KEY INFORMATION MEMORANDUM

Samco Large & Mid Cap Fund

(An open-ended equity scheme predominantly investing in large cap and mid cap stocks)

This product is suitable for investors who are seeking*:	**Scheme Risk-o-meter**	**Benchmark Risk-o-meter (Nifty Large Midcap 250 TRI)**
• To generate long-term capital growth • A fund that invests predominantly in equity and equity related securities of large cap and mid cap companies **Investors should consult their financial advisers if in doubt about whether the product is suitable for them.*	 The risk of the scheme is Very High	 The risk of the benchmark is Very High

The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer of Units of Rs. 10 each for cash during the New Fund Offer and continuous offer for Units at NAV based prices

New Fund Offer Opens	**New Fund Offer Closes**	**Scheme re-opens for continuous sale & repurchase not later than**
June 05, 2025	June 19, 2025	June 30, 2025

Name of Sponsor:
Samco Securities Limited

Name of Mutual Fund: Samco Mutual Fund
Name of Asset Management Company: Samco Asset Management Private Limited
Name of Trustee Company: Samco Trustee Private Limited

Address:
1004 - A, 10th Floor, Naman Midtown - A Wing, Senapati Bapat Marg, Prabhadevi 400 013

Addresses, Website of the Entities:
Address: 1003 - A, Naman Midtown, Senapati Bapat Marg, Prabhadevi West, Mumbai - 400 013
Website: www.samcomf.com, **Email:** mfassist@samcomf.com,
Toll Free No.: 18001034757, **Fax No.**: 022 41708969

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, ,penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.samcomf.com.**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated April 15, 2025.

<table>
<tr><td>Name of the Scheme</td><td>Samco Large & Mid Cap Fund</td></tr>
<tr><td>Category of the Scheme</td><td>Large & Mid Cap Fund</td></tr>
<tr><td>Scheme type</td><td>An open ended equity scheme predominantly investing in large cap and mid cap stocks</td></tr>
<tr><td>Scheme Code</td><td>SAMC/O/E/LMF/25/03/0011</td></tr>
<tr><td>Investment Objective</td><td>The investment objective of the Scheme is to generate long-term capital appreciation from a diversified portfolio of predominantly Large Cap and Mid Cap equity and equity-related securities.
There is no assurance that the investment objective of the scheme will be achieved.</td></tr>
<tr><td>Asset allocation Pattern</td><td>Under normal circumstances, the asset allocation pattern will be as follows:
<table>
<tr><th rowspan="2">Instruments</th><th colspan="2">Indicative allocations (% of net assets)</th></tr>
<tr><th>Minimum</th><th>Maximum</th></tr>
<tr><td>Equity & Equity related instruments of Large Cap companies</td><td>35%</td><td>65%</td></tr>
<tr><td>Equity & Equity related instruments of Mid Cap companies</td><td>35%</td><td>65%</td></tr>
<tr><td>Equity & Equity related instruments of other than Large & Mid cap companies and International stocks</td><td>0%</td><td>30%</td></tr>
<tr><td>Debt & Money Market Instruments</td><td>0%</td><td>30%</td></tr>
<tr><td>Units issued by REITs and InvITs</td><td>0%</td><td>10%</td></tr>
</table>
The Fund would adopt the list of Large Cap, Mid Cap, Small Cap companies prepared by AMFI for this purpose in accordance with para 2.7 of SEBI Master circular dated June 27, 2024. If there is any updation in the list of large cap, mid cap, small cap companies, the fund manager would rebalance the portfolio (if required) in line with the updated list, within a period of one month. At present the Large Cap, Mid Cap & Small Cap companies are classified as below:
<ol type="a">
Large Cap: 1st -100th company in terms of full market capitalization.
Mid Cap: 101st -250th company in terms of full market capitalization.
Small Cap: 251st company onwards in terms of full market capitalization.

The Scheme may also take exposure to

Investment in Equity Derivatives (including covered call options) upto 80% for the purpose of hedging and portfolio balancing. Further, in case of other than hedging purpose, the scheme shall not exceed 50% of net assets of the equity component.
Investment in Foreign Securities shall be upto 30% of total assets in accordance with the guidelines stipulated by SEBI and RBI from time to time.
A maximum of 20% of net assets may be deployed in securities lending and the maximum single party exposure may be restricted to 5% of net assets outstanding at any point of time.
Investment in Repo /reverse repo in corporate debt securities shall be Upto 10% of the net assets of the Scheme.

</td></tr>
</table>

- Securitized debt up to 20% of the net assets of the scheme. However, in accordance with clause 12.3 of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, investment in the following instruments shall not exceed 10% of the debt portfolio of the Scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the Scheme:
    a. Unsupported rating of debt instruments (i.e. without factoring-in credit enhancements) is below investment grade; and
    b. Supported rating of debt instruments (i.e. after factoring-in credit enhancement) is above investment grade.

The Scheme may invest in other scheme(s) under the same AMC or any other mutual fund without charging any fees, provided that aggregate inter-scheme investment made by all Schemes under the same AMC or in Schemes under the management of any other asset management shall not exceed 5% of the net asset value of the Mutual Fund. Further, the Scheme shall not invest in any fund of funds scheme.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

S.no	Type of instrument	Percentage of exposure (% of total assets)	Circular references
1.	Equity Derivatives for hedging purposes (including covered call options)	Upto 80%	Para 12.25 of SEBI Master Circular on Mutual Funds dated June 27, 2024
2.	Equity Derivatives for non-hedging purposes	Upto 50%	Para 12.25 of SEBI Master Circular on Mutual Funds dated June 27, 2024
3.	Foreign Securities	Upto 30%	Para 12.19 of SEBI Master Circular on Mutual Funds dated June 27, 2024
4.	Securities Lending and borrowing	Upto 20%	Para 12.11 of SEBI Master Circular on Mutual Funds dated June 27, 2024
5.	Securitized Debt	Upto 20%	Para 12.15 of SEBI Master Circular on Mutual Funds dated June 27, 2024
6.	Debt instruments with Credit enhancement/ structured obligations	Upto 10%	Para 12.3 of SEBI Master Circular on Mutual Funds dated June 27, 2024
7.	Repo /reverse repo in corporate debt securities	Upto 10%	Para 12.18 of SEBI Master Circular on Mutual Funds dated June 27, 2024
8.	Units issued by REITs and InvITs	Upto 10%	Para 12.21 of SEBI Master Circular on Mutual Funds dated June 27, 2024
9.	Units of mutual Fund schemes	Upto 5%	Clause 4 of the Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996

The scheme will not invest in following securities:

S.no	Type of Instrument
1.	Overseas Mutual Funds
2.	Short Selling in debt instruments
3.	Debt instruments with special features (AT1 and AT2 Bonds)
4.	Credit Default Swap transactions
5.	Debt Derivatives
6.	Commodity derivatives

The cumulative gross exposure through equity, debt (including money market instruments), derivative positions (including covered call options), foreign securities, units issued by REITs & InvITs, repo transactions in corporate debt securities and such other securities/assets as may be permitted by the Board from time to time shall not exceed 100% of the net assets of the Scheme in accordance with SEBI Master Circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024.

However, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure in line with clause 12.25 of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. Further, SEBI vide letter dated November 3, 2021, has clarified that Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities.

Pursuant to SEBI Master Circular for Mutual Funds dated June 27, 2024, the Scheme may deploy NFO proceeds in Triparty repo on Government securities or treasury bills (TREPS) before the closure of NFO period. However, the AMC shall not charge any investment management and advisory fees on funds deployed in TREPS during the NFO period.

Pending deployment of funds of the Scheme, in securities in terms of the investment objective, and for margin purposes, the AMC may park the funds of the Scheme in short term deposits of scheduled commercial banks, subject to the clause 12.16 of the SEBI Master Circular for Mutual Funds dated June 27, 2024, as amended from time to time.

Timelines for deployment of Funds mobilized in a New Fund Offer (NFO)

The funds mobilized during the New Fund Offer (NFO) shall be deployed in accordance with the asset allocation pattern of the scheme within 30 business days from the date of allotment of units. In exceptional cases where the AMC is not able to deploy the funds within this period, shall provide an explanation, including details of the efforts made to deploy the funds, to the Investment Committee of the AMC.

The Investment Committee may, if deemed necessary, shall extend the deployment timeline by an additional 30 business days, in accordance with SEBI circular SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/23 dated February 27, 2025. While granting an extension, the Committee shall examine the root cause of the delay. However, an extension shall not be granted if the scheme's assets are liquid and readily available.

If the funds are not deployed as per the asset allocation specified in the Scheme Information Document (SID) within the stipulated and extended timelines, the following measures shall apply:

	1. **Restriction on Fresh Subscriptions**: The AMC shall not accept fresh inflows into the scheme until the funds are deployed as per the SID. 2. **Waiver of Exit Load**: No exit load shall be levied on investors exiting the scheme after 60 business days of non-complying with the asset allocation. 3. **Investor Notification**: The AMC shall inform all NFO investors about their option to exit the scheme without an exit load via email, SMS, or other appropriate communication channels. 4. **Reporting to Trustees**: Any deviation from the deployment timelines shall be reported to the Trustees at each stage. **Rebalancing due to Short Term Defensive Consideration:** Due to market conditions, the AMC may invest beyond the range set out in the asset allocation. Such deviations shall normally be for a short term and defensive considerations only in terms of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. In the event of deviations, the fund manager will carry out rebalancing within 30 calendar days. **Timelines for Rebalancing of Portfolios in case of passive breach:** In the event of deviations from asset allocation due to passive breaches, the fund manager will carry out rebalancing within 30 business days. Where the portfolio is not rebalanced within 30 business days, justification for the same shall be placed before the Investment Committee and reasons for the same shall be recorded in writing. The Investment committee, if so desires, can extend the timelines up to sixty (60) business days from the date of completion of mandated rebalancing period. In case the portfolio of scheme is not rebalanced within the extended timelines, AMCs shall not be permitted to launch any new scheme till the time the portfolio is rebalanced. Further, no exit load shall be levied to the investors who exiting the scheme. Additionally, the AMC shall report the deviation to the Trustees at each stage. In case the AUM of deviated portfolio is more than 10% of the AUM of main portfolio of scheme, the AMC shall immediately disclose the same to the investors through SMS and email / letter including details of portfolio not rebalanced. Subsequently, the AMC shall also immediately communicate to investors through SMS and email / letter when the portfolio is rebalanced. Additionally, the AMC shall disclose the deviation from the mandated asset allocation to investors along with periodic portfolio disclosures as specified by SEBI from the date of lapse of mandated plus extended rebalancing timelines.
Investment Strategy	The investment team will follow an active strategy to manage the assets of the fund. The SAMCO Large & Mid Cap Fund is built on a cutting-edge momentum-based investment strategy that seeks to harness the power of market trends and corporate performance metrics. At its core, the fund employs SAMCO's proprietary C.A.R.E. system to with an aim to deliver superior risk-adjusted returns by systematically identifying and allocating capital to large & mid cap stocks with strong momentum indicators. The C.A.R.E. system integrates four key dimensions of momentum—**Cross Sectional Momentum, Absolute Momentum, Revenue Momentum, and Earnings Momentum**. These parameters ensure that the portfolio remains optimized by focusing on stocks from the **top 250 companies by market capitalization**, as defined by the AMFI, that exhibit robust

momentum traits. The use of derivatives and hedging further strengthens the strategy by mitigating risks during periods of market volatility.

The fund will invest between 35-65% of assets in the top 100 companies by market capitalisation, also referred to as large cap companies. The fund will also invest between 35-65% of assets in the companies ranked 101 – 250 by market capitalisation, also referred to as mid cap companies. The fund also has the flexibility to invest 0-30% of assets in companies beyond the top 250+ by market cap or in debt/money market instruments. The scheme may use derivatives instruments for purposes of hedging up to 80% of net assets and shall not exceed 50% of net assets for other than hedging purposes.

C.A.R.E. System: Key Parameters Explained

1. Cross Sectional Momentum: A Guide for Stock Selection

Cross Sectional momentum is the core criterion for stock selection within the large-cap universe. It involves identifying and investing in stocks that demonstrate superior performance relative to their peers. The fund evaluates the top 100 companies by market capitalization to pinpoint stocks that exhibit consistent price strength and outperform both their sector and broader indices. This approach ensures the fund capitalizes on leading stocks riding strong upward trends. By focusing on stocks with relative strength, the portfolio maintains a bias toward market leaders, avoiding laggards and ensuring efficient capital deployment. This technique aligns with momentum investing principles that have historically delivered alpha in equity markets.

2. Absolute Momentum: Enhancing Portfolio Resilience

Absolute momentum assesses the directional trend of stocks or the overall market, regardless of relative performance. If the trend turns negative, the fund employs tactical measures to safeguard capital. In scenarios where absolute momentum diminishes, the fund leverages derivatives and hedging strategies to reduce net equity exposure. This defensive stance protects against deep drawdowns, ensuring the portfolio remains resilient during adverse market conditions. The integration of absolute momentum provides a critical risk management overlay, shielding investors from severe losses while enabling participation in favourable market environments. This dynamic approach ensures a smoother investment experience across market cycles.

SAMCO's algorithms leverage advanced quantitative indicators to detect market extremes—identifying signals of euphoria and capitulation—and dynamically adjust portfolio allocations to protect capital and capture emerging opportunities.

3. Revenue Momentum: Identifying Growth Drivers

Revenue momentum focuses on companies exhibiting robust top-line growth. It emphasizes businesses that demonstrate consistent increases in sales, which often serve as a precursor to sustainable earnings growth. The fund analyses historical and projected revenue trends to identify stocks poised for significant topline momentum. Companies with strong product demand, market share expansion, or successful new product launches are prioritized in the portfolio. Revenue momentum ensures the inclusion of growth-oriented stocks that can sustain their market leadership, enhancing the portfolio's ability to capture long-term value creation.

	4. Earnings Momentum: Capitalizing on Profit Acceleration Earnings momentum identifies companies experiencing rapid growth in profitability at the PBT (Profit Before Tax) and PAT (Profit After Tax) levels. It reflects the capacity of businesses to translate operational efficiency and revenue growth into higher shareholder value. The fund evaluates earnings trends using metrics like earnings growth rate, profit margins, and earnings revisions. Companies with a proven track record of delivering consistent or accelerating earnings growth form the cornerstone of the portfolio. By focusing on earnings momentum, the portfolio gains exposure to companies that are not only growing but also improving operational efficiency, ensuring higher profitability and returns for investors. The SAMCO Large & Mid Cap Fund's C.A.R.E. system represents a sophisticated and comprehensive approach to momentum investing. By integrating **Cross Sectional Momentum** for stock selection, **Absolute Momentum** for risk management, **Revenue Momentum** for growth identification, and **Earnings Momentum** for profitability insights, the fund achieves a balanced and forward-looking investment strategy. This multi-dimensional system ensures the portfolio is well-positioned to capture opportunities in market upswings while safeguarding capital during downturns. The focus on large-cap stocks with robust fundamentals and momentum traits is at the core of the SAMCO Large & Mid Cap Fund. The fund manager shall use derivatives within the permissible limits actively in-addition to hedging and rebalancing the portfolio. For detailed derivative strategies, please refer to SAI. **Portfolio Turnover Policy** The Scheme is an open-ended scheme. It is expected that there would be a number of subscriptions and redemptions on a daily basis. Consequently, it is difficult to estimate with any reasonable measure of accuracy, the likely turnover in the portfolio. There may be an increase in transaction cost such as brokerage paid, if trading is done frequently. However, the cost would be negligible as compared to the total expenses of the Scheme. Frequent trading may increase the profits which will offset the increase in costs. The fund manager will endeavor to optimize portfolio turnover to maximize gains and minimize risks keeping in mind the cost associated with it. However, it is difficult to estimate with reasonable accuracy, the likely turnover in the portfolio of the Scheme. The Scheme has no specific target relating to portfolio turnover.
Risk profile of the scheme	**Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.** **Scheme specific Risk Factors are summarized below:** Different types of securities in which the Scheme would invest as given in the Scheme Information Document carry different levels and types of risk. Accordingly, the Scheme's risk may increase or decrease depending upon its investment pattern.

Risks associated with investments in Equity and Equity related instruments:

Investments in equity and equity related securities involve a degree of risk and investors should not invest in equity schemes unless they afford to take the risk of losing their investment. Equity instruments by nature are volatile and prone to price fluctuations on a daily basis due to both micro and macro factors. Volatility in the capital markets, changes in policies of the Government, taxation laws or any other political and economic development may negatively affect the prices of the securities invested in by the Scheme. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of some of the investments. The Scheme may inter-alia also may be exposed to the risk arising from over exposure to few securities/issuers/sectors.

Risks associated with Derivatives

The Scheme may invest in derivative products in accordance with and to the extent permitted under the Regulations. The use of derivatives requires an understanding of the underlying instruments and the derivatives themselves. The risk of investments in derivatives includes mispricing or improper valuation and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.

Risk Associated with covered call strategy

The Scheme may write covered call option only in case it has adequate number of underlying equity shares as per regulatory requirement. This would lead to setting aside a portion of investment in underlying equity shares. If covered call options are sold to the maximum extent allowed by regulatory authority, the Scheme may not be able to sell the underlying equity shares immediately if the view changes to sell and exit the stock. The covered call options need to be unwound before the stock positions can be liquidated. This may lead to a loss of opportunity, or can cause exit issues if the strike price at which the call option contracts have been written become illiquid. Hence, the Scheme may not be able to sell the underlying equity shares, which can lead to temporary illiquidity of the underlying equity shares and result in loss of opportunity.

Risk associated with investing in Foreign Securities

Subject to necessary regulatory approvals and within the investment objectives of the Scheme, the Scheme may invest in overseas markets which carry risks related to fluctuations in the foreign exchange rates, the nature of the securities market of the country, repatriation of capital due to exchange controls and political circumstances. It is AMC's belief that investment in foreign securities offer new investment and portfolio diversification opportunities into multi-market and multicurrency products. However, such investments also entail additional risks. Such investment opportunities may be pursued by AMC provided they are considered appropriate in terms of the overall investment objectives of the Scheme. Since the Scheme may invest only partially in foreign securities, there may not be readily available and widely accepted benchmarks to measure performance of the Scheme.

