**Name of the Asset Management Company:**
ICICI Prudential Asset Management Company Limited
**Name of the Mutual Fund:** ICICI Prudential Mutual Fund

**KEY INFORMATION MEMORANDUM**
**ICICI Prudential Nifty Top 15 Equal Weight Index Fund**
(An open ended index scheme replicating Nifty Top 15 Equal Weight Index)

<table>
<tr><td colspan="3">This scheme is suitable for investors who are seeking*:</td></tr>
<tr><td><ul><li>Long term wealth creation solution</li><li>An index fund that seeks to track returns by investing in a basket of Nifty Top 15 Equal Weight Index stocks and aims to achieve returns of the stated index, subject to tracking error.</li></ul></td><td>Scheme Riskometer#<br></td><td>Benchmark Riskometer - (Nifty Top 15 Equal Weight TRI)<br></td></tr>
<tr><td colspan="3">*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.<br><br># It may be noted that the scheme risk-o-meter specified above is based on the internal assessment of the scheme characteristics and may vary post NFO when the actual investments are made. The same shall be updated on ongoing basis in accordance with clause 17.4 of the Master Circular on Mutual Funds dated June 27, 2024 (Master Circular).</td></tr>
</table>

Offer for Sale of Units of Face Value of Rs. 10/- during the New Fund Offer Period and Continuous offer of Units at NAV based prices.

New Fund Offer Opens on: June 10, 2025
New Fund Offer Closes on: June 24, 2025
Scheme Reopens:

The Scheme will re-open for continuous Sale and Repurchase within 5 business days from the date of allotment.

*In accordance with the Master circular the AMC reserves the right to modify the New Fund Offer Period, subject to the condition that NFO shall be open for a minimum of 3 working days and the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI. The AMC shall publish an addendum to this effect on the website of the AMC and in one national and one regional newspaper of region where the Head office of AMC is situated.

SCHEME CODE: ICIC/O/O/EIN/25/02/0135

| | |
|---|---|
| **Name of Mutual Fund** | ICICI Prudential Mutual Fund |
| **Name of Asset Management Company** | ICICI Prudential Asset Management Company Limited **(Corporate Identity Number: U99999DL1993PLC054135)** |
| **Address of the Asset Management Company** | **Registered Office:** 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi – 110 001 |
| | **Corporate Office:** One BKC, A Wing, 13th Floor, Bandra Kurla Complex, Mumbai 400051 |
| | **Central Service Office:** 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063 |
| **Name of the Trustee Company** | ICICI Prudential Trust Limited **(Corporate Identity Number: U74899DL1993PLC054134)** |
| **Address of the Trustee Company** | 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi – 110 001. |
| **Website** | www.icicipruamc.com |

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by AMC, Key Personnel, Investor's rights & services, risk factors, penalties & litigations etc. investor should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre or distributors or from the website www.icicipruamc.com.

**The particulars of ICICI Prudential Nifty Top 15 Equal Weight Index Fund (the Scheme) have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations 1996, (herein after referred to as SEBI (Mutual Funds) Regulations/MF Regulations/Regulations) as amended till date, and filed with SEBI, along with a Due Diligence Certificate from the AMC. The units being offered for public subscription have not been approved or recommended by SEBI nor has SEBI certified the accuracy or adequacy of the Scheme Information Document.**

Disclaimer of NSE Indices Limited (NSE Indices):

The Product(s) are not sponsored, endorsed, sold or promoted by NSE Indices Limited (" NSE Indices"). NSE Indices does not make any representation or warranty, express or implied, to the owners of the Product(s) or any member of the public regarding the advisability of investing in securities generally or in the Product(s) particularly or the ability of the Nifty Top 15 Equal Weight Index to track general stock market performance in India. The relationship of NSE Indices to the Issuer is only in respect of the licensing of certain trademarks and trade names of its Index which is determined, composed and calculated by NSE Indices without regard to the Issuer or the Product(s). NSE Indices does not have any obligation to take the needs of the Issuer or the owners of the Product(s) into consideration in determining, composing or calculating the Nifty Top 15 Equal Weight Index. NSE Indices is not responsible for or has participated in the determination of the timing of, prices at, or quantities of the Product(s) to be issued or in the determination or calculation of the equation by which the Product(s) is to be converted into cash. NSE Indices has no obligation or liability in connection with the administration, marketing or trading of the Product(s).

NSE Indices do not guarantee the accuracy and/or the completeness of the Nifty Top 15 Equal Weight Index or any data included therein and they shall have no liability for any errors, omissions, or interruptions therein. NSE Indices does not make any warranty, express or implied, as to results to be obtained by the Issuer, owners of the product(s), or any other person or entity from the use of the Nifty Top 15 Equal Weight Index or any data included therein. NSE Indices makes no express or implied warranties, and expressly disclaim all warranties of merchantability or fitness for a particular purpose or use with respect to the index or any data included therein. Without limiting any of the foregoing, NSE Indices expressly disclaim any and all liability for any damages or losses arising out of or related to the Products, including any and all direct, special, punitive, indirect, or consequential damages (including lost profits), even if notified of the possibility of such damages.

**This Key Information Memorandum is dated June 03, 2025**

**INVESTMENT OBJECTIVE:**

The objective of the Scheme is to invest in companies whose securities are included in Nifty Top 15 Equal Weight Index, subject to tracking errors, to endeavor to achieve the returns of the above index. This would be done by investing in all the stocks comprising the Nifty Top 15 Equal Weight Index in the same weightage that they represent in Nifty Top 15 Equal Weight Index.

However, there is no assurance or guarantee that the investment objective of the scheme shall be achieved.

**ASSET ALLOCATION PATTERN:**

Under normal circumstances, the asset allocation of the Scheme would be as follows:

| **Instruments** | **Indicative allocations (% of total assets)** | |
|---|---|---|
| | **Minimum** | **Maximum** |
| Equity and Equity related securities of companies constituting the underlying index (Nifty Top 15 Equal Weight Index) | 95 | 100 |
| Money Market instruments including TREPs* and Units of debt schemes# | 0 | 5 |

*or similar instruments as may be permitted by RBI/ SEBI, subject to requisite approvals from SEBI / RBI, if needed.

#Excluding subscription money in transit before deployment / payout.

The Cumulative Gross Exposure through, Equity, Debt, Derivatives and Money Market Instruments including TREPS and other permitted securities/assets and such other securities/assets as may be permitted by SEBI from time to time, if required, should not exceed 100% of the net assets of the scheme.

The Margin may be placed (for transactions such as placement of TREPS, Repo etc.) in the form of such relevant securities / instruments/cash as may be permitted/eligible to be placed as margin from the assets of the Scheme. The securities / instruments/cash so placed as margin shall be classified under the applicable category of assets for the purposes of asset allocation.

Exposure to various instruments will be as per the indicative table given below: (Below percentages shall be subject to applicable SEBI circulars):

| Sr. No. | Type of Instrument | Percentage of exposure | Circular references |
|---|---|---|---|
| 1. | Stock Lending | Up to 20% of net assets and single intermediary (broker) limit upto 5% of net assets | Paragraph 12.11 of the Master Circular |
| 2. | **Equity Derivatives – on underlying index (Stock / Index Futures, Stock / Index Options) (for non hedging) for rebalancing | NIL | Not Applicable |
| | Debt Derivatives (hedge & non hedge exposure) through instruments such as Interest rate swaps and forward rate agreements. | | |
| 3. | Securitized Debt | NIL | Not Applicable |
| 4. | Overseas Securities | | |
| 5. | Units of REITS and INVITS | | |
| 6. | AT1 and Tier II Bonds | NIL | Not Applicable |
| 7. | Structured Obligations and Credit Enhancements | Nil | Not applicable |

** The Scheme may take an exposure to equity derivatives of constituents of the underlying index for short duration when securities of the index are unavailable, insufficient or for rebalancing at the time of change in index or in case of corporate actions, as permitted subject to rebalancing within 7 calendar days (or as specified by SEBI from time to time).

**Rebalancing Period of deviation due to short term defensive consideration:**

- Any alteration in the investment pattern will be for a short term on defensive considerations as per paragraph 1.14.1.2.b of the Master Circular; the intention being at all times to protect the interests of the Unit Holders and the Scheme shall rebalance the portfolio within 7 calendar days. It may be noted that no prior intimation/indication will be given to investors when the composition/asset allocation pattern under the Scheme undergoes changes within the permitted band as indicated above.

**Portfolio rebalancing in case of passive breach:**

- In line with Clause 3.6.7 of the Master Circular in case of change in constituents of the index due to periodic review, the portfolio of Scheme shall be rebalanced within 7 calendar days. Further, any transactions undertaken in the portfolio of Index Schemes in order to meet the redemption and subscription obligations shall be done ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.

However, at all times the portfolio will adhere to the overall investment objectives of the Scheme. However, the same will be rectified at the earliest opportunity as may be available, but not later than 7 calendar days, to minimize the tracking error. The tracking error i.e. the annualized standard deviation of the difference in daily returns between the underlying index or goods and the NAV of the Index Fund based on past one year rolling data shall not exceed 2%. The same shall be in accordance with the master circular. In the event of involuntary corporate action, the scheme shall dispose the security not forming part of the underlying index within 7 calendar days from the date of allotment/listing. Provided further and subject to the above, any change in the asset allocation affecting the investment profile of the Scheme shall be effected only in accordance with the provisions of sub regulation (15A) of Regulation 18 read with sub-regulation (26) of Regulation 25 of the SEBI Regulations.

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. AMFI vide letter dated November 3, 2021 has clarified that Cash Equivalents shall consist of Government Securities, T-Bills and Repo on Government Securities.

Apart from the above investment restrictions, the Scheme may follow certain internal norms vis-à-vis limiting exposure to scrips, sectors etc, within the above mentioned restrictions, and these are subject to review from time to time.

Negative list: The Scheme will not invest/ have exposure in the following:

| Sr. No | Particulars |
|---|---|
| 1. | Repos in corporate debt securities; |
| 2. | Short selling of securities; |
| 3. | Unrated instruments (except TREPS/ Government Securities/ T-Bills / Repo and Reverse Repo in Government Securities); |
| 4. | Overseas securities; |
| 5. | Securitized debts; |
| 6. | Structured obligations. |
| 7. | Additional Tier I bonds and Tier 2 bonds having special features as per paragraph 12.2 of the Master Circular; |
| 8. | Credit Default Swaps transactions; and |
| 9. | Units of REITs and INVITs |
| 10. | Unlisted debt instrument; |
| 11. | Bespoke or complex debt products; and |
| 12. | Inter scheme transactions |

INVESTMENT STRATEGY:

The corpus of the Scheme will be invested in stocks constituting the respective benchmark of the Scheme i.e. Nifty Top 15 Equal Weight Index and a very small portion (0-5% of the Net Assets) of the scheme may be kept liquid to meet the liquidity and expense requirements. Further, the Scheme shall follow a passive investment strategy.

The performance of the Scheme may not commensurate with the performance of the respective benchmark of the Schemes on any given day or over any given period. Such variations are commonly referred to as the tracking error. The Scheme intends to maintain a low tracking error by actively managing the portfolio in line with the index. The tracking error i.e. the annualized standard deviation of the difference in daily returns between the underlying index or goods and the NAV of the Index Fund based on past one year rolling data shall not exceed 2%. The same shall be in accordance with the master circular.

The stocks comprising the Nifty Top 15 Equal Weight Index is periodically reviewed by NSE Indices. A particular stock may be dropped or new securities may be included as a constituent of the index. In such an event, the Scheme will endeavor to reallocate its portfolio but the available investment/ disinvestment opportunities may not permit precise mirroring of the index immediately. The portfolio shall be rebalanced within 7 calendar days to ensure adherence to the asset allocation norms of the Scheme. Similarly, in the event of a constituent stock being demerged / merged / delisted from the exchange, the Scheme will reallocate the portfolio and seek to minimize the variation from the index.

Further, the Scheme intends to participate in securities lending as permitted under the regulations.

Fixed Income Securities:

The scheme may invest 0-5% of the Net Assets in Money Market instruments including TREPs to meet the liquidity and redemption requirements, subject to regulatory approvals.

Implementation of Policies

The Scheme, will hold all of the securities that comprise the Underlying Index in the same proportion as the index. Expectation is that, over time, the tracking error of the Scheme relative to the performance of the Underlying Index will be relatively low. The tracking error i.e. the annualized standard deviation of the difference in daily returns between the underlying index or goods and the NAV of the Index Fund based on past one year rolling data shall not exceed 2%. The same shall be in accordance with the master circular.

The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error. There can be no assurance or guarantee that the Scheme will achieve any particular level of tracking error relative to performance of the Underlying Index.

Investment Process

The Scheme will track the Underlying Index and is a passively managed scheme. The investment Decisions will be determined as per the Underlying Index. In case of any change in the index due to corporate actions or change in the constituents of the Underlying Index (as communicated by the Index Service Provider), relevant investment decisions will be determined considering the composition of the Underlying Index.

The Investment decision of the Scheme will be carried out by the designated Fund Manager.

For detailed derivatives strategies and illustrations, please refer to SAI.

Risk Factors

Risks associated with investing in companies forming part of Nifty Top 15 Equal Weight Index

The scheme tracks the performance of the top 15 stocks from Nifty 50 index. The 15 stocks are selected from Nifty 50 index based on their 6-month average free-float market capitalization. The scheme by mandate invests in stocks of the underlying index which represents the Nifty Top 15 Equal Weight Index having 15 constituents and will therefore be subject to the risks associated with such concentration. The weightage of each stock is capped at the time of rebalancing of index, which may help in limiting concentration risk.

Market Risk

The Scheme's NAV will react to the stock market movements. The investor could lose money over short periods due to fluctuation in the Scheme's NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in stock prices and market movements, and over longer periods during market downturns.

Settlement Risk

In certain cases, settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell securities held in the Scheme portfolio may result, at times, in potential losses to the Scheme, and there can be a subsequent decline in the value of the securities held in the Scheme portfolio.

Passive Investments

The Scheme is not actively managed. The Scheme may be affected by a general decline in the Indian markets relating to its Underlying Index. The Scheme invests in the securities included in its Underlying Index regardless of their investment merit. The AMC does not attempt to individually select stocks or to take defensive positions in declining markets.

Portfolio Concentration Risk

To the extent that the Scheme may concentrate its investments in the securities of companies of certain companies/sectors, the Scheme will therefore be subject to the risks associated with such concentration. In addition, the Scheme may be exposed to higher levels of volatility and risk than would generally be the case in a more diverse fund portfolio of equity securities. Such risks may impact the Scheme to the extent that it invests in particular sectors even in cases where the investment objective is more generic.

Volatility Risk

The equity markets and derivative markets are volatile and the value of securities, derivative contracts and other instruments correlated with the equity markets may fluctuate dramatically from day to day. This volatility may cause the value of investment in the Scheme to decrease.

Redemption Risk

Investors should note that even though the Scheme is an open ended Scheme, subscription/redemptions directly with the Fund would be subject to minimum application amount.

Right to Limit Redemptions

The Trustee, in the general interest of the Unit holders of the Scheme offered in this Document and keeping in view the unforeseen circumstances / unusual market conditions, may limit the total number of Units which can be redeemed on any Business Day. The same shall be in accordance with paragraph 1.12 of SEBI Master circular for Mutual Funds dated June 27, 2024 (Restriction on redemption in Mutual Funds).

Tracking error risk
Factors such as the fees and expenses of the Scheme, Corporate Actions, Cash balance, changes to the Underlying Indices and regulatory policies may affect AMC's ability to achieve close correlation with the Underlying Index of each Scheme. The Scheme's returns may therefore deviate from those of its Underlying Index. "Tracking Error" is defined as the standard deviation of the difference between daily returns of the index and the NAV of the Scheme. However, the tracking error shall not exceed 2% and the shall be in accordance with the master circular.

The AMC would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error. However, this may vary due to various reasons mentioned below or any other reasons that may arise and particularly when the markets are very volatile.

- Expenditure incurred by the Scheme.
- The funds may not be invested at all times as it may keep a portion of the funds in cash to meet redemptions or expenses or for corporate actions of securities in the index.
- The underlying index reflects the prices of securities at close of business hours. However, the scheme may buy or sell the securities at different points of time during the trading session at the then prevailing prices which may not correspond to the closing prices.
- Index Service Provider undertakes the periodical review of the scrips that comprise the underlying index and may either drop or include new securities. In such an event, the scheme will endeavor to reallocate its portfolio but the available investment/ disinvestment opportunities may not permit precise mirroring of the index.
- The potential for trades to fail which may result in the Scheme not having acquired security at a price necessary to track the index.
- Securities trading may halt temporarily due to circuit filters.
- The holding of a cash/liquid asset position (0-5% of the Net Assets to meet the redemptions and other liquidity requirements) and accrued income prior to distribution and accrued expenses.
- Any delay experienced in the purchase or sale of shares due to illiquidity of the market, settlement and realization of sale proceeds and the registration of any securities transferred and any delays in receiving cash and scrip dividends and resulting delays in reinvesting them.
- Rounding off quantity of shares underlying the index.
- Corporate actions such as rights, merger, change in constituents etc.
- Disinvestments to meet redemptions, recurring expenses, dividend payouts etc.

Risk associated with investing in Equities

- Investors may note that AMC/Fund Manager's investment decisions may not be always profitable, as actual market movements may be at variance with anticipated trends. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of these investments. Different segments of the Indian financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances. The inability of the Schemes to make intended securities purchases due to settlement problems could cause the Schemes to miss certain investment opportunities.

- The value of the Schemes' investments, may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government, taxation laws or any other appropriate authority policies and other political and economic developments which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets. Consequently, the NAV of the Units of the Schemes may fluctuate and can go up or down.

- The Mutual Fund may not be able to sell securities, which can lead to temporary illiquidity. There are risks inherent in securities lending, including the risk of failure of the other party, in this case the approved intermediary to comply with the terms of the agreement. Such failure can result in a possible loss of rights to be collateral, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of corporate benefits accruing thereon.

- Investors may note that the dividend is due only when declared and there is no assurance that a company (even though it may have a track record of payment of dividend in the past) may continue paying dividend in future. As such, the schemes are vulnerable to instances where investments in securities may not earn dividend or where lesser dividend is declared by a company in subsequent years in which investments are made by the scheme. As the profitability of companies are likely to vary and have a material bearing on their ability to declare and pay dividend, the performance of the schemes may be adversely affected due to such factors.

- While securities that are listed on the stock exchange carry lower liquidity risk, the ability to sell these investments is limited by the overall trading volume on the stock exchanges. The liquidity of the Schemes' investments is inherently restricted by trading volumes in the securities in which it invests.

- Fund manager endeavors to generate returns based on certain past statistical trend. The performance of the schemes may get affected if there is a change in the said trend. There can be no assurance that such historical trends will continue.

- The schemes are also vulnerable to movements in the prices of securities invested by the schemes which again could have a material bearing on the overall returns from the schemes. These stocks, at times, may be relatively less liquid as compared to growth stocks.

- Changes in Government policy in general and changes in tax benefits applicable to mutual funds may impact the returns to investors in the Schemes or business prospects of the Company in any particular sector.

Risks associated with investing in Fixed Income and Money Market securities:

1) Market Risk: The Net Asset Value (NAV) of the Scheme(s), to the extent invested in Fixed Income and Money Market securities, will be affected by changes in the general level of interest rates. The NAV of the Scheme(s) is expected to increase from a fall in interest rates while it would be adversely affected by an increase in the level of interest rates.

2) Liquidity Risk: The liquidity of a security may change depending on market conditions leading to changes in the liquidity premium linked to the price of the security. At the time of selling the security, the security can become illiquid leading to loss in the value of the portfolio. Money market securities, while fairly liquid, lack a well-developed secondary market, which may restrict the selling ability of the Scheme(s) and may lead to the Scheme(s) incurring losses till the security is finally sold.

3) Credit Risk: Investments in fixed income securities are subject to the risk of an issuer's inability to meet interest and principal payments on its obligations and market perception of the creditworthiness of the issuer.

4) Price Risk: Government securities where a fixed return is offered run price-risk like any other fixed income security. Generally, when interest rates rise, prices of fixed income securities fall and when interest rates drop, the prices increase. The extent of fall or rise in the prices is a function of the existing coupon, days to maturity and the increase or decrease in the level of interest rates. The new level of interest rate is determined by the rates at which government raises new money and/or the price levels at which the market is already dealing in existing securities. The price-risk is not unique to Government Securities. It exists for all fixed income securities. However, Government Securities are unique in the sense that their credit risk generally remains zero. Therefore, their prices are influenced only by movement in interest rates in the financial system.

5) Reinvestment Risk: This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The additional income from reinvestment is the "interest on interest" component. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.

6) Settlement risk: The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities. By the same rationale, the inability to sell securities held in the Schemes' portfolio due to the extraneous factors that may impact liquidity would result, at times, in potential losses to the Plan, in case of a subsequent decline in the value of securities held in the Schemes' portfolio.

7) Regulatory Risk: Changes in government policy in general and changes in tax benefits applicable to Mutual Funds may impact the returns to investors in the Scheme.

8) Risks associated with investment in unlisted securities: Except for any security of an associate or group company, the underlying scheme(s) have the power to invest in securities which are not listed on a stock exchange or receive unlisted securities which in general are subject to greater price fluctuations, less liquidity and greater risk than those which are traded in the open market. These securities may lack a liquid secondary market and there can be no assurance that the underlying scheme(s) will realise their investments in these securities at a fair value.

9) Different types of fixed income securities in which the Scheme(s) would invest as given in the Scheme Information Document carry different levels and types of risk. Accordingly, the Scheme(s) risk may increase or decrease depending upon its investment pattern. e.g. corporate bonds carry a higher level of risk than Government securities. Further even among corporate bonds, bonds, which are AAA rated, are comparatively less risky than bonds, which are AA rated.

