

#### **KEY INFORMATION MEMORANDUM (KIM)**

## KOTAK ENERGY OPPORTUNITIES FUND

An open-ended equity scheme following Energy theme

Offer for Units of ₹ 10 each for cash during the New Fund Offer and Continuous offer for Units at NAV based prices

6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Off. Western Express Highway, Gen.A.K. Vaidya Marg, Malad (E) Mumbai - 400 097. 1800 309 1490 (Tollfree) www.kotakmf.com

#### New Fund Offer Opens on: April 03, 2025 New Fund Offer Closes on: April 17, 2025

Scheme re-opens on or before: May 02, 2025

 This product is suitable for investors who are seeking\*
 Scheme Risk-o-meter#
 Benchmark Risk-o-meter

 • Long term capital growth
 • Investment in portfolio of predominantly equity & equity related securities of companies engaged in Energy and Energy related activities
 • Investment is portfolio of predominantly equity & equity and the risk of the scheme is Very High
 • Investment is very High
 • Investment is very High

\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

#The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.kotakmf.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated March 11, 2025.

Scheme Code	KOTM/O/E/THE/24/10/0138					
Investment Objective	The investment objective of the scheme is equity related securities of companies engag However, there is no assurance that the obje	ied in Energy a	nd Energy relate			
Asset Allocation Pattern of the scheme	The asset allocation under the Scheme, under follows:	er normal circu	imstances, is as	a) Government Securities; b) T-Bills; and		
	Instruments	Indicative	Allocation	c) Repo on Government securities.		
		(% of tot	al assets)	Pursuant to para 12.18 of SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated		
		Minimum	Maximum	June 27, 2024, the scheme may participate in the corporate bond repo		
	Equity and Equity Related Securities of companies engaged in Energy (Traditional/ New) and allied sectors & activities.	80	100	transactions. The gross exposure of the scheme to repo/reverse repo transactions in corporate debt securities shall not be more than 10% of the net assets of the scheme.		
	Equity and Equity Related securities of companies other than those engaged in Energy and allied sectors & activities*	0	20	Investment in debt instruments having structured obligations / credit enhancements as per para 12.3 of SEBI/HO/IMD/IMD-PoD- 1/P/CIR/2024/90 dated June 27, 2024		
	Debt and Money Market Securities#*	0	20	The investment of the Scheme in the following instruments shall not		
	Units of REITs & InvITs #Debt instruments shall be deemed to	0	10	exceed 10% of the debt portfolio of the Scheme and the group exposure in such instruments shall not exceed 5% of the debt portfolio of the		
	(excluding foreign securitised debt) and immay be up to 40% of the debt assets of the clause 1 of Seventh Schedule of SEBI (Mutua #Money Market instruments include commet treasury bills, Government securities having	vestment in se e scheme in a al Funds) Regul ercial papers, co an unexpired	ecuritised debts ccordance with ations, 1996. ommercial bills, maturity up to	<ul> <li>Scheme:-</li> <li>Unsupported rating of debt instruments (i.e. without factoring-in credit enhancements) is below investment grade; and –</li> <li>Supported rating of debt instruments (i.e. after factoring-in credit enhancement) is above investment grade.</li> </ul>		
	one year, call or notice money, certificate of repos, and any other like instruments as spe India from time to time; *In accordance with clause 4 of Seventh Sch Regulations 1996 scheme may invest in	ecified by the R nedule of SEBI the units of	(Mutual Funds) Mutual Fund	As per para 12.11 of SEBI Master circular no SEBI/HO/IMD/IMD-PoE 1/P/CIR/2024/90 dated June 27, 2024, as amended from time to time the Trustee may permit the Fund to engage in securities lending an borrowing. At present, since only lending is permitted, the fund ma temporarily lend securities held with the Custodian to reputed counter		
	schemes of Kotak Mahindra Mutual Fund or Pursuant to para 7.5, 7.6 and 12.25 SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 date amended from time to time, the scheme m and hedging products from time to time in to reduce the risk of the participant	of SEBI Mast ed June 27, 20 ay also use va a manner per	er circular no 024, as may be rious derivative rmitted by SEBI	parties or on the exchange, for a fee, subject to prudent limits and controls for enhancing returns. The Scheme will lend securities subject to a maximum of 20%, in aggregate, of the net assets of the Scheme and 5% of the net assets of the Scheme in the case of a single intermediary. The Scheme may invest upto 20% of the net assets in overseas securities including units issued by overseas Mutual Funds/Overseas Securities as		
	to reduce the risk of the portfolio as and v the view that it is in the best interest of the invest upto 50% of the equity and equity derivatives out of which non-hedge portion	unit holders. Th related instrur	he scheme may nents in equity	may be permissible and described in para 12.19 of SEBI Master circular no SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024, as may be amended from time to time, within the overall applicable limits.		
	equity and equity related instruments As per para 12.24 of SEBI Master circula 1/P/CIR/2024/90 dated June 27, 2024, th through equity, debt, derivative ,overseas transactions in corporate debt securities, F (REITs), Infrastructure Investment Trusts (InvIT) assets and such other securities/assets as m from time to time should not exceed 100 scheme. Pursuant to para 12.25.3 of SEBI/HO/IN	e cumulative securities, repo Real Estate Inv Is), other perm ay be permitte 3% of the net MD/IMD-PoD-1	gross exposure b/ reverse repo restment Trusts itted securities/ d by the Board t assets of the /P/CIR/2024/90	The Scheme can make overseas investments subject to a maximum of US \$ 1 billion per Mutual Fund, within the overall industry limit of US \$ 7 billion or such limits as may be prescribed by SEBI from time to time. The Scheme therefore may or may not be able to utilise the limit of USD 1 billion due to the USD 7 billion limit being exhausted by other Mutual Funds. SEBI vide letter dated 19th March 2024 & AMFI vide circular dated 20th March 2024, advised AMCs to stop subscriptions intending to invest in overseas ETFs w.e.f April 01, 2024. Investment in Overseas ETF will be made in accordance with para 12.19 of SEBI Master circular no SEBI/HO/IMD/PDD-1/P/CIR/2024/90 dated June 27, 2024, as may be amended from time to time, as and when restriction is removed.		
	dated June 27, 2024, Cash or cash equivale less than 91 days may be treated as not Equivalent shall consist of the following maturity of less than 91 days:	creating any e	exposure. Cash	In accordance with 12.19.1.3 b, the intended amount for investment in overseas securities is US \$ 5 Million. The Scheme shall not invest in: • Credit Default Swaps;		