Risks associated with investments in Fixed Income Securities:

The NAV of the Scheme, to the extent invested in fixed income securities, will be affected by changes in the interest rates due to various factors such as government borrowing, inflation, economic performance etc. The NAV of the Scheme is expected to increase from a fall in interest rates while it would be adversely affected by an increase in the level of interest rates. Investments in Fixed Income securities may inter-alia carry Re-investment Risk, Spread Risk, Credit/Default Risk, Liquidity Risk, Prepayment Risk, etc.

Risk factors associated with investments in REITs and InvITs

Investment in REITs and InvITs carry Liquidity Risk, Re-investment Risk, Price Risk, Interest Rate Risk, Credit Risk, Regulatory/Legal Risk etc.

Risks associated with Short Selling & Securities Lending

Securities Lending is a lending of securities through an approved intermediary to a borrower under an agreement for a specified period with the condition that the borrower will return equivalent securities of the same type or class at the end of the specified period along with the corporate benefits accruing on the securities borrowed. There are risks inherent in securities lending, including the risk of failure of the other party, in this case the approved intermediary to comply with the terms of the agreement. Such failure can result in a possible loss of rights to the collateral, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of corporate benefits accruing thereon.

Short-selling is the sale of shares or securities that the seller does not own at the time of trading. Instead, he borrows it from someone who already owns it. Later, the short seller buys back the stock/security he shorted and returns the stock/security to the lender to close out the loan. The inherent risks are Counterparty risk and liquidity risk of the stock/security being borrowed. The security being short sold might be illiquid or become illiquid and covering of the security might occur at a much higher price level than anticipated, leading to losses.

Risks associated with Investing in Structured Obligation (SO) & Credit Enhancement (CE) rated securities

Credit rating agencies assign CE rating to an instrument based on any identifiable credit enhancement for the debt instrument issued by an issuer. The credit enhancement could be in various forms and could include guarantee, shortfall undertaking, letter of comfort, etc. from another entity. This entity could be either related or non-related to the issuer like a bank, financial institution, etc. Credit enhancement could include additional security in form of pledge of shares listed on stock exchanges, etc. SO transactions are asset backed/ mortgage backed securities, securitized paper backed by hypothecation of car loan receivables, securities backed by trade receivables, credit card receivables etc. Hence, for CE rated instruments evaluation of the credit enhancement provider, as well as the issuer is undertaken to determine the issuer rating. In case of SO rated issuer, the underlying loan pools or securitization, etc. is assessed to arrive at rating for the issuer.

Risks associated with investing in Securities Segment and Tri-party Repo trade settlement

The mutual fund is exposed to the extent of its contribution to the default fund of CCIL at any given point in time i.e. in the event that the default waterfall is triggered and the contribution of the mutual fund is called upon to absorb settlement/default losses of another member by CCIL, the scheme may lose an amount equivalent to its contribution to the default fund.

	Risks associated with transaction in Units through stock exchange(s): In respect of transaction in Units of the Scheme through Bombay Stock Exchange ("BSE") and / or National Stock Exchange ("NSE"), allotment and redemption of Units on any Business Day will depend upon the order processing / settlement by NSE and / or BSE and their respective clearing corporations on which the Fund has no control. **Risks associated with Restrictions on Redemption:** The Trustee and the AMC may impose restrictions on redemptions when there are circumstances leading to a systemic crisis or event that severely constricts market liquidity or the efficient functioning of markets. Accordingly, such restrictions may affect the liquidity of the Scheme and there may be a delay in investors receiving part of their redemption proceeds. **Risks associated with Segregated portfolio:** The AMC / Trustee shall decide on creation of segregated portfolio of the Scheme in case of a credit event at issuer level i.e. downgrade in credit rating by a Credit Rating Agencies (CRA) or actual default of either the interest or principal amount, in case of its unrated debt or money market instruments. Accordingly, investor holding units of segregated portfolio may not able to liquidate their holding till the time recovery of money from the issuer. The Security comprises of segregated portfolio may not realise any value. Further, listing of units of segregated portfolio in recognised stock exchange does not necessarily guarantee their liquidity. There may not be active trading of units in the stock market. Further trading price of units on the stock market may be significantly lower then the prevailing NAV. For details on risk factors and risk mitigation measures, please refer SID.
Plans and Options Plans/Options and sub options under the Scheme	There will be two plans under the Scheme namely, Regular Plan and Direct Plan. • Samco Large & Mid Cap Fund – Regular Plan • Samco Large & Mid Cap Fund – Direct Plan **Regular Plan**: This Plan is for investors who wish to route their investment through any distributor. **Direct Plan**: This Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Mutual Fund and is not available for investors who route their investments through a Distributor. All the plans will have common portfolio. **Option under each Plan(s)** Growth Option For detailed disclosure on default plans and options, kindly refer SAI.

Applicable NAV (after the scheme opens for subscriptions and redemptions)	**Subscriptions/Purchases including Switch - ins:** The following cut-off timings shall be observed by the Mutual Fund in respect of purchase of units of the Scheme and the following NAVs shall be applied for such purchase: 1. In respect of valid applications received upto 3.00 p.m. on a Business Day at the Designated Investor Service Centre and funds for the entire amount of subscription/purchase /switch-in as per the application are credited to the bank account of the respective Scheme / the Fund before the cut-off time i.e. available for utilization before the cut-off time – the closing NAV of the day on which the funds are available for utilization shall be applicable 2. In respect of valid applications received after 3.00 p.m. on a Business Day at the Designated Investor Service Centre and funds for the entire amount of subscription/purchase /switch-in as per the application are credited to the bank account of the respective Scheme / the Fund after cut-off time i.e. available for utilization after the cut-off time – the closing NAV of the day next business day on which the funds are available for utilization shall be applicable 3. Irrespective of the time of the receipt of valid application at the Designated Investor Service Centre where funds for the entire amount of subscription/purchase /switch-in as per the application are credited to the bank account of the respective Scheme / the Fund before cut-off time of next business day i.e. available for utilization before the cut-off time on any subsequent Business day - the closing NAV of the day of such Business day on which the funds are available for utilization shall be applicable. For allotment of units in respect of purchase in the Scheme under Pt. (3) above, it shall be ensured that: i. Application is received before the applicable cut-off time ii. Funds for the entire amount of subscription/purchase as per the application are credited to the bank account of the Scheme before the cut-off time. iii. The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the Scheme. For allotment of units in respect of switch-in to the scheme under Pt. (3) above from other schemes, it shall be ensured that: i. Application for switch-in is received before the applicable cut-off time. ii. Funds for the entire amount of subscription/purchase as per the switch-in request are credited to the bank account of the Scheme before the cut-off time. iii. The funds are available for utilization before the cut-off time without availing any credit facility whether intra-day or otherwise, by the Scheme. **Redemptions including Switch - outs:** The following cut-off timings shall be observed by the Mutual Fund in respect of Repurchase of Units: 1. where the application received upto 3.00 pm – closing NAV of the day of receipt of application; and 2. an application received after 3.00 pm – closing NAV of the next Business Day. **Note**: In case the application is received on a Non-Business Day, it will be considered as if received on the Next Business Day.

<table>
<tr><td></td><td>The above mentioned cut off timing shall also be applicable to transactions through the online trading platform.
In case of Transaction through Stock Exchange Infrastructure, the Date of Acceptance will be reckoned as per the date & time the transaction is entered in stock exchange's infrastructure for which a system generated confirmation slip will be issued to the investor.</td></tr>
<tr><td>Minimum application and redemption amount/number of units</td><td><table><tr><th>Purchase</th><th>Additional Purchase</th><th>Repurchase</th></tr><tr><td>₹5,000/- and in multiples of ₹1 thereafter</td><td>₹ 500 and multiples of ₹ 1 thereafter</td><td>There will be no minimum redemption criteria</td></tr></table>For details of investment/transaction through SIP/STP/SWP facility please refer to the SID.</td></tr>
<tr><td>Despatch of Redemption Request</td><td>As per SEBI Regulations, the Mutual Fund shall initiate Redemption proceeds within 3 Working Days of receiving a valid Redemption request. In case of exceptional situations listed in AMFI Circular No. AMFI/35P/MEM-COR/74/2022-23 dated January 16, 2023, read with clause 14.2 of SEBI Master Circular dated June 27, 2024, redemption payment would be made within the permitted additional timelines. A penal interest of 15% per annum or such other rate as may be prescribed by SEBI from time to time, will be paid in case the Redemption proceeds are not initiated within above timelines from the date of receipt of a valid Redemption request.</td></tr>
<tr><td>Benchmark index</td><td>Nifty Large Midcap 250 Total Returns Index</td></tr>
<tr><td>IDCW policy</td><td>N.A.</td></tr>
<tr><td>Name of fund manager</td><td>Mr. Umeshkumar Mehta
Mrs. Nirali Bhansali
Mr. Dhawal Ghanshyam Dhanani</td></tr>
<tr><td>Name of the Trustee</td><td>Samco Trustee Private Limited</td></tr>
<tr><td>Performance of the scheme</td><td>This is a new scheme and hence does not have any performance tack record</td></tr>
<tr><td>Additional scheme related disclosures</td><td>This is a new scheme and hence does not have any additional details.</td></tr>
<tr><td>Portfolio Disclosure</td><td>i. Top 10 Holding of the Scheme - Not Applicable
ii. Sector-wise portfolio Holdings of the Scheme - Not Applicable
iii. Portfolio Turnover ratio of the Scheme - Not Applicable
iv. Monthly portfolio - Not Applicable</td></tr>
<tr><td>Expenses of the scheme</td><td><table><tr><th>Type of Load</th><th>Load chargeable (as %age of NAV)</th></tr><tr><td>Entry Load</td><td>Not Applicable</td></tr><tr><td>Exit Load</td><td>• 10% of units can be redeemed at any time without an exit load.
• Any redemption in excess of 10% of units will incur 1% exit load in the first 12 months.
• No exit load, if redeemed after 12 months from the date of allotment of unit.</td></tr></table>The load structure will be equally applicable to all special products offered under the schemes such as SIP, STP, etc. However, no load will be applicable for switches between the plans under the scheme and switches between the options under each plan under the scheme. Further, the AMC shall not charge any load on units allotted on Reinvestment of</td></tr>
</table>

Income Distribution cum Capital Withdrawal, if any, for existing as well as prospective investors.

The entire exit load (net of GST), charged, if any, shall be credited to the scheme. The investor is requested to check the prevailing Load structure of the Scheme before investing.

For any change in Load structure AMC will issue an addendum and display it on the website/Investor Service Centres.

The Trustee/AMC reserves the right to change / modify the Load structure from a prospective date.

Recurring expenses

These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:

The AMC has estimated that upto 2.25% of the daily net assets of the scheme will be charged to the scheme as expenses. For the actual Annual Scheme Recurring expenses currently being charged, the investor should refer to the website of the Mutual Fund at https://www.samcomf.com/total-expense-ratio

S.no	Expenses Head	(% of Daily Net Assets (Estimated p.a.)
i.	Investment Management & Advisory Fee	Upto 2.25%
ii.	Audit fees/fees and expenses of trustees	
iii.	Custodial Fees	
iv.	Registrar & Transfer Agent Fees including cost of providing account statements / redemption cheques/ warrants	
v.	Marketing & Selling Expenses including Agents Commission and statutory advertisement	
vi.	Costs related to investor communications	
vii.	Costs of fund transfer from location to location	
viii.	Cost towards investor education & awareness	
	Goods & Services Tax on expenses other than investment and advisory fees	
ix.	Other Expenses (to be specified as per Reg 52 of SEBI MF Regulations)	
xi.	Brokerage and transaction cost, including Goods & Service Tax under Regulation 52 (6A)(a)	Upto 0.12% / 0.05%
xii.	Goods and Service Tax on investment and advisory fees	At actual
A.	**Maximum total expense ratio (TER) permissible under Regulation 52 (6) (c)**	**Upto 2.25%**
B	Additional expenses under regulation 52(6A) (c)	Upto 0.05%
C	Additional expenses for gross new inflows from specified cities	Upto 0.30%

The recurring expenses of the Scheme (including the Investment Management and Advisory Fees) shall be as per the limits prescribed under the SEBI (MF) Regulations. These are as follows:

(I) Recurring expenses including the investment management and advisory fee:

Assets under management Slab (In ₹ crore)	Total expense ratio limits
On the first ₹ 500 crores of the daily net assets	2.25%
On the next ₹ 250 crores of the daily net assets	2.00%
On the next ₹ 1250 crores of the daily net assets	1.75%
On the next ₹ 3000 crores of the daily net assets	1.60%
On the next ₹ 5000 crores of the daily net assets	1.50%
On the next ₹ 40,000 crores of the daily net assets	Total expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net assets or part thereof.
On the balance of the assets	1.05%

(II) In addition to the above, the following costs or expenses may be charged to the Scheme, as per sub regulation 52(6A) namely-

(a) brokerage and transaction costs which are incurred for the purpose of execution of trade up to 0.12 per cent of trade value in case of cash market transactions and 0.05 per cent of trade value in case of derivatives transactions.

(b) expenses not exceeding 0.30% of daily net assets, if the new inflows from retail investors from such cities as specified by SEBI from time to time are at least - (i) 30% of gross new inflows in the scheme, or; (ii) 15% of the average assets under management (year to date) of the scheme, whichever is higher.

Provided that if inflows from retail investors from such cities are less than the higher of (i) or (ii) above, such expenses on daily net assets of the scheme shall be charged on proportionate basis.

Provided further that expenses charged under this clause shall be utilised for sales, marketing and distribution expenses incurred for bringing inflows from such cities.

Provided further that amount incurred as expense on account of inflows from retail investors from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

These expenses are in abeyance with effect from March 1, 2023, till further instructions from SEBI.

(c) additional expenses not exceeding 0.05% of daily net assets of the scheme towards various permissible expenses.

Provided that such additional expenses shall not be charged to the schemes where the exit load is not levied or applicable.

Any expenditure in excess of the limits specified in sub-regulations 52 (6) and 52 (6A)] shall be borne by the asset management company or by the trustee or sponsors.

(III) The AMC may charge Goods and service tax on investment and advisory fees to the Scheme in addition to the maximum limit of annual recurring expenses as prescribed in Regulation 52. Further, the below mentioned expenses and charges shall be borne by the Scheme within the maximum limit of annual recurring expenses as prescribed in Regulation 52.

a) Goods and Service tax on expenses other than investment and advisory fees; and,

b) brokerage and transaction costs (including Goods and service tax) incurred for the purpose of execution of trade in excess of 0.12% in case of cash market transactions; and 0.05% in case of derivatives transactions, if any.

Note:

a. These estimates have been made in good faith as per the information available and estimates made by the Investment Manager/ AMC and are subject to change inter-se or in total subject to prevailing Regulations.

b. The expenses towards Investment Management and Advisory Fees under Regulation 52(2) and the various sub-heads of recurring expenses mentioned under Regulation 52(4) of SEBI (MF) Regulations are apportionable without any internal cap in nature. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52 (2) and (4) respectively. Further, the additional expenses under Regulation 52(6A) (c) may be incurred either towards investment & advisory fees and/or towards other expense heads as stated above.

c. All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in Regular Plan. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid/ charged under Direct Plan.

Please refer the illustration given below in this regard:

Particulars	**Regular Plan**	**Direct Plan**
Amount Invested at the beginning of the year (in Rs.)	10,000	10,000
Returns before Expenses (in Rs.)	1,500	1,500
Expenses other than Distribution Expenses (in Rs.)	150	150
Distribution Expenses (in Rs.)	50	-
Returns after Expenses at the end of the Year (in Rs.)	1,300	1,350

Any circular/clarification issued by SEBI in regard to expenses chargeable to the Scheme/Plan(s) will automatically become applicable and will be incorporated in the SID/SAI/KIM accordingly.

The mutual fund would update the current expense ratios on its website (www.samcomf.com) at least three working days prior to the effective date of the change. Investors can refer 'Total Expense Ratio of Mutual Fund Schemes' section on https://www.samcomf.com/total-expense-ratio for Total Expense Ratio (TER) details.

Illustration of impact of expense ratio on scheme's returns

For any scheme, NAV is computed on a daily basis factoring in all the assets as well as liabilities of the Scheme (including expenses charged). Expenses charged to the Scheme bring down its NAV and hence the investor's net returns on a corresponding basis.

