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or for the amount payable are liable to be rejected and the money paid, if any, will be refunded without interest.
- No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.
- In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.
- Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
- Any detail of the investor in his DP account will override the detail mentioned in this application form in case of mismatch between both.

## II. UNITHOLDERS INFORMATION

- Existing Unit-holders:** If you have an existing folio with KYC validation, please mention the Folio Number in Step 1 and proceed to Step 3 in the application form. Please note that the applicable details and mode of holding will be as per the existing folio. Partial Demat of units is not allowed

### b) New Applicant

- Name and address must be given in full (P.O. Box Address is not sufficient).
- Name and Date of Birth as per PAN card should be made mandatory.
- In the case of NRI/PIO/FII investors, an overseas address must also be provided. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCI Card and overseas address proof is mandatory. In case of Merchant Navy NRI's / Seafarers declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.

- Name of the guardian alongwith relationship must be mentioned, if the investments are being made on behalf of a minor. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Joint holding is not allowed, if the first applicant is minor.

In case of investment in the name of Minor, payment for investment must be from the bank account of the minor or from a joint account of the minor with the guardian only or else the transaction is liable to be rejected.

In case of a minor, it is mandatory to submit photocopy of any one of the following towards proof of date of birth at the time of initial investment :

- Birth certificate of minor, or
- School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states , ICSE , CBSE etc, containing the minor's date of birth, or
- Passport of minor
- Any other suitable proof evidencing the date of birth of the minor.

In case of natural guardian, a document evidencing the relationship has to be submitted, if the same is not available as part of the documents submitted as proof of date of birth of the minor applicant.

In case of court appointed legal guardian- a notarised photo copy of the court order should be submitted alongwith the application.

### 5. Minor Attaining Majority - Status Change:

On minor attaining majority, the unit holder shall submit a letter along with the documents as mentioned below:

- A signed request form to change account status from minor to major duly filled containing details like name of the major, folio no. etc.
- New Bank Mandate.
- Signature of the minor who has turned major, duly attested by -
  - the parent/guardian whose signature is registered in the records of the Fund/ Registrar and Transfer Agent (RTA) against the folio of the minor unit holder; OR
  - the manager of a scheduled bank (signature attestation by way of Banker's Certificate or letter)
- KYC, PAN and Aadhaar number of the major.
- Additional KYC, FATCA & CRS - Self Certification

Depending upon appropriateness, the ICICI Prudential Asset Management Company Limited (the AMC) may consider seeking additional/alternative documents for necessary diligence of each case.

Guardian name and details will be deleted on change of Tax status from Minor to Major. The standing instruction including SIP, STP and SWP will be registered only till the date of minor attaining majority, though the instructions may be for a period beyond that date.

- In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

**Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature

is produced along with the PoA.

- PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. However, PAN is not required for investors who are exempted from PAN requirement, please refer to KYC Form for exemption of PAN requirement.

- Exemption from requirement of Permanent Account Number (PAN) for micro investments in the schemes of the Fund:** Investment in mutual fund schemes [including investments through Systematic Investment Plan (SIP)] upto Rs. 50,000/- per investor per year per mutual Fund, shall be exempted from the requirement of PAN.

- The exemption shall be available under all the schemes of the Fund for investments upto Rs. 50,000/- (aggregate under all the schemes of the Fund) in a rolling 12 month period or financial year i.e. April to March by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible.

- In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect, however, redemptions shall be allowed.

- In case of investments held jointly, first holder must not possess a PAN.

- Eligible Investors may invest in the schemes of the Fund (through SIP or lumpsum/ additional purchase) without providing PAN subject to the threshold amount as specified above.

- Eligible Investors should attach a copy of Know Your Client (KYC) acknowledgement letter quoting PAN Exempt KYC reference no. (PEKRN) obtained from KYC Registration Agency alongwith the investment application form.

- Eligible Investors must have only one PEKRN.

- In case KYC status is failed for a particular PEKRN further SIP transaction/ investments will not be allowed in such folios having such PEKRN.

- Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.

- Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, IDCWs / redemptions / refund warrants and any other correspondence sent from time to time.

- Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.