•		12.2 of SEBI Master 2024/90 dated June 2	circular No. SEBI/HC 7, 2024; and	erred in Para 9.4, 4.4.4, /IMD/IMD-PoD-1/P/CIR/	SI. no		ype of Instrument	Percentage of exposure (Maximum)	Circular references	
R	• Apar Regu expo	lations, the fund foll sure to a particular scr	ies ent restrictions prescri ows certain internal r rip, issuer or sector, etc	bed under SEBI (MF) norms vis-à-vis limiting . within the mentioned	7.	ir s	nvestment in debt nstruments having tructured obligations credit enhancements	10% of debt portfolio at scheme level 5% of debt portfolio per group	Para 12.3 of SEBI Master Circular no. SEBI/HO/IMD/IMD- PoD-1/P/CIR/2024/90 dated June 27, 2024	
L	Indio		ubject to review from ti instrument/percentage	me to time. s may vary subject to	8.	C	Fredit Default Swaps	The Scheme shall not invest in Credit default swaps	N.A.	
	SI. no	Type of Instrument	Percentage of exposure (Maximum)	Circular references	9.	s	Debt instruments with pecial features as efferred to in Para	The Scheme shall not invest Debt instruments with	N.A.	
-	1.	Securities Lending	Aggregate - 20% of net assets of the Scheme Single intermediary - 5% of the net assets of the Scheme	Para 12.11.2.1 of Master Circular No. SEBI/HO/IMD/IMD- PoD-1/P/CIR/2024/90 dated June 27, 2024		S N S P	0.4, 4.4.4, 12.2 of EBI Master circular Io. IEBI/HO/IMD/IMD- IoD-1/P/CIR/2024/90 Iated June 27, 2024	special features.		
-		Equity Derivatives for non - hedging		Para 7.5 and 12.25 of SEBI Master Circular no. SEBI/HO/IMD/IMD- PoD-1/P/CIR/2024/90 dated June 27, 2024		C . S	ixed Income Derivatives hort Selling of ecurities	The Scheme shall not invest in Fixed Income Derivatives The Scheme shall not invest in Short Selling of Securities		
_			portion will not exceed 50%.	uateu Julie 27, 2024			olio Rebalancing: er para 2.9 of SEBI		sebi/ho/imd/imd-pod-	
	3.	Securitized Debt	40% of the debt assets of the scheme subject to limits applicable in Clause 1 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996	Clause 1 of Seventh Schedule of SEBI (Mutual Funds) Regulations, 1996	1/P/ mar reba is n incl plac so o the	of any deviation from to passive breaches, . In case the portfolio ustification in writing, he portfolio shall be stment Committee, if 0) business days from a period. In case the				
	4.	Overseas Investments	20% of the net assets	Para 12.19 of SEBI Master circular No. SEBI/HO/IMD/IMD- PoD-1/P/CIR/2024/90 dated June 27, 2024	man scho if a por	in the aforementioned all not launch any new lalso not levy exit load, wever, at all times the ctive of the Scheme.				
		Units of ReITS and InVITS	10% of the net assets	Para 12.21 of SEBI Master circular No. SEBI/HO/IMD/IMD- PoD-1/P/CIR/2024/90 dated June 27, 2024	As 1/P/ indi con	Short Term Defensive Consideration As per Para 1.14.1.2 of SEBI Master circular No. SEBI/HO/IMD/II 1/P/CIR/2024/90 dated June 27, 2024, the asset allocation indicated above may change for a short term period on considerations, keeping in view market conditions, market oppo applicable regulations and political and economic factors				
		Repo/ Reverse Repo transactions in corporate debt securities	10% of the net assets of the scheme	Para 12.18 of SEBI Master Circular no. SEBI/HO/IMD/IMD- PoD-1/P/CIR/2024/90 dated June 27, 2024	proportions may vary depending upon the perception of Manager, the intention being at all times to seek to protect the of the Unit holders. In case of any deviation, the portfol rebalanced within 30 calendar days.			to protect the interests		
C C C C C C C C C C C C C C C C C C C	comp encoo Tradii as hy The I • • • • • • • • • • • • • • • • • • •	banies engaged in and mpasses industries invi- tional energy includes (dropower, solar, wind ndicative list of Basic ir Offshore Support Solur Power Distribution Power Generation Integrated Power Utilit Trading – Gas Trading - Coal Power - Transmission Lubricants Oil Equipment & Servic LPG/CNG/PNG/LNG Su Refineries & Marketing Coal Oil Exploration & Produ Gas Transmission/Mark Oil Storage & Transpor Power Trading Idition to the above, th not limited to Chem Ufacturing pipelines us uged in bio energy valu Fund Manager will hav d from time to time. her, to achieve diversific allied sector universe. scheme may use Deriva ermitted by SEBI. scheme may look to ir er returns, especially ir	d/or expected to beneficial olved in the exploration but is not limited to sou among others. The inve- dustries/segments fallin tion Drilling ies tes pplier uction teting tation tes Scheme can also inve- icals & Petrochemicals ed in energy sector, ma e chain, companies mal re the discretion to inve- Manager may add othe cation the Scheme may atives traded on recogn pebt & Money Market In nest overseas for the p n markets that are exp	ppreciation from a portfol it from the growth in Er , extraction, production, r urces of energy such as cr estment strategy will be ad ing under the theme are a gunder the theme are a scompanies, industrial nufacturing electrical equi- cing components of new st in all those sectors / are r sectors or stocks as may also invest residual net a ized stock exchanges for estruments primarily for Li purpose of diversification eriencing strong econom m of 20% of Net assets.	secu secu tive s foll s foll ssecu tipm ener ener ssets the quid in te	ing of a start of the start of	(Traditional/New) and g, marketing, distribut l, natural gas, coal an nature. ws: vs: vs: vs: vs: vs: vs: vs: vs: vs: v	allied sectors & activition, consultation and side of the sectors of the sector of the	allied sectors including n energy consultancy, n of energy, companies & distribution space. n the traditional & new dustry classification list s other than the energy other purposes as may ing redemptions. he scheme in achieving	