10) The AMC may, considering the overall level of risk of the portfolio, invest in lower rated securities offering higher yields as well as zero coupon securities that offer attractive yields. This may increase the absolute level of risk of the portfolio.

11) As zero coupon securities do not provide periodic interest payments to the holder of the security, these securities are more sensitive to changes in interest rates. Therefore, the interest rate risk of zero coupon securities is higher. The AMC may choose to invest in zero coupon securities that offer attractive yields. This may increase the risk of the portfolio.

12) Securities, which are not quoted on the stock exchanges, are inherently illiquid in nature and carry a larger amount of liquidity risk, in comparison to securities that are listed on the exchanges or offer other exit options to the investor, including a put option. The AMC may choose to invest in unlisted securities that offer attractive yields. This may increase the risk of the portfolio.

13) The Scheme(s) at times may receive large number of redemption requests, leading to an asset-liability mismatch and therefore, requiring the investment manager to make a distress sale of the securities leading to realignment of the portfolio and consequently resulting in investment in lower yield instruments.

14) Scheme's performance may differ from the benchmark index to the extent of the investments held in the debt segment, as per the investment pattern indicated under normal circumstances.

15) Investment in unrated instruments may involve a risk of default or decline in market value higher than rated instruments due to adverse economic and issuer-specific developments. Such investments display increased price sensitivity to changing interest rates and to a deteriorating economic environment. The market values for unrated investments tends to be more volatile and such securities tend to be less liquid than rated debt securities.

Risk associated with investing in units of mutual funds

The scheme may make investments in units of mutual funds. Investments in schemes of mutual funds are subject to market risks and there is no assurance or guarantee that the objectives of the scheme will be achieved. Further, any investment in mutual funds is also subject to risk factors outlined in the offer document of the mutual fund and an adverse performance of a mutual fund scheme in which the scheme has made investments could adversely impact the scheme's performance and NAV of the scheme.

Risks associated with Investing in Tri Party Repo through CCIL (TREPS)

The mutual fund is a member of securities segment and Tri-party Repo trade settlement of the Clearing Corporation of India (CCIL). All transactions of the mutual fund in government securities and in Tri-party Repo trades are settled centrally through the infrastructure and settlement systems provided by CCIL; thus reducing the settlement and counterparty risks considerably for transactions in the said segments.

CCIL maintains prefunded resources in all the clearing segments to cover potential losses arising from the default member. In the event of a clearing member failing to honour his settlement obligations, the default Fund is utilized to complete the settlement. The sequence in which the above resources are used is known as the "Default Waterfall".

As per the waterfall mechanism, after the defaulter's margins and the defaulter's contribution to the default fund have been appropriated, CCIL's contribution is used to meet the losses. Post utilization of CCIL's contribution if there is a residual loss, it is appropriated from the default fund contributions of the non-defaulting members.

Thus the scheme is subject to risk of the initial margin and default fund contribution being invoked in the event of failure of any settlement obligations. In addition, the fund contribution is allowed to be used to meet the residual loss in case of default by the other clearing member (the defaulting member).

However, it may be noted that a member shall have the right to submit resignation from the membership of the Security segment if it has taken a loss through replenishment of its contribution to the default fund for the segments and a loss threshold as notified have been reached. The maximum contribution of a member towards replenishment of its contribution to the default fund in the 7 days (30 days in case of securities segment) period immediately after the afore-mentioned loss threshold having been reached shall not exceed 5 times of its contribution to the Default Fund based on the last re-computation of the Default Fund or specified amount, whichever is lower.

Further, it may be noted that, CCIL periodically prescribes a list of securities eligible for contributions as collateral by members. Presently, all Central Government securities and Treasury bills are accepted as collateral by CCIL. The risk factors may undergo change in case the CCIL notifies securities other than Government of India securities as eligible for contribution as collateral.

Risks associated with Investing in Derivatives

The Schemes may use various derivative products as permitted by the Regulations. Use of derivatives requires an understanding of not only the underlying instrument but also of the derivative itself. Other risks include the risk of mis-pricing or improper valuation and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.

The scheme may use derivatives instruments like Stock Index Futures or other derivative instruments for the purpose of portfolio balancing, as permitted under the Regulations and guidelines. Usage of derivatives will expose the Schemes to certain risks inherent to such derivatives.

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

Thus, derivatives are highly leveraged instruments. Even a small price movement in the underlying security could have a large impact on their value.

The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.

The specific risk factors arising out of a derivative strategy used by the Fund Manager may be as follows:

- The risk of mispricing or improper valuation and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.
- Execution Risk: The prices which are seen on the screen need not be the same at which execution will take place.
- Basis Risk: This risk arises when the derivative instrument used to hedge the underlying asset does not match the movement of the underlying asset being hedged.
- Exchanges could raise the initial margin, variation margin or other forms of margin on derivative contracts, impose one sided margins or insist that margins be placed in cash. All of these might force positions to be unwound at a loss and might materially impact returns.
- The derivative contracts at times are undertaken with various counterparties. These counterparties may not be able to meet the obligations under such derivative contracts. This would lead to credit risk in derivative transactions, Hence, derivative trades are undertaken with approved counterparties or through exchanges. This mitigates credit risk on derivative transactions.

Risks associated with Stock Lending

Stock lending is lending of securities through an approved intermediary to a borrower under an agreement for a specified period with the condition that the borrower will return equivalent securities of the same type or class at the end of the specified period along with the corporate benefits accruing on the securities borrowed.

The risks in security lending consist of the failure of intermediary / counterparty, to comply with the terms of agreement entered into between the lender of securities i.e. the Scheme and the intermediary / counterparty. Such failure to comply can result in the possible loss of rights in the collateral put up by the borrower of the securities, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of any corporate benefits accruing to the lender from the securities deposited with the approved intermediary. The scheme may not be able to sell lent out securities, which can lead to temporary illiquidity & loss of opportunity.

Investors are requested to refer to section "How will the Scheme allocate its assets?" for maximum permissible exposure to Stock Lending.

Risk factors associated with creation of segregated portfolios

1. Liquidity risk – A segregated portfolio is created when a credit event occurs at an issuer level in the scheme. This may reduce the liquidity of the security issued by the said issuer, as demand for this security may reduce. This is also further accentuated by the lack of secondary market liquidity for corporate papers in India. As per SEBI norms, the scheme is to be closed for redemption and subscriptions until the segregated portfolio is created, running the risk of investors being unable to redeem their investments. However, it may be noted that, the proposed segregated portfolio is required to be formed within one day from the occurrence of the credit event.

   Investors may note that no redemption and subscription shall be allowed in the segregated portfolio. However, in order to facilitate exit to unit holders in segregated portfolio, AMC shall list the units of the segregated portfolio on a recognized stock exchange within 10 working days of creation of segregated portfolio and also enable transfer of such units on receipt of transfer requests. For the units listed on the exchange, it is possible that the market price at which the units are traded may be at a discount to the NAV of such Units. There is no assurance that a deep secondary market will develop for units of segregated portfolio listed on the stock exchange. This could limit the ability of the investors to resell them.

2. Valuation risk - The valuation of the securities in the segregated portfolio is required to be carried out in line with the applicable SEBI guidelines. However, it may be difficult to ascertain the fair value of the securities due to absence of an active secondary market and difficulty to price in qualitative factors.

Risks related to Index Schemes

- Performance of the Index will have a direct bearing on the performance of the Scheme. In the event when the index is dissolved or is withdrawn, the Trustee reserves a right to modify the Scheme so as to track a different and suitable index and appropriate intimation will be sent to the unitholders of the Scheme. In such a case, the investment pattern will be modified suitably to match the composition of the securities that are included in the new index to be tracked and the Scheme will be subject to tracking errors during the intervening period.

- In case of investments in derivative instruments like index futures, the risk/ reward would be the same as investments in portfolio of shares representing an index. However, there may be a cost attached to buying an index future. Further, there could be an element of settlement risk, which could be different from the risk in settling physical shares and there is a risk attached to the liquidity and the depth of the index futures market as it is relatively new market for index and also it is relatively less popular as compared to the index.

- Currency Risk: Companies within the index may have exposure to foreign currencies through international sales, imports, or foreign exchange contracts. Currency fluctuations can impact the earnings and cash flows of these companies, affecting the performance of the scheme.

- Regulatory and Environmental Risk: Companies within the index may be subjected to regulatory requirements related to environmental protection, safety standards, labor practices, and land acquisition. Changes in regulations or compliance issues can affect production costs, supply chains, and profitability, impacting the scheme's performance.

- Technological Disruption: Advances in technology, such as automation, robotics, and renewable energy, can disrupt supply chains, affecting the competitiveness and profitability of the companies held in the index which will have a direct bearing on the performance of the Scheme.

- Corporate Governance Risks: Weak corporate governance practices, such as inadequate board oversight, conflicts of interest, or related-party transactions, can undermine shareholder value and increase the risk of fraud, mismanagement, or regulatory scrutiny, affecting the scheme's returns.

**Plans available under the Scheme:**

- ICICI Prudential Nifty Top 15 Equal Weight Index Fund-Direct Plan
- ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan

**Options under each Plan(s):**

- Growth
- Income Distribution cum Capital Withdrawal (IDCW)
  - ✓ IDCW Payout
  - ✓ IDCW Reinvestment

Including Default option/ facility (as applicable) are as follows:

| | |
|---|---|
| **Plans** | • ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan and<br>• ICICI Prudential Nifty Top 15 Equal Weight Index Fund-Regular Plan |
| **Default Plan (If no plan is selected)** | • If broker code is not mentioned the default plan is ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan<br>• If broker code is mentioned the default plan is ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan |
| **Default Plan (in certain circumstances)** | • If ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan is opted, but ARN code is also stated, then application would be processed under ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan<br>• If ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan is opted, but ARN code is not stated, then the application would be processed under ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan |
| **Default Option** | Growth Option |
| **Default sub option** | IDCW Reinvestment |

For details with respect to AMFI Best Practices Guidelines dated February 2, 2024 on treatment of applications received with invalid ARNs or ARNs subsequently found to be invalid, investors are requested to refer to the relevant provisions of the SAI.

The Trustee reserves the right to add any other options/ sub-options under the Scheme.

For detailed disclosure on default plans and options, kindly refer SAI.

**Applicable NAV**

**For Purchase of any amount:**

- In respect of valid applications received upto 3.00 p.m. and where the funds for the entire amount are available for utilization before the cut-off time i.e. 3.00 p.m. - the closing NAV of the day shall be applicable.

- In respect of valid applications received after 3.00 p.m. and where the funds for the entire amount are available for utilization on the same day or before the cut-off time of the next business day - the closing NAV of the next Business Day shall be applicable.

- Irrespective of the time of receipt of application, where the funds for the entire amount are available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.

**For Switch-ins of any amount:**

In case of switch from one scheme to another scheme received before cut-off i.e. upto 3 p.m. having business day for both the schemes, closing NAV of the Business Day shall be applicable for switch-out scheme and for Switch-in scheme, the closing NAV of the Business Day shall be applicable, on which funds are available for utilization in the switch-in scheme (allocation shall be in line with the redemption payout).

To clarify, for investments through systematic investment routes such as Systematic Investment Plans (SIP), Systematic Transfer Plans (STP), Flex STP, Capital Appreciation STP, IDCW Transfer, Trigger etc. the units will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the installment date of the SIP, STP or record date of IDCW etc.

*- "Switch Out" shall be treated as redemption application and accordingly, closing NAV of the day will be applicable based on the cut-off time for redemption followed for various type of schemes.*

*- "Switch In" shall be treated as purchase application and accordingly for unit allotment, closing NAV of the day will be applicable on which the funds are available for utilization.*

**Redemptions including switch-outs:**

In respect of valid applications received upto 3.00 pm on a business day by the Mutual Fund, same day's closing NAV shall be applicable.

In respect of valid applications received after the cut off time by the Mutual Fund: the closing NAV of the next business day.

e.g.: If an investor submits redemption request at 3.00 pm on Monday, the same shall be processed at the closing NAV of Monday. If an investor submits redemption request at 3.30 pm on Monday, the same shall be processed at the closing NAV of Tuesday.

**New Fund Offer period**

New Fund Offer Opens on: June 10, 2025
New Fund Offer Closes on: June 24, 2025

In accordance with the Master circular the AMC reserves the right to modify the New Fund Offer Period, subject to the condition that NFO shall be open for a minimum of 3 working days and the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI. The AMC shall publish an addendum to this effect on the website of the AMC and in one national and one regional newspaper of region where the Head office of AMC is situated.

Outstation Cheques, Banker's Cheque and Demand Drafts will not be accepted.

MICR cheques will be accepted till the end of business hours up to June 24, 2025.

Real Time Gross Settlement (RTGS) / Other Electronic Payment requests and Transfer cheques will be accepted till the end of business hours up to June 24, 2025.

Switch-in requests from equity and other schemes will be accepted up to June 24, 2025 till the cut-off time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Advantage Fund (FOF), ICICI Prudential Nasdaq 100 Index Fund, ICICI Prudential Strategic Metal & Energy Equity Fund of Fund, ICICI Prudential Global Stable Equity Fund (FOF) ICICI Prudential India Equity FOF and ICICI Prudential Thematic Advantage Fund (FOF) will not be accepted.

**New Fund offer price**

The corpus of the Scheme will be divided into Units having an initial value of Rs. 10 each. Units can be purchased during the New Fund Offer Period at Rs. 10 each.

**Minimum Application Amount**

During NFO: Rs. 1000/- plus in multiple of Re.1

During ongoing:

Minimum application amount Rs. 1000/- (plus in multiple of Re. 1/-)
Minimum application amount for switch ins – Rs. 1000/- and any amount thereafter.

Minimum additional application amount: Rs. 1000/- (plus in multiple of Re. 1/-).

Minimum additional application amount for switch ins – Rs. 1000 and any amount thereafter.

Minimum Redemption Amount:
Any amount

For applications under systematic transactions, investors should refer to the details regarding the amount in the section 'Special product/facility available on ongoing basis'.

Dispatch of redemption proceeds:

As per the Regulations, the redemption proceeds shall be dispatched to the unit holders within 3 business Days of receiving the redemption request subject to exceptional situations and additional timelines for redemption payments provided by AMFI vide its letter no. AMFI/ 35P/ MEM-COR/ 74 / 2022-23 dated January 16, 2023". A penal interest of 15% p.a. or such other rate as may be prescribed by SEBI from time to time, will be paid in case the payment of redemption proceeds is not made within the stipulated timelines.

**Benchmark Index**

The performance of the Scheme will be benchmarked against Nifty Top 15 Equal Weight TRI.

The composition of the benchmark is such that, it is most suited for comparing performance of the Scheme. The Trustees reserves the right to change the benchmark in future, if a benchmark better suited to the investment objective of the Scheme is available.

IDCW POLICY:

**(i) Growth Option**

The Scheme will not declare any IDCW under this option. The income earned by the Scheme will remain reinvested in the Scheme and will be reflected in the Net Asset Value. This option is suitable for investors who are not looking for regular income but who have invested with the intention of capital appreciation.

**(ii) IDCW Option**

This option is suitable for investors seeking income through IDCW declared by the Scheme. The Trustee may approve the distribution of IDCW by AMC out of the net surplus under this Option. The remaining net surplus after considering the IDCW and tax, if any, payable there on will be ploughed back in the Scheme and be reflected in the NAV.

**(iii) IDCW Payout:**

As per the SEBI (MF) Regulations, the IDCW warrants shall be dispatched to the Unit Holders, within 7 business days from the record date. IDCW will be payable to those Unit Holders whose names appear in the Register of Unit Holders on the date (Record Date). IDCW will be paid by cheque, net of taxes as may be applicable. Unit Holders will also have the option of direct payment of IDCW to the bank account. The cheques will be drawn in the name of the sole/first holder and will be posted to the Registered address of the sole/first holder as indicated in the original application form. To safeguard the interest of Unit Holders from loss or theft of IDCW cheques, investors should provide the name of their bank, branch and account number in the application form. IDCW cheques will be sent to the Unit Holder after incorporating such information. The minimum amount for IDCW payout shall be Rs.100, else IDCW would be mandatorily reinvested.

**(iv) IDCW Reinvestment:**

The investors opting for IDCW Option may choose to reinvest the IDCW to be received by them in additional Units of the Scheme. Under this provision, the IDCW due and payable to the Unitholders will be compulsorily and without any further act by the Unitholders reinvested in the Scheme (under the IDCW Option, at the first ex- IDCW NAV). The IDCW so reinvested shall be constructive payment of IDCW to the Unitholders and constructive receipt of the same amount from each Unitholder for reinvestment in Units. On reinvestment of IDCW, the number of Units to the credit of Unitholder will increase to the extent of the IDCW reinvested W by the NAV applicable on the day of reinvestment, as explained above.

**(V) IDCW Transfer:**

IDCW Transfer facility will be available under the scheme.

The designated schemes (source and target schemes) for this facility are as given below:

Source schemes - all schemes where IDCW option is available [except (i) Exchange Traded Funds (ETFs) and (ii) separate plans under ICICI Prudential Overnight Fund for deployment of unclaimed amounts viz ICICI Prudential Overnight Fund – Unclaimed Redemption, ICICI Prudential Overnight Fund – Unclaimed IDCW, ICICI Prudential Overnight Fund - Unclaimed Redemption Investor Education and ICICI Prudential Overnight Fund - Unclaimed IDCW Investor Education]
Target schemes- all open ended schemes where subscription is allowed [except (i) Exchange Traded Funds (ETFs) and (ii) separate plans under ICICI Prudential Overnight Fund for deployment of unclaimed amounts viz ICICI Prudential Overnight Fund - Unclaimed Redemption, ICICI Prudential Overnight Fund – Unclaimed IDCW, ICICI Prudential Overnight Fund - Unclaimed Redemption Investor Education and ICICI Prudential Overnight Fund - Unclaimed IDCW Investor Education]

Note: Investors are requested to note that any change in IDCW sub-option, due to additional investment or on the basis of a request received from the investor, will be applicable to all existing units in the IDCW option of the Scheme under the respective folio.

The Trustee reserves the right to declare IDCW under the IDCW option of the Scheme depending on the net distributable surplus available under the Scheme. It should, however, be noted that actual distribution of IDCW and the frequency of distribution will depend, inter-alia, on the availability of distributable surplus and will be entirely at the discretion of the Trustee.

The IDCW will be distributed in accordance with Chapter 11 of the Master Circular on the procedure for IDCW Distribution.

Equalization Reserve: When units are sold, and sale price (NAV) is higher than face value of the unit, a portion of sale price that represents realized gains is credited to an Equalization Reserve Account and which can be used to pay IDCW. IDCW can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

NAME OF THE FUND MANAGER: Mr. Nishit Patel and Ms. Ashwini Shinde.
Since the Scheme is a new Scheme, tenure of the Fund Managers is not available.

**Name of the Trustee Company:** ICICI Prudential Trust Limited

**Performance of the Scheme:**

This Scheme is a new scheme and does not have any performance track record.

**Additional Scheme Related Disclosures**

I. Scheme's portfolio holdings: Since the Scheme is a new Scheme, Portfolio Holdings and Sector wise holdings are not available.

II. **DISCLOSURE OF NAME AND EXPOSURE TO TOP 7 ISSUERS, STOCKS, GROUPS AND SECTORS AS A PERCENTAGE OF NAV OF THE SCHEME IN CASE OF DEBT AND EQUITY ETFS/INDEX FUNDS THROUGH A FUNCTIONAL WEBSITE LINK THAT CONTAINS DETAILED DESCRIPTION –** Since the Scheme is a new Scheme, hence, above is not applicable.

III. **PORTFOLIO DISCLOSURE:**
Since the Scheme is a new Scheme, portfolio disclosures are not available.

IV. **SCHEME's PORTFOLIO TURNOVER RATIO:** Not Applicable

EXPENSES OF THE SCHEME:

**During NFO:** These expenses are incurred for the purpose of various activities related to the NFO like marketing and advertising, registrar expenses, printing and stationary, bank charges etc.

In accordance with the provisions of SEBI Circular, no New Fund Offer Expenses will be charged to the Scheme. The NFO expenses for launch of scheme will be borne by the AMC.

**Exit Load:**

Nil.

Any redemption/switch arising out of excess holding by an investor beyond 25% of the net assets of the Scheme in the manner envisaged under paragraph 6.11.1.1 (b) of the Master Circular, such redemption / switch will not be subject to exit load.

The exit load charged, if any, shall be credited back to the respective scheme. Goods and Services tax on exit load shall be paid out of the exit load proceeds and exit load net of Goods and Services tax shall be credited to the schemes.

Exit Load, if any, prevailing on the date of enrolment of SIP/ STP shall be levied in the Scheme.
Units issued on reinvestment of IDCWs shall not be subject to exit load.

The investor is requested to check the prevailing load structure of the Scheme before investing. For any change in load structure AMC will issue an addendum and display it on the website/Investor Service Centres. Any imposition or enhancement in the load shall be applicable on prospective investments only.

Recurring Expenses:

These are the fees and expenses for operating the Scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:

The AMC has estimated that upto 1 % of the daily net assets of the Scheme will be charged to the Scheme as expenses. For the actual current expenses being charged, the investor should refer to the website of the mutual fund. In case of any change in the expense ratio, the AMC would update the same on the website at least three working days prior to the effective date of the change. The requirement for disclosing such change would be subject to paragraph 10.1.8 of the Master Circular. Investor can refer Total Expense Ratio of Mutual Fund Schemes (icicipruamc.com) for Total Expense Ratio (TER) details.