- In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/ , then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/ additional purchases, if the Scheme name is not mentioned on the application form/ transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque . The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

## III. DEMAT/NON-DEMAT MODE:

Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non- Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly IDCW options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/ CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

**Reinvestment of IDCW payout:** In case Unitholder has opted for IDCW payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for IDCW payout, as per the provisions of the respective scheme (net of IDCW distribution tax and other statutory levy, if any), else the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-IDCW Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less

## INSTRUCTIONS TO INVESTORS (Contd.)

than the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.

### IV. NOMINATION

- a. Investors need to mandatorily submit either the nomination or the declaration for opting out of nomination for individuals applying for / holding units on their own behalf singly or jointly else the application is liable to be rejected.
- b. You may nominate persons to receive the Units/amounts standing to your credit payable in the event of death of the Unit Holder(s) in respect of investment under a folio. In case Unit holder do not wish to nominate, please tick the declaration for non-intention to nominate in this form or submit the signed Declaration form separately available in our website [www.icicipruamc.com](http://www.icicipruamc.com)
- c. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. All joint holders need to sign the nomination form. Nomination is not allowed in case the first applicant is a minor. Nomination form cannot be signed by Power of Attorney (PoA) holders.
- d. Nomination will be registered where nomination is made by a sole proprietorship as the proprietor is providing his/ her personal pan card for KYC and all the details are of the individual itself.
- e. All payments and settlements made to such nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.
- f. A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- g. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- h. Nomination in respect of the units stands withdrawn upon the transfer of units.
  - i. Every new nomination for a folio/account will overwrite the existing nomination.
  - j. Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.
  - k. The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to be signed by all the holders.
  - l. On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- m. Investors who want to make multiple nominations (Maximum 3) need to fill the separate Multiple Nomination Form available on [www.icicipruamc.com](http://www.icicipruamc.com) and submit it to the AMC. Separate form is available on [www.icicipruamc.com](http://www.icicipruamc.com) and submit it to the AMC.
- n. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in whole numbers and without decimals in favour of each of the nominees should be indicated against the name of the nominees. Such allocation/ share should total to 100 percent. In the event of the Unit holder(s) fail to indicate the percentage of allocation/share for each of the nominees, the Fund/AMC, by invoking default option shall settle the claim equally amongst all the nominees. Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form
- o. In case of multiple nominees, on the death of one or more nominee, the transmission of units shall be made in favour of the surviving nominee(s) on pro rata basis upon demise of the investor.
- p. In case the investor specifies multiple nominees, then he / she shall also specify the percentage share for each nominee. In absence of such specification, the regulated entity shall apportionment the assets equally among all the nominees. In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro rata to the remaining nominees.

### V. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular IIMRPF/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. An investor at the time of purchase of units must provide the details of the pay-out bank account (i.e. account into which redemption /IDCW proceeds are to be paid) in Section 3 in the Application Form. Please quote 9 Digit MICR Code No. and 11 Digit IFSC code of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book). The AMC reserves the right to make IDCW/redemption payments through ECS/NFT/RTGS where details are available.

Investors/Unit Holders are requested to note that, any one of the following documents shall be submitted, if cheque provided along with fresh subscription/new folio creation does not belong to bank mandate in Section 3 in the Application Form.

1. Original cancelled cheque having the First Holder Name printed on the cheque.
2. Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application.
3. Photocopy of the bank statement duly attested by the bank manager with designation, employee number and bank seal.
4. Photocopy of the bank pass book duly attested by the bank manager with designation, employee number and bank seal.
5. Photocopy of the bank statement/passbook/cheque duly attested by the AMC branch officials after verification of original bank statement/passbook shown by the investor or their representative.
6. Confirmation by the bank manager with seal, designation and employee number on

the bank's letter head confirming the investor details and bank mandate information. Please note for unit holder opting to invest in demat, please ensure that the bank account linked with the demat account is mentioned in the application form.

Maturity payment or IDCW payment would be made as per the bank account details available in BENPOS file.

**Multiple Bank Account Registration:** The AMC/ Mutual Fund provides a facility to the investors to register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) for receiving redemption/IDCW proceeds etc. by providing necessary documents. Investors must specify any one account as the "Default Bank Account". The investor, may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption. Investors holding units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website [www.icicipruamc.com](http://www.icicipruamc.com).