	The Scheme may use SLRM for earning additional :	ncome for the scheme with a losser degree of rick					
	(MF) Regulations.	hemes of Kotak Mahindra Mutual Fund or any other N	. 5				
	Investing in units of REITs and InvITs has the potenti <b>Portfolio Turnover:</b> The scheme being an open e is difficult to estimate with any reasonable measu increase in transaction cost such as brokerage pa	IT and InvITs at an opportune time to generate incor al to generate capital appreciation and regular income nded scheme, it is expected that there would be freque re of accuracy, the likely turnover in the portfolio. If id etc. The fund manager shall endeavour to optimiz with it. The Scheme has no specific target relating to po	streams. ent subscriptions and redemptions. Hence, it trading is done frequently there may be an e portfolio turnover to maximize gains and				
Risk Profile of the Scheme	<ul> <li>The scheme will be largely affected by the risks associated with Energy and related stocks. The Scheme will mainly invest in Energy (Traditional/New), and allied sectors &amp; activities thereby limiting its exposure to Energy Theme. This will limit the capability of the Scheme to invest in other themes.</li> <li>The scheme will be subjected to concentration risk as the fund is mandated to invest in particular theme. This may result in the Portfolio NAV to be more volatile as compared to a diversified portfolio.</li> <li>Accordingly, the scheme may also face a relatively higher liquidity risk owing to larger concentrations in their exposures in the event of any significant redemptions occurring in the scheme.</li> <li>Owing to high concentration risk for thematic scheme, risk of capital loss is relatively high.</li> <li>Also, as with all equity investing, there is the risk that companies in Energy theme will not achieve its expected earnings results, or that an unexpected change in the market or within the company may occur, both of which may adversely affect investment results. Thus, investing in a theme specific fund could involve potentially greater volatility and risk.</li> <li>For details on risk factors and risk mitigation measures, please refer SID.</li> </ul>						
Plans/Options	Plan- Direct Plan/Regular Plan	urchase /subscribe Units in a Scheme directly with the	Fund and is not available for investors who				
	<b>Regular Plan:</b> This Plan is for investors who wish to <b>Options under each Plan(s)</b>	o route their investment through any distributor.					
	<ul> <li>Growth</li> <li>Income Distribution cum Capital Withdrawal (I</li> </ul>						
	i) Payout of Income Distribution cum Capita	l Withdrawal Option					
	ii) Reinvestment of Income Distribution cum The NAVs of the above Options will be different an	Capital Withdrawal Option d separately declared; the portfolio of investments remain	aining the same.				
	The AMC/Trustee reserve the right to introduce Op issued thereunder from time to time.	tions(s) as may be deemed appropriate at a later date s	ubject to SEBI (MF) Regulations and circulars				
		option between growth and Income Distribution cur					
	If applicant does not indicate the choice of	is an application for growth option under respective pla f Income Distribution cum capital withdrawal (IDCV	V) sub-option between payout of Income				
	Distribution cum capital withdrawal (IDCW) and as an application for reinvestment of Income E For detailed disclosure on default plans and options		hdrawal (IDCW) then the fund will accept i				
Applicable NAV	Applicable NAV for Purchases/Switch-ins						
(after the scheme opens for subscriptions and		> 3.00 p.m. on a business day and entire amount is day – closing NAV of the day of receipt of application;	available in the mutual fund's account for				
redemptions)		3.00 p.m. on a business day and the entire amount in the start of the next business day;	s available in the mutual fund's account fo				
	time on any subsequent business day – the clo						
	a Business Day:	shall be applicable in respect of valid applications recei					
	<ol> <li>Cheques received on a business day may be d as per the applicable NAV mentioned above. facilities like RTGS / NEFT in respect of subscri responsible for any delay on account of bankir</li> </ol>	s redemption in the switch-out scheme and purchase / eposited with the primary bankers of the respective loc To enable early sighting of funds by the schemes, ir ptions and submit the proof of transfer of funds alon ig clearance or circumstances which are beyond the cor W based on realization of funds will be applicable t	ation on the next business day. NAV shall be avestors are requested to avail of electronic g with their applications. AMC shall not be atrol of AMC.				
	systematic investments routes (viz, SIP, STP, Tra Applicable NAV for Redemption/ Switch outs	nsfer of IDCW Plan etc.) as may be offered by the Scher	ne from time to time.				
	<ul> <li>a) where the application received upto 3.00 pm -</li> <li>b) an application received after 3.00 pm - closing</li> </ul>	- closing NAV of the day of receipt of application; and NAV of the next business day.					
	Further, where the AMC or the Registrar has provid	led a facility to the investors to redeem /switch-out of facilities offered by the AMC and where investors ha					
Minimum Application Amount/ Number of	Minimum application amount for purchases						
Units	Initial Purchase (Non- SIP) ₹ 100/- and any amount thereafter	Additional Purchase (Non- SIP) ₹ 100/- and any amount thereafter	SIP Purchase ₹ 100/- and any amount thereafter				
	Minimum amount for redemption: The minimum redemption amount for all plans wi	l be ₹ 100/- or account balance, whichever is lower. S	witch – The minimum switch amount for al				
	investments made in the name of Designated En	cluding Additional Application Amount) for subscrip ployees of the AMC pursuant to Para 6.10 of SEBI nt of interest of Designated Employees of Asset Mana	Master Circular no. SEBI/HO/IMD/IMD-PoD				
	1						