**Details of Annual Scheme Recurring Expenses under the Scheme is as follows:**

| Particulars | **ICICI Prudential Nifty Top 15 Equal Weight Index Fund (% p.a. of net assets)** |
|---|---|
| Investment Management and Advisory Fees | Up to 1.00 |
| Audit Fees and expenses of trustees | |
| Custodian Fees | |
| Registrar & Transfer Agent Fees including cost of providing account statements/IDCW/redemption cheques/warrants | |
| Marketing & Selling Expenses including Agents Commission and statutory advertisement | |
| Cost related to investor communications | |
| Cost of fund transfer from location to location | |
| Cost towards investor education & awareness (2bps) | |
| Brokerage and transaction cost pertaining to distribution of units | |
| Goods and Services Tax on expenses other than investment and advisory fees | |
| Goods and Services Tax on brokerage and transaction cost | |
| Other Expenses* | |
| **Maximum total expense ratio (TER) permissible under Regulation 52 (6) (b)** | **Up to 1.00** |
| Additional expenses for gross new inflows from specified cities* (more specifically elaborated below) | Up to 0.30 |
| The aforesaid does not include Goods and Services Tax on investment management and advisory fees. The same is more specifically elaborated below. | |

The returns of the Direct Plan for the Scheme shall be exclusive of distribution commission.

*As permitted under the Regulation 52 of SEBI (MF) Regulations, 1996 and pursuant to paragraph 16.10.1 of the Master Circular, SEBI (Mutual Funds) Second Amendment Regulations, 2012 and SEBI (Mutual Funds) (Fourth Amendment) Regulations 2018.

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc as compared to other Plan and no commission for distribution of Units will be paid/ charged under Direct Plan.

All fees and expenses charged in a Direct Plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in Regular Plan.

The Scheme can charge expenses within overall maximum limits prescribed under SEBI (MF) Regulations, without any internal cap allocated to any of the expense heads specified in the above table.

Types of expenses charged shall be as per the SEBI (Mutual Funds) Regulations, 1996.

As per the Regulations, in case of ICICI Prudential Nifty Top 15 Equal Weight Index Fund, maximum recurring expenses that can be charged shall be restricted to 1.00% of daily net assets.

The above expense percentage excludes additional expenses that can be charged towards: i) 30 bps for gross new inflows from retail investors from specified cities and ii) Goods and Services Tax on investment management and advisory fees. The same is more specifically elaborated below.

Pursuant to paragraph 15.10.1 of the Master Circular, SEBI (Mutual Funds) Second Amendment Regulations, 2012 and SEBI (Mutual Funds) (Fourth Amendment) Regulations 2018, following additional costs or expenses may be charged to the scheme, namely:

(i) The AMC may charge Goods and Services Tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations, whereas Goods and Services Tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.

(ii) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from retail investors from B30 cities as specified by the Securities and Exchange Board of India, from time to time are at least -

- 30 per cent of the gross new inflows from retail investors from B30 cities into the scheme, or;
- 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher;

Provided that if inflows from retail investors from B30 cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis.

Provided further that expenses charged under this paragraph shall be utilised for distribution expenses incurred for bringing inflows from retail investors from B30 cities;

Provided further that amount incurred as expense on account of inflows from retail investors from B30 cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

For the above purposes, 'B30 cities' shall be beyond Top 30 cities as at the end of the previous financial year as communicated by AMFI. Retail investors would mean individual investors from whom inflows into the Scheme would amount upto Rs. 2,00,000/- per transaction.

Note - SEBI vide its letter no. SEBI/HO/IMD-SEC-3/P/OW/2023/5823/1 dated February 24, 2023 and AMFI letter dated No. 35P/ MEM-COR/ 85-a/ 2022-23 dated March 02, 2023 has directed AMCs to keep B-30 incentive structure in abeyance with effect from March 01, 2023 till further notice.

At least 1 basis point on daily net assets shall be annually set apart for investor education and awareness initiatives. The same shall be within limits specified under Regulation 52 of the SEBI (Mutual Funds) Regulation.

Brokerage and transaction cost incurred for the purpose of execution of trade shall be charged to the schemes as provided under Regulation 52 (6A) (a) upto 12 bps and 5 bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage and transaction costs, over and above the said 12 bps and 5 bps for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Goods and Services Tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the Regulations.

In terms of Regulation 52(1) of SEBI (Mutual Funds) Regulations, 1996, all scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.

Expenses shall be charged / borne in accordance with the Regulations prevailing from time to time.

Illustration Impact of expense ratio on scheme's return

| Particulars | Regular Plan | Direct Plan |
|---|---|---|
| Amount Invested at the beginning of the year | 10,000 | 10,000 |
| Returns before Expenses | 1,500 | 1,500 |
| Expenses other than Distribution Expenses | 150 | 150 |
| Distribution Expenses | 50 | - |
| Returns after Expenses at the end of the Year | 1300 | 1350 |

For calculating expense of ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan, distribution expenses will not be considered.

ACTUAL EXPENSES FOR THE PREVIOUS FINANCIAL YEAR:
Not Applicable as the Scheme is new.

TAX BENEFITS OF INVESTING IN THE MUTUAL FUND:
Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz. www.icicipruamc.com and also independently refer to the tax advisor.

PUBLICATION OF DAILY NET ASSET VALUE (NAV):

The AMC will calculate and disclose the first NAV within 5 business days from the date of allotment. The NAV will be calculated and disclosed at the close of every Business Day. NAV will be determined on every Business Day except in special circumstances. NAV of the scheme shall be:

- Prominently disclosed by the AMC under a separate head on the AMC's website https://www.icicipruamc.com/home by 11.00 p.m. on every business day.
- On the website of Association of Mutual Funds in India - AMFI (www.amfiindia.com) by 11.00 p.m. on every business day, and
- Shall be made available at all Customer Service Centres of the AMC.

In case of any delay, the reasons for such delay would be explained to AMFI and SEBI by the next day. If the NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.

FOR INVESTOR GRIEVANCES PLEASE CONTACT:

| Name and Address of Registrar | Name, address, telephone number, fax number, e-mail address of ICICI Prudential Mutual Fund |
|---|---|
| Computer Age Management Services Limited (CAMS), New No 10, Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road) Chennai - 600 034 | Mr. Rajen Kotak - Investor Relations Officer, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400 063<br>Tel No.: 022 26852000, Fax No.: 022-2686 8313<br>e-mail - enquiry@icicipruamc.com |

UNITHOLDERS' INFORMATION:

The AMC shall disclose portfolio of the scheme (along with ISIN) as on the last day of the month / half-year on AMC's website i.e. www.icicipruamc.com and on the website of AMFI within 10 days from the close of each month / half-year respectively. Mutual Funds/ AMCs shall send the details of the scheme portfolio while communicating the fortnightly, monthly and half-yearly statement of scheme portfolio via email or any other mode as may be communicated by SEBI/AMFI from time to time. The AMC shall provide a feature wherein a link is provided to the investors to their registered email address to enable the investor to directly view/download only the portfolio of schemes subscribed by the said investor. Since the Scheme is a new scheme, Top 10 holdings and sector wise holdings are not available.

The AMC shall publish an advertisement in all India edition of at least two daily newspapers, one each in English and Hindi, every half year disclosing the hosting of the half-yearly statement of the scheme's portfolio on the AMC's website and on the website of AMFI.

Risk-o-meter shall be evaluated on a monthly basis and Mutual Funds/AMCs shall disclose the Risk-o-meter along with portfolio disclosure for all their schemes on their respective website and on AMFI website within 10 days from the close of each month. Any change in risk-o-meter shall be communicated by way of Notice cum Addendum and by way of an e-mail or SMS to unitholders of that particular scheme.

The AMC shall send via email for the fortnightly statement of scheme portfolio within 5 days from the close of each fortnight and the monthly and half-yearly statement of scheme portfolio within 10 days from the close of each month / half-year respectively. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database.

The AMC shall provide a physical copy of the statement of scheme portfolio, without charging any cost, on specific request received from a unit holder.

In terms of Regulations 59 and paragraph 5.3 of the Master Circular, the AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website. The half-yearly unaudited report shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. Further, the AMC shall publish an advertisement disclosing the hosting of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated.

It is hereby notified that wherever the investor(s) has/have provided his/their e-mail address in the application form in any of the folio belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication for transactions done by the investor(s).

The Investor(s) may request for a physical account statement by writing or calling the Fund's Investor Service Centre/ Registrar & Transfer Agent. In case of specific request received from investor(s), the Fund shall endeavour to provide the account statement to the investor(s) within 5 business days from the receipt of such request.

SEEDING OF AADHAAR NUMBER
Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz. www.icicipruamc.com.

TRANSACTION CHARGES

No transaction charges to be levied on the investment amount from transactions/applications (including SIPs) received through distributors (i.e. for Regular Plans). Accordingly, payment of transaction charges to the distributors shall not be applicable under the Scheme.

Please refer to SAI for more details.

CONSOLIDATED ACCOUNT STATEMENT (CAS):

1. The Consolidated Account Statement (CAS) for each calendar month will be issued on or before fifteenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN). Further, CAS will be sent via email where any of the folios consolidated has an email id or to the email id of the first unit holder as per KYC records.

2. For folios not included in the Consolidated Account Statement (CAS), the AMC shall henceforth issue account statement to the investors on a monthly basis, pursuant to any financial transaction in such folios on or before fifteenth day of succeeding month. In case of a New Fund Offer Period (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and/or SMS's to the investor's registered address and/or mobile number not later than five business days from the date of closure of the NFO.

3. The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 Business Days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number.

4. In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investors within 5 business days from the receipt of such request.

5. In the case of joint holding in a folio, the first named Unit holder shall receive the CAS/account statement. The holding pattern has to be same in all folios across Mutual Funds for CAS.

Further, in case if no transaction has taken place in a folio during the period of six months ended September 30 and March 31, the CAS detailing the holdings across all Schemes of all mutual funds, shall be emailed at the registered email address of the unitholders on half yearly basis, on or before twenty first day of succeeding month, unless a specific request is made to receive the same in physical form.

The asset management company shall issue units in dematerialized form to a unit holder in a scheme within two business days of the receipt of request from the unit holder.

Each CAS issued to the investors shall also provide the total purchase value / cost of investment in each scheme.

Further, CAS shall be issued for the half-year (September/ March).

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

In case of the units are held in dematerialized (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations.

The AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

Note: The Scheme under this Scheme Information Document (SID) was approved by the Directors of ICICI Prudential Trust Limited on dated October 25, 2024. The Trustees have ensured that ICICI Prudential Nifty Top 15 Equal Weight Index Fund approved by them is a new product offered by ICICI Prudential Mutual Fund and is not a minor modification of the exiting Scheme/fund/product.

For and on behalf of the Board of Directors of
ICICI Prudential Asset Management Company Limited
Sd/-
Nimesh Shah
Managing Director

Place: Mumbai
Date: June 03, 2025

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ICICI PRUDENTIAL MUTUAL FUND

# ICICI PRUDENTIAL NIFTY TOP 15 EQUAL WEIGHT INDEX FUND

(An open ended index scheme replicating Nifty Top 15 Equal Weight Index)

Application No.

| | |
|---|---|
| NFO Opens on | June 10, 2025 |
| NFO Closes on | June 24, 2025 |

Application Form for Resident Indians and NRIs/PIOs. Investor must read Key Information Memorandum and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

ICICI Prudential Nifty Top 15 Equal Weight Index Fund (the Scheme) is suitable for investors who are seeking*:

- Long term wealth creation solution
- An index fund that seeks to track returns by investing in a basket of Nifty Top 15 Equal Weight Index stocks and aims to achieve returns of the stated index, subject to tracking error.

*Investors should consult their financial advisors if in doubt about whether the product is suitable for them

Scheme Riskometer

Benchmark : Nifty Top 15 Equal Weight TRI

Benchmark Riskometer

#It may be noted that the scheme risk-o-meter specified above is based on the internal assessment of the scheme characteristics and may vary post NFO when the actual investments are made. The same shall be updated on ongoing basis in accordance with clause 17.4 of the Master Circular on Mutual Funds dated June 27, 2024 (Master Circular).

| BROKER CODE (ARN CODE)/ (RIA/PMRN CODE) | SUB-BROKER ARN CODE | SUB-BROKER CODE (as allotted by ARN holder) | Employee Unique Identification No. (EUIN) |
|---|---|---|---|
| | | | |

#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction No. X). – I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.

| SIGNATURE OF SOLE / FIRST APPLICANT | SIGNATURE OF SECOND APPLICANT | SIGNATURE OF THIRD APPLICANT |
|---|---|---|
| | | |

Investor's name should be as per the PAN Card

## 1 EXISTING UNITHOLDERS INFORMATION

If you have an existing folio no. with PAN & KYC validation, please mention your name & folio No. and proceed to Step 4

Name: Mr. Ms. M/s. FOLIO No. /

## 2 APPLICANT(S) DETAILS (Please Refer to Instruction No. II (b)) (Applicant's name should be as per PAN)

SOLE / 1ST APPLICANT Mr. Ms. M/s. FIRST MIDDLE LAST

PAN/PEKRN* Enclosed (Please ✓)* ◯ KYC Acknowledgement Letter KYC Id No.¥ Date of Birth** D D M M Y Y Y Y

LEI Number — (Legal Entity Identifier Number is for Transaction value of INR 50 crore and above. See Instruction No. XVIII)

NAME OF GUARDIAN (in case First/Sole applicant is minor)/CONTACT PERSON-DESIGNATION/PoA HOLDER (in case of Non-Individual Investors)

Mr. Ms. FIRST MIDDLE LAST

PAN/PEKRN* ☐ KYC Proof Attached (Mandatory) Relationship with Minor applicant ◯ Natural guardian ◯ Court appointed guardian KYC Id No.¥ Date of Birth (Mandatory) D D M M Y Y Y Y

2ND APPLICANT

Mr. Ms. M/s. FIRST MIDDLE LAST

PAN/PEKRN* Enclosed (Please ✓)* ◯ KYC Acknowledgement Letter KYC Id No.¥ Date of Birth (Mandatory) D D M M Y Y Y Y

3RD APPLICANT

Mr. Ms. M/s. FIRST MIDDLE LAST

PAN/PEKRN* Enclosed (Please ✓)* ◯ KYC Acknowledgement Letter KYC Id No.¥ Date of Birth (Mandatory) D D M M Y Y Y Y

If mandatory information left blank, the application is liable to be rejected. ¥Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN).

## 3 BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT (Please Refer to Instruction No. V)

Mandatory information – if left blank the application is liable to be rejected (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here. Core Banking account (CBS) is mandatory

MANDATORY

Account Number — Account Type ◯ Savings ◯ Current ◯ NRO ◯ NRE ◯ FCNR

Name of Bank

Branch Name — Branch City

9 Digit MICR code — 11 Digit IFSC Code — Enclosed (Please ✓) ☐ Bank Account Details Proof Provided

## 4 YOUR INVESTMENT DETAILS OF ICICI PRUDENTIAL NIFTY TOP 15 EQUAL WEIGHT INDEX FUND

PLAN (Please tick ✓)

☐ ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan

☐ ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan

OPTIONS (Please tick ✓)

☐ Growth option

☐ IDCW Payout

☐ IDCW Reinvestment

Target Scheme for IDCW Transfer: Any of the open ended schemes of ICICI Prudential Mutual Fund in which the IDCW declared to be transferred from the source scheme.

Target Scheme Name & Plan: ICICI Prudential ______

Option & Sub-option: ______

## 5 PAYMENT DETAILS (ICICI Prudential Nifty Top 15 Equal Weight Index Fund)

The cheque should be drawn in favour of "ICICI Prudential Nifty Top 15 Equal Weight Index Fund" and crossed "Account Payee Only". The cheque should be payable at the centre where the application is lodged. For third party investment, refer instruction no. XIV.

Mode of Payment ○ Cheque ○ Funds Transfer ○ NEFT ○ RTGS

Investment Amount ₹ ______ Cheque Number ______ Date D D M M Y Y Y Y

BANK DETAILS : ☐ Same as above (Please tick (✓) if yes) ☐ Different from above (Please tick (✓) if it is different from above and fill in the details below)

A/c Number ______ Account Type ○ Savings ○ Current ○ NRE ○ NRO ○ FCNR

Name & Branch of Bank ______ Branch City ______

Mandatory Enclosures (Please tick (✓) if the first instalment is not through cheque) ○ Cheque Copy ○ Bank Statement ○ Banker's Attestation ______

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. Third Party Payment Declaration form is available in www.icicipruamc.com or ICICI Prudential Mutual Fund branch offices.

## 6 MODE OF HOLDING

○ Single ○ Joint ○ Anyone or Survivor (Default)

## 7 TAX STATUS (Please tick (✓))

☐ Resident Individual ☐ NRI ☐ Partnership FIRM ☐ Government Body ☐ FPI category I ☐ NPS Trust ☐ Bank
☐ On behalf of Minor ☐ Company ☐ AOP/BOI ☐ FPI category II ☐ NON Profit Organization/Charities ☐ FPI category III ☐ Mutual Funds
☐ HUF ☐ Body Corporate ☐ Private Limited Company ☐ Public limited company ☐ Mutual Funds FOF Schemes ☐ Defence Establishment
☐ Financial Institution ☐ Trust/Society/NGO ☐ Limited Partnership (LLP) ☐ Sole Proprietorship ☐ Others (Please specify) ______

## 8 DEMAT ACCOUNT DETAILS (Optional - Please refer instruction No. 8)

NSDL: Depository Participant (DP) ID (NSDL only) ______ Beneficiary Account Number (NSDL only) ______

CDSL: Depository Participant (DP) ID (CDSL only) ______

## 9 CORRESPONDENCE DETAILS

OF SOLE/FIRST APPLICANT:

Correspondence Address (Please provide full address)*

HOUSE / FLAT NO. ______ STREET ADDRESS ______ CITY / TOWN ______ STATE ______ COUNTRY ______ PINCODE ______

Overseas Address (Mandatory for NRI / FII Applicants)
(Please refer to the instruction No. II (b) 2)

HOUSE / FLAT NO. ______ STREET ADDRESS ______ CITY / TOWN ______ STATE ______ COUNTRY ______ PINCODE ______

Tel. Office ______ Residence ______

**First Unitholder:** Mobile ______ Email# ______

Mobile No.* provided pertains to: (Please tick (✓)) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID* provided pertains to: (Please tick (✓)) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

(* If any of above option is not ticked (✓) then [Self] option is considered as a default.)

**Second Unitholder:** Mobile ______ Email# ______

Mobile No. provided pertains to: (Please tick (✓)) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: (Please tick (✓)) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

**Third Unitholder:** Mobile ______ Email# ______

Mobile No. provided pertains to: (Please tick (✓)) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: (Please tick (✓)) ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

☐ Please tick (✓) if you wish to receive Annual Report or Abridged Summary via Post - (Default communication mode is E-mail) (Refer Instruction No.VI(a))

☐ Please tick (✓) if you wish to receive Account statement / Other statutory information via Post instead of Email (Refer Instruction No.VI(b))

Please ✓ any of the frequencies to receive **Account Statement through e-mail**# : ○ Daily ○ Weekly ○ Monthly ○ Quarterly ○ Half Yearly ○ Annually

* Mandatory information – If left blank the application is liable to be rejected.
** Mandatory in case the Sole/First applicant is minor and/or if investing in Retirement Fund. # For KYC requirements, please refer to the instruction Nos. II.b(5) & VII

† Name of Guardian/Contact Person is Mandatory in case of Minor/Non-individual investor. For documents to be submitted on behalf of minor folio refer instruction II-b(3)
# Please refer to instruction no. VII

## 10 FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) (Mandatory)

**Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II)**
The below information is required for all applicants/guardian

| | Place/City of Birth | Country of Birth | Country of Citizenship / Nationality |
|---|---|---|---|
| First Applicant / Guardian | | | ○ Indian ○ U.S. ○ Others (Please specify) ______ |
| Second Applicant | | | ○ Indian ○ U.S. ○ Others (Please specify) ______ |
| Third Applicant | | | ○ Indian ○ U.S. ○ Others (Please specify) ______ |

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ○ Yes ○ No (Please tick (✓))
If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident / Green Card Holder / Tax Resident in the respective countries.

| | Country of Tax Residency | Tax Identification Number or Functional Equivalent | Identification Type (TIN or other please specify) | If TIN is not available please tick (✓) the reason A, B or C (as defined below) |
|---|---|---|---|---|
| First Applicant / Guardian | | | | Reason : A☐ B☐ C☐ |
| Second Applicant | | | | Reason : A☐ B☐ C☐ |
| Third Applicant | | | | Reason : A☐ B☐ C☐ |

- Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
- Reason B → No TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)
- Reason C → Others, please state the reason thereof: ______

**Address Type of Sole/1st Holder:** ○ Residential ○ Registered Office ○ Business

**Address Type of 2nd Holder:** ○ Residential ○ Registered Office ○ Business

**Address Type of 3rd Holder:** ○ Residential ○ Registered Office ○ Business

**Annexure I** and **Annexure II** are available on the website of AMC i.e. www.icicipruamc.com or at the Investor Service Centres (ISCs) of ICICI Prudential Mutual Fund.