**Change of Bank Mandate:** With effect from October 25, 2011, the request for change of bank (COB) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement/ pass book duly attested by the new Bank, evidencing the name and bank account details of the investor.

### VI. DIRECT CREDIT OF IDCW/REDEMPTION:

ICICI Prudential AMC had entered into an arrangement with certain banks; such as ICICI Bank & HDFC Bank for direct credit of redemption and IDCW proceeds, if the investors have a bank mandate in any of the specified banks. However, the AMC will not be responsible for any delay on the part of the bank for executing the direct credit. The Fund reserves the right to issue a payment instrument in place of this electronic payment facility. The AMC may alter the list of the banks participating in direct credit arrangement from time to time

/ withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

### VII. E-MAIL COMMUNICATION:

- a. Investors should ensure that the email id provided is that of First /Sole holder or of their Family member. Family means spouse, dependent children, Dependant Sibling or dependent parents. This email address and mobile no. provided shall be registered in the folio for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole Holder available in the KYC records shall be registered in the folio. If the AMC / RTA finds that the email address / mobile number provided may not be of the actual investor or the same appears incorrect / doubtful, the AMC / RTA may not capture / update such email address / mobile number in the folio.
- b. The AMC shall email the annual report or an abridged summary thereof to the unitholders whose email addresses are registered with the Fund. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database. Physical copy of scheme wise annual report or abridged summary shall be provided to investors who have opted to receive the same.
- c. Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has provided an email address in his DP account, the same will be registered in our records for eDocs and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode/email. These documents shall be sent physically in case the Unit holder opts/requests for the same. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email. The Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor.

The AMC / Trustee reserve the right to send any communication in physical mode.

### VIII. KNOW YOUR CUSTOMER (KYC) NORMS:

KYC (Know Your Customer) are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI registered intermediaries. Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN) in application form. For Common KYC Application Form please visit our website [www.icicipruamc.com](http://www.icicipruamc.com).

### IX. TRANSACTION CHARGES:

With effect from March 1, 2024, no transaction charges to be levied on the investment amount from transactions/applications (including SIPs) received through distributors (i.e. for Regular Plans). Accordingly, payment of transaction charges to the distributors has been discontinued from March 1, 2024.

### X. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):

Investors procuring advisory services from non Individual distributors are requested to note that EUIN would assist in tackling the problem of mis-selling even if the employee/ relationship manager/sales person leave the employment of the distributor.

Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN space.

Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders (whether acting in the capacity of the main distributor or sub

broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ ARN-14/12-13 dated July 13, 2012.

#### XI. Signatures :

The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.

If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

In case of application under POA or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for/authorize the POA holder/authorized signatory to make application/invest moneys on behalf of the investor.

**Signature mismatch cases:** While processing the redemption request in case the AMC/ Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

#### XII. INVESTMENT DETAILS

##### DURING NEW FUND OFFER/ DURING ONGOING OFFER:

<b>Minimum Application Amount</b>	Rs. 1000/- (plus in multiples of Re.1/-)
<b>Switch-Ins</b>	Rs. 1000/- and any amount thereafter
<b>Minimum Additional Amount</b>	Rs. 1000/- (plus in multiples of Re. 1)
<b>Switch-Ins</b>	Rs. 1000/- and any amount thereafter
<b>Minimum Redemption Amount</b>	Any Amount
<b>Systematic Withdrawal Plan (SWP)</b>	Available

##### PLANS/OPTIONS AVAILABLE UNDER THE SCHEME

- ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan
- ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan

##### Options under each Plan(s):

- Growth
- Income Distribution cum Capital Withdrawal (IDCW)
  - ✓ IDCW Payout
  - ✓ IDCW Reinvestment

<b>Plans</b>	<ul style="list-style-type: none"> <li>• ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan and</li> <li>• ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan</li> </ul>
<b>Default Plan (if no plan is selected)</b>	<p>If broker code is not mentioned the default plan is ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan</p> <p>If broker code is mentioned the default plan is ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan</p>
<b>Default Plan (in certain circumstances)</b>	<ul style="list-style-type: none"> <li>• If ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan is opted, but ARN code is also stated, then application would be processed under ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan</li> <li>• If ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan is opted, but ARN code is not stated, then the application would be processed under ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan</li> </ul>
<b>Options/ sub-options</b>	<ul style="list-style-type: none"> <li>• Growth Option and</li> <li>• IDCW               <ul style="list-style-type: none"> <li>➢ IDCW Payout</li> <li>➢ IDCW Reinvestment</li> </ul> </li> </ul>
<b>Default Option</b>	Growth Option
<b>Default sub option</b>	IDCW Reinvestment

ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan is only for investors who purchase /subscribe units in a Scheme directly with the Fund.