Despatch of Redemption       As per SEBI (MF) Regulations, read with paragraph 14.11 of SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 21 redemption or repurchase proceeds shall be dispatched within 3 working days from the date of receipt of redemption requests or repurchase proceeds are not transferred within the prescribed timelines.         In case of exceptional situations listed in AMFI Circular No. AMFI/35P/MEM-COR/74/2022-23 dated January 16, 2023, the scheme additional timelines for transfer of redemption or repurchase proceeds to the unitholders.							
Benchmark Index	Rationale for adoption of benchmark: The Scheme is benchmarked to Nifty Energy TRI as manner. The scheme focuses on investing predomina energy sectors. This includes sectors such as petroleum stocks with companies from sectors like Petroleum, C wherein the level of the index reflects the total free f value.	The Scheme is benchmarked to Nifty Energy TRI as the index constituents suitably reflects the underlying Scheme's universe in the best possible manner. The scheme focuses on investing predominantly in equity and equity-related securities of companies engaged in both traditional and new energy sectors. This includes sectors such as petroleum, gas, power, and allied sectors, as a part of the theme. The Nifty Energy Index comprises of 10 stocks with companies from sectors like Petroleum, Gas, and Power. Nifty Energy Index is computed using free float market capitalization method, wherein the level of the index reflects the total free float market value of all the stocks in the index relative to particular base market capitalization value. The AMC/Trustees may change benchmark in future for measuring performance of the scheme and as per the guidelines and directives issued by SEBI					
Dividend Policy	<ul> <li>IDCW Record Dates: At the discretion of the Trustee record date)</li> <li>Under the Income Distribution cum capital withdrawal way of realised profit and interest, net of losses, expeand adequate for distribution. The Trustee's decision distribution shall be final. The Trustee may or may n (IDCW).</li> <li>The IDCW will be paid to only those Unitholders who business hours on the record date, which will be anno In case of dynamic lien, the Income Distribution cum capital withdrawal (IDC Option.</li> <li>Payout of Income Distribution cum capital withdrawa Payorder / DD any other means which can be enchased Reinvestment IDCW Option at the Applicable NAV and The requirement of giving notice shall not be applicable</li> </ul>	<ul> <li>IDCW Frequency         IDCW is declared subject to availability and adequacy of distributable surplus.     </li> <li>IDCW Record Dates: At the discretion of the Trustees (If the record date is not a Business Day, the immediately following Business Day will be the record date)         Under the Income Distribution cum capital withdrawal (IDCW) option, the Trustee may at any time decide to distribute by way of IDCW, the surplus by way of realised profit and interest, net of losses, expenses and taxes, if any, to Unitholders if, in the opinion of the Trustee, such surplus is available and adequate for distribution. The Trustee's decision with regard to such availability and adequacy of surplus, rate, timing and frequency of distribution shall be final. The Trustee may or may not distribute surplus, even if available, by way of Income Distribution cum capital withdrawal (IDCW). The IDCW will be paid to only those Unitholders whose names appear on the register of Unitholders of the Scheme / Option at the close of the business hours on the record date, which will be announced in advance.     In case of dynamic lien, the Income Distribution cum capital withdrawal (IDCW) option will be available under two sub-options – the Payout Option and the Reinvestment Option.     Payout of Income Distribution cum capital withdrawal option (IDCW): Unitholders will have the option to receive payout of their IDCW by way of Payorder / DD any other means which can be enchased or by way of direct credit / electronic payout into their account.     Reinvestment IDCW Option at the Applicable NAV announced immediately following the record date. </li> </ul>					
Name of the Fund		ew options and / or alter the IDCW payout intervals, frequency, including the day of payout. co- managers for Equity and Overseas investment of the Scheme. Mr. Abhishek Bisen will be the					
Manager Name of the Trust Company							
Performance of the scheme	This scheme does not have any performance track reco	ord					
Additional Schem Related Disclosure	1 5 11	2. Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme: Not Applicable					
Expenses of the Scheme	<b>New Fund Offer Period</b> These expenses are incurred for the purpose of vario registrar expenses, printing and stationary, bank charg	us activities related to the NFO like sales and distribution fees paid marketing and advertising, es etc.					
Recurr expens	These are the fees and expenses for operating the sch Registrar and Transfer Agents' fee, marketing and selli The AMC has estimated that the following percenta current expenses being charged, the investor should re As per Regulation 52(6)(c) of SEBI (MF) Regulations, t	ge daily net assets of the scheme will be charged to the scheme as expenses. For the actual effer to the website of the mutual fund viz. www.kotakmf.com the total expense ratio of the scheme excluding issue or redemption expenses, whether initially the total expense ratio limits 2.25% 2.00% 1.75% 1.60% 1.50% Total expense ratio reduction of 0.05% for every increase of ₹ 5,000 crores of daily net					
	on balance of the assets	assets or part thereof. 1.05%					