## 11 KYC DETAILS (Mandatory)

Occupation (Please tick (✓))

| | |
|---|---|
| Sole/First Applicant | ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business ○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others (Please specify) ______ |
| Second Applicant | ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business ○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others (Please specify) ______ |

## 11 KYC DETAILS (Mandatory, Contd.)

**Occupation** (Please tick (✓))

| | |
|---|---|
| Third Applicant | ○ Private Sector Service ○ Public Sector Service ○ Government Service ○ Business ○ Professional ○ Agriculturist ○ Retired ○ Housewife ○ Student ○ Forex Dealer ○ Others (Please specify) ________ |

**Gross Annual Income** (Please tick (✓))

| | |
|---|---|
| Sole/First Applicant | ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore<br>Net worth (Mandatory for Non-individuals) ₹ ________ as on D D M M Y Y Y Y (Not older than 1 year) |
| Second Applicant | ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore **OR** Net worth ₹ ________ |
| Third Applicant | ○ Below 1 Lac ○ 1-5 Lacs ○ 5-10 Lacs ○ 10-25 Lacs ○ >25 Lacs-1 crore ○ >1 crore **OR** Net worth ₹ ________ |

**PEP status** (Please tick (✓))

| | |
|---|---|
| Sole/First Applicant | **For Individuals** (Please tick (✓)) ○ I am Politically Exposed Person (PEP)* ○ I am Related to Politically Exposed Person (RPEP) ○ Not applicable |
| | **For Non-individuals** (Please tick (✓)) (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. XVII):<br>(i) Foreign Exchange / Money Changer Services - ○ YES ○ NO; (ii) Gaming /Gambling /Lottery/Casino Services - ○ YES ○ NO; (iii) Money Lending / Pawning - ○ YES ○ NO |
| Second Applicant | ○ Politically Exposed Person (PEP)* ○ Related to Politically Exposed Person (RPEP) ○ Not applicable |
| Third Applicant | ○ Politically Exposed Person (PEP)* ○ Related to Politically Exposed Person (RPEP) ○ Not applicable |

* (Also applicable for the authorised signatories/ Promoters /Karta /Trustee /Whole time Directors)

*PEP are defined as individuals who have been entrusted with prominent public functions by a foreign country, including the Heads of States or Governments, senior politicians, senior government/judicial/military officers, senior executives of state-owned corporations and important political party official are considered as PEP. Family members or close relatives of such individuals are considered as RPEP.*

As per the prevailing regulatory requirements, it is necessary to obtain approval of senior management of the AMC for establishing business relationship with PEPs and their close relatives/ accounts of family members. In case the applicant or its UBO is a PEP or RPEP, the application shall be processed subject to approval of the senior management of the AMC, which may take upto 2 business days.

## 12 NOMINATION DETAILS (Refer instruction V)

**NOMINEE (OPT-IN)** Details or **OPT-OUT Declaration** is **Mandatory** to process the application. Please choose from below **Option A** or **Option B** as appropriate. **(Refer instruction VI)**.

A) **FOR NOMINATION OPT-IN** I/WE HEREBY NOMINATE THE UNDERMENTIONED NOMINEE(S) TO RECEIVE THE AMOUNT TO MY/OUR CREDIT IN EVENT OF MY/OUR DEATH AS FOLLOWS:

**Nomination Details**

| | Nomination can be made upto three nominees in the account. | Details of 1st Nominee | Details of 2nd Nominee | Details of 3rd Nominee |
|---|---|---|---|---|
| | **Mandatory information** | | | |
| 1 | Name of the nominee(s) | Mr./Ms. | Mr./Ms. | Mr./Ms. |
| 2 | Share of each Nominee# | % | % | % |
| 3 | Date of Birth (in case Nominee is Minor) | dd-mm-yyyy | dd-mm-yyyy | dd-mm-yyyy |
| 4 | Relationship with the Applicant (select one) | ○ Spouse<br>○ Father<br>○ Mother<br>○ Daughter<br>○ Son<br>○ Others (please specify) ________ | ○ Spouse<br>○ Father<br>○ Mother<br>○ Daughter<br>○ Son<br>○ Others (please specify) ________ | ○ Spouse<br>○ Father<br>○ Mother<br>○ Daughter<br>○ Son<br>○ Others (please specify) ________ |
| 5 | Nominee/ Guardian (in case of Minor) identification details (Please tick any one of the following and provide ID Number and no copies required). | ☐ PAN ________<br>☐ Aadhaar (last 4 digits) **** **** ____<br>☐ Passport (for NRIs/OCIs/PIOs) ________<br>☐ Driving License ________ | ☐ PAN ________<br>☐ Aadhaar (last 4 digits) **** **** ____<br>☐ Passport (for NRIs/OCIs/PIOs) ________<br>☐ Driving License ________ | ☐ PAN ________<br>☐ Aadhaar (last 4 digits) **** **** ____<br>☐ Passport (for NRIs/OCIs/PIOs) ________<br>☐ Driving License ________ |
| 6 | Address of Nominee(s)/ Guardian in case of Minor<br>City / Place<br>State & Country | Pincode: | Pincode: | Pincode: |
| 7 | Mobile of nominee(s)/ Guardian in case of Minor | | | |
| 8 | Email ID of nominee(s)/ Guardian in case of Minor | | | |
| | **Non-mandatory details** | | | |
| 9 | Nominee Guardian Name (in case Nominee is Minor) | | | |

# Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the AMC as follows: (please tick, as appropriate)

◯ Name of nominee(s) with % ◯ Nomination: Yes / No (Default)

B) FOR NOMINATION OPT-OUT: ☐ (Please tick (✓) if the unit holder does not wish to nominate anyone)

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

| |
|---|
| Signature of First Unit holder |
| Signature of 2nd Unit holder |
| Signature of 3rd Unit holder |

## 12. NON-PROFIT ORGANIZATION (NPO) DECLARATION (Please Refer instruction no. XVI).

| | |
|---|---|
| We are falling under "Non-Profit Organization" (NPO) which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013) | ☐ Yes ☐ No |
| If yes, please quote Registration No. of Darpan portal of Niti Aayog | |

If not, please register immediately and confirm with the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We are/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable.

**INVESTOR(S) DECLARATION & SIGNATURE(S)^:** The Trustee, **ICICI Prudential Mutual Fund.** I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules,1962. I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd.(the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. **I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. I/We hereby provide consent for uploading/updating/fetching CKYC record from Central KYC Records Registry. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on toll-free no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

| Sole/1st Applicant | 2nd Applicant | 3rd Applicant |
|---|---|---|
| | | |

^Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

| | Name of the Holder | Signature / Thumb Impression |
|---|---|---|
| Sole / First Holder (Mr./Ms.) | Name: | Signature /Thumb Impression |
| | Witness 1 Name & Address: | Witness 1 Signature |
| | Witness 2 Name & Address: | Witness 2 Signature |
| Second Holder (Mr./Ms.) | Name: | Signature /Thumb Impression |
| | Witness 1 Name & Address: | Witness 1 Signature |
| | Witness 2 Name & Address: | Witness 2 Signature |
| Third Holder (Mr./Ms.) | Name: | Signature /Thumb Impression |
| | Witness 1 Name & Address: | Witness 1 Signature |
| | Witness 2 Name & Address: | Witness 2 Signature |

ICICI PRUDENTIAL MUTUAL FUND

**ACKNOWLEDGEMENT SLIP** (Please Retain this Slip)

To be filled in by the Investor. Subject to realisation of cheque and furnishing of Mandatory Information.

Application No. ______ / ______

Name of the Investor: ______________________

ACKNOWLEDGEMENT

| ICICI Prudential Nifty Top 15 Equal Weight Index Fund | ☐ ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan<br>☐ ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan | OPTION (Please tick ✓) | ☐ Growth ☐ IDCW Payout<br>☐ IDCW Reinvestment ☐ IDCW Transfer*<br>(*Please fill in the Target Scheme details below) |
|---|---|---|---|
| | Target Scheme (Any of the open ended schemes of ICICI Prudential Mutual Fund in which the IDCW declared is to be transferred):<br>Scheme Name & Plan: ICICI Prudential ______<br>Option & Sub-Option: ______ | | |

**For office use only**

Time stamp, date and receiver's signature

FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US: **ICICI Prudential Asset Management Company Limited**

Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063, India

**TOLL FREE NUMBER:** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL:** enquiry@icicipruamc.com **WEBSITE:** www.icicipruamc.com

# INSTRUCTIONS TO INVESTORS

I. GENERAL INSTRUCTIONS

a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in **BLOCK** Letters.

b) The scheme name and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.

c) The Application completed in all respects along with the cheque must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or for the amount payable are liable to be rejected and the money paid, if any, will be refunded without interest.

d) No receipt will be issued for the application money. The Customer Service Centres will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.

e) In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.

f) Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.

g) Any detail of the investor in his DP account will override the detail mentioned in this application form in case of mismatch between both.

II. UNITHOLDERS INFORMATION

a) **Existing Unit-holders:** If you have an existing folio with KYC validation, please mention the Folio Number in Step 1 and proceed to Step 3 in the application form. Please note that the applicable details and mode of holding will be as per the existing folio. Partial Demat of units is not allowed.

b) **New Applicant**

1. Name and address must be given in full (P.O. Box Address is not sufficient).
2. Name and Date of Birth as per PAN card should be made mandatory.
3. In the case of NRI/PIO/FII investors, an overseas address must also be provided. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory. In case of Merchant Navy NRI's / Seafarers declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
4. Name of the guardian alongwith relationship must be mentioned, if the investments are being made on behalf of a minor. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Joint holding is not allowed. If the first applicant is minor.

   Incase of investment in the name of Minor, payment for investment must be from the bank account of the minor or from a joint account of the minor with the guardian only or else the transaction is liable to be rejected.

   In case of a minor, it is mandatory to submit photocopy of any one of the following towards proof of date of birth at the time of initial investment :

   a) Birth certificate of minor, or
   b) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE , CBSE etc. containing the minor's date of birth, or
   c) Passport of minor
   d) Any other suitable proof evidencing the date of birth of the minor.

   In case of natural guardian, a document evidencing the relationship has to be submitted, if the same is not available as part of the documents submitted as proof of date of birth of the minor applicant.

   In case of court appointed legal guardian– a notarised photocopy of the court order should be submitted alongwith the application.

5. **Minor Attaining Majority - Status Change:**

   On minor attaining majority, the unit holder shall submit a letter along with the documents as mentioned below:

   i) A signed request form to change account status from minor to major duly filled containing details like name of the major, folio no. etc.
   ii) New Bank Mandate.
   iii) Signature of the minor who has turned major, duly attested by -
      a) the parent/guardian whose signature is registered in the records of the Fund/ Registrar and Transfer Agent (RTA) against the folio of the minor unit holder;

      OR

      b) the manager of a scheduled bank (signature attestation by way of Banker's Certificate or letter).
   iv) KYC, PAN and Aadhaar number of the major.
   v) Additional KYC, FATCA & CRS - Self Certification

   Depending upon appropriateness, the ICICI Prudential Asset Management Company Limited (the AMC) may consider seeking additional/alternative documents for necessary diligence of each case.

   Guardian name and details will be deleted on change of Tax status from Minor to Major. The standing instruction including SIP, STP and SWP will be registered only till the date of minor attaining majority, though the instructions may be for a period beyond that date.

6. In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

   **Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature is produced along with the PoA.

7. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. However, PAN is not required for investors who are exempted from PAN requirement, please refer to KYC Form for exemption of PAN requirement.

7. **Exemption from requirement of Permanent Account Number (PAN) for micro investments in the schemes of the Fund:** Investment in mutual fund schemes (including investments through Systematic Investment Plan (SIP)) upto Rs. 50,000/- per investor per year per mutual Fund, shall be exempted from the requirement of PAN.

   - The exemption shall be available under all the schemes of the Fund for investments upto Rs. 50,000/- (aggregate under all the schemes of the Fund) in a rolling 12 month period or financial year i.e. April to March by individuals (including NRIs but not PIOs), Minors, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible.
   - In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect. However, redemptions shall be allowed.
   - In case of investments held jointly, first holder must not possess a PAN.
   - Eligible Investors may invest in the schemes of the Fund through SIP or lumpsum/ additional purchase) without providing PAN subject to the threshold amount as specified above.
   - Eligible Investors should attach a copy of Know Your Client (KYC) acknowledgement letter quoting PAN Exempt KYC reference no. (PEKRN) obtained from KYC Registration Agency alongwith the investment application form.
   - Eligible Investors must have only one PEKRN.
   - Incase KYC status is failed for a particular PEKRN further SIP transaction/ investments will not be allowed in such folios having such PEKRN.

9. Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.

10. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, IDCWs / redemptions / refund warrants and any other correspondence sent from time to time.

11. Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.

12. In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque', then the AMC will allot units under the Scheme mentioned on the payment instrument. In case of fresh/ additional purchases, if the Scheme name is not mentioned on the application form/ transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque . The Plan/Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the Scheme Information Document. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.

III. DEMAT/NON-DEMAT MODE:

Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non- Demat mode.The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly IDCW options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/ CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

**Reinvestment of IDCW payout:** In case Unitholder has opted for IDCW payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for IDCW payout, as per the provisions of the respective scheme (net of IDCW distribution tax and other statutory levy, if any), else the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-IDCW Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less than the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.

## IV. NOMINATION

a. Investors need to mandatorily submit either the nomination or the declaration for opting out of nomination for individuals applying for / holding units on their own behalf singly or jointly else the application is liable to be rejected.

b. You may nominate persons to receive the Units/amounts standing to your credit payable in the event of death of the Unit Holder(s) in respect of investment under a folio. In case Unit holder do not wish to nominate, please tick the declaration for non-intention to nominate in this form or submit the signed Declaration form separately available in our website www.icicipruamc.com

c. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. All joint holders need to sign the nomination form. Nomination is not allowed in case the first applicant is a minor. Nomination form cannot be signed by Power of Attorney (PoA) holders.

d. Nomination will be registered where nomination is made by a sole proprietorship as the proprietor is providing his/ her personal pan card for KYC and all the details are of the individual itself.

e. All payments and settlements made to such nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.

f. A minor can be nominated and in that event, the name, relationship and address of the guardian of the minor nominee shall be provided by the unit holder. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.

g. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.

h. Nomination in respect of the units stands withdrawn upon the transfer of units.

i. Every new nomination for a folio/account will overwrite the existing nomination.

j. Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company (AMC) against the legal heir.

k. The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to signed by all the holders.

l. On cancellation of the nomination, the nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.

m. Investors who want to make multiple nominations (Maximum 3) need to fill the separate Multiple Nomination Form available on www.icicipruamc.com and submit it to the AMC. Separate form is available on www.icicipruamc.com and submit it to the AMC.

n. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of allocation/share in whole numbers and without decimals in favour of each of the nominees should be indicated against the name of the nominees. Such allocation/ share should total to 100 percent. In the event of the Unit holder(s) fail to indicate the percentage of allocation/share for each of the nominees, the Fund/ AMC, by invoking default option shall settle the claim equally amongst all the nominees.

Any odd lot after division shall be assigned / transferred to the first nominee mentioned in the form.

o. In case of multiple nominees, on the death of one or more nominee, the transmission of units shall be made in favour of the surviving nominee(s) on pro rata basis upon demise of the investor.

p. In case the investor specifies multiple nominees, then he / she shall also specify the percentage share for each nominee. In absence of such specification, the regulated entity shall apportion/ment the assets equally among all the nominees. In case of demise of the investor and any one of the nominees, the regulated entities shall distribute the assets pro rata to the remaining nominees.

## V. BANK DETAILS

The first Unit holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular IIMARP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. An investor at the time of purchase of units must provide the details of the pay-out bank account (i.e. account into which redemption /IDCW proceeds are to be paid) in Section 3 in the Application Form. Please quote 9 Digit MICR Code No. and 11 Digit IFSC code of your Bank and Branch corresponding to Bank Account details. (This number appears on every leaf of your cheque book). The AMC reserves the right to make IDCW/redemption payments through ECS/NEFT/RTGS where details are available.

Investors/Unit Holders are requested to note that any one of the following documents shall be submitted,if cheque provided alongwith fresh subscription/new folio creation does not belong to bank mandate in Section 3 in the Application Form.

1. Original cancelled cheque having the First Holder Name printed on the cheque.
2. Original bank statement reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application.
3. Photocopy of the bank statement duly attested by the bank manager with designation, employee number and bank seal.
4. Photocopy of the bank pass book duly attested by the bank manager with designation,employee number and bank seal.
5. Photocopy of the bank statement/passbook/cheque duly attested by the AMC branch officials after verification of original bank statement/passbook shown by the investor or their representative.
6. Confirmation by the bank manager with seal, designation and employee number on the bank's letter head confirming the investor details and bank mandate information.

Please note for unit holder opting to invest in demat, please ensure that the bank account linked with the demat account is mentioned in the application form.

Maturity payment or IDCW payment would be made as per the bank account details available in DEMPOS file.

**Multiple Bank Account Registration:** The AMC/ Mutual Fund provides a facility to the investors to register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) for receiving redemption/IDCW proceeds etc. by providing necessary documents. Investors must specify any one account as the "Default Bank Account". The investor, may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption. Investors holding units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website www.icicipruamc.com.

**Change of Bank Mandate:** With effect from October 25, 2011, the request for change of bank (COB) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement/ pass book duly attested by the new Bank, evidencing the name and bank account details of the investor.

## VI. DIRECT CREDIT OF IDCW/REDEMPTION:

ICICI Prudential AMC had entered into an arrangement with certain banks such as as ICICI Bank & HDFC Bank for direct credit of redemption and IDCW proceeds, if the investors have a bank mandate in any of the specified banks. However, the AMC will not be responsible for any delay on the part of the bank for executing the direct credit. The Fund reserves the right to issue a payment instrument in place of this electronic payment facility. The AMC may alter the list of the banks participating in direct credit arrangement from time to time.

/ withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

## VII. E-MAIL COMMUNICATION

a. Investors should ensure that the email id provided is that of First /Sole holder or of their Family member. Family means spouse, dependent children, Dependent Sibling or dependent parents. This email address and mobile no. provided shall be registered in the folio for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole Holder available in the KYC records shall be registered in the folio. If the AMC / RTA finds that the email address / mobile number provided may not be of the actual investor or the same appears incorrect / doubtful, the AMC / RTA may not capture / update such email address / mobile number in the folio.

b. The AMC shall email the annual report or an abridged summary thereof to the unitholders whose email addresses are registered with the Fund. The unitholders whose e-mail addresses are not registered with the Fund are requested to update / provide their email address to the Fund for updating the database. Physical copy of scheme wise annual report or abridged summary shall be provided to investors who have opted to receive the same.

c. Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has provided an email address in his DP account, the same will be registered in our records for eDocs and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode/email. These documents shall be sent physically in case the Unit holder opts/requests for the same. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email. The Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor.

The AMC / Trustee reserve the right to send any communication in physical mode.

## VIII. KNOW YOUR CUSTOMER (KYC) NORMS

KYC (Know Your Customer) are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI registered intermediaries. Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN) in application form. For Common KYC Application Form please visit our website www.icicipruamc.com.

## IX. TRANSACTION CHARGES

With effect from March 1, 2024, no transaction charges to be levied on the investment amount from transaction/applications (including SIPs) received through distributors (i.e. for Regular Plans). Accordingly, payment of transaction charges to the distributors has been discontinued from March 1, 2024.

## X. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN)

Investors procuring advisory services from non individual distributors are requested to note that EUIN would assist in tackling the problem of misselling even if the employee/ relationship manager/sales person leaves the employment of the distributor.

Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN space.

Investors are requested to note that EUIN is largely applicable to sales persons of non individual ARN holders (whether acting in the capacity of the main distributor or sub broker). Further, EUIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ ARN-14/12-13 dated July 13, 2012.

**XI. Signatures :**

The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.

If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the POA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

In case of application under POA or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for authorise the POA holder/authorised signatory to make application/ invest moneys on behalf of the investor.

**Signature mismatch cases:** While processing the redemption request in case the AMC/ Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

**XII. INVESTMENT DETAILS**

**DURING NEW FUND OFFER/ DURING ONGOING OFFER:**

| | |
|---|---|
| **Minimum Application Amount** | Rs. 1000/- (plus in multiples of Re.1/-) |
| **Switch-ins** | Rs. 1000/- and any amount thereafter |

| | |
|---|---|
| **Minimum Additional Amount** | Rs. 1000/- (plus in multiples of Re. 1) |
| **Switch-ins** | Rs. 1000/- and any amount thereafter |
| **Minimum Redemption Amount** | Any Amount |
| **Systematic Withdrawal Plan (SWP)** | Available |

**PLANS/OPTIONS AVAILABLE UNDER THE SCHEME**

- ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan
- ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan

**Options under each Plan(s):**

- Growth
- Income Distribution cum Capital Withdrawal (IDCW)
  - ✓ IDCW Payout
  - ✓ IDCW Reinvestment

| | |
|---|---|
| **Plans** | • ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan and<br>• ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Regular Plan |
| **Default Plan (if no plan is selected)** | If broker code is not mentioned the default plan is ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan.<br>If broker code is mentioned the default plan is ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan |
| **Default Plan (in certain circumstances)** | • If ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan is opted, but ARN code is also stated, then application would be processed under ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan.<br>• If ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Regular Plan is opted, but ARN code is not stated, then the application would be processed under ICICI Prudential Nifty Top 15 Equal Weight Index Fund - Direct Plan. |
| **Options/ sub-options** | • Growth Option and<br>• IDCW<br>➢ IDCW Payout<br>➢ IDCW Reinvestment |
| **Default Option** | Growth Option |
| **Default sub option** | IDCW Reinvestment |

ICICI Prudential Nifty Top 15 Equal Weight Index Fund – Direct Plan is only for investors who purchase /subscribe units in a Scheme directly with the Fund.

For details with respect to AMFI Best Practices Guidelines dated February 2, 2024 on treatment of applications received with invalid ARNs or ARNs subsequently found to be invalid, investors are requested to refer to the relevant provisions of the SAI.

The Trustee reserves the right to add any other options/ sub-options under the Scheme.

For detailed disclosure on default plans and options, kindly refer SAI.

**IDCW TRANSFER PLAN**

Investors may note that IDCW Transfer Plan will be available under the Scheme whereby if the investor opts for this facility, the IDCW declared will be automatically invested into any open-ended scheme (Target Scheme) of the Fund. The amount to the extent of distribution will be automatically invested on the ex-IDCW date into the Target Scheme selected by the investor, at the applicable NAV of that scheme. The provision of "Minimum Application Amount" specified in the SID of the respective Target Scheme will not be applicable for DTP facility.

**XIII. MODE OF PAYMENT**

a) The cheques should be drawn in favour of **"ICICI Prudential Nifty Top 15 Equal Weight Index Fund"** and crossed **"Account Payee Only"**. The cheque should be payable at the centre where the application is lodged. The cheque should be drawn on any bank which is situated at and is a member/sub-member of the Bankers' Clearing House. Cheques drawn on a bank not participating in the Clearing House will not be accepted.

b) **Outstation Cheques, Banker's Cheque and Demand Drafts will not be accepted.**

MICR cheques will be accepted till the end of business hours up to June 24, 2025.