For details with respect to AMFI Best Practices Guidelines dated February 2, 2024 on treatment of applications received with invalid ARNs or ARNs subsequently found to be invalid, investors are requested to refer to the relevant provisions of the SAI.

The Trustee reserves the right to add any other options/ sub-options under the Scheme. For detailed disclosure on default plans and options, kindly refer SAI.

##### IDCW TRANSFER PLAN:

Investors may note that IDCW Transfer Plan will be available under the Scheme whereby if the investor opts for this facility, the IDCW declared will be automatically invested into any open-ended scheme (Target Scheme) of the Fund. The amount to the extent of distribution will be automatically invested on the ex-IDCW date into the Target Scheme selected by the investor, at the applicable NAV of that scheme. The provision of "Minimum Application Amount" specified in the SID of the respective Target Scheme will not be applicable for DTP facility.

#### XIII. MODE OF PAYMENT

- a) The cheque should be drawn in favour of **"ICICI Prudential Nifty Top 15 Equal Weight Index Fund"** and crossed **"Account Payee Only"**. The cheque should be payable at the centre where the application is lodged. The cheque should be drawn on any bank which is situated at and is a member/sub-member of the Bankers' Clearing House. Cheques drawn on a bank not participating in the Clearing House will not be accepted.

- b) **Outstation Cheques, Banker's Cheque and Demand Drafts will not be accepted.**

MICR cheques will be accepted till the end of business hours up to June 24, 2025.

Real Time Gross Settlement (RTGS) / Other Electronic Payment requests and Transfer cheques will be accepted till the end of business hours up to June 24, 2025.

Switch-in requests from equity and other schemes will be accepted up to June 24, 2025 till the cut-off time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Advantage Fund (FOF), ICICI Prudential Nasdaq 100 Index Fund, ICICI Prudential Strategic Metal & Energy Equity Fund of Fund, ICICI Prudential Global Stable Equity Fund (FOF) ICICI Prudential India Equity FOF and ICICI Prudential Thematic Advantage Fund (FOF) will not be accepted.

**Investors can also subscribe to the New Fund Offer (NFO) through ASBA facility.**

**Switch into the Scheme:** Investors who hold units in any of the schemes of ICICI Prudential Mutual Fund except ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Advantage Fund (FOF), ICICI Prudential Nasdaq 100 Index Fund, ICICI Prudential Strategic Metal and Energy Equity Fund of Funds, ICICI Prudential Passive Multi-Asset Fund of Fund and ICICI Prudential Global Stable Equity Fund (FOF) may switch all or part of their holdings to the Scheme during the New Fund Offer Period and on ongoing basis subject to the provisions in the scheme information document of the respective scheme. Switch-in requests are subject to the minimum application amount as mentioned in this Scheme Information Document.

For switch-in requests received from the open-ended scheme during the New Fund Offer Period (NFO) under the Scheme, the switch-out requests from such Scheme will be effected based on the applicable NAV of such Scheme, as on the day of receipt of the switch request, subject to applicable cut-off timing provisions. However, the switch-in requests under the Scheme will be processed on the date of the allotment of the Units.

- c) Payments by Stockinvest, Money Order, Cash, Postal Order, Outstation Cheques, Banker's Cheque, Demand Drafts Post-dated Cheques and Non-CTS Cheques will not be accepted.

- d) The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

##### e) NRI/FII/PIO Investors

**1. Repatriation basis:** Payments by NRIs/FIIs/Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

**2. Non Repatriation basis:** NRIs or Persons of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

**3. FIRC certificate:** In case of investments by Non Resident Indians (NRIs), if FIRC certificate was not submitted, CAMS/AMC will not provide FIRC outward letters to banks.