<table>
<tr><td></td><td>
<table>
<tr><th colspan="2">Illustration of expenses and impact on the return</th></tr>
<tr><td>Opening NAV Per Unit for the Day (a)</td><td>10.0000</td></tr>
<tr><td>Closing NAV Per Unit for the Day (b)</td><td>11.0000</td></tr>
<tr><td>NAV Movement Per Unit (c = a - b)</td><td>1.0000</td></tr>
<tr><td>Flat Return for the Day after expenses (d = (c / a) %)</td><td>10.00%</td></tr>
<tr><td>TER % (e)</td><td>2.00%</td></tr>
<tr><td>Expenses for the Day (f = (b * e)/365)</td><td>0.0006</td></tr>
<tr><td>Expenses for the Day % (g = (f / b) %)</td><td>0.0055%</td></tr>
<tr><td>Flat Return prior to expenses for the Day (h = d + g)</td><td>10.0055%</td></tr>
</table>
<p>Please Note:</p>
<p>The above illustration is purely given to explain the impact of the expense ratio on a scheme's return and should not be construed as an indicative return of the scheme.</p>
<p>Any tax impact has not been considered in the above example, in view of the individual nature of the tax implications. Each investor is advised to consult his or her own financial advisor.</p>
</td></tr>
<tr><td>Tax treatment for unitholders</td><td>Investors are advised to refer to the details in the Statement of Additional Information and also to consult their own tax advisors with respect to the specific amount of tax and other implications arising out of their participation in the Scheme.</td></tr>
<tr><td>Daily Net Asset Value (NAV) publication</td><td>
<p>The AMC will calculate and disclose the first NAV of the Scheme within 5 business days from the date of allotment. Subsequently, the AMC will calculate and disclose the NAVs on all business Days. The AMC shall update the NAVs on its website (www.samcomf.com) and of the Association of Mutual Funds in India (AMFI) (www.amfiindia.com) before 11.00 p.m. on every Business Day (subject to following exception).</p>
<p>In terms of clause 8.2 of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, the AMC shall ensure that NAV of scheme is disclosed based on the value of underlying securities/ Funds as on the T day (i.e. date of investment in MF units in India). Accordingly, if the scheme has investment in Overseas securities, then the NAV shall be uploaded at AMFI before 10.00 a.m. on the immediately succeeding Business Day to capture same day price of underlying securities.</p>
</td></tr>
<tr><td>For investor grievances Please contact</td><td>
<p>Registrar and Transfer Agent: K-Fin Technologies Limited, Unit – Samco Mutual Fund, Selenium, Tower B, Plot number 31 & 32, Financial District, Gachibowli, Nanakramguda, Serilingampally Mandal, Hyderabad - 500032. TEL: 040 33211000.</p>
<p>Name, address, telephone number, fax number, e-mail ID of the Mutual Fund: Mr. Sadath Ali Khan, Samco Asset Management Private Limited 1003 – A, Naman Midtown, Senapati Bapat Marg, Prabhadevi, Mumbai – 400 013</p>
<p>Phone no.: 63572 22000, Fax No: 022 4170 8989. Toll Free: 1800 1034757
E-mail: mfassist@samcomf.com</p>
<p>If not satisfied with the response of the intermediary you can lodge your grievances with SEBI at http://scores.gov.in or you may also write to any of the offices of SEBI. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 757.</p>
</td></tr>
</table>

	For any grievances with respect to transactions through BSE StAR and / or NSE MFSS, the investors / Unit Holders should approach either the stock broker or the investor grievance cell of the respective stock exchange.
Unitholder's information	**Account Statement** On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of e-mail and/or SMS within 5 business days from the date of receipt of allotment will be sent to the unit holders registered e-mail address and/or mobile number. **Consolidated Account Statement** A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 12th of the succeeding month who opted for delivery via electronic mode and to investors that have opted for delivery via physical mode, within fifteen (15) days from the month end. In case there is no transaction in any of the mutual fund and demat accounts then CAS with holding details shall be sent to the investors by email on half yearly basis. The investors that have opted for delivery via electronic mode, the CAS shall be send on or before the eighteenth (18th) day of April and October and to investors that have opted for delivery via physical mode, on or before the twenty-first (21st) day of April and October. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered with the Depositories and the AMCs/RTAs. Further, CAS issued for the half-year (September/ March) shall also provide: a. The amount of actual commission paid by AMC/Mutual Fund to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each scheme. b. The scheme's average Total Expense Ratio (in percentage terms) along with the break up between Investment and Advisory fees, Commission paid to the distributor and Other expenses for the period for each scheme's applicable plan (regular or direct or both) where the concerned investor has actually invested in. **Annual Report:** Scheme/Plan-wise Annual Report or an abridged summary thereof shall be mailed (email id where e mail id is provided unless otherwise required) to all Unit Holders within four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year i.e. 31st March each year and full annual report shall be available for inspection at the Head Office of the Mutual Fund and a copy shall be made available to the Unit holders on request on payment of nominal fees, if any. Scheme wise annual report shall also be displayed on the website of the Mutual Fund (www.samcomf.com) and on the website of Association of Mutual Funds in India (www.amfiindia.com)

	Unitholders whose email addresses are not registered with the Mutual Fund may 'opt-in' to receive a physical copy of the annual report or an abridged summary thereof. Further, AMC shall provide a physical copy of the abridged summary of the Annual Report, without charging any cost, on a specific request received from a unitholder. AMC shall also publish an advertisement every year, in an all-India edition of one national English daily newspaper and in one Hindi newspaper, disclosing the hosting of the scheme wise annual report on the website of the Mutual Fund and AMFI and the modes through which a unitholder can submit a request for a physical or electronic copy of the annual report or abridged summary thereof. **Monthly and Half yearly Disclosures: Portfolio / Financial Results** The AMC will disclose the portfolio of the Scheme (along with ISIN) as on the last day of the month / half year on the website of the Mutual Fund and AMFI within 10 days from the close of each month/ half year (i.e. 31st March and 30th September) respectively in a user-friendly and downloadable spreadsheet format. Further, AMC shall publish an advertisement in an all-India edition of one national English daily newspaper and one Hindi newspaper, every half year, disclosing the hosting of the half-yearly statement of its schemes' portfolio on the website of the Mutual Fund and AMFI and the modes through which unitholder(s) can submit a request for a physical or electronic copy of the statement of scheme portfolio. The AMC will also provide a dashboard, in a comparable, downloadable (spreadsheet) and machine-readable format, providing performance and key disclosures like Scheme's AUM, investment objective, expense ratios, portfolio details, scheme's past performance etc. on website. The AMC shall send via email both the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month / half-year respectively. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database. Since the Scheme is a new Scheme, Top 10 Holdings and Sector wise holdings are not available. Additionally, in terms of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, the AMC shall also include the Scheme risk-o-meter, name of benchmark and risk-o-meter of benchmark in the portfolio disclosure. The AMC shall provide a physical copy of the statement of scheme portfolio, without charging any cost, on specific request received from a unit holder. **For further details, please refer the SID of the Scheme.**
Stamp Duty	Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, stamp duty @0.005% of the transaction value would be levied on applicable mutual fund transactions. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchases, switch-ins, Systematic Investment Plan (SIP) installments, Systematic Transfer Plan (STP) installments, Reinvestment of Income Distribution cum Capital Withdrawal etc. to the unit holders would be reduced to that extent. The stamp duty will be deducted from the net investment amount i.e. gross investment amount less any other deduction like transaction charge. Units will be created only for the balance amount i.e. Net Investment Amount as

	reduced by the stamp duty. The stamp duty will be computed at the rate of 0.005% on an inclusive method basis. For instance: If the transaction amount is Rs. 100,100 /- and the transaction charge is Rs. 100, the stamp duty will be calculated as follows: ((Transaction Amount – Transaction Charge)/100.005*0.005) = Rs. 5. If the applicable Net Asset Value (NAV) is Rs. 10 per unit, then units allotted will be calculated as follows: (Transaction Amount – Transaction Charge – Stamp Duty)/ Applicable NAV = 9,999.50 units.

SAMCO MUTUAL FUND

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 - A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W), Mumbai - 400 013

APPLICATION FORM

Please read instructions before filling the form
[illegible]

1. Distributor Information

Application No.

Distributor Code	Sub-Broker Code	Internal Sub-Broker Code	EUIN*	RIA CODE^

*[illegible]

[illegible]

^[illegible]

Signature (s)

TRANSACTION CHARGES for ₹10,000/- and above (✓ any one) : ○ I am a first time investor across Mutual Funds OR ○ I am an existing investor in Mutual Funds. In case the subscription amount is ₹10,000/- or more and your distributor has opted to receive transaction charges, ₹150/- (for first time mutual fund investor) or ₹100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

Mode of Holding

(In case of Demat Purchase Mode of Holding should be same as in Demat Account) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

1. Applicant Information (Mandatory) to be filled in block letters (Refer instruction No. 4)

Folio No. ____________ (For Existing unit holders) Gender ☐ Male ☐ Female ☐ Transgender

Name of Sole / 1st Applicant Mr. / Ms. / M/s

PAN ____________ CKYC No. ____________ Date of Birth D D M M Y Y Y Y

Mailing address

City ______ State ______ Pin code ______

Mobile No. ______ Email ID ______

The Email ID belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian
The Mobile No. belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

Please note: in the event that the mobile number or the email id provided herein above does not appear to be that of the unit holder/s, then the AMC shall send suitable communication in this regard to the unit holder.

LEI Code ______ Valid upto D D M M Y Y Y Y [illegible]

Second Applicant

Mr. / Ms. ______ Date of Birth D D M M Y Y Y Y

PAN ______ CKYC No. ______ Gender ☐ Male ☐ Female ☐ Transgender

Mobile No. ______ Email ID ______

The Email ID belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian
The Mobile No. belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

Third Applicant

Mr. / Ms. ______ Date of Birth D D M M Y Y Y Y

PAN ______ CKYC No. ______ Gender ☐ Male ☐ Female ☐ Transgender

Mobile No. ______ Email ID ______

The Email ID belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian
The Mobile No. belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

✂ -

SAMCO MUTUAL FUND

ACKNOWLEDGEMENT SLIP

(To be filled by the investor)

Received from: Mr. / Ms. / M/s Samco Large and Mid Cap Fund Application No. ______

an application for units of Samco ______ Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW

Sub Option: ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW (Specify in Target Scheme)

Target Scheme of Samco ______ Plan: ☐ Regular ☐ Direct Option: ☐ Growth

vide Cheque No ______ Dated D D M M Y Y Y Y Amount (₹) ______

Drawn on Bank ______ Branch ______

[illegible]

Please note: All purchases are subject to realisation of cheques [illegible]

Guardian Details (In case First / Sole Applicant is minor) / Contact Person- Designation / POA Holder (In case of Non Individual Investors)

Mr. / Ms. ____________________ Date of Birth D D M M Y Y Y Y

PAN ____________ CKYC No. ______________ Gender ☐ Male ☐ Female ☐ Transgender

Mobile No. ____________ Email ID ____________________

Relationship with Minor/Designation ____________________

The email ID belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

The Mobile No. belongs to: (Mandatory Please ✓) ☐ Self ☐ Spouse ☐ Dependents ☐ POA ☐ Custodian ☐ Guardian

Date of Birth Proof for minors (Any One)

☐ Birth Certificate ☐ Marksheet (HSC/SSC/CBSE) ☐ School Leaving Certificate ☐ Passport ☐ Others ____________

Demat Account Details ☐ NSDL ☐ CDSL

☐ Physical Mode ☐ Demat Mode (Mandatory to provide the demat details in case mode of holding tick as demat mode)

NSDL I N ____________ Beneficiary A/C No. ____________

CDSL ________________

Please Note: Demat Account Details of First / Sole Applicant Name should be as per demat account. Note: Please attach copy of Client Master List.

Tax Status (Applicable for First / Sole Applicant)

☐ Resident Individual ☐ Foreign National ☐ Public Limited Company ☐ Government Body ☐ AOP / BOI ☐ Defence Establishment ☐ On Behalf of Minor

☐ Sole Proprietorship ☐ Private Limited Company ☐ Financial Institution ☐ Trust / Society / NGO ☐ Other ____________

☐ HUF ☐ Partnership Firm ☐ Body Corporate ☐ FII ☐ Non-Profit Organisation / Charities ☐ NRI ☐ LLP ☐ Bank ☐ Foreign Portfolio Investor ☐ QFI

Overseas Address

Address for Communication (for NRI applicants) ☐ Indian ☐ Overseas

Address (Mandatory for NRI/FPI applicant#) ____________________

Country ____________ Zip Code ________

Email Communication (Please tick ✓)

Default communication mode is through 'email'. If email address is not provided then please 'Opt-in' to receive below documents in physical copy by ticking the option below:

☐ Annual Report ☐ Abridged Annual Report ☐ Other Statutory Information

2. KYC Details (Mandatory - Refer Instruction No. [illegible] for details)

Occupation (Please tick ✓)

First Applicant/ Guardian:
☐ Business ☐ Service ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Student ☐ Defence
☐ Bureaucrat ☐ Forex Dealer ☐ Unlisted Company ☐ Body Corporate ☐ Listed Company ☐ Others ____________

Second Applicant:
☐ Business ☐ Service ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Student ☐ Defence
☐ Bureaucrat ☐ Forex Dealer ☐ Unlisted Company ☐ Body Corporate ☐ Listed Company ☐ Others ____________

Third Applicant:
☐ Business ☐ Service ☐ Professional ☐ Agriculturist ☐ Housewife ☐ Student ☐ Defence
☐ Bureaucrat ☐ Forex Dealer ☐ Unlisted Company ☐ Body Corporate ☐ Listed Company ☐ Others ____________

Gross Annual Income (Please tick ✓)

First Applicant / Non-individuals: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lac ☐ >25 Lacs - 1 Crore ☐ > 1 Crore
OR Net worth (Mandatory for Non - Individuals) ₹ ________ as on D D M M Y Y Y Y (Not older than 1 year)

Second Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lac ☐ >25 Lacs - 1 Crore ☐ > 1 Crore
as on D D M M Y Y Y Y (Not older than 1 year)

Third Applicant: ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lac ☐ >25 Lacs - 1 Crore ☐ > 1 Crore
as on D D M M Y Y Y Y (Not older than 1 year)

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3. DOCUMENTS: Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised signatory / Notary Public.

Documents	Individual	HUF	Companies/LLP	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FIIs $	PIO	FPI #
Copy of PAN Card	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution / Authorisation to invest			✓	✓	✓		✓		✓		✓
List of authorised signatories with specimen signature(s)			✓	✓	✓	✓	✓		✓		✓
Trust Deed							✓				
Ultimate Beneficial Ownership (UBO)		✓	✓	✓	✓		✓		✓		✓
Bye-laws				✓							
Partnership Deed					✓						
Certificate of Registration									✓		✓
Notarised POA						✓					
PIO Card										✓	
Foreign Inward Remittance Certificate										✓	

$ For FIIs copy of SEBI registration certificate should be provided. # Certificate of registration granted by designated depository participant on behalf of SEBI

3. Non-Profit Organization (NPO)

We are falling under "Non Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013). ☐ Yes ☐ No

If yes, please quote Registration No. of Darpan portal of NITI Aayog ____________________

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We are also aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

4. Power of Attorney (POA) If investment is being made by a Constituted Attorney, please submit notarized copy of POA.

POA NAME: Mr. / Ms. / M/s. ____________________ PAN ☐☐☐☐☐☐☐☐☐☐

5. Nomination Details (Please tick ✓)

☐ I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*. I/We also understand that all payment and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.

OR

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

Nominee details	Nominee 1	Nominee 2	Nominee 3
Name*			
Address of Nominee(s)			
Date of Birth**** (in case of Minor)			
Relationship*			
Share of Nominee (%)** (% to aggregate to 100%)			
Name of the Guardian**** (to be furnished in case the nominee is minor)			
Mobile / Telephone No. of nominee(s)/ Guardian* in case of Minor			
Email ID of nominee(s)/ Guardian* in case of Minor			
Nominee/ Guardian (in case of Minor) Identification Number (Please tick any one and provide only number)***	☐ PAN ________ ☐ Aadhaar (last 4) ________ ☐ Driving Licence ________	☐ PAN ________ ☐ Aadhaar (last 4) ________ ☐ Driving Licence ________	☐ PAN ________ ☐ Aadhaar (last 4) ________ ☐ Driving Licence ________

The information marked with (*) are mandatory fields and cannot be left blank.

a. I/We want the details to my / our nominee to be printed in the statement of holding, provided to me / us by the AMC / DP as follows: (please tick, as appropriate)

☐ Name of nominee(s) ☐ Nomination: Yes / No

b. I hereby authorize ____________________ (nominee number _____) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to ____ % of assets in the account / folio or Rs. __________

(strike off portion that are not relevant. If both % of assets and amount selected, then the amount shall be considered)

c. Should be signed by all unit holders including joint holders, irrespective of mode of holding. Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

	Signature / Thumb Impression of Holder(s)	Witness Name	Witness Address	Witness Signature*
Sole / First Holder (Mr./Ms.)				
Second Holder (Mr./Ms.)				
Third Holder (Mr./Ms.)				

*Please fill separate nomination form in case wish to add more than Three Nominee.

6. Lumpsum/New SIP-Investment Details* (Choice of Scheme/Plan/Option For SIP Investment Auto Debit Form is mandatory) (Refer Instruction No. VI)

Scheme: ______ Plan: ☐ Regular ☐ Direct

Option: ☐ Growth ☐ IDCW Sub Option: ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW (Specify in Target Scheme)

Target Scheme of Samco: ______ Plan: ☐ Regular ☐ Direct Option: ☐ Growth

7. Bank Account Details

Account No: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Account Type (Please ✓): ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

Bank Name: ______ Bank Address: ______

City: ______ Pin: ☐☐☐☐☐☐ IFSC Code: ☐☐☐☐☐☐☐☐☐☐☐ MICR Code: ☐☐☐☐☐☐☐☐☐

8. Payment Details

Mode of Payment (Please ✓): ☐ RTGS/NEFT/Fund Transfer ☐ Demand Draft ☐ Cheque ☐ One time Mandate

Cheque No/ Payment Ref No: ______ Date: D D M M Y Y Y Y

Gross Amount ₹ ______ Net Amount ₹ ______ DD Charges ₹ ______

Bank Details: ☐ Same as above (Please tick (✓) if yes) ☐ Different from above (Please tick (✓) if it is different from above and fill in the details below)

Bank Branch & City: ______

Account No: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Account Type (Please ✓): ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR

For Individuals (Please tick ✓)

	First Applicant	Second Applicant	Third Applicant
I am Politically Exposed Person			
I am Related to Politically Exposed			
Not Applicable			

For Non-Individual Investors (Please tick ✓)

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: ☐ Yes ☐ No (If No, please attach mandatory UBO Declaration)

Foreign Exchange / Money Changer Services ☐ Yes ☐ No Gaming / Gambling / Lottery / Casino Services ☐ Yes ☐ No Money Lending / Pawning ☐ Yes ☐ No

9. FATCA/CRS Details - Non individual investors should mandatorily to fill separate FATCA/CRS details form (Refer Instruction No. XV)

The below information is required for all applicants/guardian

	Place / City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			☐ Indian ☐ U.S. ☐ Others ______
Second Applicant			☐ Indian ☐ U.S. ☐ Others ______
Third Applicant			☐ Indian ☐ U.S. ☐ Others ______

Is your Tax Residence/Country of Birth/Citizenship/Nationality other than India? ☐ Yes ☐ No (Please ✓)

If yes, please indicate all countries in which you are resident for tax purpose and the associated tax ID number below. In case of POA, the POA holder should mandatorily fill Annexure I for complete details.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	Identification Type (TIN or other please specify)
First Applicant / Guardian				Reason ☐ A ☐ B ☐ C
Second Applicant				Reason ☐ A ☐ B ☐ C
Third Applicant				Reason ☐ A ☐ B ☐ C

Reason A - The country where the Account Holder is liable to pay tax does not issue tax identification number to its residents

Reason B - No TIN required (select this reason only if the authorities of the country of tax residence do not require the TIN to be collected)

Reason C - Others please state the reason thereof

10.Declaration and Signature(s)

Having read and understood the contents of the Scheme Information Document (SID) of the scheme applied for and Statement of Additional Information and subsequent amendments thereto including the section on [illegible] "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Samco Mutual Fund for units of such Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. [illegible]

I/We understand that [illegible]

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. [illegible]

Applicable to NRI only: [illegible]

Date: D D M M Y Y Y Y

Place: ______

Signature (s)

Instructions to Investors for filling up the application form

I. GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum, Scheme Information Document (SID) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing. In the SID your attention is particularly drawn to the risk factors of investing in the Scheme and also the sections "Who Can not Invest".
2. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
3. Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
4. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.

II. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the Certificate of incorporation document as the case may be.
2. Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
3. Name of the Contact Person, email and Telephone No. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non individual applicants.
4. The signature should be in English or in any of the Indian Languages. Thumb impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H.U.F., the Karta should sign on behalf of the H.U.F.
5. The designated Investor Service Center/ Collection Centre will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
6. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the application Form to ensure that the necessary details and attachments are made available. The application complete in all respects, along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Centre/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the
7. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
8. Direct Application - Investors are requested to mention the correct distributor Code in the Application Form. In case, the investor is directly applying, then they should clearly mention "DIRECT" in the column "mentioned Distributor Code" in all such cases where applications are not routed through any distributor agent/broker. In cases where unit holder uses a pre-printed Broker Code, unit holder should cancel the ARN No/ Broker Code, write "DIRECT" in the said column and it should also be countersigned by the First unit holder.
9. In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs / FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
10. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
11. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly.
12. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of redemption will be paid to the first named holder.

III. EMAIL COMMUNICATION

Account Statement will be sent to Unit holders by Post/ Courier for NFO/ first time investment in any of the Scheme. Subsequent Account Statements/Newsletters/ Annual Reports/ Other statutory information (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each Unit holder by e-mail. Investors are requested to provide their e-mail address for the same and this will also help us resolve queries more promptly. Unitholders who have provided email id will be sent all communications, reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Services. Samco Mutual Fund/Registrars are not responsible for e-mail not reaching the investor and for all consequences thereof. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the AMC will arrange for the same through physical mode on receipt of request for the same. It is deemed that the Unitholder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

IV. BANK ACCOUNT DETAILS

It is mandatory to attach cancelled cheque / self-certified copy of bank cheque / self-certified Bank Statement / first page of the Bank pass book bearing account number and first unit holder name on the face of the cheque / Bank Pass Book / Bank Statement is required as an documentary additional document in case of: a. Registration of the investor's Bank Mandate at the time of investment b. Subsequent change in the investor's Bank Mandate.

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and bank Account Number in their investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank account please fill separate form for Registering / adding Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and non individuals upto 10 bank accounts. For further information please refer SAI.

V. PAN DETAILS

It is mandatory for all investors to quote their Permanent Account Number (PAN) (except MICRO SIP/ Exempt entity) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. Investment through Systematic Investment Plans (SIPs) up to ₹50,000/- (aggregate of installments in a rolling 12 months period) per investor shall be exempt from the requirement of PAN. In case of PAN Exempt cases, PAN Exempt KYC Reference Number (PEKRN) is Mandatory.

VI. INVESTMENT DETAILS

Investors should indicate the Option for which the application is made. In case investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where a scheme/ sub option for investment is not specified the default option/ Sub option as prescribed in SID will be applicable.

If the scheme name on the application form and on the payment instrument are different, the application will be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).

VII. MODE OF PAYMENT

1. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', Samco Mutual Fund shall not accept applications for subscriptions with third party payment instruments. For further information please refer SAI.
2. Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/RTA ISCs or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account.
3. The cheque should be drawn on any bank which is situated at and is a member/ sub member of the bankers' clearing house. Cheque drawn on the bank not participating in the Clearing House will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
5. The cheque should be drawn in favor of 'Scheme name account followed by investor PAN' (For example Samco Large and Mid Cap Fund A/c investor PAN XXXXXX) and should be crossed "Account Payee Only".
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. Single cheque for investment in multiple Schemes and multiple cheques for investment in Single Scheme will not be accepted.
8. In case of investment through electronic mode (RTGS/ Transfer letter), investor requested to contact the nearest AMC/ RTA ISC for the Bank Account Number to which the purchase/subscription amount should be credited.
9. NRI / FIIs

   Repatriation basis: - Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.

   Non Repatriation basis: NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.
10. In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

VIII. PAYMENT OF REDEMPTION

Investors are requested to provide the following details, along with the mandatory requirement of bank account details (Bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of redemption amount to the unit holders bank account. AMC will automatically extend the facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility. a. The 11 digit IFSC (Indian Financial System) Code b. The 9 digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf (Please attach copy of the cancelled cheque for verification). Based on the above information AMC will endeavour transfer of your redemption payouts via the various electronic mode of transfers (RTGS / NEFT / Direct Credit) mode that are available in the banking system.

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing in locations where this facility is not available.

While settlement is delayed or not effected for reasons of incomplete or incorrect information, AMC cannot be held responsible. For validation of IFSC/MICR code, investor to attach the cancelled cheque/copy of cheque (PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

IX. NOMINATION DETAILS

Nomination is mandatory for all the folios/accounts, is opened by an individual. New subscriptions received from individuals without nomination will be rejected.

1. The Nomination can be made only by individuals applying for / holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination is not allowed in a folio/account held on behalf of a minor. All holders will have to sign request for nomination/ cancellation of nomination, even if the mode of holding is not joint. Nomination cannot be signed by Power of attorney (PoA) holders.
2. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
4. Nomination in respect of the units stands rescinded upon the redemption/ transfer/ transmission of units.
5. Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
7. Nomination shall be maintained at the folio / account level and shall be applicable for all schemes in the folio / account.
8. In case of Transmission Please refer the below table:

*Joint accounts

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion. The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously having nominee	Nominee
Demise of all joint holders simultaneously not having nominee	Legal heir(s) of the youngest holder

**If % is not specified, then the assets shall be distributed equally amongst all the nominees (see table in "Transmission is equal to").

***Provide any number: PAN or Driving License or Aadhaar (last 4). Copy of the document is not required.

****to be furnished only in following conditions / circumstances:

- Date of Birth (DOB): please provide, if the nominee is minor.
- Guardian: it is optional for you to provide, if the nominee is minor.

X. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC)

According to SEBI Guidelines under "The Prevention of Money Laundering Act, 2002", Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 on December 02, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralisation of the KYC records. Accordingly the following procedures shall apply:

- SEBI has introduced a common KYC application form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New investors are therefore requested to use the common KYC Application Form and carry out the KYC process including IPV with any SEBI registered intermediaries including Mutual Funds. The KYC Application Forms are also available on our website www.sundarammutual.com.
- The Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforementioned circulars and guidelines issued by SEBI in this regard from time to time. The Fund shall upload the details of the investors on the system of the KYC Registration Agency ("KRA"). The Registrar & Transfer Agent of the Fund viz. KFin Technologies Limited ("KFin") may also undertake the KYC of the investors on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a letter to the investor within SEBI stipulated timelines, confirming the details thereof.
- Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary but can submit the letter / acknowledgement issued by the KRA.
- It is mandatory for intermediaries including mutual funds to carry out IPV of its new investors. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. Sundaram Asset Management Private Limited and NISM/AMFI certified distributors who are Know Your Distributor (KYD) compliant are authorised to undertake the IPV for mutual fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
- Existing KYC compliant investors of the Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.
- Applications/enrolment documents not accompanied by KYC Application Form or letter / acknowledgement issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA. AMC reserves the right to call for any additional information from the investors / applicants / reject applications / subsequent applications in order to fulfil the requirements of PMLA norms prescribed by SEBI PMLA Regulation from time to time.

XI. PURCHASE/REDEMPTION OF UNITS THROUGH STOCK EXCHANGE INFRASTRUCTURE

The investors can subscribe to the Units of the Scheme through Mutual Fund Service System ("MFSS") platform of National Stock Exchange, "BSE StAR MF" platform of Bombay Stock Exchange.

Please refer Scheme Information Document(s) of the Scheme(s) for further details.

XII. TRANSACTION CHARGE IN RESPECT OF APPLICATIONS ROUTED THROUGH DISTRIBUTORS/ BROKERS

In terms of SEBI circular no. CIR/IMD/DF/13/2011 dated August 22, 2011, as amended from time to time, Transaction Charge on subscriptions of ₹ 10,000/- and above shall be charged to the investors w.e.f. November 1, 2011 and paid to the distributors/ brokers (who have opted in for transaction charges) in respect of applications routed to new subscriptions (only Lumpsum and SIP), subject to the following:

- For existing mutual fund investors: **₹ 100/- per subscription of ₹ 10,000/- and above;**
- For the first time mutual fund investors: ₹ 150/- per subscription of ₹ 10,000/- and above.
- In case of SIP's, transaction charge shall be applicable only if the total commitment through SIP amounts to ₹ 10,000/- and above. In such cases the transaction charge would be recovered in 4 installments, starting from the 2nd to 5th installment.
- There shall be no transaction charge on subscription of below ₹ 10,000/-.
- There shall be no transaction charge on transactions other than purchases/ subscriptions relating to new inflows.
- There shall be no transaction charge on Direct Investments.
- There shall be no transaction charge for subscriptions carried out through the Stock Exchange Platform.

In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, distributors shall also have an option either to opt in or opt out of levying transaction charge based on type of the product.

The Transaction Charge, as mentioned above shall be deducted by the AMC from the subscription amount of the unitholder and paid to the distributor and the balance shall be invested.

XIII. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN)

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/ sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN column.

XIV. BENEFICIAL OWNERSHIP DETAILS

Under the Prevention of Money Laundering Act, 2002 ("PMLA"), all intermediaries including mutual funds are required to obtain sufficient information from their clients in order to identify and verify the persons who beneficially own or control the account. SEBI circular dated January 24, 2013 on Identification of Beneficial Ownership has prescribed a uniform approach to be followed for determination of beneficial owners. A "Beneficial owner" is defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement.

All categories of investors, except individuals, company listed on a stock exchange or majority owned subsidiary of such company, are requested to provide details about beneficial ownership in the Application Forms for all their investments. The Fund reserves the right to reject applications/restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

XV. FATCA DETAILS

The Foreign Account Tax Compliance Act is a United States (US) federal law, aimed at prevention of tax evasion by US Citizens and Residents ("US Persons") through use of offshore accounts. The FATCA provisions were included in the HIRE Act enacted by the US legislature. FATCA is designed to increase compliance by US taxpayers and is intended to bolster efforts to prevent tax evasion by the US taxpayers with offshore investments.

As per SEBI circular no. CIR/MIRSD/2/2014 dated June 30, 2014, the Government of India and the US have reached an agreement in substance on the terms of an Inter-Governmental Agreement ("IGA") to implement the FATCA provisions, which have become globally applicable from July 1, 2014. FATCA requires enhancement of due diligence processes by the Foreign Financial Institutions ("FFI") so as to enable identification of US reportable accounts.

The 'Fund'/ Asset Management Company ("the AMC") are likely to be classified as a FFI under the FATCA provisions, in which case the Fund / the AMC would be required, from time to time, to (i) undertake necessary due diligence process by collecting information / documentary evidence of the US/non US status of the investors; (ii) disclose/ report information as far as may be legally permitted about the holdings/ investment returns pertaining to reportable accounts to the US Internal Revenue Service and/or such Indian authorities as may be specified under FATCA or other applicable laws and (iii) carry out such other activities as prescribed under the FATCA provisions, as amended from time to time.

FATCA due diligence will have to be directed at each investor/unit holder (including joint investors) and on being identified as a reportable person/specified US person, all the folios will be reported. Further, in case of folio with joint investors, the entire account value of investment portfolio will be attributable under each such reportable person. Investors/ unit holders would therefore be required to furnish such information to the Fund/AMC, from time to time, in order to comply with the reporting requirements stated in the IGA and/or circulars/ guidelines issued by SEBI/AMFI in this regard.

The impact of FATCA is relevant not only at the point of on-boarding of the investors but also throughout the life cycle of the investor account / folio with the Fund. Hence investor(s) should immediately intimate the Fund/AMC, in case of any change in the FATCA related information provided by them at the time of initial subscription.

The Fund/AMC reserves the right to reject any application or compulsorily redeem the units held directly or beneficially in case the applicant/investor fails to furnish the relevant information and/or documentation or is found to be holding units in contravention of the FATCA provisions.

Investors are advised to consult their tax advisors to understand the FATCA requirements and its implications in relation to their investment.

XVI. CENTRAL KYC RECORDS REGISTRY (CKYCR)

The Government of India vide their Notification dated November 26, 2015 authorised the Central Registry of Securitisation Asset Reconstruction and Security Interest of India (CERSAI) to act as and to perform the functions of the Central KYC Records Registry under the said rules, including receiving, storing, safeguarding and retrieving the KYC records under the Prevention of Money Laundering Act, 2002. SEBI required all the regulated intermediaries to upload/ upload KYC details of the new customers in respect of KYC/KRA compliant on CERSAI's online platform. CKYCR is a centralised repository of KYC records of customers/ investors in the financial sector with uniform KYC norms and inter-usability of the KYC records across the sector with an objective to reduce the burden of producing KYC documents and getting those verified every time when the customer/investor creates a new relationship with a financial entity. Central KYC (CKYC) will store all the customer/investor information at one central server that is accessible to all the financial institutions. After opening a KYC account under the CKYC, customer/investor will get a 14 digit identification number ("KYC Number") and that the same may be quoted by the investor wanting to invest in mutual funds. Further, the Mutual Fund/AMC is required to check whether the KYC of the investor has been updated in CKYCR. In case the KYC has not been updated, the Mutual Fund/AMC shall collect a self certified copy of the investor's PAN card and update/upload the same in CKYCR. In case the investor does not do KRA KYC/ transfer updating of any KYC information, such investor shall be required to provide additional / missing information only by using the supplementary CKYC form or fill the new "CKYC Form".

XVII. DEMATERIALIZATION

The unit holders would have an option to hold the units in dematerialized form. The applicant intending to hold units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID, DP name and Beneficiary Account No. with the DP name at the time of subscribing to the units of the Scheme. Applicants must ensure that the sequence of the names as mentioned in the application form matches with that of the beneficiary account held with the Depository Participant. Names, address, PAN details, KYC Details etc. mentioned in the application form will be verified against the Depository data. If the details mentioned in the application (other than ASBA applications) are incomplete / incorrect or not matching with the depository records, the application shall be treated as application for non-demat mode and accordingly units will be allotted in non-demat mode. Note : In case of subscription of units through SIP in demat (electronic) mode, unit holder will be allotted units / transfer such units till units are credited to investor's Demat (Beneficiary) account. Unit holders who have opted to hold units in dematerialized form will receive payment of redemption proceeds into bank (i.e. beneficiary) account linked to their Demat account. Units held in demat form are freely transferable from one demat account to another demat account.

XVIII. LEGAL ENTITY IDENTIFIER

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to remark 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-individuals) for purchase and redemption transaction.

XIX. DECLARATION AND SIGNATURE

a. All the applicants must sign the application form. Applications on behalf of minors should be signed by their natural parent or legal guardian. Signatures should be in English or in any Indian language. Thumb impression should be from the left hand for males, and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of HUF, the Karta will sign on behalf of the HUF.

b. The original Power of Attorney or a duly notarised copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

SAMCO MUTUAL FUND

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 – A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W),
Mumbai - 400 013

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

Please read ASBA instructions before filling the Form

Distributor/ RIA Code	Sub Distributor Code	Internal Code for Sub-Broker/Employee	EUIN	Bank Serial No.	SCSB Serial No.	Syndicate Member Code	For Office use only (Time Stamp)

Upfront commission shall be paid directly by the unit holder to the AMFI registered Distributors based on the unit holders' assessment of various factors including the service rendered by the distributor.

EUIN Declaration: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA.

Sign Here

1. Unitholder Details [illegible]

First/Sole Applicant ☐ Mr. ☐ Ms. ☐ M/s ☐ Individual ☐ Non Individual
Name of First / Sole Applicant
Date of Birth*/Incorporation D D M M Y Y Y Y Nationality PAN / PEKRN#

Name of Guardian (In case of First/Sole Applicant is a Minor)/Name of Contact Person (In case of Non Individual unit holders only)

Name
PAN/ PEKRN# Nationality Designation
Contact No. +91

* Mandatory for First holder/Minor # Please attach proof. Refer Instruction [illegible]

Second Applicant

Name
PAN/ PEKRN# CKYC No.

Third Applicant

Name
PAN/ PEKRN# CKYC No.