	Total Expense Ratio for the scheme						
	Expenses Structure	% p.a. of daily Net Assets* (Estimated p.a.)	# The AMC shall not charge additional expenses under Regulation 52(6A)(c) in case exit load is not levied/ not applicable With reference to SEBI's letter no. SEBI/HO/ IMD/ IMD-SEC-3/ P/ OW/ 2023/ 5823/ 1 dated February 24, 2023, and AMFI Circular No. CIR/ ARN-				
	Investment Management and Advisory Fees Audit fees/fees and expenses of trustees Custodial Fees Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants Marketing & Selling Expenses including Agents Commission and statutory advertisement Costs related to investor communications Costs of fund transfer from location to location Cost towards investor education & awareness (at least 2bps) Brokerage & transaction cost pertaining to distribution of units Goods & Services Tax on expenses other than investment and advisory fees Goods & Services Tax on brokerage and transaction cost Other Expenses (to be specified as per Reg 52 of SEBI MF Regulations) <b>Maximum Total expenses ratio (TER)</b> <b>permissible under Regulation 52(6A)(c)</b> Additional expenses for gross new inflows from	(Estimated p.a.) Upto 2.25% Upto 2.25% Upto 2.25% Upto 0.05% Upto 0.30%	<ul> <li>2023/5823/1 dated February 24, 2023, and AMFI Circular No. CIV ARN-23/ 2022-23 March 07, 2023, the B-30 incentive structure for new inflows has been kept in abeyance with effect from March 01, 2023 till the incentive structure is appropriately re-instated by SEBI with necessary safeguards.</li> <li>Expense Structure for Direct Plan – The annual recurring expenses will be within the limits specified under the SEBI (Mutual Funds) Regulations, 1996.</li> <li>Commission/ Distribution expenses will not be charged in case of Direct Plan. The TER of Direct Plan will be lower than Regular Plan.</li> <li>In terms of the SEBI Circular no. SEBI/HO/IMD/DF2/CIR/P/2018/137 dated October 22, 2018, all fees and expenses charged in a direct plan (in percentage terms) under various heads including the investment and advisory fee shall not exceed the fees and expenses charged under such heads in a regular plan.</li> <li>However, Direct Plan shall have a lower expense ratio than the Regular Plan. The expenses would exclude distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.</li> <li>Actual expenses for the previous financial year: since this is a new scheme to be launched, not applicable.</li> <li>The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read "Section- Annual Scheme Recurring Expenses" in the SID.</li> </ul>				
Load Structure	specified cities         Exit Load is an amount which is paid by the investor time to time. For the current applicable structure, pl         Type of Load       Load chargeable (as % age of function)	r to redeem the units f ease refer to the webs NAV)	from the scheme. Load amounts are variable and are subject to change from site of www.kotakmf.com or may call at 18003091490 or your distributor.				
	from the date of allotment: <ul> <li>If units redeemed or switche</li> <li>If units are redeemed or switche</li> <li>If units are redeemed or switche</li> <li>If units are redeemed or swit</li> </ul> Units issued on reinvestment of IDCW shall not be s * In terms of Para 10.4 of SEBI Master Circular no. purchase/additional purchase/switch-in. The commis the investor directly to the Distributor, based on his ** Any exit load charged (net off Goods and Service Any imposition or enhancement of Load in future issue an addendum and display it on the website/ applicable load structure shall be attached to all KIN Investors may obtain information on loads on any E on applicability of loads will also be provided in the	Nil. ad out are in excess of tched out on or after 1 ubject to entry and exi SEBI/HO/IMD/IMD-Pol ssion as specified in after assessment of various es tax, if any) shall be of shall be applicable on nvestor Service Centre 4 and SID already in sto Business Day by calling Account Statement. gement company shall	the limit within 1 year from the date of allotment: 1% 1 year from the date of allotment: NIL it load. D-1/P/CIR/2024/90 dated June 27, 2024, no entry load will be charged on oresaid circular, if any, on investment made by the investor shall be paid by factors including the service rendered by the Distributor. credited back to the Scheme. In prospective investments only. For any change in load structure AMC will es. In case of changes in load structure the addendum carrying the latest ock till it is updated. g the office of the AMC or any of the Investor Service Centers. Information Il ensure that the repurchase price of an open ended scheme is not lower				
Tax treatment for the Investors (Unitholders)	Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.						
Daily Net Asset Value (NAV) Publication	The NAVs of the Scheme will be calculated and disclosed on every Business day on the website of the Kotak Mahindra Mutual Fund viz www.kotakmf.com and AMFI's website www.amfiindia.com by 11.00 p.m. The First NAV of the scheme shall be declared within 5 working days from the date of allotment. In case the scheme has exposure in overseas securities/Mutual Fund units, the NAV will be published post receipt of the Price/ NAV of the overseas investments. Based on the current scheme exposure, the NAV will be published on the website of AMFI (www.amfiindia.com) and Kotak Mahindra Mutual Fund (www.kotakmf.com) by 10.00 a.m. of the following business day in accordance with paragraph 8.2 of SEBI Master circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 Unitholders may avail the facility to receive the latest available NAVs through SMS by submitting a specific request in this regard to the AMC/Mutual Fund. Also, information regarding NAVs can be obtained by the Unit holders / Investors by visiting the nearest ISC. Delay in uploading of NAV beyond 11.00 p.m. of the following business day shall be explained in writing to AMFI. In case the NAVs are not available before the commencement of business hours on the following business day due to any reason, a press release for revised NAV shall be issued. In terms of SEBI regulations, a complete statement of the Scheme portfolio will be sent to all unitholders, within ten days from the close of each month / half-year whose email addresses are registered with the Mutual Fund. The portfolio of the scheme (alongwith ISIN) shall also be disclosed on the website of Mutual Fund (kotakmf.com) and on the website of AMFI (www.amfiindia.com) on a monthly and half-yearly basis within 10 days from the close of each month/ half-year respectively in a user-friendly and downloadable spreadsheet format.						
For Investor Grievances please contact	<ul> <li>Contact details for general service requests: 18003091490 / 044-40229101 (Monday to Frinhttps://www.kotakmf.com/feedback/customer</li> <li>Contact details for complaint resolution: Ms. Sushma Mata, Investor Relations Officer Kotak Mahindra Asset Management Company 6th Floor, Kotak Towers, Building No.21, Infinity Park, Off: Western Express Highway Goregaon - Mulund Link Road, Malad (East), M Phone Number: 18003091490 / 044-4022910<sup>-</sup> Fax: 6708 2213 e-mail: https://info.kotakmf.com/write-to-us or WhatsApp us by sending us "Hi" at 93218844</li> </ul>	Limited, lumbai 400097 I	to 6.00 pm & Saturday between 9.30am to 12.30pm) ition, give a missed call to 7039055555				