- f) In case of Non Anchor Investors, realization of the application amount by the AMC should be on or before the closure of 3 (three) business days from the end of Non Anchor Investor NFO Period. Otherwise, AMC reserves the right to reject the application and refund the amount.

#### XIV. THIRD PARTY PAYMENTS:

Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as:

- Investment made through instruments issued from an account other than that of the beneficiary investor.
- In case the investment is made from a joint bank account, the first holder of the mutual fund investment is not one of the joint holders of the bank account from which payment is made.
- Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- Payment on behalf minor in consideration of natural love and affection or as a gift will be only applicable for ICICI Prudential Child Care Fund (Gift Plan).
- Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/one-time subscription through Payroll deductions.
- Custodian on behalf of a Foreign Institutional Investor (FII) or a client.
- Payment made by the AMC to a Distributor empanelled with it on account of commission, incentive, etc. in the form of the Mutual Fund units of the Schemes managed by such AMC through SIP or lump sum/one time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- Payment made by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal-agent relationship) account of commission or incentive payable for sale of its goods/services, in the form of Mutual Fund units of the Schemes managed by such AMC through SIP or lump sum/one time subscription, subject to compliance with SEBI Regulations and Guidelines issued



by AMFI, from time to time.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- (1) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- (2) Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- (3) Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via RTGS, NEFT, bank transfer, net banking etc. Following additional checks shall be carried out:

- If payment is made by RTGS, NEFT, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available in [www.icicpruamc.com](http://www.icicpruamc.com) or ICICI Prudential Mutual Fund branch offices.

#### **XV. PLEDGE/LIEN :**

The Units can be pledged by the Unitholders as security for raising loans subject to the conditions of the lending institution and the terms and conditions laid down by the Depositories. The Registrar will take note of such pledge / charge in its records on intimation.

#### **XVI. ULTIMATE BENEFICIAL OWNERS(S) [UBO(S)]:**

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of UBO(s). In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for 'Ultimate Beneficial Ownership' which is available on our website [www.icicpruamc.com](http://www.icicpruamc.com) or at any of the Investor Service Centre (ISC) of ICICI Prudential Mutual Fund.

#### **XVII. FATCA AND CRS DETAILS:**

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If there is any change in the information provided, promptly intimate the same to us within 30 days.

#### **XVIII. As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS. CO.OD No.901/06.24.001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).**

From April 1, 2021, 20-digit Legal Entity Identifier (LEI) information is included while initiating any transaction of value INR 50 crore and above by entities (non-Individual).

#### **XIX. As per Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2023 dated 7th March 2023, definition of Non-Profit Organization (NPO) has been revised. "Non-profit organization" means any entity or organisation, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013)**

---

**THIS PAGE HAS KEPT INTENTIONALLY BLANK**

---



**PAN BASED MANDATE**

Tick (✓)  
☒ CREATE  
☐ MODIFY  
☐ CANCEL

I/We hereby authorize **ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED** to debit (tick ✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number

with Bank Name of customers bank IFSC or MICR

an amount of Rupees Maximum Amount (Rupees in words) ₹

FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ☒ As & when presented DEBIT TYPE ☒ Fixed Amount ☒ Maximum Amount

PAN No. Mobile No.

Reference APPLICATION NUMBER Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD  
 From To  
 Sign: Sign: Sign:  
 Maximum period of validity of this mandate is 40 years only 1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participants in NACH/SI/any other mode as may be preferred by the AMC from time to time. I/We hereby confirm adherence to the terms of this facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Registration of OTM/PAN Based Mandate Facility and amended from time to time and of NACH (Debits). Authorization to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we authorized to cancel/amend this mandate by appropriately communicating/amendment to the User entity/corporate or the or the bank where I have authorized the debit. This is to inform that I/we have registered for this facility and that my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank and to debit my/our account for any charges towards mandate verification, registration, transactions, transactions, returns, etc, as applicable.



**ICICI Prudential Nifty Top 15 Equal Weight Index Fund**  
**SIP Registration-cum-Mandate Form for SIP**

Application No.

**New Fund Offer Opens on: June 10, 2025 | New Fund Offer Closes on: June 24, 2025**

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK/BLUE INK and BLOCK LETTERS.