2. Investment Details [illegible]

Scheme: Samco Large and Mid Cap Fund Plan ☐ Regular ☐ Direct Option: Growth
Total Amount to be blocked (in figures) Amount ₹ In Words

3. SCSB Account details [illegible]

Account No. Account Type (Please ✓) ☐ SB ☐ Current ☐ NRO ☐ NRE ☐ FCNR
Bank Name
Bank Address
City State Pin
MICR RTGS IFSC Code NEFT IFSC Code
9 digit code appearing on your Cheque leaf or your Passbook / For Rupees Two lakhs and above / 11 character code appearing on your Cheque leaf

4. Demat Account Details

Please ✓ ☐ NSDL ☐ CDSL
DP ID Beneficiary A/c No./Client ID
DP Name

- - - - - - - - - - - - - ✂ - - - - - - - - - - - - -

SAMCO MUTUAL FUND

ACKNOWLEDGEMENT SLIP

(To be filled by the investor)

Received from: Mr. / Ms. / M/s Application No.
Tel No. Mobile ASBA Form Dated D D M M Y Y Y Y
Scheme: Samco Large and Mid Cap Fund Dated D D M M Y Y Y Y Amount (₹)
Amount to be Blocked (₹) In words
SCSB (Bank) (Branch)
Bank A/c No. Submission Date D D M M Y Y Y Y

[illegible]

5. Gross Annual Income (Please tick ✓)

First Applicant ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lac ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore

OR Net worth (Mandatory for Non - Individuals) ₹ ______ as on D D M M Y Y Y Y (Not older than 1 year)

Second Applicant ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lac ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore

OR Net worth (Mandatory for Non - Individuals) ₹ ______ as on D D M M Y Y Y Y (Not older than 1 year)

Third Applicant ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lac ☐ > 25 Lacs - 1 Crore ☐ > 1 Crore

OR Net worth (Mandatory for Non - Individuals) ₹ ______ as on D D M M Y Y Y Y (Not older than 1 year)

For Individual (Please tick ✓)

	First Applicant	Second Applicant	Third Applicant
I am Politically Exposed Person			
I am Related to Politically Exposed			
Not Applicable			

For Non-Individual Investors (Companies, Trust, Partnership etc.)

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: ☐ Yes ☐ No (If No, please attach mandatory UBO Declaration)

- Foreign Exchange/Money Changer Services ☐ Yes ☐ No - Gaming/Gambling/Lottery/Casino Services ☐ Yes ☐ No
- Money Lending/Pawning ☐ Yes ☐ No

6. FATCA/CRS Details - Non Individual Investors should mandatory fill separate FATCA/CRS details form (Refer Instruction No. [illegible])

	Sole / First Applicant / Guardian			2nd Applicant			☐ 3rd Applicant ☐ POA		
Place & Country of Birth									
	Country #	Tax Payer Ref ID No.	Identification Type (TIN or other, please specify)	Country #	Tax Payer Ref ID No.	Identification Type (TIN or other, please specify)	Country #	Tax Payer Ref ID No.	Identification Type (TIN or other, please specify)

#Please indicate all countries other than India in which you are resident for tax purpose, associated Tax payer identification number & its identification type e.g. TIN etc.

7. Undertaking by ASBA Unit Holder / Account holder

1) I/We hereby undertake that I/We am/are an ASBA unit holder(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009, I/We authorize (a) the Self Certified Syndicate Bank (SCSB) to do all acts as are necessary to make an application for purchase of Units in the NFO (blocking the amount to the extent mentioned above in the "SCSB details" or unblocking of funds in the bank account maintained with the SCSB specified in the ASBA Form, transfer of funds to the Issuer's account designated for this purpose on receipt of instruction from the Registrar after finalisation of the basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar to Samco Mutual Fund to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form.

upon finalisation of the basis of allotment and to transfer the requisite money to the Issuer's account designated for this purpose. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Client ID or PAN furnished by me/us in the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the AMC, RTA and SCSB shall not be liable for losses, if any. 5) I/We hereby authorize the SCSB to make relevant revisions as may be required to be done during the NFO in the event of price revision. Having read and understood the contents of the SID and SAI, I/We hereby apply under Direct/ARN/Certified empanelled distributors to the Trustees of Samco Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby authorise Samco Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/Samco Mutual Fund's bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/our bank details provided by me/us. I/We hereby declare that the particulars given above are correct and express my/our willingness to make payments referred above through participation in ECS/Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Samco Asset Management Private Ltd. (Investment Manager to Samco Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform Samco Asset Management Private Ltd., about any changes in my/our bank account. I/We have understood that the present scheme does not offer any guaranteed or assured return and that the scheme is subject to credit risk or default risk including possible loss of principal. any losses in case of a default will be borne by me/us. *I/We confirm that I am/We are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR Account. I/We confirm that the details provided by me/us are true and correct. I/We hereby declare that the amount being invested by me/us in the Scheme of Samco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. *Applicable to NRI. I/We confirm that the ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We acknowledge that the AMC has not considered my/our tax position in particular and that I/We should seek tax advice on the specific tax implications arising out of my/our participation in the scheme. I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

Signature of the Applicant(s)			
Signature of the Bank Account Holder(s)			

Date D D M M Y Y Y Y **Place** ______ (#For NRI unit holders, payment must be made through NRE/FCNR accounts)

Instructions for filling up the Application Form

1. **Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer (NFO) containing an authorisation to block the application money in a bank account.
2. **Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. Unit holders, maintaining their accounts in any of these Banks, may approach any of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs which become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address http://www.sebi.gov.in. Further these details are also available on the websites of the Stock Exchanges at http://www.bseindia.com and http://www.nseindia.com. Alternatively, unit holders may also contact the AMC, R&TA for information about the SCSBs in the ASBA process. Those SCSBs are deemed to have entered into an arrangement with the issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. An SCSB shall identify its Designated Branches (DBs) at which an ASBA Applicant shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a senior officer at a senior level from the CB.
3. **Eligibility of unit holders:** Unit holder shall be eligible to apply through ASBA process, if he/she:
   - (i) is a "Resident Retail Individual unit holder, Non Institutional unit holder, QIBs, Eligible NRIs applying on non repatriation basis, Eligible NRIs applying on repatriation basis i.e. any unit holder;
   - (ii) is applying through blocking of funds in a bank account with the SCSB. Such unit holders are hereinafter referred as "ASBA unit holders".
4. **ASBA Facility in Brief:** Unit holders shall submit his/her Application through an ASBA Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA unit holder or bank account utilised by the ASBA unit holder ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Application Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New Fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Applicants to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA shall notify the SCSBs to unblock the blocked amount of the ASBA applicants within one day from the day of receipt of such notification.
5. **Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

   Unit holders are requested to check with their respective banks about the availability of the ASBA facility.
6. Other information for ASBA unit holders:
   1. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before the 3.00 p.m. or such other time as may be decided by respective SCSBs.
   2. The Applicant intending to invest in the Scheme through ASBA Process will be required to have a beneficiary account with a Depository Participant (DP) of NSDL/CDSL and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units during the NFO.
   3. Signature to be available with depository will be taken for all purpose after the allotment of units in case of demat holding.
   4. All static details in our records would be taken from the demat account (DP ID) provided by you.
   5. Bank account details provided in the ASBA Application form will be used for refunding reject applications where DP ID is not matching.
   6. Bank Mandate for redemption/income Distribution cum Capital Withdrawal will be as per your DP ID in case of demat holding.
   7. SCSB shall give ASBA unit holders an acknowledgement for the receipt of ASBAs.
   8. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that electronic ASBAs are uploaded in the system only after blocking of application money in the relevant bank account opened with it.
   9. SCSB shall ensure that complaints of ASBA unit holders arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
   10. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
   11. R&TA shall act as a nodal agency for redressing complaints of ASBA and non-ASBA unit holders, including providing guidance to ASBA unit holders regarding approaching the SCSB concerned.
   12. ASBA facility is currently available only to those unit holders who wish to hold the units in dematerialized form.

SAMCO MUTUAL FUND

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 - A, Naman Midtown, Senapati
Bapat Marg, Prabhadevi (W),
Mumbai - 400 013

SYSTEMATIC INVESTMENT PLAN (SIP)

[illegible]

1. Distributor Information

Application No S

Distributor Code	Sub-Broker Code	Internal sub broker code	EUIN*	RIA Code*

[illegible]

[illegible]

Sign Here

2. Unitholder Information

Name of First / Sole Applicant ____ **PAN** ____

Folio No. [illegible] ____ **CKYC No** ____

3. Investment Details (Choice of Plan (Please ✓)) ☐ Registration ☐ Cancellation

Scheme ____ Plan ☐ Regular ☐ Direct

Target Scheme of Samco ____

Option: ☐ Growth ☐ IDCW

Sub Option ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW [illegible]

Plan ☐ Regular ☐ Direct Option ☐ Growth

SIP Date ____ [illegible] ☐ Daily **OR** ☐ Monthly **OR** ☐ Quarterly **OR** ☐ Half Yearly

Enrolment Period From D D M M Y Y Y Y To D D M M Y Y Y Y **OR** Perpetual (Default) ☐ **OR** No. of Installments ____

First SIP Instalment via : Cheque No. ____ **Bank A/c No.** ____

Drawn on Bank ____ **Branch** ____

Each SIP Amount ____ **Amount in words** ____

SIP Step UP FACILITY:

Fixed Amount	Variable (in Percentage)
Amount [illegible] ____	**Percentage** [illegible] ₹ ____
Freeze # ☐ Amount ____ **OR** Month-Year M M Y Y	**Freeze #** ☐ Amount ____ **OR** Month Year M M Y Y
Frequency $ ☐ Half Yearly ☐ Yearly	**Frequency $** ☐ Half Yearly ☐ Yearly

[illegible]

4. Unit Holding Option ☐ Physical Mode (default) ☐ Demat Mode [illegible]

CDSL / NSDL DP Name ____ **DP ID** ____ **Beneficiary A/C No.** ____

5. Declaration & Signature(s)

[illegible]

[illegible]

[illegible]

Date D D M M Y Y Y Y

Place ____

SAMCO MUTUAL FUND

ONE TIME BANK MANDATE

[illegible]

UMRN ____ **Date** D D M M Y Y Y Y

Utility Code ____ ○ Create ○ Modify ○ Cancel

Sponsor Bank Code ____ **I/We authorize** Samco Mutual Fund

To debit (tick ✓) SB / CA / CC / SB-NRE / SB-NRO / OTHER **Bank A/c No.** ____

With Bank ____ **IFSC/MICR** ____

an amount of Rupees ____ **Amount ₹** ____

Debit Type ☒ Fixed Amount ☑ Maximum Amount **Frequency** ☒ Monthly ☒ Quarterly ☒ Half Yearly ☒ Yearly ☑ As and when presented

Reference 1 ____ **Reference 2** ____

[illegible]

From D D M M Y Y Y Y
To D D M M Y Y Y Y
[illegible]

Phone No. ____

[illegible]

1. ____
2. ____
3. ____

Terms and Conditions

1. Please refer SID for minimum SIP Investment amount under the Scheme.
2. Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective Scheme before investing.
3. Complete Application form and SIP Mandate Registration Form along with the first cheque if any to be submitted to the AMC/RTA ISCs.
4. Investors can give a cheque for the first installment. The first cheque should be drawn on the same bank account which is to be registered for NACH. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which NACH is to be registered.
5. First SIP cheque (if any) and all subsequent SIP installments via NACH should be of the same amount.
6. If any chosen date falls on a non business day, the next business day will be considered as the transaction date. However, all SIP installment transactions will be processed after realisation of funds and cut off timings.
7. Incorrect/incomplete applications are liable to be rejected.
8. Samco Asset Management Private Ltd. reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
9. NACH instructions will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in SID.
10. The mandate registration form will be submitted through National Automated Clearing House (NACH) and Banks participating in Direct Debit Facility. This facility is offered to investors having Bank accounts in select banks mentioned in the link under Product and Services tab The Banks http://www.npci.org.in/. In the list may be modified/ updated/ changed/ removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform on such discontinuation.
11. Existing Unit holders in Schemes of Samco Mutual Fund are required to submit only the SIP Mandate registration form. Existing unit holders should note that the unit holders' details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through NACH, should fill the Application Form & SIP Mandate registration form.
12. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial SIP has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the Scheme chosen and crossed "A/c Payee Only".
13. Payments will be accepted by NACH mode. For this purpose, investors/ unit holders are required to give NACH Mandate form to debit their bank accounts at periodic intervals & credit the subscription proceeds to Samco Mutual Fund Bank Account.
14. Returned/Dishonored cheque/NACH Rejects will not be presented again for collection.
15. The SIP Enrollment will be discontinued in cases where three consecutive SIP installments are not honored or the bank account is closed and no request for change in bank account has been submitted.
16. If investor has not provided the SIP frequency/ period/date, the default SIP frequency would be Monthly & the SIP installments would be perpetual. The default date will be considered as 10th of the month for Monthly option.
17. If any existing investor wants to enroll in another Scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP Mandate registration form along with the first cheque (if investment is in the new Scheme).
18. You can choose to discontinue this facility by giving 30 Calendar days written notice to any of AMC/Registrar Investor Service Centers.
19. Request for change in bank mandate to be submitted atleast 30 Calendar days before the due date of next SIP installment.
20. The bank account provided for NACH (Debit) should be in the list of banks participating in NACH.
21. MICR code or IFSC code should be mandatory filled on NACH mandate. MICR code starting and/or ending with 000 are not valid for NACH.
22. The investor agrees to abide by the terms and conditions of NACH facility of NPCI as applicable at the time of investment and as may be modified from time to time.
23. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investor's account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned SID. The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of bank's computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
24. Investors will not hold Samco Asset Management Private Ltd., its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to the local holidays or any other reason.
25. Samco Asset Management Private Ltd. reserves the right to reject any application without assigning any reason thereof.
26. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
27. To avail of SIP in separate Schemes via NACH facility, an investor will have to fill a separate form for each Scheme. A single form cannot be used for different Schemes simultaneously.
28. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant. For more details please refer point on "Instructions to investor" for filling up the Application Form.
29. **SYSTEMATIC INVESTMENT PLAN (SIP) Step UP FACILITY**
    a. Investors can opt for SIP TOP UP facility with Fixed Top Up option or Variable Top Up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top Up option shall be considered.
    b. The minimum amount for Fixed TOP UP shall be ₹ 500 and in multiple of ₹1/- thereof. And for variable TOP UP would be available in at 10%, 15% and 20% and in multiples of 5%.
    c. The frequency is fixed at Yearly and Half Yearly basis. In case the TOP UP facility is not opted by ticking the appropriate box and frequency is not selected, the TOP UP facility may not be registered. In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.
    d. Top Up Cap amount: Investor has an option to freeze the SIP Top Up amount once it reaches a fixed predefined amount. The fixed pre defined amount should be same as the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount & the maximum amount mentioned on Bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount. In case the top up amount arrived in decimals the amount will be rounding off at nearest rupee.
    e. Top Up Cap month-year: It is the date from which SIP Top Up amount will cease and last SIP installment including Top Up amount will remain constant from Cap date till the end of SIP tenure. Investor shall have flexibility to of choose either Top Up Cap amount or Top Up Cap month-year. In case of multiple selection, Top Up Cap amount will be considered as default selection.
    f. In case of no selection, the SIP Variable Top - Up amount will be capped at a default amount of ₹10 Lakhs.
    g. Under the said facility, SIP amount will remain constant from Top - Up Cap date/amount till the end of SIP Tenure.

Instructions to fill the nach debit mandate form

1. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
2. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.
3. Investors are deemed to have read and understood the terms and conditions of NACH facility, SIP registration through NACH facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of Samco Mutual Fund.
4. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format.
5. Please mention the amount in figures and words.
6. Please fill all the required details in the Debit Mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
7. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
8. The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

SAMCO MUTUAL FUND

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 – A, Naman Midtown, Senapati
Bapat Marg, Prabhadevi (W),
Mumbai - 400 013

SYSTEMATIC TRANSFER PLAN (STP)

(Please read the instructions on the overleaf before filling up the form)

1. Distributor Information

Distributor Code	Sub-Broker Code	Internal sub broker code	EUIN*	RIA Code#

*[illegible]

#[illegible]

Signatures	Signature of Sole/First Holder*	Signature of Second Holder*	Signature of Third Holder

2. Unitholder Information

Name of First / Sole Applicant: ______________________ PAN: ☐☐☐☐☐☐☐☐☐☐

Folio No. (For Existing Unit Holders) ☐☐☐☐☐☐☐☐☐☐ CKYC No.: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐

☐ Registration ☐ Cancellation

(From which you wish to transfer amount) (To which you wish to transfer amount)

From Scheme Name: ______________________ Plan: ☐ Regular ☐ Direct

Option: ☐ Growth ☐ IDCW Sub Option: ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW

To Scheme Name: ______________________

Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW

Sub Option: ☐ Payout of IDCW ☐ Reinvestment of IDCW
☐ Transfer of IDCW (Specify in Target Scheme)

Target Scheme Name: ______________________

Plan: ☐ Regular ☐ Direct Option: ☐ Growth

Instalment Amount Rs: ______________________

Frequency: (Select any one)

○ Daily (Monday to Friday)

○ Weekly ○ Monday ○ Tuesday ○ Wednesday ○ Thursday ○ Friday

○ Fortnightly (Alternative Wednesday)

○ Monthly STP Date* ○ 1st ○ 7th ○ 10th ○ 15th ○ 25th

○ Quarterly STP Date* ○ 1st ○ 7th ○ 10th ○ 15th ○ 25th

Tenure: Start Date D D M M Y Y Y Y End Date D D M M Y Y Y Y

DECLARATION

[illegible]

[illegible]

[illegible]

[illegible]

Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder

Terms and Conditions and Instructions for Systematic Transfer Plan facility (STP):

- The STP enrollment form should be filled legibly in ENGLISH in BLOCK letters using black or dark blue ink. Incomplete application forms are liable to be rejected. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.
- Systematic Transfer Plan (STP) is a special facility available in selected Schemes of Samco MF. It is a facility wherein unit holders of designated open-ended schemes of Samco Mutual Fund (SMF) can opt to transfer a fixed amount (capital) at regular intervals to another designated open-ended scheme of SMF.
- Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the respective Transferor & Transferee Scheme(s) available at all the Designated Investor Service Centres (DISC), brokers / distributors and on our website www.samcomf.com carefully before investing.
- New Unit holders are required to fill up Common Application Form for investing in Transferor Scheme along with STP Enrolment Form. Existing Unit holders in Transferor Scheme are required to provide their Folio No. and submit only STP Enrolment Form.
- Please submit separate enrolment form for more than one Transferor and / or Transferee schemes.
- Unit holders should clearly mention from and to which scheme / option he / she wish to transfer their investment. Please note that if no Transferor Scheme or Transferee Scheme is mentioned or in case of an ambiguity the application is liable to be rejected.
- In case Day of Transfer has not been indicated under Weekly Frequency, Wednesday shall be treated as Default Day. Further, in case of Monthly and Quarterly Frequency, if the STP date and Frequency has not been indicated, frequency shall be treated Default Frequency as 10th.
- Under multiple STP the investor has to ensure that he maintains sufficient balance in the transferor scheme else none of the transfers into the transferee scheme will be processed.
- If an investor does not mention STP start date, or the STP start date is unclear/not expressly mentioned in the STP Application Form, then by default STP would start from the next subsequent cycle after meeting the minimum registration requirement of 7 working days as per the defined frequency by the investor. If an investor does not mention STP end date or the STP end date is unclear, it will be considered as perpetual STP.
- All valid transfer requests would be treated as switch out / redemption for the Transferor Scheme and switch in / subscription transactions for the Transferee Scheme and would be processed at the applicable NAV (subject to applicable exit load), of the respective schemes. The difference between the NAV's of the two Schemes/ Plans will be reflected in the number of units allotted. All valid transactions would be processed as per the applicable cut off timing on the business days as mentioned in the respective SID.
- A minimum period of 7 days shall be required for registration under STP.
- Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.
- The requests for discontinuation of STP shall be subject to an advance notice of 15 days before the next due date for STP and it will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the unit holder.
- Further, in case of a last STP, where the balance amount is less than the STP amount, the entire amount will be transferred to the transferee scheme.
- STP will be automatically terminated if all the units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of the unit holder.
- This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
- The provision of Minimum Redemption Amount as specified in the Scheme Information Document of the opted Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document of the opted Transferee Schemes will not be applicable.
- The application is subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
- SMF in consultation with Trustees reserves the right to withdraw this offering, modify the procedure, frequency, dates, load structure with prior notice in accordance with the SEBI (Mutual Funds) Regulations, 1996 read with various amendments and circulars issued thereto and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- Frequency wise minimum amount and number of installments provided in below table.