Real Time Gross Settlement (RTGS) / Other Electronic Payment requests and Transfer cheques will be accepted till the end of business hours up to June 24, 2025.

Switch-in requests from equity and other schemes will be accepted up to June 24, 2025 till the cut-off time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Advantage Fund (FOF), ICICI Prudential Nasdaq 100 Index Fund, ICICI Prudential Strategic Metal & Energy Equity Fund of Fund, ICICI Prudential Global Stable Equity Fund (FOF), ICICI Prudential India Equity FOF and ICICI Prudential Thematic Advantage Fund (FOF) will not be accepted.

**Investors can also subscribe to the New Fund Offer (NFO) through ASBA facility.**

**Switch into the Scheme:** Investors who hold units in any of the schemes of ICICI Prudential Mutual Fund except ICICI Prudential US Bluechip Equity Fund, ICICI Prudential Global Advantage Fund (FOF), ICICI Prudential Nasdaq 100 Index Fund, ICICI Prudential Strategic Metal and Energy Equity Fund of Funds, ICICI Prudential Passive Multi-Asset Fund of Fund and ICICI Prudential Global Stable Equity Fund (FOF) may switch all or part of their holdings to the Scheme during the New Fund Offer Period and on ongoing basis subject to the provisions in the scheme information document of the respective scheme. Switch in requests are subject to the minimum application amount as mentioned in this Scheme Information Document.

For switch-in requests received from the open-ended scheme during the New Fund Offer Period (NFO) under the Scheme, the switch-out requests from such Scheme will be effected based on the applicable NAV of such Scheme, as on the day of receipt of the switch request, subject to applicable cut off timing provisions. However, the switch-in requests under the Scheme will be processed on the date of the allotment of the Units.

c) Payments by Stockinvest, Money Order, Cash, Postal Order, Outstation Cheques, Banker's Cheque, Demand Drafts Post-dated Cheques and Non-CTS Cheques will not be accepted.

d) The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

e) **NRI/FII/PIO Investors**

1. **Repatriation basis:** Payments by NRIs/FIIs/Persons of Indian Origin residing abroad may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located.

   In case of Indian Rupee drafts purchased through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

   In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

2. **Non Repatriation basis:** NRIs or Persons of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

3. **FIRC certificate:** In case of investments by Non Resident Indians (NRIs), if FIRC certificate was not submitted, CAMS/AMC will not provide FIRC outward letters to banks.

f) In case of Non Anchor Investors, realisation of the application amount by the AMC should be on or before the closure of 3 (three) business days from the end of Non Anchor Investor NFO Period. Otherwise, AMC reserves the right to reject the application and refund the amount.

**XIV. THIRD PARTY PAYMENTS:**

Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as:

(i) Investment made through instruments issued from an account other than that of the beneficiary investor

(ii) In case the investment is made from a joint bank account, the first holder of the mutual fund investment is not one of the joint holders of the bank account from which payment is made.

(iii) Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

1) Payment on behalf minor in consideration of natural love and affection or as a gift will be only applicable for ICICI Prudential Child Care Fund (Gift Plan).

2) Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/one-time subscription through Payroll deductions.

3) Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

4) Payment made by the AMC to a Distributor empanelled with it on account of commission, incentive, etc. in the form of the Mutual Fund units of the Schemes managed by such AMC through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

5) Payment made by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal-agent relationship) account of commission or incentive payable for sale of its goods/services, in the form of Mutual Fund units of the Schemes managed by such AMC through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued

by AMFI, from time to time.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

(1) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.

(2) Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.

(3) Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via RTGS, NEFT, bank transfer, net banking etc. Following additional checks shall be carried out:

- If payment is made by RTGS, NEFT, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investment/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available in www.icicipruamc.com or ICICI Prudential Mutual Fund branch offices.

**XV. PLEDGE/LIEN :**

The Units can be pledged by the Unitholders as security for raising loans subject to the conditions of the lending institution and the terms and conditions laid down by the Depositories. The Registrar will take note of such pledge / charge in its records on intimation.

**XVI. ULTIMATE BENEFICIAL OWNERS(S) [UBO(S)]:**

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of UBO(s). In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for 'Ultimate Beneficial Ownership' which is available on our website www.icicipruamc.com or at any of the Investor Service Centre (ISC) of ICICI Prudential Mutual Fund.

**XVII. FATCA AND CRS DETAILS:**

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID Number. If there is any change in the information provided, promptly intimate the same to us within 30 days.

**XVIII.** As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS.CO.OD No.901/06.24.001/2020-21 dated 5th January 2021, RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, 20-digit Legal Entity Identifier (LEI) information is included while initiating any transaction of value INR 50 crore and above by entities (non-individual).

**XIX.** As per Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2023 dated 7th March 2023, definition of Non-Profit Organization (NPO) has been revised. "Non-profit organization" means any entity or organization, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013.

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# ICICI Prudential Nifty Top 15 Equal Weight Index Fund

PAN BASED MANDATE CUM SIP REGISTRATION FORM (For investment through NACH)

NFO Period: June 10, 2025 to June 24, 2025

## PAN BASED MANDATE

ICICI PRUDENTIAL MUTUAL FUND

UMRN: FOR OFFICE USE ONLY | Date

Sponsor Bank Code: FOR OFFICE USE ONLY | Utility Code: FOR OFFICE USE ONLY

Tick (✓): CREATE ✓ / MODIFY / CANCEL

I/We hereby authorize **ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED** to debit (tick ✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number:

with Bank: Name of customer's bank | IFSC | or MICR

an amount of Rupees: Maximum Amount (Rupees in words) | ₹

FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ☑ As & when presented    DEBIT TYPE ☒ Fixed Amount ☑ Maximum Amount

PAN No. | Mobile No.

Reference: APPLICATION NUMBER | Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD
From
To

Maximum period of validity of this mandate is 40 years only

Sign: ________ Sign: ________ Sign: ________

1. Name as in Bank records 2. Name as in Bank records 3. Name as in Bank records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorise to make payments referred above through participation in NACH/any other mode as may be preferred by the AMC from time to time. I/We hereby confirm adherence to the terms of this facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Registration for OTM/PAN Based Mandate Facility and amended from time to time and of NACH (Debit). Authorisation to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we authorised to cancel/amend this mandate by appropriately communicating/amendment to the user entity/corporate or the bank where I have authorised the debit. This is to inform that I/we have registered for this facility and that my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank and to debit my/our account for any charges towards mandate verification, registration, transactions, rejections, returns, etc. as applicable.

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# ICICI Prudential Nifty Top 15 Equal Weight Index Fund

## SIP Registration-cum-Mandate Form for SIP

Application No.

New Fund Offer Opens on: **June 10, 2025** | New Fund Offer Closes on: **June 24, 2025**

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK/BLUE INK and BLOCK LETTERS.

| | | | |
|---|---|---|---|
| | | | |
| BROKER CODE (ARN CODE)/ RIA CODE# | SUB-BROKER ARN CODE | SUB-BROKER CODE (AS ALLOTTED BY ARN HOLDER) | EMPLOYEE UNIQUE IDENTIFICATION NO. (EUIN) |

#By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank) - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.

| | | |
|---|---|---|
| SIGNATURE OF SOLE / FIRST APPLICANT | SIGNATURE OF SECOND APPLICANT | SIGNATURE OF THIRD APPLICANT |

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

FOLIO NO. | Sole/1st Applicant:

☐ **Registration via Existing OTM** (Please mention **UMRN** if multiple **OTMs** exist in folio) (Tick to avail (✓))

Scheme Name: **ICICI Prudential Nifty Top 15 Equal Weight Index Fund** | Plan: ________

OPTION: ________ SUB-OPTION: ________

Please refer instructions and Key Information Memorandum/SIDs available on ICICI Prudential Mutual Fund website for options, sub-options and other facilities available under each scheme of the Fund.

Each SIP Amount: Rs. ________ In words: ________

SIP Frequency: ☐ Daily (Only business days) ☐ Weekly*** ________ ☐ Fortnightly** ☐ Monthly ☐ Quarterly* (Default is Monthly)

SIP Date: D D | SIP Start Month / Year: M M Y Y Y Y | SIP End Month / Year: M M Y Y Y Y | SIP Installments^:

*In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP. **Fortnightly is available on 1st and 16th of each month, as applicable. ***Investors can choose any day of the week from Monday to Friday to register under weekly frequency. #In case the chosen date is a non-business day, the transaction would be processed on the next business day. ^Number of installments have to be mentioned only in case of Daily/Weekly/Fortnightly frequencies. (Refer T&C no.[illegible])

**EXISTING OTM / FIRST INSTALLMENT BANK DETAILS:** Cheque No. ________ Cheque Amount Rs. ________

A/c No. ________ Bank Name ________

(Please see overleaf)

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ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)

# ICICI Prudential Nifty Top 15 Equal Weight Index Fund

Folio No./ Application No.

Name of the Investor: ________

Scheme Name: ________ Plan & Option: ________

SIP Amount Rs. ________ SIP Frequency: ________ SIP Tenure: ________

Acknowledgement Stamp

**Mandatory fields in OTM form as per NPCI:** • Mandate Date is Mandatory • Transaction type to be selected • Only one Account type to be selected • Bank account number and Bank name • Please mention IFSC Code (11 Alpha numeric Characters) / MICR Code (9 Numeric) • Maximum amount to be mentioned (should be same in figures and words) • valid PAN • OTM start date & end date • Name & Signature(s) as per bank records • Mandate Date and the Validity of the mandate should be mentioned in DD/MM/YYYY format • Mandate start (From) date should be after Mandate (Application) date. • Maximum duration of the mandate is 40 Years.

**GENERAL INSTRUCTIONS**

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

**Registration of OTM/PAN BASED MANDATE FACILITY**: As an investor I/we hereby request you to register me/us for availing the facility of OTM/PAN based mandate and carrying out transactions of additional purchase/redemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/ verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

**Maximum Amount:** The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

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**INSTRUCTIONS FOR EXISTING OTM AND PAN BASED MANDATE FACILITY**

1) Investor can transact through OTM facility registered for the PAN in the respective folio(s).
2) If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTM's registered or reject the request.
3) The units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
4) Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/ or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
5) AMC reserves right to reject or process the application subject to internal verification.
6) PAN based mandate will be mapped to all the folios wherever investor is the Sole/First holder subject to completion of mandate registration with the banker.
7) PAN based mandate will not be applicable, if bank details provided is for Minor's Account.
8) Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
9) Mandate start (From) date should be after Mandate (Application) date.

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**DEMAT ACCOUNT STATEMENT DETAILS (OPTIONAL – PLEASE REFER INSTRUCTION NO. 13)**

| NSDL: Depository Participant (DP) ID (NSDL only) | Beneficiary Account Number (NSDL only) | CDSL: Depository Participant (DP) ID (CDSL only) |
|---|---|---|
| | | |

**YOUR CONFIRMATION/DECLARATION:** I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50, 000 in a year as described in the Instruction No.IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

**Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)**

| Sole/First Holder | | 2nd Holder | | 3rd Holder | |
|---|---|---|---|---|---|
| | | | | | |

# TERMS AND CONDITIONS

## SIP Payment through NACH

1. The bank account provided for NACH should be participating in NACH clearing respectively.
2. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In case the Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
3. In case of SIP transaction where the mode of payment is through NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP Installment from subsequent month onwards, in case the period between the time-stamping of the SIP request and the first triggered SIP installment is less than 30 days. In case of SIP via existing OTM, investor can choose to start the SIP in 15 days after the date of submission. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days from the date of submission of SIP application and SIP end date shall not be beyond 40 years from the SIP start date. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be levied in the Scheme.
4. The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI).
5. Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable for any damages/compensation for any loss or if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to ICICI Prudential Mutual Fund/the AMC/ the Trustee.
6. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
7. In case of "At Par" Cheques, investors need to mention the MICR No. of his/her actual Bank branch.
8. SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
9. **New Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
10. **Existing Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor's folio. Incase multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
11. Incase SIP date is not mentioned/not legible, then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.
12. a) In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non business day by AMC, Regulators, Government etc for any reason the said transaction will be processed with the next available NAV. The investor will not hold AMC/ Bank liable for processing such transactions the next day.

    b) For Weekly frequency, investors can choose anyday of the week from Monday to Friday. Incase Day is not specified by the investor transaction will be processed on wednesday.

    c) For Fortnightly frequency, the transaction will be processed on 1st and 16th day of each month, as applicable.

    d) For Monthly and Quarterly frequencies, the transaction will be processed on any day basis.

    e) Incase the SIP Date selected is a non-business day the transaction will be processed on the next business day.

    f) In case both SIP end date and number of installments are mentioned in the SIP application for Daily, weekly and fortnightly frequency then SIP shall get registered as per the number of installments provided.

    Minimum number of installments for daily, weekly, fortnightly and monthly frequencies will be 6 and 4 for quarterly frequency.

    The minimum installment amount under Daily, Weekly and Fortnightly frequencies shall be the same as minimum amount prescribed for SIP under monthly frequencies in the respective schemes.
13. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
14. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
15. In case End date mentioned on SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned on mandate. Incase SIP "end Month/Year" is incorrect or not mentioned by the investor in the SIP form then AMC reserves the right to consider the SIP end date as five years from the start date as default.
16. Existing investors have to provide their folio numbers.
17. For minimum application amount to be invested in SIP, risk factors, features, load structures, etc. please refer to the scheme related documents available on www.icicipruamc.com or with any of the customer service centres of ICICI Prudential Mutual Fund.
18. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
19. In case of application is received for change of scheme / change of bank and the existing SIP is registered beyond 40 years from the date of application the SIP end date will be modified accordingly as per end date mentioned in the new mandate (with maximum validity of 40 years).
20. **DEMAT/NON-DEMAT MODE:**

    Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

    If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non- Demat mode.The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/ Fortnightly IDCW options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

    Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

    The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/ CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

    **Reinvestment of IDCW payout:** In case Unitholder has opted for IDCW payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for IDCW payout, as per the provisions of the respective scheme (net of IDCW distribution tax and other statutory levy, if any), else the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-IDCW Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less than the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.
21. **FOR EXISTING OTM AND PAN BASED MANDATE FACILITY**

    a) Investor can transact through OTM facility registered for the PAN in the respective folio(s).

    b) If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTM's registered or reject the request.

    c) Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/ or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.

    d) AMC reserves right to reject or process the application subject to internal verification.

    e) PAN based mandate will be mapped to all the folios wherever investor is the Sole/First holder subject to completion of mandate registration with the banker.

    f) In case the applicant is minor, the PAN based mandate will not be applicable.

    g) Investor can transact using this mandate, within the limit of maximum amount and tenure specified.

    h) Accordingly, the investor shall ensure that the intended bank account remains funded well in advance of any given date to meet all his other/ periodical commitments for transactions under the relevant mandates. Investor agrees that his bank may charge service fees for any dishonour.
22. **Date of Birth (DOB)**

    a) **Existing Folio:** If you have an existing folio, the DOB will be captured as per the folio. If the DOB is not availble in the existing folio, the same will be captured from the new application, viz Common Application form.

    b) **New Folio:** The DOB given on the common application will be considered.

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ICICI Prudential Nifty Top 15 Equal Weight Index Fund

FOLIO BASED MANDATE CUM SIP REGISTRATION FORM (For Investment through NACH)

NFO Period: June 10, 2025 to June 24, 2025

## FOLIO BASED MANDATE

UMRN: FOR OFFICE USE ONLY    Date: ____

Sponsor Bank Code: FOR OFFICE USE ONLY    Utility Code: FOR OFFICE USE ONLY

Tick (✓): ☑ CREATE ☐ MODIFY ☐ CANCEL

I/We hereby authorize **ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED** to debit (tick ✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number: ____

with Bank: Name of customers bank    IFSC: ____ or MICR: ____

an amount of Rupees: Amount of Rupees in words    ₹ ____

FREQUENCY ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ Yrly ☑ As & when presented    DEBIT TYPE ☒ Fixed Amount ☑ Maximum Amount

Folio No.: ____    Mobile No.: ____

Reference: APPLICATION NUMBER    Email ID: ____

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From: ____

To: ____

Maximum period of validity of this mandate is 40 years only

Sign ____ Sign ____ Sign ____

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participation in NACH/Easy other mode as may be preferred by the AMC from time to time. I/We hereby confirm adherence to the terms of the facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Registration of OTM/PA (Easy) Mandate Facility and amended from time to time and of NACH (Debits). Authorization to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment to the User entity/corporate or the or the bank where I have authorized the debit. This is to inform that I/we have registered for this facility and that my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your bank and to debit my/our account for any charges towards mandate verification, registration, transactions, transactions, returns, etc. as applicable.

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ICICI Prudential Nifty Top 15 Equal Weight Index Fund

SIP Registration-cum-Mandate Form for SIP

Application No.

New Fund Offer Opens on: June 10, 2025 | New Fund Offer Closes on: June 24, 2025

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK/BLUE INK and BLOCK LETTERS.

| | | | |
|---|---|---|---|
| | | | |
| BROKER CODE (ARN CODE)/ RIA CODE# | SUB-BROKER ARN CODE | SUB-BROKER CODE (AS ALLOTTED BY ARN HOLDER) | EMPLOYEE UNIQUE IDENTIFICATION NO. (EUIN) |

#By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank) - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.

| | | |
|---|---|---|
| SIGNATURE OF SOLE/FIRST APPLICANT | SIGNATURE OF SECOND APPLICANT | SIGNATURE OF THIRD APPLICANT |

The Trustee, ICICI Prudential Mutual Fund. I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.

FOLIO NO. ____    Sole/1st Applicant ____

☐ Registration via Existing OTM (Please mention UMRN if multiple OTMs exist in folio) (Tick to avail (✓))

Scheme Name: ICICI Prudential Nifty Top 15 Equal Weight Index Fund | Plan: ____

___ OPTION: ____ SUB-OPTION: ____

Please refer instructions and Key Information Memorandum/SIDs available on ICICI Prudential Mutual Fund website for options, sub-options and other facilities available under each scheme of the Fund.

Each SIP Amount: Rs. ____    In words: ____

SIP Frequency: ☐ Daily (Only business days) ☐ Weekly*** ____ ☐ Fortnightly** ☐ Monthly ☐ Quarterly* (Default is Monthly)

SIP Date#: D D | SIP Start Month / Year: M M Y Y Y Y | SIP End Month / Year: M M Y Y Y Y | SIP Installments^: ____

*In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP. **Fortnightly is available on 1st and 16th of each month, as applicable. ***Investors can choose anyday of the week from Monday to Friday to register under weekly frequency. #In case the chosen date is a non-business day, the transaction would be processed on the next business day. ^Number of installments have to be mentioned only in case of Daily/Weekly/Fortnightly frequencies. (Refer T&C no.12(f))

EXISTING OTM / FIRST INSTALLMENT BANK DETAILS: Cheque No. ____ Cheque Amount Rs. ____

A/c No. ____ Bank Name: ____

*(Please see overleaf)*

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ACKNOWLEDGEMENT SLIP *(To be filled in by the Investor)*

ICICI Prudential Nifty Top 15 Equal Weight Index Fund

Folio No./ Application No.

Name of the Investor: ____

Scheme Name: ____ Plan & Option: ____

SIP Amount Rs. ____ SIP Frequency: ____ SIP Tenure: ____

Acknowledgement Stamp

**Mandatory fields in OTM form as per NPCI:** • Mandate Date is Mandatory • Transaction type to be selected • Only one Account type to be selected • Bank account number and Bank name • Please mention IFSC Code (11 Alpha numeric Characters) / MICR Code (9 Numeric) • Maximum amount to be mentioned (should be same in figures and words) • valid PAN • OTM start date & end date • Name & Signature(s) as per bank records • Mandate Date and the Validity of the mandate should be mentioned in DD/MM/YYYY format • Mandate start (From) date should be after Mandate (Application) date. • Maximum duration of this mandate is 40 Years.

**GENERAL INSTRUCTIONS**

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond the Bank's reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

**Registration of One Time Mandate (OTM) Facility:** As an investor I/we hereby request you to register me/us for availing the facility of OTM and carrying out transactions of additional purchase/redemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

**Maximum Amount:** The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

## INSTRUCTIONS FOR OTM MANDATE FACILITY

1) Investor can transact through OTM facility registered for the respective folio(s).
2) If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTMs registered or reject the request.
3) The units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
4) Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than "As and when presented" and/or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.
5) AMC reserves right to reject or process the application subject to internal verification.
6) Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
7) Mandate start (From) date should be after Mandate (Application) date.

**DEMAT ACCOUNT STATEMENT DETAILS (OPTIONAL - PLEASE REFER INSTRUCTION NO. 19)**

| NSDL: Depository Participant (DP) ID (NSDL only) | Beneficiary Account Number (NSDL only) | CDSL: Depository Participant (DP) ID (CDSL only) |
|---|---|---|
| | | |

**YOUR CONFIRMATION/DECLARATION** I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50, 000 in a year as described in the instruction No.IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

### Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

| Sole/First Holder | | 2nd Holder | | 3rd Holder | |
|---|---|---|---|---|---|

# TERMS AND CONDITIONS

## SIP Payment through NACH

1. The bank account provided for NACH should be participating in NACH clearing respectively.
2. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In case the Debit does not take effect for three consecutive times then the SIP would be liable for cancellation.
3. In case of SIP transaction where the mode of payment is through NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP installment from subsequent month onwards, in case the period between the time-stamping of the SIP request and the first triggered SIP installment is less than 30 days. In case of SIP via existing OTM, investor can choose to start the SIP in 15 days after the date of submission. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days from the date of submission of SIP application and SIP end date shall not be beyond 40 years from the SIP start date. The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be levied in the Scheme.
4. The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI).
5. Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable for any damages/compensation for any loss or if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to ICICI Prudential Mutual Fund/the AMC/ the Trustee.
6. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.
7. In case of "At Par" Cheques, investors need to mention the MICR No. of his/ her actual Bank branch.
8. SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.
9. **New Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
10. **Existing Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor's folio. Incase multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
11. In case the SIP date is not mentioned/not legible, then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.
12. a) In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non business day by AMC, Regulators, Government etc for any reason the said transaction will be processed with the next available NAV. The investor will not hold AMC/ Bank liable for processing such transactions the next day.

    b) Investors can choose any day of the week from Monday to Friday to register under weekly frequency. Incase Day is not specified by the investor transaction will be processed on wednesday.

    c) For Fortnightly frequency, the transaction will be processed on $1^{st}$ and $16^{th}$ day of each month, as applicable.

    d) For Monthly and Quarterly frequencies, the transaction will be processed on any day basis.

    e) Incase the SIP Date selected is a non-business day the transaction will be processed on the next business day.

    f) In case both SIP end date and number of installments are mentioned in the SIP application for Daily, weekly and fortnightly frequency then SIP shall get registered as per the number installments provided.