	Name and Address of Registrar       Computer Age Management Services Ltd. (CAMS) (Registrar)         AVA Tower, Old No. 788 & 789, Electricity Avenue, New No. 152 & 150, Anna Salai, Beside Rayala         Chennai - 600002.         Contact details - 044 6110 4034         Email Id – enq_k@camsonline.com         Website - www.camsonline.com	a Towe
Unitholders' Information	<ul> <li>Monthly and Haif yearly Disclosure: Portfolio / Financial Results</li> <li>The Mutual Funds? AMXS, shall disclose portfolio (along with ISIN) as on monthly, half-yearly basis for all the schemes on the website of AMR (owwarmlinds com within 10 days from the close of each nory we respectively in a user-friendly and downloadable spreadher format. The link for the memotined disclosure/financials.</li> <li>In accordance with Fars 1 and 5.3 of SBI Master Circular no. SBIN/OMN/MMA-Poh-1MPC/IN2202490 dated June 27, 2024 effective from the close of each month for other schemes and to days in the schemes. To directly viewdoon the portfolio via email votability and half-yearly statement to portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the portfolio via email votability statement to directly viewdoon the vebsite of unadulate flamancial results stalial within ore month from the close of each hard year is 31st of March and the 30h of Septement and a view statement of directly viewdoon the portfolio via email view view statement of directly viewdoon the portfolio via email view view statement of directly viewdoon the portfolio via email view view statement of directly viewdoon the portfolio via email view view statement of directly and view viewdoon statement of directly and view view statement of directly</li></ul>	nth/ ha sures of Octobe e schen f schen from tl load or portfolie e hostiin as SM ement di. mber, l closures a and in MD-Pol abridge e websi rear (31 e physic have a physic any Ltd rging a se annut n reque summa k for th t of val astributo by mail



## **Key Information Memorandum and Application Form**

**KOTAK ENERGY OPPORTUNITIES FUND** 

An open-ended equity scheme following Energy theme Offer for Units of  $\mathbf{T}$  10 each for cash during the New Fund Offer and Continuous offer for Units at NAV based prices New Fund Offer Opens on: April 03, 2025 New Fund Offer Closes on: April 17, 2025 Scheme re-opens on or before: May 02, 2025

6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Off. Western Express Highway, Gen.A.K. Vaidya Marg, Malad (E) Mumbai - 400 097. 21800 309 1490 (Tollfree) 2 www.kotakmf.com

						ns on or										
Th	is product is suitabl	e for investo	rs who are	e seeking	*		Schem	e Risk-		er#			Benchi		Risk-o-me	ter
• Lo	ng term capital gro	owth					M	oderate Mo Risk Hig	derately gh Risk					Moderate Risk	Moderately High Risk	
• Inv	vestment in portfol	io of predon	ninantly e	nuitv & e	auity		Low defate			High ist			Low Plate		Pist	3
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En	ergy related activit	ies				10	2			sk			R.C.			ligh sk
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* Investo	rs should consult the	ir financial adv	visors if in d	oubt abou	it whath	or the pr	oductis	cuitabl	le for ti	hom					y Index TR	I NITIES FUND
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of Ko	tak Mahindra Mutual hereby confirm that the ger/sales person of the a distributor/sub broker.	Fund. Declara EUIN box has b bove distributor	tion for"Exe een intention r/sub broker	ecution-on nally left bl or notwiths	ly" trans ank by m standing	sactions ( ne/us as th the advice	only wh is transa of in-ap	ere EUII action is o propriate	N box i execute eness, if	is left bl d witho any, pro	ank) ut any i wided b	nteractio y the em	n or adv ployee/re	ice by t elations	he employe hip manage	e/relationship r/sales person
	distributor/sub broker.															
SIGNATURE(S)																
IGNA	Sole / Fi	irst Applicant				S (To be siar	econd Ap		4.4	_				Th	ird Applicant	
	nmission shall be paid direct	ly by the investor t	o the AMFI req	istered distrik	outors base	(	,			us factors	including	the servio	e rendere	d by the	distributor.	
	If you have, at any time, ir	nvested in any Sch	eme of Kotak I									·		,		mber and PAN
Existing Unitholder Information (Section I)	details below and proceed						DA	NING (					[a]	in No.		
<u> </u>	Name of Sole / First App	licant:					PA	AN NO.: _					FOI	IO NO.:		
	Name of Sole/ First A	Applicant^:													^Name as p	per PAN card
	Name of Guardian ^			-											^Name as p	per PAN card
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onal I ectio	If yes, please quote the NP	•		,												
(S	(If not registered already, please Status of Applicant	— — — — — — — —	- — — — — — —													
New Applicant's Personal Inform (Section II)	O Resident Individual O NRI on Repatriation Bas O NRI on Non-Repatriatio O HUF					O Mutual O Mutual O Body C O Registe	Fund FOF orporate		0	O PF/ Grat O Superan O Trust O AOP/ BC	inuation		O On b	pehalf of	utional Invest Minor (Please Spec	
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	or Net-worth as on (date) DD / MM / YYYY Rs (should not be older than 1 year) Please tick: O Politically Exposed Person (PEP) O Not Politically Exposed Person															
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\*Cheques and drafts are subject to realisation.

\_ for Rs. (in figures)/ (Amount) \_

Collection Centre

	Email: Tel (Res./ Off.)
	Email Address belongs to: O Self O Spouse O Guardian (for Minor investment) O Dependent Child O Dependent Parent O Dependent Sibling O Custodian O POA O PMS
ll, Cont	PAN/ PEKRN:         Date of Birth/ Incorporation         D         M         Y         Y         Y         CKYC:         Incorporation
ion	Gross Annual Income Details in INR (please tick): O < 1 lac O 1 - 5 lac O 5 - 10 lac O 10 - 25 lac O 25 lac - 1 cr O 1 cr - 5 cr O 5 cr - 10 cr O > 10 cr
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0	Please tick: O Politically Exposed Person (PEP) O Not Politically Exposed Person
	*1 declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information.