STP Frequency	Cycle Date	Minimum amount	Minimum installment
Daily	Monday to Friday	1000	12
Weekly	Any one day (Monday to Friday)	1,000	6
Fortnight	Alternative Wednesday	1,000	6
Monthly	1st, 7th, 10th 15th or 25th	1,000	6
Quarterly	1st, 7th, 10th 15th or 25th	3,000	2

SAMCO MUTUAL FUND

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 - A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W),
Mumbai - 400 013

TIMER SYSTEMATIC TRANSFER PLAN (TSTP)

(Please read the instructions on the overleaf before filling up the form)

1. Distributor Information

Distributor Code	Sub-Broker Code	Internal sub broker code	EUIN*	RIA Code*

Investors should mention the EUIN of the person who has advised the investor. If left blank, the Fund will assume following declaration by the investor: "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

*I/We, have invested in the below mentioned scheme of Samco Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed / portfolio holdings / NAV etc. in respect of the particular transaction, to the SEBI Registered Investment Adviser (RIA) bearing the above mentioned registration number.

Signatures	Signature of First / Sole Holder	Signature of Second Holder	Signature of Third Holder

2. Unitholder Information

Name of First / Sole Applicant: ____________________ PAN: ☐☐☐☐☐☐☐☐☐☐

Folio No. (For Existing Unit Holder): ☐☐☐☐☐☐☐☐☐☐☐ CKYC No.: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐

☐ **Registration** ☐ **Cancellation**

From Scheme: ☐ Samco Overnight Fund ☐ Samco Arbitrage Fund **Plan:** ☐ Regular ☐ Direct **Option:** ☐ Growth

To Scheme: ____________ **Plan:** ☐ Regular ☐ Direct **Target Scheme:** ____________ **Plan:** ☐ Regular ☐ Direct

Option: ☐ Growth ☐ IDCW **Sub Option:** ☐ Payout of IDCW ☐ Reinvestment of IDCW ☐ Transfer of IDCW (Specify in Target Scheme) **Option:** ☐ Growth

TSTP shall only be eligible if minimum amount in source scheme is Rs. 25,000/-

Base Instalment Amount Rs: ____________
(Minimum of Rs.1,000)

Frequency: ○ Weekly ☐ Monday ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday

○ Monthly ○ Quarterly TSTP Date* (only in case of Monthly and Quarterly frequencies) D D Investor can select any date of each Month & Quarter (other than 29,30,31).
*In case the day/date chosen for TSTP falls on a Non-Business Day or on a date which is not available in a particular month, the TSTP will be processed on the immediate next Business Day.

Tenure: Start Date: D D M M Y Y Y Y End Date: D D M M Y Y Y Y (under TSTP default option will get registered till 31/12/2099)

OR

No. of Instalments: ____________

OR

Target Amount (Rs.): ____________ TSTP will automatically cease when cumulative total transfers through TSTP reaches the target amount.

DECLARATION

Having read and understood the contents of the Scheme Information Document, Key Information Memorandum cum application and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Samco Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires the powers and the investment is contrary to the relevant constitutional documents.
I/We understand that these investments are at my/our own risk and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby further agree that the Fund can directly credit all the redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct.
The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them. Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non Resident External/Ordinary Account/FCNR Account.

Signature of First / Sole Holder	Signature of Second Holder	Signature of Third Holder

Terms and Conditions and Instructions for Timer Systematic Transfer Plan facility (TSTP):

- What is a 'Timer STP Plan?' - Timer STP is a facility wherein an investor under the specified scheme(s) ('Source Scheme') can opt to transfer variable amount, on the predetermined dates to any open-ended equity Scheme(s) ('Target Scheme') at defined intervals. The variable amount(s) or actual amount(s) of transfer to the Target Scheme will be linked to the Equity Margin of Safety Index (EMOSI) as computed by the AMC on the date of respective transfer.
- The form should be completed in English and in Block Letters only. Please ☑ in the appropriate box where boxes have been provided.
- Investors are advised to read the Scheme Information Document(s) of each of the Target Scheme(s) and the applicable Statement of Additional Information carefully before exercising the option/ investing. The Scheme Information Document(s) / Statement of Additional Information, Key Information Memorandum(s) of the respective Scheme(s) are available with the Investor Service Centres of Samco Mutual Fund (ISCs)/ distributors and displayed at the website www.samcomf.com.
- TSTP Enrolment Form complete in all respects, should be submitted at any of the Investor Service Centres (ISCs) of Samco Mutual Fund.
- **Eligibility Criteria for TSTP:** An investor must maintain minimum balance/ investment of Rs. 25,000/- in the opted source scheme at the time of registration of TSTP. If such minimum balance/ investment is not available and the TSTP registration request will be liable to be rejected.
- **Frequency of TSTP:** Investors may choose Weekly or Monthly or Quarterly Frequency in the Form. In case investor fails to mention frequency or opted multiple frequencies/ dates, default option would be Monthly and the default date will be 10th.
- For Weekly Frequency, investor can select any day between Monday to Friday. In case frequency day not provided in form, the default day will be every Monday.
- For Monthly & Quarterly Frequency, investor can select any date (other than 29,30,31). In case the date is not indicated, the default date will be 10th.
- **Tenure:** Investors may choose (1) Target Amount or (2) No. of Installments or (3) End date in the form. If this information is not provided / incomplete, the TSTP will be registered by default till 31 Dec 2099.
- There is no maximum duration for TSTP enrolment. However, TSTPs will be registered in a folio held by a minor, only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date; the TSTP facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
- **Base Installment amount:** The investor would required to provide a base installment amount that is intended to be transferred to the target scheme at regular interval. At presently minimum base installment amount of Source scheme is Rs 5,000/- in multiples of Rs 1/-.
- The provision of 'Minimum redemption amount' specified in the Scheme Information Document of source scheme and 'Minimum application amount' specified in the Scheme Information Document of the target scheme(s) will not be applicable for TSTP.
- The amount of transfer to the Target Scheme shall be in the range of 0.01x to 6x as per the multiplier selected which shall be based on the Equity Margin of Safety Index (EMOSI) score which is a proprietary model of Samco Asset Management Pvt Limited (the AMC). In case the base computation amount is less than Rs 100, then the installment will be considered as Rs. 100. However, in any case the TSTP installment amount will not exceed 6x of the base installment amount as per the multiplier selected. In case amount arrived is in decimals the amount will be rounding off to nearest rupee.
- If investor does not mention either the PAN or Folio details, then the form is liable for rejection.
- Applicable schemes: At presently this facility is applicable for
  - Source Scheme: Samco Overnight Fund
  - Target Scheme: Other schemes of Samco Mutual Fund
- Only one registration - per target scheme in a folio would be allowed. In case of any existing registration (normal STP or TSTP) then new registration shall be rejected. Multiple TSTP's from the same source scheme to a different Target Scheme would be allowed (as and when schemes launched).
- Units will be allotted under the same folio number.
- Once registered, the facility cannot be modified. Investor may cancel an existing registration and register a fresh/ new form / Separate Form.
- **Equity Margin of Safety Index (EMOSI):** Equity Margin of Safety Index (EMOSI) levels computed by the AMC is a proprietary model of Samco Asset Management Pvt Limited (the AMC). The EMOSI is derived by assigning different weights such as Price to Earnings (PE), G-sec yields, moving average divergences and / or other fundamental and technical factors as may be determined by the AMC from time to time.
- Based on the EMOSI and the corresponding multiplier factor, the actual amount of TSTP shall be derived by transferring the amount to the Target Scheme. Please refer to the EMOSI Multiplier Table below for reference.

EMOSI value	Multiplier on Base Installment amount to be transferred	EMOSI value	Multiplier on Base Installment amount to be transferred
<= 70	0.01x	101	1.05x
71	0.03x	102	1.10x
72	0.04x	103	1.15x
73	0.05x	104	1.25x
74	0.06x	105	1.30x
75	0.07x	106	1.40x
76	0.09x	107	1.55x
77	0.10x	108	1.70x
78	0.11x	109	1.85x
79	0.12x	110	2.00x
80	0.13x	111	2.15x
81	0.14x	112	2.30x
82	0.18x	113	2.45x
83	0.22x	114	2.60x
84	0.25x	115	2.75x
85	0.28x	116	3.00x
86	0.34x	117	3.15x
87	0.38x	118	3.30x
88	0.42x	119	3.45x
89	0.46x	120	3.75x
90	0.50x	121	3.90x
91	0.55x	122	4.10x
92	0.60x	123	4.25x
93	0.65x	124	4.40x
94	0.70x	125	4.55x
95	0.75x	126	4.85x
96	0.80x	127	5.10x
97	0.85x	128	5.30x
98	0.90x	129	5.50x
99	0.95x	130	5.75x
100	1.00x	>= 131	6.00x

In case multiplied on Base computation amount is less than Rs. 100, then the installment will be considered as Rs. 100.

- The detailed working of the EMOSI model is proprietary to the AMC and is confidential. The AMC reserves the right to make changes to the EMOSI Model as may be necessary and as it may deem fit.
- **Minimum no of Installments:** Minimum number of installments in all frequencies will be 12.
- **Transfer of Balance:** If the outstanding balance in the source scheme in investor's folio is less than the amount to be transferred on the date of TSTP, the amount so transferred will be restricted to the balance available.
- The EMOSI will be declared and uploaded on website on all business days/daily. However, the AMC reserves the right to change the frequency/date of EMOSI updation. In case the date/dates of transfer falls on a Non-Business Day or on a date which is not available in a particular month, the immediately next Business Day will be considered for the purpose of determining the applicability of NAV.
- In case of nil balance in the Source Scheme, TSTP installment for that particular due date will not be processed and TSTP will cease upon five consecutive unsuccessful transactions.
- The AMC reserves the right to cease the TSTP without prior intimation, including but not limited to receipt of intimation of death of Unit holder or in any scenario as per AMC discretion.
- The TSTP is available only for units held / to be held in Non - demat Mode in the Source Scheme and the Target Scheme.
- The units of the Target Scheme will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the installment date of the TSTP.
- The TSTP transactions will be executed based on latest / previous day EMOSI value available.
- **Exit Load:** The Load Structure will be applicable at the time of installment process in the source scheme. The amount transferred under the TSTP from the Source Scheme to the Target Scheme shall be effected by redeeming units of Source Scheme at applicable NAV, after payment of exit load applicable to the Source Scheme if any, and subscribing to the units of the Target Scheme at applicable NAV. For Scheme load structure please refer to Key Information Memorandum or contact the nearest Investor Service Centre (ISC) of Samco Mutual Fund or visit our website www.samcomf.com.
- The facility is provided subject to provisions of cut off timing for applicability of NAV and time stamping requirements, provisions of the SAI and the respective SID including the provisions of the 'Prevention of Money Laundering and Know Your Customer' requirements as detailed in the SAI, and any other applicable laws, rules and regulations as may be enforced from time to time.

Terms and Conditions and Instructions for Timer Systematic Transfer Plan facility (TSTP):

- The facility will not get executed in case the units are pledged or where lien is marked on units or if units are within the applicable statutory lock period, if any, at the time of receipt of request.
- The TSTP facility is not in any manner an assurance or promise or guarantee on part of Samco Mutual Fund / Samco AMC to the unit holders in terms of returns or capital appreciation or minimization of loss of capital. The AMC/Trustees will not be liable for any loss, whether actual or notional on account of the decision of the investor to opt for TSTP.
- All requests for registering or discontinuing the TSTP shall be subject to an advance notice of 7 (seven) business days to the Investor Service Centre.
- The use of this Facility by the investor will be deemed as the investor's confirmation that the investor understands and agrees to be bound by all of the terms and conditions applicable to the Facility as detailed in the 'Timer Systematic Transfer Plan (TSTP) Facility - Form', as amended from time to time.
- The AMC reserves the right to change, modify or withdraw this facility at any point of time. However, the changes will be effective only on a prospective basis. Further, the AMC reserves the right to restrict the number / type of schemes being offered through this facility.
- **Illustration : Calculation of amount of Timer STP:**

  The amount to be transferred on each TSTP date will be determined basis the Equity Margin of Safety Index (EMOSI) level on the date of respective transfer as explained below:

  Source : Samco Overnight Fund

  Target : Samco Flexi Cap Fund

  Date – 15th date

  Frequency : Monthly

  Base Installment Amount: Rs. 1,000

  Number of Installments : Default i.e. 2099

  Investment Date in Source Fund – October 2022

  TSTP Initiated from November 15, 2022

  a. Calculation of Timer STP installment amount on the date of 1st installment of November 15, 2022:

    - If the EMOSI on November 15, 2022, is 115, matrix defines a transfer of 2.75x of the Base installment amount, (1,000*2.75) Rs. 2,750 will be transferred to Samco Flexi Cap Fund.

  b. Calculation of Timer STP installment amount on the date of 2nd installment of December 15, 2022:

    - If the EMOSI on December 15, 2022, is 95, matrix defines a transfer of 0.6x of the Base installment amount (1,000*0.6), Rs. 600 will be transferred to Samco Flexi Cap Fund.

TSTP date	Assumed EMOSI value	Multiplier on Base Installment Amount	Transfer Amount (Rs)
(A)	(B)	(C)	(Rs. 1,000 X Column C)
15-Nov-22	115	2.75x	2,750
15-Dec-22	95	0.60x	600
15-Jan-23	135	3.75x	3,750
15-Feb-23	127	3.15x	3,150
15-Mar-23	155	4.00x	4,000
15-Apr-23	130	3.75x	3,750
15-May-23	119	3.00x	3,000
15-Jun-23	106	1.40x	1,400
15-Jul-23	108	1.00x	1,000
15-Aug-23	95	0.75x	750
15-Sep-23	95	0.50x	500
15-Oct-23	85	0.30x	300
15-Nov-23	60	0.10x	100
15-Dec-23	82	0.15x	150
15-Jan-24	96	0.80x	800

The above table is for illustration purposes only.

SAMCO MUTUAL FUND

Registered Office: 1003, A Wing, Naman Midtown, 10th Floor, Senapati Bapat Marg, Prabhadevi, Mumbai - 400013
Tel: [illegible] | **Toll Free No.:** [illegible]
Website: www.samcomf.com | **Email:** [illegible]

SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA CRS & ULTIMATE BENEFICIAL OWNERSHIP (UBO) SELF CERTIFICATION FORM FOR NON-INDIVIDUALS

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

Name of the entity ____________________

Type of address given at KRA ☐ Residential ☐ Business ☐ Registered Office

PAN ☐☐☐☐☐☐☐☐☐☐ **Date of incorporation** D D M M Y Y Y Y

City of incorporation ____________________ **Country of incorporation** ____________________

Additional KYC Information

Gross Annual Income (₹) Please (✓) ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs-1 Crore ☐ >1 Crore

or Net worth ₹ ____________ **as on** D D M M Y Y Y Y (not older than 1 year)

Politically Exposed Person (PEP) Status* (For authorised signatories/Promoters/Karta/Trustee/Whole time Directors)

☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

Non-individual unit holders involved/providing any of the mentioned services

☐ Foreign Exchange/Money Changer Services ☐ Gaming/Gambling/Lottery/Casino Services ☐ Money Lending/Pawning ☐ None of the above

FATCA & CRS Declaration

Please ✓ the applicable tax resident declaration

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated tax ID number below.)

Sr. No.	Country	Tax Identification Number%	Identification Type (TIN or Other%, please specify)
1.			
2.			
3.			