    Minimum number of installments for daily, weekly, fortnightly and monthly frequencies will be 6 and 4 for quarterly frequency.

    The minimum installment amount under Daily, Weekly and Fortnightly frequencies shall be the same as minimum amount prescribed for SIP under monthly frequencies in the respective schemes.
13. If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
14. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
15. In case End date mentioned on SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned on mandate. Incase SIP "end Month/Year" is incorrect or not mentioned by the investor in the SIP form then AMC reserves the right to consider the SIP end date as five years from the start date as default.
16. Existing investors have to provide their folio numbers.
17. For minimum application amount to be invested in SIP, risk factors, features, load structures, etc. please refer to the scheme related documents available on www.icicipruamc.com or with any of the customer service centres of ICICI Prudential Mutual Fund.
18. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
19. In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non business day by AMC, Regulators, Government etc for any reason the said transaction will be processed with the next available NAV. The investor will not hold AMC/Bank liable for processing such transactions the next day.
21. In case of application is received for change of scheme / change of bank and the existing SIP is registered beyond 40 years from the date of application the SIP end date will be modified accordingly as per end date mentioned in the new mandate (with maximum validity of 40 years).
20. **DEMAT/NON-DEMAT MODE:**

    Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

    If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non- Demat mode.The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/ Fortnightly IDCW options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL).

    Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.

    The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/ CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

    **Reinvestment of IDCW Payout (Payout of Income Distribution cum Capital Withdrawal option)** : In case Unitholder has opted for IDCW payout option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there will be minimum amount for IDCW payout, as per the provisions of the respective scheme (net of IDCW distribution tax and other statutory levy, if any), else the IDCW would be mandatorily reinvested. The IDCW would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-IDCW Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of IDCW. It may also be noted that the criteria for compulsory reinvestment of IDCW declared under the IDCW payout option in specific schemes, where the IDCW amount is less than the minimum IDCW payout limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if IDCW is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. IDCW declared will be compulsorily paid out under the "IDCW payout" option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.

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ICICI PRUDENTIAL MUTUAL FUND

Please read the instructions carefully before investing.

# ICICI Prudential Mutual Fund

2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000. Fax: (91)(22) 2686 8313

New Fund Offer Opens on: June 10, 2025
New Fund Offer Closes on: June 24, 2025

## ICICI Prudential Nifty Top 15 Equal Weight Index Fund

Date: D D M M Y Y Y Y

### APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

ASBA Application No. ____________

| BROKER/AGENT INFORMATION | | | | FOR OFFICE USE ONLY | | | |
|---|---|---|---|---|---|---|---|
| Broker Name & AMFI Regn. No./ RIA/PMRN Code# | Sub-Broker Name & ARN Code | Sub-broker (As allotted by ARN holder) | EUIN (Employee UIN) | SCSB (Name & Code) | SCSB IFSC Code (11 digit code) | Syndicate Member Code (Name & Code) | S. No. |
| | | | | | | | |

#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank). I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/salesperson of the distributor/sub broker.

| SIGNATURE OF SOLE/FIRST APPLICANT | SIGNATURE OF SECOND APPLICANT | SIGNATURE OF THIRD APPLICANT |
|---|---|---|

Name of First Applicant (As in Demat account): Mr. / Ms. / M/s. ____________

Existing Folio No. (Please fill in your folio no., if any): ________ / ____

**DEMAT ACCOUNT DETAILS OF FIRST APPLICANT (Mandatory** - Refer Terms & Conditions No.8 on the reverse side of this form)

Depository Name (Please tick any): ☐ National Securities Depository Ltd. (NSDL) ☐ Central Depository Services (India) Ltd. (CDSL)

Depository Participant Name: ____________

Depository Participant (DP) ID: ____________ Beneficiary Account No.: ____________

**PERMANENT ACCOUNT NUMBER (PAN)** (Please attach proof) (Mandatory)

1st Applicant: ____________ Guardian (in case 1st applicant is minor): ____________

**INVESTMENT DETAILS - ICICI Prudential Nifty Top 15 Equal Weight Index Fund**
(For scheme details, please read the Key Information Memorandum/Scheme Information Document of the Scheme)

**SCB/ASBA BANK ACCOUNT DETAILS OF FIRST APPLICANT FOR BLOCKING OF FUND** (Refer Terms & Conditions No.6 on the reverse side of this form)

Name of the Bank: ____________

Branch Address: ____________ City: ____________

Account Number: ____________

Account Type: ☐ Current ☐ Savings ☐ NRO ☐ NRE

If "Mandatory Details" are not provided, your application is liable to be rejected.

Total Amount to be blocked (Rs. in figures): Rs. ____________

Total Amount to be blocked (Rs. in words): ____________

**UNDERTAKING BY ASBA INVESTOR**

**1)** I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. **2)** In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/ICICI Prudential Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. **3)** Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of ICICI Prudential Mutual Fund. **4)** In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. **5)** If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the ICICI Prudential Mutual Fund or ICICI Prudential Asset Management Company Limited or ICICI Prudential Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/ RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details (if it has been provided), Amount applied for and the account number from where NFO amount was blocked. **6)** The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: I/We confirm that I am/we are non residents of Indian Nationality/origin and I/we hereby confirm that the funds for subscription, have been remitted from abroad through normal banking channels or from funds in my/our non-resident external/ordinary account/FCNR account. I/We am/are not prohibited from accessing capital markets under any order/ruling/judgement etc. of any regulation, including SEBI. I/We am/are confirm that my application is in compliance with applicable Indian and Foreign laws.

| First/Sole Applicant | Second Applicant | Third Applicant |
|---|---|---|
| | | |

SIGNATURE OF BANK ACCOUNT HOLDERS

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ICICI PRUDENTIAL MUTUAL FUND

## ICICI Prudential Nifty Top 15 Equal Weight Index Fund - ACKNOWLEDGEMET SLIP

(To be filled in and attached by the applicant with the NFO Application Form)

ICICI Prudential Mutual Fund, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 2685 2000. Fax: (91)(22) 2686 8313

Date: D D M M Y Y Y Y

ASBA Application No.

Received from Mr. / Ms. /M/s. ____________

Address ____________

SCSB A/c. No. ________ Bank Name ________ Branch Name ________

Rs. (in figures) ________ Rupees (in words) ________

SCSB Stamp, Signature, Date & Time of Receipt

## Terms and Conditions

1) An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes ?

   (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA");

   (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").

2) From one bank account more than five applications will not be allowed.

3) Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form.

4) To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.

5) Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.

6) Bank account details provided in the application will be the bank account number from where the NFO application amount is authorized to be blocked. Bid amount, bank and branch name shall be given in this block.

7) Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.

8) In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.

9) **Demat/Non-Demat Mode**

   1. Investor can hold units in demat/non-demant mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode. Demat is mandatory for NFO applications of ETF schemes only.

   2. The investor can dematerialize his/her holdings in non demat mode, Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.

   3. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.

10) SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows:

    **1.** Axis Bank Ltd **2.** State Bank of Hyderabad **3.** Corporation Bank **4.** State Bank of Travancore **5.** IDBI Bank Ltd. **6.** State Bank of Bikaner and Jaipur **7.** YES Bank Ltd. **8.** Punjab National Bank **9.** Deutsche Bank **10.** Union Bank of India **11.** HDFC Bank Ltd. **12.** Bank of Baroda **13.** ICICI Bank Ltd **14.** Vijaya Bank **15.** Bank of Maharashtra **16.** State Bank of India **17.** Andhra Bank **18.** HSBC Ltd. **19.** Kotak Mahindra Bank Ltd. **20.** Bank of India **21.** CITI Bank **22.** IndusInd Bank **23.** Allahabad Bank **24.** Karur Vysya Bank Ltd. **25.** The Federal Bank **26.** Indian Bank **27.** Central Bank of India **28.** Oriental Bank of Commerce **29.** Standard Chartered Bank **30.** J P Morgan Chase Bank, N.A. **31.** Nutan Nagarik Sahakari Bank Ltd. **32.** UCO Bank **33.** Canara Bank **34.** United Bank of India.

11) The investor is required to submit a copy of the acknowlegement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to ICICI Prudential Mutual Fund.

# ANNEXURE II

## FATCA/CRS, ULTIMATE BENEFICIAL OWNERSHIP (UBO) AND ADDITIONAL KYC SELF CERTIFICATION FORM FOR NON-INDIVIDUALS

(Please seek appropriate advice from your professional tax advisor on your residency and related FATCA and CRS guidance)

ICICI PRUDENTIAL MUTUAL FUND

PAN/PEKRN: ☐☐☐☐☐☐☐☐☐☐ FOLIO: ☐☐☐☐☐☐☐ / ☐☐

| | |
|---|---|
| Name of the entity | |
| Type of Address given at KRA | ☐ Residential ☐ Business ☐ Residential/Business ☐ Registered Office — Date of Incorporation: D D / M M / Y Y Y Y |
| City of Incorporation | |
| Country of Incorporation | |

### ADDITIONAL KYC INFORMATION

| | |
|---|---|
| Gross Annual Income (Rs.) (Please tick (✓)) | ○ Below 1 lac ○ 1 - 5 lacs ○ 5 - 10 lacs ○ 10 - 25 lacs ○ >25 lacs - 1 crore ○ > 1 crore |
| OR | |
| Net Worth | Rs.________ as on D D M M Y Y Y Y (Not older than 1 year) |
| Entity Constitution Type (Please tick (✓)) | ☐ Partnership Firm ☐ HUF ☐ Private Limited Company ☐ Public Limited Company ☐ Society ☐ AOP/BOI ☐ Trust ☐ Liquidator ☐ Limited Liability Partnership ☐ Artificial Juridical Person ☐ Others specify________ |
| Politically Exposed Person (PEP) Status* (Also applicable for the authorized signatories/Promoters/Karta/Trustee/Whole time Directors) | ○ I am PEP ○ I am Related to PEP ○ Not applicable |

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g. Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

| | |
|---|---|
| Non-Individual Investors involved/providing any of the mentioned services | ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Pawning ☐ None of the above |

### FATCA & CRS DECLARATION

Please tick the applicable tax resident declaration

Is "Entity" a tax resident of any country other than India? ○ Yes ○ No (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below)

| Sr. No. | Country | Tax Identification Number^ | Identification Type (TIN or other*, please specify) |
|---|---|---|---|
| 1 | | | |
| 2 | | | |
| 3 | | | |

^ In case Tax Identification Number is not available, kindly provide its functional equivalent

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a specified U.S. Person, mention Entity's exemption code here:

☐

### PART A (To be filled by Financial Institutions or Direct Reporting NFEs)

| | |
|---|---|
| We are a Financial Institution (Refer 1 of Part C) ☐ or Direct Reporting NFE (Refer 3(vii) of Part C) ☐ — Please tick (✓) | GIIN ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ **Note:** If you do not have a GIIN (Global Intermediary Identification Number) but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below. Name of sponsoring entity ________ |
| **GIIN not available** (Please tick as applicable) If the entity is a financial institution | ☐ Applied for ☐ Not obtained - Non-participating FI ☐ Not required to apply for - please specify 2 digits sub-category ☐☐ (Refer 1 A of Part C) |

### PART B (Please fill any one as appropriate) (To be filled by NFEs other than Direct Reporting NFEs)

| | | |
|---|---|---|
| 1. | Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C) | Yes ☐ (If yes, please specify the below details) Name of the stock exchange________ Security ISIN________ |
| 2. | Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C) | Yes ☐ (If yes, please specify the below details) Name of listed company________ Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company Name of the stock exchange________ Security ISIN________ |
| 3. | Is the Entity an active NFE (Refer 2c of Part C) | Yes ☐ Nature of Business ________ Please specify the sub-category of Active NFE ☐☐ (Mention code - Refer 2c of Part C) |
| 4. | Is the Entity a passive NFE (Refer 3(ii) of Part C) | Yes ☐ Nature of Business ________ |

## UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

**Category** (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm ☐ Limited Liability Partnership Company

☐ Unincorporated association / body of individuals ☐ Public Charitable Trust ☐ Religious Trust ☐ Private Trust

☐ Others (please specify ______________________________)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

**UBO / Controlling Person(s) details**

**Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit?** ☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit.

Details of such individual(s) are given below. BEN2 form as downloaded from MCA portal is attached as documentary evidence of the UBO information or any other applicable supporting documents like shareholding pattern of the entity and its associates. Further, we hereby consent to submitting the appropriate documentary evidence substantiating this as and when required at AMC/RTA end.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit.

Details of the individual who holds the position of Senior Managing Official (SMO) is to be provided below.

| Details | UBO-1 / Senior Managing Official (SMO) | UBO2 | UBO3 |
|---|---|---|---|
| Name of the UBO/SMO# | | | |
| UBO / SMO PAN# (For Foreign National, TIN to be provided) | | | |
| % of beneficial interest# | >10% controlling interest ☐<br>>15% controlling interest ☐<br>>25% controlling interest ☐<br>NA (for SMO) ☐ | >10% controlling interest ☐<br>>15% controlling interest ☐<br>>25% controlling interest ☐<br>NA (for SMO) ☐ | >10% controlling interest ☐<br>>15% controlling interest ☐<br>>25% controlling interest ☐<br>NA (for SMO) ☐ |
| Country of Tax residency* | | | |
| UBO / SMO Country of Tax Residency# | | | |
| UBO / SMO Taxpayer Identification Number / Equivalent ID Number# | | | |
| UBO / SMO Identity Type | | | |
| UBO / SMO Place & Country of Birth# | Place of Birth ____________<br>Country of Birth ____________ | Place of Birth ____________<br>Country of Birth ____________ | Place of Birth ____________<br>Country of Birth ____________ |
| UBO / SMO Nationality | | | |
| UBO / SMO Date of Birth (dd-mm-yyyy)# | | | |
| UBO / SMO PEP# | Yes – PEP ☐<br>Yes – Related to PEP ☐<br>N - Not a PEP ☐ | Yes – PEP ☐<br>Yes – Related to PEP ☐<br>N - Not a PEP ☐ | Yes – PEP ☐<br>Yes – Related to PEP ☐<br>N - Not a PEP ☐ |
| UBO / SMO Address (Include City, Pincode, State, Country) | Address: ____________<br>____________<br>____________<br>City: ____________<br>Pincode: ____________<br>State: ____________<br>Country: ____________ | Address: ____________<br>____________<br>____________<br>City: ____________<br>Pincode: ____________<br>State: ____________<br>Country: ____________ | Address: ____________<br>____________<br>____________<br>City: ____________<br>Pincode: ____________<br>State: ____________<br>Country: ____________ |
| UBO / SMO Address Type | Residence ☐<br>Registered Office ☐<br>Business ☐ | Residence ☐<br>Registered Office ☐<br>Business ☐ | Residence ☐<br>Registered Office ☐<br>Business ☐ |
| UBO / SMO Email | | | |
| UBO / SMO Mobile | | | |
| UBO / SMO Gender | ☐ Male<br>☐ Female<br>☐ Others | ☐ Male<br>☐ Female<br>☐ Others | ☐ Male<br>☐ Female<br>☐ Others |
| UBO / SMO Father's Name | | | |
| UBO / SMO Occupation | Public Service ☐<br>Private Service ☐<br>Business ☐<br>Others ☐ | Public Service ☐<br>Private Service ☐<br>Business ☐<br>Others ☐ | Public Service ☐<br>Private Service ☐<br>Business ☐<br>Others ☐ |

| Details | UBO-1 / Senior Managing Official (SMO) | UBO2 | UBO3 |
|---|---|---|---|
| SMO Designation# | | | |
| UBO / SMO KYC Complied? | Yes / No.<br>If 'Yes,' please attach the KYC acknowledgement.<br>If 'No,' complete the KYC and confirm the status. | Yes / No.<br>If 'Yes,' please attach the KYC acknowledgement.<br>If 'No,' complete the KYC and confirm the status. | Yes / No.<br>If 'Yes,' please attach the KYC acknowledgement.<br>If 'No,' complete the KYC and confirm the status. |
| BEN2 Form or any other relevant supporting documents as applicable** | Attached ☐ | Attached ☐ | Attached ☐ |

# Mandatory column.
** In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof. Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.
* ICICI Prudential Asset Management Company Ltd. or Registrar and Transfer Agent of ICICI Prudential Mutual Fund (the RTA) may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

**FATCA - CRS Terms and Conditions**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.
Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.
It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

**Certification:** I/We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We hereby agree and confirm to inform ICICI Prudential Asset Management Company Limited/ICICI Prudential Mutual Fund/Trustees for any modification to this information promptly. I/We further agree to abide by the provisions of the Scheme related documents inter alia provisions on Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI).

**Declaration**

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and ICICI Prudential Asset Management Company Ltd. and the RTA can make reliance on the same. I/We hereby authorize ICICI Prudential Asset Management Company Ltd. and the RTA to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered intermediaries or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep ICICI Prudential Asset Management Company Ltd or the RTA informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required by ICICI Prudential Asset Management Company Ltd, the RTA, or by domestic or overseas regulators/ tax authorities.

**Signature with relevant seal:**

| | | |
|---|---|---|
| Authorized Signatory<br>Name:<br>Designation: | Authorized Signatory<br>Name:<br>Designation: | Authorized Signatory<br>Name:<br>Designation: |

Place: ________________ Date: ___ / ___ / ___

## PART C - FATCA Instructions & Definitions

### 1. Financial institution (FI)

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
  - (i) The three financial years preceding the year in which determination is made; or
  - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
  - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
  - (ii) Individual and collective portfolio management; or
  - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;

or

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

(i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or

(ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

• FI not required to apply for GIIN:
A. Reasons why FI not required to apply for GIIN:

| Code | Sub-category |
|---|---|
| 01 | Governmental Entity, International Organization or Central Bank |
| 02 | Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank |
| 03 | Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund |
| 04 | Entity is an Indian FI solely because it is an investment entity |
| 05 | Qualified credit card issuer |
| 06 | Investment Advisors, Investment Managers& Executing Brokers |
| 07 | Exempt collective investment vehicle |
| 08 | Trustee of an Indian Trust |
| 09 | FI with a local client base |
| 10 | Non-registering local banks |
| 11 | FFI with only Low-Value Accounts |
| 12 | Sponsored investment entity and controlled foreign corporation |
| 13 | Sponsored, Closely Held Investment Vehicle |
| 14 | Owner Documented FFI |

### 2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

| |
|---|
| a. Publicly traded company (listed company) |
| A company is publicly traded if its stock are regularly traded on one or more established securities markets<br>(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange) |
| b. Related entity of a publicly traded company<br>The NFE is a related entity of an entity of which is regularly traded on an established securities market; |

| c. Active NFE : (is any one of the following): | |
|---|---|
| Code | Sub-category |
| 01 | Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by theNFE during the preceding financial year are assets that produce or are held for the production of passive income; |
| 02 | The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing; |
| 03 | Substantially all of the activities of the NFEconsist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; |
| 04 | The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE; |
| 05 | The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; |
| 06 | The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution; |
| 07 | Any NFE that fulfills all of the following requirements:<br>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;<br>• It is exempt from income tax in India;<br>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;<br>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.<br>Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-<br>(I) an Investor Protection Fund referred to in clause (23EA);<br>(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and<br>(III) an Investor Protection Fund referred to in clause (23EC),<br>of section 10 of the Act; |

**3. Other definitions:**

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

(i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or

(ii) an investment entity defined in clause (b) of these instructions

(iii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of :

(1) Dividends,

(2) Interest

(3) Income equivalent to interest,

(4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE

(5) Annuities

(6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income

(7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,

(8) The excess of foreign currency gains over foreign currency losses

(9) Net income from swaps

(10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued by SEBI, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

i. More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institutionshall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type:

| Code | Sub-category |
|---|---|
| 01 | CP of legal person-ownership |
| 02 | CP of legal person-other means |
| 03 | CP of legal person-senior managing official |
| 04 | CP of legal arrangement-trust-settlor |
| 05 | CP of legal arrangement-trust-trustee |
| 06 | CP of legal arrangement-trust-protector |
| 07 | CP of legal arrangement-trust-beneficiary |
| 08 | CP of legal arrangement-trust-other |
| 09 | CP of legal arrangement-Other-settlor equivalent |
| 10 | CP of legal arrangement-Other-trustee equivalent |
| 11 | CP of legal arrangement-Other-protector equivalent |
| 12 | CP of legal arrangement-Other-beneficiary equivalent |
| 13 | CP of legal arrangement-Other-other equivalent |
| 14 | Unknown |

(v) Specified U.S. person – A U.S person other than the following:

(i) a corporation the stock of which is regularly traded on one or more established securities markets;

(ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

(iii) the United States or any wholly owned agency or instrumentality thereof;

(iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

(v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;

(vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;

(vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;

(viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);

(ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;

(x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;

(xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;

(xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or

(xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:

(a) The FFI is an FFI solely because it is an investment entity;

(b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;

(c) The FFI does not maintain a financial account for any non participating FFI;

(d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and

(e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person,an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(viii) Exemption code for U.S. persons