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#### Mode of Operation - Where there is more than one applicant [Please ( $\checkmark$ )]

O First Applicant only O Anyone or Survivor O Joint (Default will be any one or survivor, in case of more than one applicant)

ntact on- licant	. Name .	PAN	Country of Birth	Nationality	Tax Reference Number (for NRI)				
n/ Cor if Nc I App ion IV	Name         PAN         Country of Birth         Nationality         Tax Reference Number (for NRI)           Gross Annual Income Details in INR (please tick):         O < 1 lac         O 1 - 5 lac         O 5 - 10 lac         O 10 - 25 lac         O 25 lac - 1 cr         O 1 cr - 5 cr         O 5 cr - 10 cr         O > 10 cr           or Net-worth as on (date)         DD / MIM / YYYY Rs.         O 1 - 5 lac         O 5 - 10 lac         O 1 or - 5 cr         O 5 cr - 10 cr         O > 10 cr								
dia sor dua	or Net-worth as on (date) DD / MM / YYYY Rs (should not be older than 1 year) Please tick, if applicable, O <b>Politically Exposed Person (PEP)</b> O <b>Not Politically Exposed Person</b>								
i v Pe	Please tick, if applicable, O Politically Exposed Person (PEP) O Not Politically Exposed Person *I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Managemer Co. Ltd. immediately in case there is any change in the above information.								

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<u>ٿ</u>	*I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management							

tails ant	Address for Communicatio	n (Full Address Mandatory)	Overseas Address (Mandatory for NRI/ FII Applicants)			
te Det Vpplica VI)	House/	Flat No	House/ Flat No			
denco rst A	Street A	Address	Street Address			
spon le/Fi (Sec	City/ Town	State	City/ Town	State		
Correspoi of Sole/ F	Country	Pin Code	Country	Pin Code		

#### FATCA & CRS INFORMATION [Please tick ( $\checkmark$ )], for Individuals (Mandatory). Non Individual investors & HUF should mandatorily fill separate FATCA detail form. The below information is required for all applicant(s)/guardian

Address Type: Residential Business Registered Office (for address mentioned in form/existing address appearing in Folio) Is the applicant(s) / guardian s Country of Birth / Citizenship / Nationality / Tax Residency other than India? Yes No

If Yes, Please provide the following information [Mandatory]

Please indicate all countries in which you are resident for tax purpose and the associated Tax Reference Numbers below.

Category	First Applicant/ Minor	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency - 1**			
Tax Payer Ref. ID No 1^			
Tax Identification Type - 1 [TIN or Other, please specify]			
Country of Tax Residency - 2**			
Tax Payer Ref. ID No 2^			
Tax Identification Type - 2 [TIN or Other, please specify]			
Country of Tax Residency - 3**			
Tax Payer Ref. ID No 3^			
Tax Identification Type - 3 [TIN or Other, please specify]			

\*\* To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case you	u wish to hold units in der	mat, please fill this section. Please	note that you can hold units in demat for all	open ended scher	mes (except ETFs and IDC)	N options having IDCW frequency of less than a month).		
ails (I	NSDL			CDSL				
Demat unt Detai ction VII)	DP Name			DP Name				
De ccour (Sect		P ID	Beneficiary Account No.		DP ID	Beneficiary Account No.		
∢	Please ensure that your o	demat account details mentioned	above are along with supporting documents	evidencing the acc	curacy of the demat accou	Int. Bank details of DP will overwrite the existing details.		

## KOTAK MAHINDRA MUTUAL FUND

6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Off. Western Express Highway, Gen.A.K. Vaidya Marg, Malad (E), Mumbai - 400 097. 1800 309 1490 (Toll-free), 044-4022 9101 www.kotakmf.com

### Computer Age Management Services Ltd. No 178/10, Kodambakkam High Road, Ground Floor, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. ☎ 044 6110 4034 ☎ eng\_k@camsonline.com ᅟ☆ www.camsonline.com

	I/ We nominate the undermention that all payments and settle	ned Nominee to rec ments made to suc	eive the Units to my/o	our credit in ture of the	n Folio No./App Nominee ackno	and lication No wledging receipt	t thereo	f. shall be	in the ev	/ent of r	my/our v the A	do he death. I/we also undersi MC/ Mutual Fund / Trus	tand
	DETAILS OF NOMINEE		5							indige b	y the r		icc.
ndatony) y or Jointly) <b>tory</b>	Name & Address of Nominee			Sole/ Fir	onship with st unit holder andatory)	Date of Birth (mandatory in case of Minor)		Proof of Identity		y % Share Signatur		Signature Of Nomine	e
Nomination Details (Section VIII) (Mandatory) (to be filled in by Individual(s) applying Singly or Jointly) Signature by all holders is Mandatory													
s (Secti Jal(s) al holde	DETAILS OF GUARDIA	N (to be furnishe	d in case Nominee is	s a minor)									
<b>tion Detail</b> n by Individ <b>iture by all</b>	N	ame & Address of (	Guardian			PAN		Relationsh	ip with Mi	nor	S	iignature Of Guardian	
Nomina (to be filled i Signa		hat I /We do not w iee(s) and further ai	rish to appoint any no re aware that in case	ominee(s) fo of death o	or my mutual fu f all the account	ind units held in i t holder(s), my / c	my / ou	r mutual f				the issues involved in n requisite documents iss	
	POA holder cannot nominate Hence, sole/ all joint holder applicants must sign.		Unitholder: Signat	ure	Ui	nitholder 2: Sigr	nature			Unit	tholde	r 3: Signature	
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	Scheme KOTAK ENERGY OPF FUND	PORTUNITIES	Regula	Pl.	an Direct		Growt	ь <b>П</b>	IDCW Pay			DCW Reinvestment	
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Investment Details (Section IX)	Mode of Payment	Cheque	Fund Transfer	n 🗌		Instrur	ment No	).		D	ated		
vestmo (Sect	Cheque to be drawn in favour of "KOTAK ENERGY OPPORTUNITIES FUND"												
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Please ensure that:
 Your Application Form is complete in all respects & signed by all applicants:
 Name, Address and Contact Details are mentioned in full.
 Bank Account Details are mentered completely and correctly. 9 digit MICR Code of your Bank is mentioned in the Application Form.
 Permanent Account Number (PAN) Mandatory for all Investors (Indian & NRI) Irrespective of the Investment amount.
 Know Your Client (KYC) Mandatory for irrespective of the amount of investment (please refer the guideline 2(d) for more information)