% In case Tax Identification Number is not available, kindly provide its functional equivalent.
In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

____

PART A (To be filled by Financial Institutions or Direct Reporting NFEs)

1. We are a ☐ Financial institution (Refer 1 of Part C) **OR** ☐ Direct reporting NFE (Refer 3(vii) of Part C) (please tick as appropriate)

GIIN ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

Name of sponsoring entity ____________________

GIIN not available (please ✓ as applicable)

Applied for ☐ Not obtained – Non-participating FI ☐ Not required to apply for - please specify 2 digits sub-category ☐☐ (Refer 1 A of Part C)

PART B (Please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C)

   ☐ Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)

   Name of stock exchange ____________________

2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)

   ☐ Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

   Name of listed company ____________________

   Nature of relation ☐ Subsidiary of the Listed Company **OR** ☐ Controlled by a Listed Company

   Name of stock exchange ____________________

3. Is the Entity an active NFE (Refer 2c of Part C)

   ☐ Yes **Nature of Business** ____________________

   Please specify the sub-category of Active NFE ☐☐ (Mention code – refer 2c of Part C)

4. Is the Entity a passive NFE (Refer 3(ii) of Part C)

   ☐ Yes **Nature of Business** ____________________

UBO Declaration (Mandatory for all entities except a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please ✓ applicable category):

☐ Unlisted Company ☐ Partnership Firm Limited ☐ Liability Partnership Company ☐ Unincorporated association/body of individuals
☐ Public Charitable Trust ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust
☐ Others (please specify) ____________

Please list below the details of controlling person(s), confirming ALL countries of tax residency/permanent residency/citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)
Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of tax residency*			
PAN#			
Address	Zip ☐☐☐☐☐☐ State Country	Zip ☐☐☐☐☐☐ State Country	Zip ☐☐☐☐☐☐ State Country
Address Type	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office	☐ Residential ☐ Business ☐ Registered Office
Tax ID%			
Tax ID Type			
City of Birth			
Country of Birth			
Occupation Type	☐ Service ☐ Business ☐ Others	☐ Service ☐ Business ☐ Others	☐ Service ☐ Business ☐ Others
Nationality			
Father's Name			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Date of Birth			
Percentage of Holding (%)$			

* To include US, where controlling person is a US citizen or green card holder
# If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position/Designation like Director/Settlor of Trust/Protector of Trust to be specified wherever applicable.
% In case Tax Identification Number is not available, kindly provide functional equivalent
$ Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory/Company Secretary

FATCA & CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Certification

I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform Samco Asset Management Private Limited/Samco Mutual Fund/Trustees for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)

Name ____________ **Designation** ____________

Date D D M M Y Y Y Y

Place ____________

[illegible]	[illegible]	[illegible]

PART C FATCA Instructions & Definitions

1. Financial Institution (FI)

The term FI means any financial institution that is a Depository institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
  - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
    - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
    - (ii) Individual and collective portfolio management; or
    - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or

  - The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

    An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

    - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
    - (ii) The period during which the entity has been in existence.

  The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 (refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

- FI not required to apply for GIIN

A. Reasons why FI not required to apply for GIIN:

Code	**Sub-category**
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE) - Entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)
A company is publicly traded if its stock are regularly traded on one or more established securities markets
(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company
The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Active NFE : (is any one of the following):

Code	**Sub-category**
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Code	Sub-category
	Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (I) an Investor Protection Fund referred to in clause (23EA); (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other Definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

(1) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
(2) an investment entity defined in clause (b) of these instructions
(3) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of :

(1) Income Distribution cum Capital Withdrawal;
(2) Interest
(3) Income equivalent to interest,
(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
(5) Annuities
(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
(8) The excess of foreign currency gains over foreign currency losses
(9) Net income from swaps
(10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of/entitlements to:

(1) More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
(2) More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
(3) More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type (UBO)

UBO Code	Sub-category	UBO Code	Sub-category
01	CP of legal person-ownership	08	CP of legal arrangement-trust-other
02	CP of legal person-other means	09	CP of legal arrangement-Other-settlor equivalent
03	CP of legal person-senior managing official	10	CP of legal arrangement-Other-trustee equivalent
04	CP of legal arrangement-trust-settlor	11	CP of legal arrangement-Other-protector equivalent
05	CP of legal arrangement-trust-trustee	12	CP of legal arrangement-Other-beneficiary equivalent
06	CP of legal arrangement-trust-protector	13	CP of legal arrangement-Other-other equivalent
07	CP of legal arrangement-trust-beneficiary		

(v) Specified U.S. person – A U.S person other than the following:

(1) a corporation the stock of which is regularly traded on one or more established securities markets;
(2) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
(3) the United States or any wholly owned agency or instrumentality thereof;
(4) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
(5) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
(6) any bank as defined in section 581 of the U.S. Internal Revenue Code;
(7) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
(8) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
(9) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
(10) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
(11) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
(12) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
(13) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FI

An FI meets the following requirements:

(a) The FI is an FI solely because it is an investment entity;
(b) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company;
(c) The FI does not maintain a financial account for any non participating FI;
(d) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
(e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FFI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

SAMCO
MUTUAL FUND

DECLARATION FORM OF ULTIMATE BENEFICIAL OWNERSHIP (UBO) / CONTROLLING PERSONS

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 - A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W)
Mumbai - 400 013

1. Investor details

Investor Name ______________________ **PAN** ☐☐☐☐☐☐☐☐☐☐

* If PAN is not available, specify Folio No. (s)

2. Category

☐ Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company
(If this category is selected, no need to provide UBO details)

Name of the Stock Exchange where it is listed ______________________ **Security ISIN** ______________

Name of the Listed Company *(applicable if the investor is subsidiary/associate)* ______________________

Mandatory in case of Listed company or subsidiary of the Listed Company

☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals ☐ Public Charitable Trust
☐ Private Trust ☐ Religious Trust ☐ Trust created by a Will ☐ Others ______ Please Specify ______

3. UBO / Controlling Person(s) details.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? ☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO			
UBO / SMO PAN (For Foreign National, TIN to be provided)			
% of beneficial interest	>10% controlling interest ☐ >15% controlling interest ☐ >25% controlling interest ☐ NA (for SMO) ☐	>10% controlling interest ☐ >15% controlling interest ☐ >25% controlling interest ☐ NA (for SMO) ☐	>10% controlling interest ☐ >15% controlling interest ☐ >25% controlling interest ☐ NA (for SMO) ☐
UBO / SMO Country of Tax Residency			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth	Place of Birth ______ Country of Birth ______	Place of Birth ______ Country of Birth ______	Place of Birth ______ Country of Birth ______
UBO / SMO Nationality			
UBO / SMO Date of Birth (dd-mmm-yyyy)			
UBO / SMO PEP	Yes - PEP ☐ Yes - Related to PEP ☐ N - Not a PEP ☐		

UBO / SMO Address (include City, Pincode, State, Country)	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type	Residence ☐ Business ☐ Registered Office ☐		
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender	Male ☐ Female ☐ Others ☐		
UBO / SMO Father's Name			
UBO / SMO Occupation	Public Service ☐ Private Service ☐ Business ☐ Others ☐		
UBO / SMO KYC Complied?	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.

Mandatory column.
Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.
* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries for any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorised Signatory	Authorised Signatory	Authorised Signatory

Name		**Name**		**Name**	
Designation		**Designation**		**Designation**	

Place

Date DD/MM/YYYY

Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons (CP) / ultimate beneficiary owner (UBO) and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. **For Investors other than Individuals or Trusts:**

i. The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership/entitlement to:
- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
- more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

ii. In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

iii. Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. **For Investors which is a trust:**

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. **Exemption in case of listed companies / foreign investors**

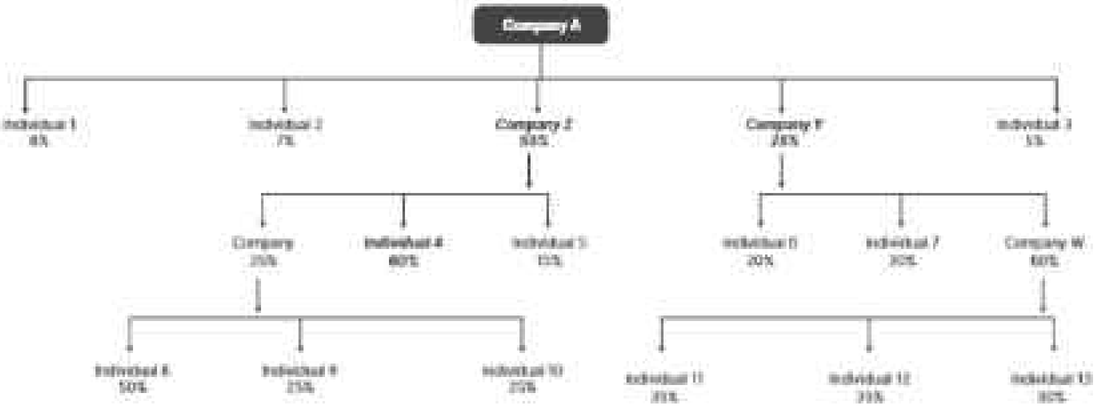
The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. **Exemption in case of listed companies / foreign investors**

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

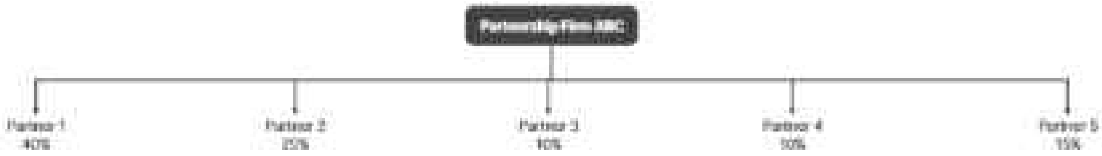
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 - Company A



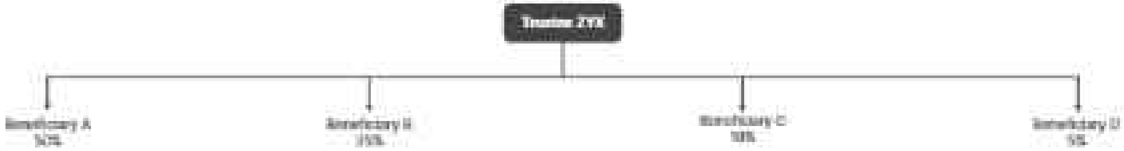
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof. Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >= 15% of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 – Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settler of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

SAMCO
MUTUAL FUND

DECLARATION FORM OF NON-PROFIT ORGANIZATION (NPO)

(Mandatory for Trusts/Society)

Sponsor: Samco Securities Limited
Trustee Company: Samco Trustee Private Limited
Investment Manager: Samco Asset Management Private Limited

Samco Mutual Fund
1003 - A, Naman Midtown, Senapati Bapat Marg, Prabhadevi (W)
Mumbai - 400 013

1. Investor details

Investor Name ______________________ **PAN** ☐☐☐☐☐☐☐☐☐☐

☐ I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" (NPO) which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013)

☐ Enclosed relevant documentary proof evidencing the above definition.

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal ______________________

If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.

☐ I/We hereby confirm that the above stated entity / organization is NOT falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorise to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorised Signatory	Authorised Signatory	Authorised Signatory

Place ______________________

Date DD/MM/YYYY

Investor Service Centers/ Official Point of Acceptance for Samco Mutual Fund

Samco Asset Management Private Limited (AMC), A-1003 Naman Midtown 10th Floor, Prabhadevi (West) Mumbai 400 013.

Branch Offices of KFin Technologies Private Limited

Kfin Technologies Private Limited - Official Point of Acceptance for Samco Mutual Fund

• **Agartala**: Ols Rms Chowmuhani Mantri Bari Road 1st Floor Near Jana Sevak Saloon Building Traffic Point Tripura West Agartala 799001• **Agra**: House No. 17/2/4 2nd Floor Deepak Wasan Plaza Behind Hotel Holiday Inn Sanjay Place Agra 282002 • **Ahmedabad**: Office No. 401 On 4th Floor Abc-I Off. C.G. Road - Ahmedabad 380009 • **Ahmednagar**: Shop no. 2, Plot No. 17, S.no 322, Near Ganesh Colony, Savedi, Ahmednagar - 414001 • **Ajmer**: 302 3rd Floor Ajmer Auto Building Opposite City Power House Jaipur Road Ajmer 305001 • **Akola**: Shop No 25 Ground Floor Yamuna Tarang Complex Murtizapur Road N.H. No- 6 Opp Radhakrishna Talkies Akola 444001 • **Aligarh**: 1st Floor Sevti Complex Near Jain Temple Samad Road Aligarh-202001 • **Allahabad**: Meena Bazar 2nd Floor 10 S.P. Marg Civil Lines Subhash Chauraha Prayagraj Allahabad 211001 • **Alwar**: Office Number 137 First Floor Jai Complex Road No-2 Alwar 301001 • **Amaravathi**: Shop No. 21 2nd Floor Gulshan Tower Near Panchsheel Talkies Jaistambh Square Amaravathi 444601 • **Ambala**: 6349 2nd Floor Nicholson Road Adjacent Kos Hospitalambala Cant Ambala 133001 • **Amritsar**: Sco 5 2nd Floor District Shopping Complex Ranjit Avenue Amritsar 143001 • **Anand**: B-42 Vaibhav Commercial Center Nr Tvs Down Town Shrow Room Grid Char Rasta Anand 380001 • **Ananthapur**: Kfin Technologies Ltd. #13/4 Vishnupriya Complex Beside Sbi Bank Near Tower Clock Ananthapur-515001. • **Asansol**: 112/N G. T. Road Bhanga Pachil G.T Road Asansol Pin: 713 303; Paschim Bardhaman West Bengal Asansol 713303 • **Aurangabad**: Shop No B 38 Motiwala Trade Center Nirala Bazar Aurangabad 431001 • **Azamgarh**: House No. 290 Ground Floor Civil Lines Near Sahara Office - Azamgarh 276001• **Balasore**: 1-B. 1st Floor Kalinga Hotel Lane Baleshwar Baleshwar Sadar Balasore 756001 • **Bangalore**: No 35 Puttanna Road Basavanagudi Bangalore 560004 • **Bankura**: Plot Nos- 80/1/Anatunchati Mahalla 3rd Floor Ward No-24 Opposite P.C Chandra Bankura Town Bankura 722101 • **Bareilly**: 1st Floorrear Sidea -Square Building 54-Civil Lines Ayub Khan Chauraha Bareilly 243001 • **Baroda**: 1st Floor 125 Kanha Capital Opp. Express Hotel R C Dutt Road Alkapuri Vadodara 390007 • **Begusarai**: C/O Dr Hazari Prasad Sahu Ward No 13 Behind Alka Cinema Begusarai (Bihar) Begusarai 851117 • **Belgaum**: Premises No.101 Cts No.1893 Shree Guru Darshani Tower Anandwadi Hindwadi Belgaum 590011 • **Bellary**: Ground Floor 3rd Office Near Womens College Road Beside Amruth Diagnostic Shanthi Archade Bellary 583103 • **Berhampur** (Or): Opp Divya Nandan Kalyan Mandap 3rd Lane Dharam Nagar Near Lohiya Motor Berhampur (Or) 760001 • **Bhagalpur**: 2nd Floor Chandralok Complexghantaghar Radha Rani Sinha Road Bhagalpur 812001 • **Bharuch**: 123 Nexus Business Hub Near Gangotri Hotel B/S Rajeshwari Petroleum Makampur Road Bharuch 392001 • **Bhatinda**: Mcb -Z-3-01043 2 Floor Goniana Road Opposite Nippon India MF Gt Road Near Hanuman Chowk Bhatinda 151001 • **Bhavnagar**: 303 Sterling Point Waghawadi Road - Bhavnagar 364001 • **Bhilai**: Office No.2 1st Floor Plot No. 9/6 Nehru Nagar [East] Bhilai 490020 • **Bhilwara**: Office No. 14 B Prem Bhawan Pur Road Gandhi Nagar Near Canarabank Bhilwara 311001 • **Bhopal**: SF-13 Gurukripa Plaza Plot No. 48A Opposite City Hospital Zone-2 M P Nagar Bhopal 462011 • **Bhubaneswar**: A/181 Back Side Of Shivam Honda Show Room Saheed Nagar - Bhubaneswar 751007 • **Bikaner**: 70-71 2nd Floor | Dr.Chahar Building Panchsati Circle Sadul Ganj Bikaner 334003 • **Bilaspur**: Shop.No.306 3rd Floor Anandam Plaza Vyapar Vihar Main Road Bilaspur 495001 • **Bokaro**: City Centre Plot No. He-07 Sector-Iv Bokaro Steel City Bokaro 827004 • **Borivali**: Gomati Smutiground Floor Jambli Gully Near Railway Station Borivali Mumbai 400 092 • **Burdwan**: Saluja Complex; 846 Laxmipur G T Road Burdwan; Ps: Burdwan & Dist: Burdwan-East Pin: 713101 • **Calicut**: Second Floor Manimuriyil Centre Bank Road Kasaba Village Calicut 673001 • **Chandigarh**: First Floor Sco 2469-70 Sec. 22-C - Chandigarh 160022 • **Chandrapur**: 2nd Floor, Raghuwanshi Complex,Near Azad Garden, Chandrapur, Maharashtra-442402 • **Chennai**: 9Th Floor Capital Towers 180 Kodambakkam High Road Nungambakkam | Chennai – 600 034 • **Chinsura**: No : 96 Po: Chinsurah Doctors Lane Chinsurah 712101 • **Cochin**: Door No: 61/2784, Second floor, Sreelakshmi Tower, Chittoor Road, Ravipuram, Ernakulam-Kerala-682015 • **Coimbatore**: 3rd Floor Jaya Enclave 1057 Avinashi Road - Coimbatore 641018 • **Cuttack**: Shop No-45 2nd Floor Netaji Subas Bose Arcade (Big Bazar Building) Adjusent To Reliance Trends Dargha Bazar Cuttack 753001 • **Darbhanga**: 2nd Floor Raj Complex Near Poor Home Darbhanga - 846004 • **Davangere**: D.No 162/6 1st Floor 3rd Main P J Extension Davangere Taluk Davangere Manda Davangere 577002 • **Dehradun**: Shop No-809/799 Street No-2 A Rajendra Nagar Near Sheesha Lounge Kaulagarh Road Dehradun-248001 • **Deoria**: K. K. Plaza Above Apurwa Sweets Civil Lines Road Deoria 274001 • **Dhanbad**: 208 New Market 2nd Floor Bank More - Dhanbad 826001 • **Dhule**: Ground Floor Ideal Laundry Lane No 4 Khol Galli Near Muthoot Finance Opp Bhavasar General Store Dhule 424001 • **Durgapur**: Mwav-16 Bengal Ambuja 2nd Floor City Centre Distt. Burdwan Durgapur-16 Durgapur 713216 • **Erode**: Address No 38/1 Ground Floor Sathy Road (Vctv Main Road) Sorna Krishna Complex Erode 638003 • **Faridabad**: A-2B 2nd Floor Neelam Bata Road Peer Ki Mazar Nehru Groundnit Faridabad 121001 • **Ferozpur**: The Mall Road Chawla Bulding Ist Floor Opp. Centrail Jail Near Hanuman Mandir Ferozepur 152002 • **Gandhidham**: Shop # 12 Shree Ambica Arcade Plot # 300 Ward 12. Opp. Cg High School Near Hdfc Bank Gandhidham 370201 • **Gandhinagar**: Suyesh solitaire, Nr. Podar International School, Kudasan, Gandhinagar 382421 Gujarat • **Gaya**: Property No. 711045129 Ground Floorhotel Skylark Swaraipuri Road - Gaya 823001 • **Ghatkopar**: 11/Platinum Mall, Jawahar Road, Ghatkopar (East), Mumbai 400077 • **Ghaziabad**: FF - 31 Konark Building Rajnagar - Ghaziabad 201001 • **Ghazipur**: House No. 148/19 Mahua Bagh Raini Katra- Ghazipur 233001 • **Gonda**: H No 782 Shiv Sadan Iti Road Near Raghukul Vidyapeeth Civil Lines Gonda 271001 • **Gorakhpur**: Shop No 8 & 9 4th Floor Cross Road The Mall Bank Road Gorakhpur - 273001 • **Gulbarga**: H No 2-231 Krishna Complex 2nd Floor Opp. Opp. Municipal Corporation Office Jagat Station Main Road Kalaburagi Gulbarga 585105 • **Guntur**: 2nd Shatter 1st Floor Hno. 6-14-48 14/2 Lane Arundal Pet Guntur 522002 • **Gurgaon**: No: 212A 2nd Floor Vipul Agora M. G. Road - Gurgaon 122001 • **Guwahati**: Ganapati Enclave 4Th Floor Opposite Bora Service Ullubari Guwahati Assam 781007 • **Gwalior**: City Centre Near Axis Bank - Gwalior 474011 • **Haldwani**: Shoop No 5 Kmvn Shoping Complex - Haldwani 263139 • **Haridwar**: Shop No. - 17 Bhatia Complex Near Jamuna Palace Haridwar 249410 • **Hassan**: Sas No: 490 Hemadri Arcade 2nd Main Road Salgame Road Near Brahmins Boys Hostel Hassan 573201 • **Hissar**: Shop No. 20 Ground Floor R D City Centre Railway Road Hissar 125001 • **Hoshiarpur**: Unit # SF-6 The Mall Complex 2nd Floor Opposite Kapila Hospital Sutheri Road Hoshiarpur 146001 • **Hubli**: R R Mahalaxmi Mansion Above Indusind Bank 2nd Floor Desai Cross Pinto Road Hubballi 580029 • **Hyderabad**: No: 303 Vamsee Estates Opp: Bigbazaar Ameerpet Hyderabad 500016 • **Hyderabad**(Gachibowli): Selenium Plot No: 31 & 32 Tower B Survey No.115/22 115/24 115/25 Financial District Gachibowli Nanakramguda Serilimganpally Mandal Hyderabad 500032 • **Indore**: Kfin Technologies Ltd. 101 Diamond Trade Center 3-4 Diamond Colony New Palasia Above Khurana Bakery Indore • **Jabalpur**: 2nd Floor 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001 • **Jaipur**: Office No 101 1st Floor Okay Plus Tower Next To Kalyan Jewellers Government Hostel Circle Ajmer Road Jaipur 302001 • **Jalandhar**: Office No 7 3rd Floor City Square Building E-H197 Civil Line Next To Kalyan Jewellers Jalandhar 144001 • **Jalgaon**: 3rd Floor 269 Jaee Plaza Baliram Peth Near Kishore Agencies Jalgaon 425001 • **Jalpaiguri**: D B C Road Opp Nirala Hotel Opp Nirala Hotel Opp Nirala Hotel Jalpaiguri 735101 • **Jammu**: Kfin Technologies Ltd 1D/D Extension 2 Valmiki Chowk Gandhi Nagar Jammu 180004 State - J&K • **Jamnagar**: 131 Madhav Plazza Opp Sbi Bank Nr Lal Bunglow Jamnagar 361008 • **Jamshedpur**: Madhukunj 3rd Floor Q Road Sakchi Bistupur East Singhbhum Jamshedpur 831001 • **Jhansi**: 1st Floor Puja Tower Near 48 Chambers Elite