BROKER CODE (ARN CODE)/ RIA CODE#	SUB-BROKER ARN CODE	SUB-BROKER CODE (AS ALLOTTED BY ARN HOLDER)	EMPLOYEE UNIQUE IDENTIFICATION NO. (EUIN)
-----------------------------------	---------------------	---------------------------------------------	-------------------------------------------

#By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank) - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT
-------------------------------------	-------------------------------	------------------------------

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

FOLIO NO. Sole/1st Applicant:

☐ Registration via Existing OTM (Please mention UMRN if multiple OTMs exist in folio) [Tick to avail (✓)]

Scheme Name: **ICICI Prudential Nifty Top 15 Equal Weight Index Fund** | Plan:   
 OPTION : SUB-OPTION :

Please refer instructions and Key Information Memorandum(s)/SIDs available on ICICI Prudential Mutual Fund website for options, sub-options and other facilities available under each scheme of the Fund.

Each SIP Amount: Rs. In words:

SIP Frequency: ☐ Daily (Only business days) ☐ Weekly\*\*\* ☐ Fortnightly\*\* ☐ Monthly ☐ Quarterly\* (Default is Monthly)

SIP Date: SIP Start Month / Year SIP End Month / Year SIP Installments^

\*In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP. \*\*Fortnightly is available on 1st and 16th of each moth, as applicable.\*\*\*Investors can choose anyday of the week from Monday to Friday to register under weekly frequency #In case the choosen date is a non-business day, the transaction would be processed on the next business day. ^Number of installments have to be mentioned only in case of Daily/Weekly/Fortnightly frequencies. [Refer T&C no.12(f)]

EXISTING OTM / FIRST INSTALLMENT BANK DETAILS: Cheque No. Cheque Amount Rs. A/c No. Bank Name:

(Please see overleaf)



**ACKNOWLEDGEMENT SLIP** (To be filled in by the investor)

**ICICI Prudential Nifty Top 15 Equal Weight Index Fund**

Folio No./ Application No.

Name of the Investor: Scheme Name: Plan & Option: SIP Amount Rs. SIP Frequency: SIP Tenure:

Acknowledgement Stamp

**Mandatory fields in OTM form as per NPCI:** • Mandate Date is Mandatory • Transaction type to be selected • Only one Account type to be selected • Bank account number and Bank name • Please mention IFSC Code (11 Alpha numeric Characters) / MICR Code (9 Numeric) • Maximum amount to be mentioned (should be same in figures and words) • valid PAN • OTM start date & end date • Name & Signature(s) as per bank records • Mandate Date and the Validity of the mandate should be mentioned in DD/MM/YYYY format • Mandate start (From) date should be after Mandate (Application) date. • Maximum duration of this mandate is 40 Years.

#### GENERAL INSTRUCTIONS

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

**Registration of OTM/PAN BASED MANDATE FACILITY:** As an investor I/we hereby request you to register me/us for availing the facility of OTM/PAN based mandate and carrying out transactions of additional purchase/redemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/ verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

**Maximum Amount:** The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

#### INSTRUCTIONS FOR EXISTING OTM AND PAN BASED MANDATE FACILITY

- Investor can transact through OTM facility registered for the PAN in the respective folio(s).
- If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTM's registered or reject the request.
- The units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
- Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/ or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
- AMC reserves right to reject or process the application subject to internal verification.
- PAN based mandate will be mapped to all the folios wherever investor is the Sole/First holder subject to completion of mandate registration with the banker.
- PAN based mandate will not be applicable, if bank details provided is for Minor's Account.
- Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
- Mandate start (From) date should be after Mandate (Application) date.

#### DEMAT ACCOUNT STATEMENT DETAILS (OPTIONAL – PLEASE REFER INSTRUCTION NO. 19)

**NSDL: Depository Participant (DP) ID (NSDL only)**

**Beneficiary Account Number (NSDL only)**

**CDSL: Depository Participant (DP) ID (CDSL only)**

**YOUR CONFIRMATION/DECLARATION:** I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50, 000 in a year as described in the Instruction No.IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

#### Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

Sole/First Holder		2nd Holder		3rd Holder	
-------------------	--	------------	--	------------	--