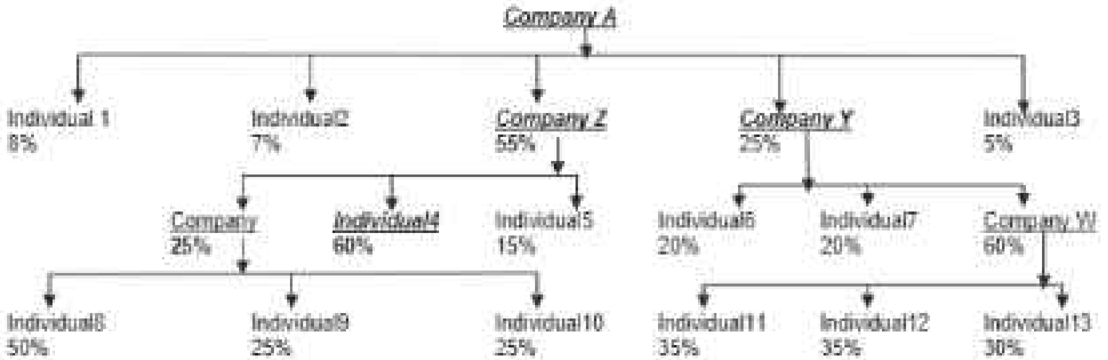
| Code | Sub-category |
|---|---|
| A | An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37) |
| B | The United States or any of its agencies or instrumentalities |
| C | A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities |
| D | A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i) |
| E | A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i) |
| F | A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state |
| G | A real estate investment trust |
| H | A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940 |
| I | A common trust fund as defined in section 584(a) |
| J | A bank as defined in section 581 |
| K | A broker |
| L | A trust exempt from tax under section 664 or described in section 4947(a)(1) |
| M | A tax exempt trust under a section 403(b) plan or section 457(g) plan |

## Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons

ICICI PRUDENTIAL MUTUAL FUND

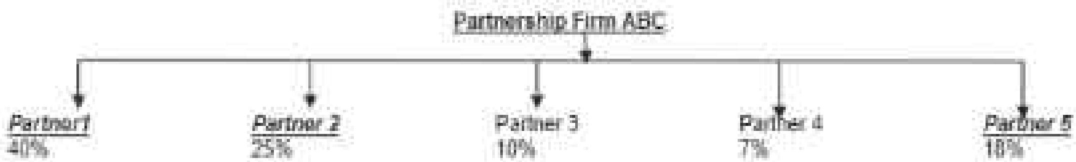
**Sample Illustrations for ascertaining beneficial ownership:**

**Illustration No. 1 – Company A**



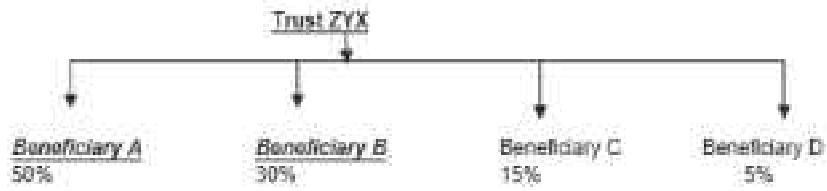

For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof. Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

**Illustration No. 2 – Partner ABC**




For Partnership Firm ABC, Partners 1, 2, 3 and 5 are considered as UBO as each of them holds >=10% of capital. KYC proof of these partners needs to be submitted including shareholding.

**Illustration No. 3 – Trustee ZYX**




For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >=10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

## ICICI Prudential Mutual Fund Official Points of Acceptance

• Agra : Shop No. 2 & 3 , Block No. 54/4, Ground Floor, Pratiek Tower, Sanjay Palace, Agra-282 010 • Ahmedabad II : Shop No 2 & 3, Ground Floor, 323 Corporate Park, Umashankar Joshi Marg, Nr Girish Cold drink, C.G. Road Ahmedabad – 380009 • Ambala : Plot no.5318/2 and 5314/1, Ground floor, Near D.D. High School, 3 Cross Road, Ambala Cantt, Ambala, Haryana 133001 • Amritsar I : SCO-39, Ground Floor, Ranjit Avenue, B-Block, Amritsar, Punjab 143008 • Anand II : Shop No 129,First Floor, Narayan Empire, Opp Maruthi Bakery Anand Vidhyanagar Road 388001 • Andheri : Shop No.1, Vivekanand Villa Co-Op Housing Society Ltd. 139, Opp. HDFC bank, Swami Vivekanand Rd, Andheri West, Mumbai 400058 • Asansol : Shop A & B, Block - A, Apurba Complex, Senraleigh Road, Apcar Garden, Near AXIS Bank, Asansol -713304 • Aurangabad : Ground Floor, Unit no. 127-G, Samarth Nagar, Aurangabad 431001 • Bandra West : Ground and First Floor, Unit no 3 and 13, Esperanza, Linking Road, Bandra West, Mumbai 400050 • Bangalore - Ulsoor Road : Unit 4 101-104, First Floor, Phoenix Pinnacle, 4 Ulsoor Road, Bangalore – 560042 • Bangalore-Jayanagar : 311/7, Ground Floor 9th Main, 5th Block,Jayanagar, Bangalore – 560 041 • Bangalore-Koramangla II : Ground Floor, No 644, 6th Block, Koramangala, Bangalore 560095 • Bangalore-Malleshwaram II : Ground Floor, Lakshmi Arcade, no. 298/1, 17th Cross 2nd Main Road, Sampige Road, Malleshwaram, Bengaluru - 560003 • Bankura II : Ground Floor, Vari Vihar, 74/A, Natunchati, PO & District Bankura West Bengal 722101 • Bharuch : 106 & 107-Nexus Business Hub, City Survey Ward no.1, City Sarve No. 2513, Pakki besides Rajeshwar Petrol Pump, opp Pritam Society -2, Maktampur, Bharuch-392001, Gujarat • Bhopal : Ground Floor, Kay Kay Business Center, Ram Gopal Maheshwari, Zone 1, Maharana Pratap Nagar - Bhopal - 462011, MP • Bhubaneshwar : Plot No – 381, Khata – 84, MZ Kharvel Nagar, (Near Ram Mandir) Dist – Khurda, Bhubaneshwar, Odisha, Pin - 751001 • Bhuj II : Ground Floor - 42, Jubilee Colony, Jubilee Circle, Near Phone Wala, Bhuj - Kutch, Bhuj Gujarat 370001 • Borivali : Shop No. 4,5,6,7, Ground Floor, Suchitra Enclave, Maharashtra Lane, Borivali, Mumbai 400092 Maharashtra • Chandigarh II : SCO- 463-464, First and Second Floor, Sector-35C, Chandigarh, Union Territory Pin Code : 160022 • Chembur : First Floor, office no 102, A wing, Sai-Kiran Apartment, plot no 217, Central Avenue, 11th Rd junction, Chembur, Mumbai-400071 • Chennai : Abithil Square, No. 189, Lloyds Road, Royapettah, Chennai 600014 • Chennai-Ashok Nagar : Unit 2E, at New Door No.43 & 44/ Old Nos 96&97, 11th Avenue, Ashok nagar, Chennai - 600083 • Chennai-Anna Nagar : First Floor, A wing, Kimberley Tower, Y222, 2nd Avenue, Anna Nagar, Chennai 600040 • Chennai-Tambaram : Door No 24, Ground Floor, GST Road, Tambaram Sanitorium, Chennai 600 047 • Chennai-Velachery : First Floor, Block no 138, No 485/5, 100 Feet By pass road, Velachery, Chennai - 600042 • Cochin : Parambil Plaza, Ground & First Floor, Kaloor Kadavanthra Road, Kathrikadavu, Ernakulam, Cochin - 682017 • Coimbatore : Ground Floor, No.1, Father Rhandy Street, Azad Road, R.S.Puram, Coimbatore - 641 002 • Dehradun II : Aama Tower, Shop No C, Ground Floor, 1, Mahant Laxman Dass Road, Dehradun Uttarakhand - 248001 • Durgapur : Lokenath Mansion, Mezzanine Floor, Shahid Khudiram Sarani, City Centre, Durgapur 713 216 • Dwarka : Unit No. G-65, Ground Floor, Vegas Mall, Next to Axis Bank, Sector-14, Dwarka, New Delhi-110075 • Faridabad II : SCF-28, Ground Floor, Sector-19, Market-2, Faridabad, Haryana 121002 • Fort : 2nd Floor, Brady House, 12/14, Veer Nariman Road, Fort, Mumbai - 400001 • Ghatkopar II : Unit No. 1, Ground Floor, RNJ Corporate, Plot no 8, Jawahar Road, Opp Ghatkopar Rly Station, Ghatkopar East, Mumbai 400077 • Ghaziabad : Unit No. C-65, Ground Floor, Raj Nagar District Center, Ghaziabad, Uttar Pradesh, 201002 • Goregaon : Block D-2, 2nd Floor, Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063 • Gurugram II : Unit No. 128, First Floor, Vipul Agora, M.G.Road, Gurugram, Haryana -122002 • Guwahati : Ground Floor, Jadav Bora Complex, G. S. Road, M Dewan Path, Ulubari, Guwahati, Assam - 781007 • Gwalior II : Ground Floor, Radha Sawed bldg, Plot no. 941, Patel Nagar, City Center, Gwalior Madhya Pradesh 474011 • Howrah (Kolkata – Dalhousie) : Room No. 208, 2th Floor, Oswal Chambers, 2, Church Lane, Kolkata - 700001 • Hyderabad-Begumpet II : Ground & First Floor, No.1-10-72/A/2, Pochampally House, Sardar Patel Road, Begumpet, Hyderabad - 500016 • Indore : Unit no G3 Ground Floor, and unit no 104, 1st Floor, Panama Tower, Manorama Ganj Extn, Near Crown Palace Hotel, Indore 452001 • Jabalpur : Ground Floor, Unit no 112/113, Plot no. 42/B3, Napier Town, Opp Bhawartal garden, Jabalpur 482001 • Jaipur : D34, Ground Floor, G-Business park, Subhash Marg, C-Scheme, Jaipur, Rajasthan 302001 • Jalandhar : Unit no.22, Ground Floor, City Square Building, EH 197, Civil Lines, Jalandhar, 144001 • Jammu II : Unit no. 161, First Floor, South Block A2, Bahu Plaza Shopping Center, Jammu, Pincode 180012 • Jamnagar : Ground Floor, Unit no 2&3, Bhayani Mansion, Gurudwara Road, Jamnagar - 361001 • Jamshedpur : Padmalaya, 18 Ram Mandir Area, Ground Floor, Bistupur, Jamshedpur, Pin – 831001, Jharkhand • Jodhpur : Plot No.1, First Floor, Shindhi Colony, Near Jodhpur Hospital Shastri Nagar, Jodhpur-342 003 • Kalyan : Unit no.7, Vikas Heights, Santoshi Mata Road, Kalyan West, Pin 421301 • Kalyani II : Ground Floor, B- 07/25 (S), P.O.- Kalyani, Near Central Park More, Dist - Nadia, West Bengal, 741235 • Kanpur : Unit No. G-5, Sai Square, 16/116, (45), Bhargav Estate, Civil Lines, Kanpur, Pin 208001 • Kanpur-Kan Chambers II : Unit No. 1, Ground Floor, 14/113, Civil Lines, Kan Chamber, Kanpur, Uttar Pradesh- 208001 • Kolhapur : First Floor, Anand Plaza, 1089, E ward, Rajaram Road, Near ICICI Bank, Kolhapur, 416008 • Kolkata - South : Flat no.1A, 1st floor, 1/393, Gariahat Road, (South), P.S. - Jadavpur, Kolkata - 700068 • Kolkata Apeejay House : Ground & 3rd Floor , Block A, Apeejay House, 15 Park Street, Kolkata 700016 • Kolkata-Dum Dum : 1st Fl, Siddheswari Garden, 181-Dum Dum Road, Kolkata 700074 • Lucknow II : Regency Plaza, Ground and First Floor, 5 Park Road, Lucknow, UP 226001 • Ludhiana : SCO-121, Ground Floor, Feroze Gandhi Market, Ludhiana - 141 001 • Malad : Ground Floor, 301, Pal Mansion, 5, Padma Nagar, Ramchandra Lane, Evershine Nagar, Malad West 400064 • Mangalore : Maximus Commercial Complex, Upper Ground Fl ll 3 & 4, Light House Hill Road,Mangalore 575001 • Margao : Upper Ground Floor, Vasant Arcade, Unit No. US20, Near Popular High-School, Comba, Madgaon, 403601 • Moradabad : Plot No.-409, 1st floor, Gram Chawani, near Mahila Thana, Civil Lines, Moradabad - 244 001 • Mysore II : Ground Floor, 17 / A, 8th Cross Road 5th Main Road, Kamakshi Hospital Road, Saraswathipuram, Mysore - 570009 • Nagpur II : Shop No 1,2,3, Ground Floor of Cross wind, City Survey no 597, Mouza Ambazari, North Ambazari Road, Gandhi Nagar, Nagpur 440010 • Nashik : Shop No 3&4, Ground Fl, Plot No.57, Karamkala, New Pandit Colony, Opp. Old Municipal Corporation (N.M.C.), Off. Sharanpur Road, Nashik-422002 • Navsari : 1st Floor, Unit no 16E Prabhukunj Heights, Sayaji, Station Road, Opp. ICICI Bank, Navsari - 396445 • New Delhi : 1201-1212, 12th Floor, Naurang House, 21, Barakhamba Road, Connaught Place , New Delhi-110 001 • New Delhi - Chawri Bazar : Unit no 2004 to 2009, 2nd Floor, Chawri Bazar, Delhi 110006 • New Delhi - CP : Unit No. 6 & 7, Ground Floor, Narain Manzil, 23, Barakhamba Road, Connaught Place, New Delhi - 110001 • New Delhi - Janakpuri II : B-23, Ground Floor, Community Centre, Janakpuri, New Delhi Pin Code 110058 • New Delhi - Nehru Place : Unit no. 17-24, S-1 Level, Ground Floor, Block 7, American Plaza, International Trade Tower, Nehru Place, New Delhi - 110019 • New Delhi - NSP II : Unit no. 123-126, First Floor, Aggarwal Cyber Plaza Plot no. C-4, 5, 6 tower -1, Netaji Subhash Place, New Delhi-110034 • Noida : Unit No. K-20, First Floor, Sector-18, (NEXT TO AXIS BANK), Noida, Uttar Pradesh, 201301 • Panipat : 510-512, Portion of First Floor, Ward -8, Opp. Bhatak Chowk, G.T.Road, Panipat-132103 • Panjim : Office no.3, 1st Floor, Landmark, Lawande-Sarmalkar Bhavan, Panaji-Goa Street, Opp Mahalaxmi Temple 403001 • Panvel : Shop no 5 & 6, Ground Floor, Neel Empress, Plot no 92-93, Sector No.1, New Panvel 410206 • Patiala : 1st Floor, Property No 7 Old MC No 1623/5 II and 1623-6/5 Khewat No 1892, Khasra No 95/2-0 situated at Happy Nest Bhupindra Road Near Petrol pump Patiala 147001 Punjab • Patna : 1st Fl, Kashi Palace, Harkiwan, Dak Bungalow Road, Patna -800001 • Prayagraj : 1st floor, FF-1, FC2, Vashistha Vinayak Tower, 38/1, Tashkent Marg, Civil Lines, Prayagraj-211 001 • Pune : Ground Floor, Chintalkar House, 1205/4/6, Opp Sambhaji Road, Jangli Maharaj Road, Pune 411004 • Pune Baner CBC : Ground Floor, Pride Coronet, Beside TATA Showroom, Baner, Pune 411045 • Pune CAMP : Shop No.0, Ground Floor, Chetna Co-operative Housing Society Ltd. 2421 general Thimayya marg, Camp, Pune-411001 • Pune Pimpri : Unit No.A 13, No.4510- Ground Floor, Empire Estate Bldg, Premier City, Pune 411019 • Raipur : Shop No. 10,11 & 12, Ground Fl, Raheja Towers, Jail Road, Raipur - 492001 • Rajkot II : Shop No 2 3 4 & 5 Madhav Arcade, opp Garden, Nr RMC Commissioner Bungalow, Ram Krishna Nagar Main Road, Rajkot - 360001 • Shimla II : Unit No.21, First Floor, The Mall, Shimla, 171001 • Siliguri : Ground Floor, Shanti Square, 2nd Mile, Sevok Road, Siliguri, West Bengal, 734001 • Surat II : Shop no 2, Ground Floor, Satoris Royce, Opp Old RTO, Besides AGS Eye Hospital, Athwagate, Surat 395007 • Thane : Ground Floor, Mahavir Arcade, Shop No 4&5, Ghantali Devi Mandir Road, Naupada, Thane West,400 602 • Trivandrum II : Ground Floor No 44/856 AM towers Vazhuthacaud Road Cotton Hill Edapazhanji Thiruvananthapuram Kerala-695014 • Udaipur : Shop no.2, Fatehpura, Plot No.-14, Bhatt Ji Ki Badi, Udaipur - 313001 • Vadodara : Unit No. 108/109/110, 1st Floor, Midtown Heights, Opp BOB, Jetalpur Road, Vadodara 390007 • Valsad : Unit no.A1 & A2, Ground Floor, Zenith Doctor House, Halar Cross Road, Valsad - 396001 Gujarat. • Vapi : Third Floor, Unit no 301, Bhalu Laxmi Business Center, Vapi Silvassa Road, Opp DCB Bank, Vapi - 396191 • Varanasi II : D-58/12A-7, Ground & 1st Floor, Sigra, Varanasi, Uttar Pardesh -221010 • Vashi II : Vardhaman Chambers, Shop No 10 D & C, Plot no 84, Sector 17, Vashi, Navi Mumbai - 400705 • Virar : Shop no A1, Ground Floor, Dhalwal Vilva Swarganga, Agashi Road, Virar West, Dist Palghar 401303

**Email Ids** • **Ahmedabad**: TrxnAhmedabad@icicipruamc.com • **Bangalore**: TrxnBangalore@icicipruamc.com • **Chennai**: TrxnChennai@icicipruamc.com • **Delhi**: TrxnDelhi@icicipruamc.com • **Hyderabad**: TrxnHyderabad@icicipruamc.com • **Kolkatta**: TrxnKolkatta@icicipruamc.com • **Mumbai - Fort**: TrxnMumbai@icicipruamc.com • **Mumbai - Goregaon**: Trxn@icicipruamc.com • **Pune**: TrxnPune@icicipruamc.com

***Toll Free Numbers: (MTNL/BSNL) 1800222999 ; (Others) 18002006666 • Website: www.icicipruamc.com***

## Other Cities: Additional official transaction acceptance points (CAMS Transaction Points)

• Biharsharif (Rohtas) : Mohalla, Bhaisasur, Ranchi Road, Bihar Sharif, Nalanda, 803 101 • Bokaro : Office No-GA7, City Centre, Sector – 4, Bokaro Steel City, Bokaro, Jharkhand – 827 004 • Cuttack : Room 2A 2nd Floor, City Mart, Above Vishal Garments Dargahbazar Road, Cuttack – 753001, Odisha • Dhanbad : Upper Ground Floor, Shop No-8,9,10 &10A, Sri Ram Mall, Shastri Nagar, Dhanbad – 826 001 • Malda : Room No. 3, Ground Floor, 76/A Sarbamangala Pally, Moneskamona Road, Near Kalji Sukanta Corner, Pin 732101, West Bengal • Shillong : Main Road Laitumkhrah, Phikiry Mansion, 1st Floor, 2nd Row, Shop No. 18, Shillong-3, Dist. – East Khasi Hills, Meghalaya (Covered by Municipal Holding No. 212, 213 under Ward No. 4), 793 003 • Ajmer : Unit No. 2&3 , KC Complex, 711/4, Opposite Daulat Bagh, Ajmer – 305 001 • Alwar : No. 2, Opposite UIT Building, Near Bhagat Singh Circle, HDFC Bank Lane, Alwar • Bareilly : Unit No. 116, Floor No.1st, Location: Civil Lines Bareilly (U.P.) -243001 • Hisar : House/DSB no.112, Sector C.U.E.-I, Hisar, 125001 • Meerut : 1st Floor, Shree Ram Plaza Mangal Pandey Nagar, Garh Road, Meerut 250 001 • Belgaum : Shop No.2 of Ravishree Building, No. 79-B, Ground Floor, Somwarpeth Tilakwadi, Belgaum Karnataka, 590006 • Calicut : 6/842, "Charismao Building", Opp. ICICI Bank, YMCA Road, Calicut, 673 001 • Erode : Shivang Plaza, Mesuwaral street, opposite to E.B. office, Erode - 638001 • Hubli : Shop no.1 & 2, South wing, Ground Floor, Sona Chambers, Club Road, Hubli 580020 • Kollam : Door No. 16,Ground Floor, Aravind Chamber, Near DCC office MUNDAKKAL West, Kollam 691001 • Kottayam : Puthenattil Arcade", Ground Floor, K.K.Road, KANJIKUZHY, Kottayam 686 001 Kerala • Madurai : No.1 First Floor, Suriya Towers, 272/273 – Goodshed Street, Madurai 625001 • Salem : ICICI Prudential AMC Ltd. Ground Floor, D.No.259-B, Shiva Towers, Advaita Ashram Rd, Fairlands, Salem – 636 004 • Tirupati : Manoj Complex Door No. 20-1-207/1, Tirumala bye-pass Rd, maruti Nagar, Tirupati, Andra Pradesh 517501 • Trichy : D-27, 7th Cross Road, Thillai Nagar Trichy 620018 • Vellore : Lingam Plaza, Door No. 104 Ground Fl., Arani Road, Sankarampalayam, Vellore 632001 • Vijaywada : 40-1-129, 2nd Floor, Centurion Plaza, Beside ICICI Bank, Near Benz Circle, M G Road, Vijaywada 520010 • Bandra : One BKC, A - Wing 12th Floor, Bandra Kurla Complex, Mumbai - 400051 • Kumbakonam : 137, Gandhiadigal Salai, 1st Floor Kumbakonam 612001 • Agartala : 2nd Floor, 60 Hari Ganga Basak Road, PS West Agartala, District West Tripura, Pin 799001 • Darbhanga : Gr Fl, Raj complex, Near Poor Home, Station Road, Behind Sahil Hyundai showroom, Mirzapur, Dt. Darbhanga Bihar, 846004 • Bhilai : Shop no.107, A&B, Baniyad Complex, Nehru Nagar east, (Near Agrasen Chowk), Bhilai, Dist. - Durg, Chattisgarh - 490020 • Rohtak : Unit No. : 31 Ground Floor, Ashoka Plaza, Rohtak, Haryana