Crossing Jhansi 284001 • **Jodhpur**: Shop No. 6 Garg Tower 0 Floor Opposite Arora Motor Service Centre Near Bombay Motor Circle Jodhpur 342003 • **Junagadh**: Shop No. 201 2nd Floor V-Arcade Complex Near Vanzari Chowk M.G. Road Junagadh 362001• **Kalyan**: Seasons Business Centre, 104 / 1st Floor, Shivaji Chowk, Opposite KDMC (Kalyan Dombivali Mahanagar Corporation) Kalyan - 421301 • **Kalyani**: Ground Floor,H No B-7/27S, Kalyani, Kalyani HO, Nadia, West Bengal – 741235 • **Kannur**: 2nd Floor Global Village Bank Road Kannur 670001 • **Kanpur**: 15/46 B Ground Floor Opp : Muir Mills Civil Lines Kanpur 208001 • **Karimnagar**: 2nd Shutterno. 7-2-607 Sri Matha Complex Mankammathota – Karimnagar 505001 • **Karnal**: 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal (Haryana) 132001 • **Karur**: No 88/11 Bb Plaza Nrmp Street K S Mess Back Side Karur 639002 • **Khammam**: 11-4-3/3 Shop No. S-9 1st Floor Srivenkata Sairam Arcade Old Cpi Office Near Priyadarshini Collegenehru Nagar Khammam 507002 • **Kharagpur**: Holding No 254/220 Sbi Building Malancha Road Ward No.16 Po: Kharagpur Ps: Kharagpur Dist: Paschim Medinipur Kharagpur 721304 • **Kolhapur**: 605/1/4 E Ward Shahupuri 2nd Lane Laxmi Niwas Near Sultane Chambers Kolhapur 416001 • **Kolkata**: 2/1 Russel Street 4thfloor Kankaria Centre Kolkata 70001 Wb • **Kollam**: Sree Vigneswara Bhavan Shastri Junction Kollam - 691001 • **Korba**: Office No.202, 2nd floor, ICRC, QUBE, 97, T.P. Nagar, Korba -495677 • **Kota**: D-8 Shri Ram Complex Opposite Multi Purpose School Gumanpur Kota 324007 • **Kottayam**: 1St Floor Csiascension Square Railway Station Road Collectorate P O Kottayam 686002 • **Kurnool**: Shop No: 47 2nd Floor S Komda Shoping Mall Kurnool 518001 • **Lucknow**: Ist Floor A. A. Complex 5 Park Road Hazratganj Thaper House Lucknow 226001 • **Ludhiana**: Sco 122 Second Floor Above Hdfc Mutual Fun Feroze Gandhi Market Ludhiana 141001 • **Madurai**: No. G-16/17 Ar Plaza 1St Floor North Veli Street Madurai 625001 • **Malda**: Ram Krishna Pally; Ground Floor English Bazar - Malda 732101 • **Mandi**: House No. 99/11 3Rd Floor Opposite Gss Boy School School Bazar Mandi 175001 • **Mangalore**: Shop No - 305 Marian Paradise Plaza 3Rd Floor Bunts Hostel Road Mangalore - 575003 Dakshina Kannada Karnataka • **Margao**: Shop No 21 Osia Mall 1St Floor Near Ktc Bus Stand Sgdpa Market Complex Margao - 403601 • **Mathura**: Shop No. 9 Ground Floor Vihari Lal Plaza Opposite Brijwasi Centrum Near New Bus Stand Mathura 281001 • **Meerut**: Shop No:- 111 First Floor Shivam Plaza Near Canara Bank Opposite Eves Petrol Pump Meerut-250001 Uttar Pradesh India • **Mehsana**: FF-21 Someshwar Shopping Mall Modhera Char Rasta - Mehsana 384002 • **Mirzapur**: Triveni Campus Near Sbi Life Ratanganj Mirzapur 231001 • **Moga**: 1St Floordutt Road Mandir Wali Gali Civil Lines Barat Ghar Moga 142001 • **Moradabad**: Chadha Complex G. M. D. Road Near Tadi Khana Chowk Moradabad 244001 • **Morena**: House No. Hig 959 Near Court Front Of Dr. Lal Lab Old Housing Board Colony Morena 476001 • **Mumbai**: 6/8 Ground Floor Crossley House Near Bse (Bombay Stock Exchange)Next Union Bank Fort Mumbai - 400001 • **Muzaffarpur**: First Floor Saroj Complex Diwam Road Near Kalyani Chowk Muzaffarpur 842001 • **Mysore**: No 2924 2nd Floor 1St Main 5Th Cross Saraswathi Puram Mysore 570009 • **Nadiad**: 311-3Rd Floor City Center Near Paras Circle - Nadiad 387001 • **Nagerkoil**: Hno 45 1St Floor East Car Street Nagercoil 629001 • **Nagpur**: Plot No. 2 Block No. B / 1 & 2 Shree Apratment Khare Town Mata Mandir Road Dharampeth Nagpur 440010 • **Nanded**: Shop No.4 Santakripa Market G G Road Opp.Bank Of India Nanded 431601 • **Nasik**: S-9 Second Floor Suyojit Sankul Sharanpur Road Nasik 422002 • **Navsari**: 103 1St Floore Landmark Mall Near Sayaji Library Navsari Gujarat Navsari 396445 • **Nellore**: 24-6-326/1, Ibaco Building 4th Floor, Grand Truck road, Beside Hotel Minerva, Saraswathi Nagar, Dargamitta Nellore - 524003 • **New Delhi**: 305 New Delhi House 27 Barakhamba Road - New Delhi 110001 • **Noida**: F-21 2nd Floor Near Kalyan Jewelers Sector-18 Noida 201301 • **Palghat**: No: 20 & 21 Metro Complex H.P.O.Road Palakkad H.P.O.Road Palakkad 678001 • **Panipat**: Shop No. 20 1St Floor Bmk Market Behind Hive Hotel G.T.Road Panipat 132103 Haryana • **Panjim**: H. No: T-9 T-10 Affran Plaza 3Rd Floor Near Don Bosco High School Panjim 403001 • **Pathankot**: 2nd Floor Sahni Arcade Complex Adj.Indra Colony Gate Railway Road Pathankot Pathankot 145001 • **Patiala**: B 17/423 Lower Mall Patiala Opp Modi College Patiala 147001 • **Patna**: 3A 3Rd Floor Anand Tower Exhibition Road Opp Icici Bank Patna 800001 • **Pondicherry**: No 122(10B) Muthumariamman Koil Street - Pondicherry 605001 • **Pune**: Office # 207-210 Second Floor Kamla Arcade Jm Road. Opposite Balgandharva Shivaji Nagar Pune 411005 • **Raipur**: Office No S-13 Second Floor Reheja Tower Fafadih Chowk Jail Road Raipur 492001• **Rajahmundry**: No. 46-23-10/A Tirumala Arcade 2nd Floor Ganuga Veedhi Danavaipeta Rajahmundry East Godavari Dist Ap - 533103 • **Rajkot**: 302 Metro Plaza Near Moti Tanki Chowk Rajkot Rajkot Gujarat 360001 • **Ranchi**: Room no 103, 1st Floor, Commerce Tower,Beside Mahabir Tower,Main Road, Ranchi -834001• **Ratlam**: 106 Rajaswa Colony, Near Sailana Bus Stand, Ratlam (M.P.) 457001 • **Rewa**: Shop No. 2 Shree Sai Anmol Complex Ground Floor Opp Teerth Memorial Hospital Rewa 486001 • **Rohtak**: Office No: - 61 First Floor Ashoka Plaza Delhi Road Rohtak 124001 • **Roorkee**: Shree Ashadeep Complex 16 Civil Lines Near Income Tax Office Roorkee 247667 • **Rourkela**: 2nd Floor Main Road Udit Nagar Sundargarh Rourekla 769012 • **Sagar**: 1st Floor Above Shiva Kanch Mandir. 5 Civil Lines Sagar Sagar 470002 • **Saharanpur**: Ist Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur, Uttar Pradesh, Pincode 247001• **Salem**: No.6 Ns Complex Omalur Main Road Salem 636009 • **Sambalpur**: First Floor; Shop No. 219 Sahej Plaza Golebazar; Sambalpur Sambalpur 768001• **Satara**: G7, 465 A, Govind Park Satar Bazaar, Satara - 415001 • **Satna**: 1St Floor Gopal Complex Near Bus Stand Rewa Roa Satna 485001 • **Shillong**: Annex Mani Bhawan Lower Thana Road Near R K M Lp School Shillong 793001 • **Shimla**: 1St Floor Hills View Complex Near Tara Hall Shimla 171001 • **Shimoga**: Jayarama Nilaya 2nd Corss Mission Compound Shimoga 577201 • **Shivpuri**: A. B. Road In Front Of Sawarkar Park Near Hotel Vanasthali Shivpuri 473551 • **Sikar**: First Floorsuper Tower Behind Ram Mandir Near Taparya Bagichi - Sikar 332001 • **Silchar**: N.N. Dutta Road Chowchakra Complex Premtala Silchar 788001 • **Siliguri**: Nanak Complex 2nd Floor Sevoke Road - Siliguri 734001 • **Solan**: Disha Complex 1St Floor Above Axis Bank Rajgarh Road Solan 173212 • **Solapur**: Shop No 106. Krishna Complex 477 Dakshin Kasaba Datta Chowk Solapur-413007 • **Sonepat**: Shop No. 205 Pp Tower Opp Income Tax Office Subhash Chowk Sonepat. 131001 • **Sri Ganganagar**: Address Shop No. 5 Opposite Bihani Petrol Pump Nh - 15 Near Baba Ramdev Mandir Sri Ganganagar 335001 • **Srikakulam**: D No 4-4-97 First Floor Behind Sri Vijayaganapathi Temple Pedda Relli Veedhi Palakonda Road Srikakulam 532001 • **Sultanpur**: 1St Floor Ramashanker Market Civil Line - Sultanpur 228001 • **Surat**: Ground Floor Empire State Building Near Udhna Darwaja Ring Road Surat 395002 • **Thane**: Room No. 302 3Rd Floorganga Prasad Near Rbl Bank Ltd Ram Maruti Cross Roadnaupada Thane West Mumbai 400602 • **Tinsukia**: 3rd Floor, Chirwapatty Road, Tinsukia-786125, Assam • **Tirunelveli**: 55/18 Jeney Building 2nd Floor S N Road Near Aravind Eye Hospital Tirunelveli 627001 • **Tirupathi**: Shop No: 18-1-421/F1 City Center K.T.Road Airtel Backside Office Tirupathi - 517501 • **Tiruvalla**: 2nd Floorerinjery Complex Ramanchira Opp Axis Bank Thiruvalla 689107 • **Trichur**: 4Th Floor Crown Tower Shakthan Nagar Opp. Head Post Office Thrissur 680001 • **Trichy**: No 23C/1 E V R Road Near Vekkaliamman Kalyana Mandapam Putthur - Trichy 620017 • **Trivandrum**: 1St Floor Marvel Building Opp Sl Electricals Uppalam Road Statue Po Trivandrum 695001 • **Tuticorin**: 4 - B A34 - A37 Mangalmal Mani Nagar Opp. Rajaji Park Palayamkottai Road Tuticorin 628003 • **Udaipur**: Shop No. 202 2nd Floor Business Centre 1C Madhuvan Opp G P O Chetak Circle Udaipur 313001 • **Ujjain**: Heritage Shop No. 227 87 Vishvavidhyalaya Marg Station Road Near Icici Bank Above Vishal Megha Mart Ujjain 456001 • **Valsad**: 406 Dreamland Arcade Opp Jade Blue Tithal Road Valsad 396001 • **Vapi**: A-8 Second Floor Solitaire Business Centre Opp Dcb Bank Gidc Char Rasta Silvassa Road Vapi 396191 • **Varanasi**: D-64/132 Ka 2nd Floor Anant Complex Sigra Varanasi 221010 • **Vashi**: Vashi Plaza Shop No. 324 C Wing 1St Floor Sector 17 Vashi Mumbai 400705 • **Vellore**: No 2/19 1St Floor Vellore City Centre Anna Salai Vellore 632001 • **Vijayawada**: Hno26-23 1St Floor Sundarammastreet Gandhinagar Krishna Vijayawada 520010 • **Vile Parle**: Shop No.1 Ground Floor Dipti Jyothi Co-Operative Housing Society Near Mtnl Office P M Road Vile Parle East 400057 • **Visakhapatnam**: Dno : 48-10-40 Ground Floor Surya Ratna Arcade Srinagar Opp Roadto Lalitha Jeweller Showroom Beside Taj Hotel Ladge Visakhapatnam 530016 • **Warangal**: Shop No22 Ground Floor Warangal City Center 15-1-237 Mulugu Road Junction Warangal 506002 • **Yamuna** Nagar: B-V 185/A 2nd Floor Jagadri Road Near Dav Girls College (Uco Bank Building) Pyara Chowk - Yamuna Nagar 135001

SAMCO
MUTUAL FUND

Samco Mutual Fund

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Senapati Bapat Marg, Prabhadevi (West),
Mumbai - 400 013, India.

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SEBI Mutual Fund Registration no :
MF/077/21/03

Samco Asset Management Pvt. Ltd.

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