**Other Cities: Additional official transaction acceptance points (CAMS Transaction Points) (Contd.)**

-124001 • Jhansi : Unit No. 372/A, Janaki Complex, Portion of First Floor, Civil Lines, Gwalior Road, Jhansi. Pin 284001. • Palakkad : "KHOLE COMPLEX" Ground Floor, Chandra Nagar Post, Palakkad , Pincode - 678007 • Nadiad : Unit No. 103, 1st floor, City Centre, Beside City Point , Opp Ipcowala Hall, Nadiad - 387001 • Hyderabad - HiTech City : Srishti Towers, Door No.1-89/2/11/3, 1st Floor, Shop No.3, Anandaya Colony, Hi-tech city, Madhapur, Hyderabad, Ranga Reddy District - 500 081 • Kharagpur : Ground Floor, Sai Complex, O.T. Road, India, Kharagpur, Dist West Medinipur, West Bengal, 721305 • Ahmednagar : Unit no. 11-12, Govardhan Apartment, Near Market Yard, Maliwada, Ahmednagar-414001 • New Delhi - Vikas Marg : Unit no.6, 1st Floor, Opposite Metro Pillar No. 75, Shankar Vihar, Main Vikas Marg, Delhi - 110092 • Chennai OMR : Kailash OMR, Door #292, Old Mahabalipuram Road, Sholinganallur, Chennai - 600119 • Patna - Rajabazar : 1st Floor, Rajabazar, Bailey Road, Prabhat Market Building, (Opposite Pillar No. 58) , Patna-800014 • Kolkata - Saltlake : 1st Floor at Premises No. BD-15, Sector-1, Saltlake, Kolkata 700064 • Tirunelveli : Door No.256G, First Floor, Tiruchendur Road, murugankurichi, Palayamkottai, Tirunelveli - 627002 • Pathankot : Revenue Estate, SCF-12, First Floor, patel Chowk, Pathankot, tehsil & Distt, Pathankot, Punjab, Pin Code145001 • Thrissur : Sree Lakshmi Building (K.A. kumaran Memorial Building) Shornur Road, P.O. Thiruvambady 680022 • Hoshiarpur : Dhami Comercial Complex, 1st Floor, Sutheri Road, Hoshiarpur, Punjab - 146001 • Bilaspur : 1st Floor, Shiv Plaza, Beside Kotak Mahindra Bank, Near Shiv Talkies Square, Bilaspur, Chattisgarh - 495001 • Hooghly : 37, Mukherjee Para Lane, Serampore, West Bengal 712201 • Muzaffarpur : Ground floor, pani Tanki chowk, Club Road, Ramna, Muzaffarpur 842002 • Chennai - Alwarpet : 4A 1st Fl, Urmilla House, No.15 ARK Colony, Eldams Road, Alwarpet, Chennai - 600018 • Karnal : DSS Shop No.211, Sector-12, Urban Estate Karnal, Tehsil and District Karnal 132001 • Junagadh : Unit no 3, Ground Floor, Platinum 2, Moti Baug, College Road, Junagadh - 362001 • Bhilwara : Kasera No. 2742/4 Mo, Ground Floor, Shop No.5, Shree Mahalaxmi Chamber, Near Sandeep Bajaj Showroom, Pur Road, Gandhi Nagar, Bhilwara - 311001 • Vasco : Unit no 105 and 106, First Floor, Anand Chambers, Opp SBI Bank Vasco Da Gama, Vasco, GOA 403802 • Bikaner : Unit no. 6,6 &7, Ground Floor, Silver Square Building, In front of Income Tax office, Rani Bazar, Bikaner 334001 • Bhatinda : Unit no.9059, Main G.T. Road, adjoining ICICI Bank Ltd, Bhatinda 151001 • Balasore : OT Road, Police Line chowk, plot no.52 (Mutation no 288/604), PO Pathuapada, Balashwar, Odisha 756001 • Hosur : VG Towers, Ground Floor, No 40/5, Rayakottai Road, 1st Cross, Hosur 635109 • New Delhi - East Of Kailash II : Crescent Commercial Complex, Plot no.6, 3rd Floor, DDA East of Kailash Community center, New Delhi - 110065 • Faizabad : Unit no. 3/4/3B, Plot No. 141, Ground Floor, Rakab Ganj, Faizabad 224001 • Malappuram : UMK Tower, Building no: 11(Q), 11(Q) (1)), No: 10/77, Jubilee Road, Uphill Malappuram - 676505 • Mathura : Shop Left Lower Ground, Atul Tower , Near tera Towns, Bhuteshwar Road, Mathura - 281001 • Thiruvalla : Rey Building, Ground Floor, No. 24/420, Valayil, Court Junction, Thiruvalla - 689101 • Ratlam : Unit no.18, Dabbi Puliya, Do Batti - Ratlam Madhya Pradesh - 457001 • Bhagalpur : Hakim Devi Prasad Bhawan, Holding No. 65A and 65B, ward no.38, Dr Rajendra Prasad road Khalifabag, Bhagalpur, Bihar 812001 • Kota : Unit No.381-382, Shopping Center, Rawat Bhatta Road, (Near IDBI Bank), Kota - 324006 • Satara : Ground Floor , Plot no.221, Pawar Prabhu, Pratap Ganj Peth, Opp SBI, Satara 415002 • Sambalpur : Samal Complex, Ground Floor, Shop no. 1,2 & 3, main Road, Budharaja, PS-AINTHAPALI, Sambalpur, Odisha 768004 • Ujjain : Unit no 58, Ground Floor, Plot no 90, Ward no. 38, Tatpat Tope Marg, Free Ganj, Ujjain-Madhya Pradesh- 456001 • Nanded : 1st Floor, Shop No- 19, Sanman Prestige, Station Road, Nanded - 431601 • Sagar : Ground Floor, Poddar House, Plot no 7, Ward 6, 2- Civil Lines, Sagar, Madhya Pradesh - 470001 • Bellary : Gramanmaham No.2 03, 1st Cross, Gandhi Nagar, Opp ASM Women's College, Bellari 583103 • Porbandar : Shop no.2, Ground Floor, Gitanjali Complex, Opp Pujara Telecom, M.G. Road, Porbandar 360575 Gujarat • Saharanpur : Plot No. 144, Ground Floor, Dara Pathanpura, Mission Compound, (Near Parasnath Plaza), Saharanpur, Uttar Pradesh, Pin Code 247001 • Tirupur : Ground Floor, Door no.23, Block no24, Bahaji Layout, Tirupur - 641601 • Haridwar : Khasra No.656M 657M, Ground Floor, Ashirwad Tower, Model Town Colony, Opp Prem nagar Ashram, Pargana-Jwalapur, Haridwar, Pincode 249407. • Tinsukia : Allen's Commercial Complex, Ground Floor, S R Lohia Road, Tinsukia - Assam 786125 • Rourkela : Holding no-74 (old no-72), Udit nagar Main Road, Plot no. 304, (beside maher eye hospital), Rourkela, Odisha. 769012 • Berhampur : Ground Floor, Dharma Nagar, Lane 5, Berhampur, District - Ganjam Odisha. 760002 • Korba : Indira Commercial & Residential Centre, Plot No B6, Satyam Dwara, Ward No. 13, T.P. Nagar, Korba, Chattisgarh, 495677 • Dhule : Unit no.2, 1st Floor, Bafna House, Panda Road, Above PNG Jewellers, Dhule 424001 • Thane II - Excellencia Lodha Supremus : 2nd Floor, Unit no. 207, Excellencia Lodha Supremus, Plot no. F4/1, Road No.22, Wagle Industrial Area, Thane West, 400604 • Davangere : Ground Floor, Door no. 172 & 172/1, P.J.Extension, 2nd Main, Chetna Hotel Road, Davangere 577002 • Mehsana II : Unit no F-28/29, First Floor, Orbit Complex, Radhanpur Road, Mehsana - 384002 • Gandhidham : Shop no 6, Ground Floor, Aum Corner, Plot no. 336 / 337/341, ward 12/B, Gandhidham 370201 • Guntur II : Door No 6/11/14, Ground Floor, 11th Lane, 2nd Cross Road, Arundelpet, Guntur, Andhra Pradesh - 522002 • Kangra : Ground Floor, S.S. Tower, Village Birta, Khata No.23, Khasra No. 1069/1, 1701/1 & 1070/1, Near Petrol Pump, Kangra 176001 Himachal Pradesh • Solapur II : Ground Floor, Shop No 2 , Rajgir Building, 86 Railway Lines, Opposite St Joseph High School ,Solapur - 413001 • Sri Ganganagar : Shop no 96 & 97, Ground Floor, new cloth Market, Suratgarh road, National Highway No.15, Sri Ganganagar, Rajasthan 335001 • Firozabad : 10, S N Marg, Novelty Glass Works, Firozabad, Uttar Pradesh - 283203 • Shimoga : Ground Floor, no.321, P Square, 5th Parallel Road, Durgigudi, Shimoga 577201 • Mirzapur : Unit no 7/337, Ground Floor, Swami Dayanand Marg, Girdhar Ka Chauraha, Mahuaria, Near Arya Kanya Inter College, Mirzapur Uttar Pradesh - 231001 • Alappuzha (Alleppey) : Ground Floor, Indigra Building, Church Road, Mullakal, Alappuzha 688011 • Udupi : Ground Floor, Door no 4,5,10, Bailal Towers, Court Road, Near Jodukatte, Udupi 576101 • Puducherry II : Ground Floor, No.172/2, Dulgaret Commune, Natesan Nagar, Pondicherry 605005 • Ankleshwar : Shop no G-6, Shree Narmada Arcade, Old N.H.8 Road, Nr Kotak Mahindra Bank, Ankleshwar, Gujarat 393002 • Bardoli : Shop no 4, Laxmi House, M G Road, Bardoli, Gujarat 394601 • Himmatnagar : Shop no. 7, 8 & 9, Rajendra Sinh Maharaj Rajput Samaj Bhavan, Panchbatti Station Road, Himmatnagar Gujarat 383001 • Sangli II : Shop no 6 and 7, Ground floor, Aditya Sai Landmark, Civil Hospital Road, Near Ram Mandir, Sangli 416416 • Roorkee : Shop No.10, Ground Floor, City Pride, Civil Line, Roorkee, Uttarakhand 247667 • Aligarh II : Shop No. 2, Ground Floor, Regency Complex, Shyam Nagar, Center Point, Railway Station Road, Aligarh, Uttar Pradesh 202001 • Solan : Kapoor Complex, Lower Ground Floor, Mall Road, Solan, Himachal Pradesh - 173212 • Mandi : Unit No. 118/8, Lower Ground Floor, Old Bus Stand, Mandi, Himachal Pradesh-175001 • Sonipat : Unit No. 23L, First Floor, Above Kwam Motors, Atlas Road, Model Town, Sonipat, Haryana - 131001 • Deoria : Unit No. 701(Old No. 437), Katrauli Road, First Floor, (Above SBI Bank), Deoria, Uttar Pradesh-274001 • Jaunpur : Unit No. 88 (Old No. 62), Ground Floor, Mohalla Jahangirabad, Pargana Haveli, Tehsil & District Jaunpur, Uttar Pradesh-222002 • Satna : Ground Floor, Shop no.1, Ward no 21, Janam Jyoti Colony, Rewa Road, Satna MP 485001 • Gaya II : Vijaya Complex, Ground Floor, Gewalbigha, Gaya, Bihar 823001 • Kannur II : Ground Floor, No. 47/2626-1, Jayaram's Arcade, AKG - Stadium Road, Next to MAKS Hospital, Tallap, Kannur - 670002 • Vadodara CBC : 101 & 102 ( N ) 1st Floor, Sneh Signature, Tulsidham Makarpura Road,Nr Tulsidham Cross Road, Manjalpur Vadodara - 390011 • Amreli : Shop No 2 & 3, Jay Sardar Complex, Manekpara Opp HDFC Bank, Amreli 365601 • Tuticorin : Ground Floor, No. 332, WGC Road (VOC Road), Melur Post, Thoothukudi - 628002 • Palanpur : Shop No 6 & 7,First Floor, New Bus Port, Nr Sarkari Vasahat, Opp Tirupati Plaza, Palanpur 385001 • Muzaffarnagar : Unit No 414, Ground Floor, Janseth Road Kambal Wala Bagh, New Mandi Near ICICI Bank Ltd, Muzaffarnagar Uttar Pradesh - 251001 • Azamgarh : Anirudhha Tower, Ground Floor, Mohalla Ailwal, Tappa-Atlishe, Pargana-Nizamabad, Tehsil-Sadar, District- Azamgarh, Uttar Pradesh - 276001 • Gorakhpur II : Shop No. UGF 1-4, Ground floor, Cross Road, Bank Road, Situated at A.D.College Chowk, Gorakhpur 273001 • Srinagar : 1st floor above ICICI Bank, Karan Nagar, Near Gole Market, Srinagar, J & K - 190010 • Kurnool II : Ground Floor, Shop No. 1, 2, 3, Door No 40/38 & 40/38-3-1A, Bangarpet, Bellary Road, Kurnool - 518003 • Ahmedabad Maninagar - DET : Shop No 2, First Floor, Dosang Society, Opposite Rajkamal Bakery, Above Axis Bank Bhulabhai Road, Jawahar chowk, Maninagar Ahmedabad 380008 • Surendranagar : Mega Mall, 102 FF, Bus Stand Road, Surendranagar - 363001 • Jalgaon II : Ground Floor,Trade Center, Plot no 2421,Near Jalgaon Peoples Bank,Jalgaon 425001 • Sirsa : Garg Tower, First Floor, Opposite AROMA HOTEL, Dabwali Road, Sirsa, Haryana, Pin Code 125055 • Burdwan II : Ground Floor, G.T. Road East end Machipara, Burdwan Sadar, Purba Burdwan, West Bengal. PIN: 713103 • Nagercoil : Ashrama Towers 28S/14/3 Police Station Road, Near Nagaraja Temple, Nagercoil 629001 • Latur : Ground Floor, Madhu Mira Complex, Desi Kendra School Road, Opp. Dolphin Hotel, Shivajinagar , Latur - 413512 • Warangal II : "2-4-646, ABK Mall, First Floor, Ramnagar, Hanumakonda 506001 Warangal, Telangana" • Rewari : SCO No 118A, First Floor, Brass Market, Rewari, Haryana, Pin Code 123401 • Yavatmal : Shri ram tower, Beside Shree Ram Mandir,Tiwari chowk, Opposite Buldhana bank,Yavatmal 445001 • Cuddapah (Kadapa) : Ground Floor, No 41/1548 Sankarapuram, Kadapa Town, YSR District - 516002, Andhra Pradesh • Nellore : DN 16/3/225 First Floor Sunshine Plaza Ramalinga Puram Nellore-524003. Andhra Pradesh • Chandrapur : Shop no 1 Ground Floor, Tumkur Building, Ghanshyam Bhavan, Chandrapur- 442401 • Andheri East : Office no 313 Third Floor, Midas, Sahar Plaza, Andheri Kurla Road, Andheri East, Mumbai 400059 • Kolkata - Anandlok - DET : 103 & 103A, 1st Floor, Block - B, Anandalok, 227 AJC Bose Road, Kolkata - 700020 • Hyderabad Kukatpally : 1st Floor, No 12-6-11/4, Kukatpally, Hyderabad - 500072 • Sikar : 1st Floor, Singodiya Plaza, Kalyan Circle, Silver Jubli Road, Opp Sihotiya Petrol Pump, Sikar, Rajasthan - 332001 • Akola II : Shatanshari Square,Shop No 18, First Floor,Durga Chowk, Akola 444001 • Goregaon BB : Block B6, 2nd Floor, Nirlon Knowledge Park, Western Express Highway, Goregaon East, Mumbai 400063 • Bangalore - Kalyan Nagar : "Ground Floor, AVYA ARC at No.3 P.ID No.88-308-3, 2nd Cross, 2nd Block BDA Layout, Subbaihnapalya, Kalyan Nagar, Bangalore 560032" • Bangalore-Whitefield II : Ground Floor, Saroj Studio Building, No 208, Thubarahalli, Varthur Road, Bengaluru, Karnataka – 560066 • Ratnagiri : Ground floor, Shop no 1, Arihant Space center, Near Latikar Hospital, Maruti Mandir, Ratnagiri 415612 • Vishakhapatnam II : 2nd Floor, no. 47-3-25, Dwarka Nagar 6th Lane, Seethammapeta main Road, Vishakapatnam - 530016 • Bhavnagar II : Shop No. 1, Ground Floor, Victoria Prime, Block DS/S-A, Kalyanbid Water Tank, Near Dilbahar, Lokhubha Hall Road, Bhavnagar, Gujarat - 364002 • Cochin - Kakkanad : Vestory Towers, 1st Floor, NGO Quarters, Mavelipuram -Road, Thrikkakara, Kakkanad, Kochi, Kerala – 682030 • Hazaribagh : Dhiman Complex, 1st Floor, GGS Road, near Annanda Chak, Hazaribagh, Jharkhand • Angul : Ground Floor, Plot no.- 882, Khata No.- 432/835, Ward no.- 21, Mouza – Angul, Amlapada Lane 6, Angul, Odisha, 759122 • Amravati II : Ground Floor, Plot No-3/87, Sheet no 48D, Shrikrishna Peth, District Hospital Road, Near Irwin Chowk, Amravati- 444601 • Kashipur : Chamunda complex, Ground floor, Mauja Mahuakhera, Ram Nagar Road, Tehsil Kashipur, District U.S. Nagar, Uttarakhand 244713 • Jorhat : Crystal Tower, Upper Ground Floor, Thana road, opposite of Lower Union High School, Jorhat, Assam 785001 • Anantapur : No 18 169 First Floor, Ganeshams Enclave, Uma Nagar, Ananthapur, Andhra Pradesh 515001 • Haldwani II : Unit no 119, Ground Floor, Mukherjee Compound Bhotia Padav, Haldwani Uttarakhand 263139 • Chhindwara : First Floor, I Complex, Opp. SAF Gate, Next to Kuberkar Plaza, Parasia Road, Chhindwara, Madhya Pradesh, 480001 • Yamunanagar : 0/5 -168/16, First floor, Professor colony, Gobindpuri road, Yamuna Nagar, Haryana Pin code 135001 • Rajouri : "Shop No. 7

## Other Cities: Additional official transaction acceptance points (CAMS Transaction Points) (Contd.)

and 8, First Floor, Callbre Market, Block-D, Patiala Road, Dalima Vihar, Rajpura Township, Tehsil Rajpura, District - Patiala, Punjab, Pin Code : 140401" • Kurukshetra : 1st Floor, Sco no. 6, Sector 17, Huda Kurukshetra, Haryana 136118 • Chennai-Parrys : 1st Floor, Padmavathi court, New No 217, Old No 153, Thambu Chetty Street, George Town, Parrys, Chennai - 600001 • Raibareilly : Property No. 8 Ground Floor, Feroz Gandhi Nagar Yojana, Rae-Bareilly, Uttar Pradesh 229001 • Ballia : Unit No CP-1, Harpur Yojna, Awas Vikas Colony, Main Road, Ballia 277001 • Haldia : Ground floor, HPL Link road, Manjushree More, Basudevpur, Haldia, West Bengal. 721602 • Thane - Ram Maruti Road : 1st Floor, Mayur CHS Ltd, Ram Maruti Road, Thane West - 400601 • Ranchi II : Toplink Serenity Building, 1st Floor, Unit no 102 and 2nd Floor unit no 202, Line Tank Road, Near Firayalal Chowk, Ranchi, Jharkhand 834001 • Basti : Unit No 1840, Ground floor, Pikaura Shiv Gulam, Tappa Haveli, Basti - 272001 • Phagwara : Doal Complex, B-42/93, First Floor, Khasra No 2278, G.T.Road, Near Elite Theatre Phagwara, Tehsil Phagwara District Kapurthala Pin Code 144401 • Gandhinagar : Shalin Centrum Shop No 101, 1st Floor, Plot no 2, sector 11, Gandhinagar 382009 • Rajahmundry II : Ground Floor, 7-28 - 36/1, Vygram Road, Rajahmundry, Andhra Pradesh - 533101

*In addition to the existing Official Point of Acceptance of transactions, Computer Age Management Services Ltd. (CAMS), the Registrar and Transfer Agent of ICICI Prudential Mutual Fund, having its office at New No 10, Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road), Chennai - 600 034 shall be an official point of acceptance for electronic transactions received from the Channel Partners with whom ICICI Prudential Asset Management Company Limited has entered or may enter into specific arrangements for all financial transactions relating to the units of mutual fund schemes. Additionally, the secure Internet sites operated by CAMS will also be official point of acceptance only for the limited purpose of all channel partners transactions based on agreements entered into between IPMF and such authorized entities.*

*In addition to the existing Official Point of Acceptance of transactions, authorized Points of Service (POS) of MF Utilities India Private Limited (MFUI) shall be an official point of acceptance for all financial and non-financial transactions. The updated list of POS of MFUI is available on www.mfuindia.com. The online transaction portal of MFU is www.mfuonline.com.*

ICICI PRUDENTIAL MUTUAL FUND

June 2025