Your Investment Cheque is drawn in favour of < Scheme Name > dated and signed.
 Application Number is mentioned on the face of the cheque.
 A cancelled Cheque leaf of your Bank is enclosed in case your investment cheque is not from the bank account that you have furnished in the Application Form.

necklist	Document	Companies	Trusts	Societies	Partnership Firms	NRIs/ PIOs	Flls	Investments through Constituted Attorney
hed	1. Resolution / Authorisation to invest	√	~	√	✓		~	
ð	2. List of Authorised Signatories with Specimen Signature(s)	✓	~	~	$\checkmark$		✓	√
	3. Memorandum & Articles of Association	√						
	4. Trust Deed		~					
	5. Bye-Laws			✓				
	6. Partnership Deed				✓			
	7. Notarised Power of Attorney							√
	8. Account Debit/ Foreign inward Remittance Certificate fromremitting Bank					~	~	
	All documents in 1 to 8 above should be originals / true copies certified by the	All documents in 1 to 8 above should be originals / true copies certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary P						Public

## GUIDELINES FOR FILLING UP THE COMMON APPLICATION FORM

#### 1. GENERAL INFORMATION

- b)

- e)
- f)
- g)
- h)
- ENERAL INFORMATION
  Please fill up the Application Form legibly in English in CAPITAL LETTERS.
  Please read this Memorandum and the respective SAI/SID carefully before investing. Your application for allotment of units in the Scheme(s) is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme(s).
  Application Forms incomplete in any respect or not accompanied by a Cheque are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within 5 days.
  Any correction / over writing in the application form must be signed by the investor.
  If the Name given in the application is not matching PAN card, application may be liable to get rejected or further transactions may be liable get rejected.
  AMC shall not be responsible for direct credit rejects or / payout delays due to incorrect/incomplete information provided by investor.
  In terms of SEBI Circular No. SEBI/IMD/CIR NO. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in. The commission as specified in the aforesaid circular, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.
  The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor.
  The distributor shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to t i) of Birth of minor.
- If the name is not mentioned as per the PAN card, the name will be captured as per the PAN Card if attached.

#### 2. APPLICANT'S INFORMATION

- the PAN Card if attached.
  APPLICANT'S INFORMATION

  a) If you are already a Unitholder in any scheme of the Fund and wish to make your present investment in the same Account, please fill in the Name of Sole/First Holder, PAN & Folio No. in Section I, of the Application Form and then proceed to Section XI. Your personal information and bank account details updated in your existing account would also apply to this investment.
  b) If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with Pin Code (P.O. Box No. not enough) and your Contact Nos. This would help us reach you faster.
  c) Permanent Account Number (PAN) Information (Mandatory) With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes.
  d) Know Your Client (KYC)
  With reference to SEBI Circular MIRSD/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/ non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC.
  In the event of KYC Form being subsequently rejected for lack of information/ deficiency/ insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.
  e) If you are KYC Complaint, your Change of Address, Change in Name, etc. should be given at KRA for updation.

  THIRD PARTY PAYMENT

4.

THIRD PARTY PAYMENT Reference to AMFI Best Practice Guidelines Circular No. 16/2010 -11 on Risk Mitigation process agains Third Party Cheques in Mutual Fund Subscriptions will not be accepted by the Scheme. Definition of Third Party Cheques

- Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment. In case of a payment from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made. If this criterion is not fulfilled, then this is also construed to be a hird party payment.

payment.
However, afore-mentioned clause of investment with Third-Party Payment shall not be applicable for the below mentioned exceptional case.
Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with parent or legal guardian.
Custodian on behalf of an FII or a client.
Kotak Mahindra Asset Management Co. Ltd./ Trustee retains the sole and absolute discretion to reject/ not process application and refund subscription money if the subscription does not comply with the specified provisions of Payment Instruments
TERMS & CONDITIONS FOR INVESTORS WHO WISH TO HOLD THEIR UNITS IN DEMAT MODE

- DEMAT MODE a. The Demat Account Details section on the investment application form needs to completely filled
- b. Please ensure that you submit supporting documents evidencing the accuracy of thedemat account details. Applicationsreceived without supporting documents could be processed under the physical mode.
   c. The units will be credited to the Demat Account only post realisation of payment.c
   d. The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to hold units in Demat mode.
- For units held in demat mode, the bank details mentioned on investment application form shall be replaced with the bank details as registered with the Depository e. Participant
- Participant. For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. However, if the transfer of unit to demat account is rejected for any reason whatsoever, the transaction will be liable to be rejected if KYC performed by KRA is not attached with the investment application form. f
- In case of Unit Holders holding units in the demat mode, the Fund will not send the account statement to the Unit olders. The statement provided by the Depository Participant will be equivalent to the account statement. g
- Participant Will be equivalent to the account statement.
   If the investor names and their sequence in the investment application form does not match with the Demat Account details provided therein, the units will not be transferred to the Demat Account & units will be held in physical form.
   The option of holding units in demat form is not being currently offered for investment in IDCW option of schemes/ plans having IDCW frequency of less than a month (ie:Investments in all Daily, Weekly and Fortnightly IDCW Schemes cannot be held in Demat mode)
- month (le:Investments in an Dany, weeks, such as a second provided in the method of the application is rejected post banking your payment instrument, the refund instrument will be sent with the bank details furnished in the investment application form & not as available in the Demat Account, post reconciliation of accounts.

#### 5. BANK ACCOUNT DETAILS

- Please furnish the Name of your Bank, Branch and City (i.e clearing circle in which the branch participates), Account Type and Account Number. This is mandatorily required as per SEBI. Applications without this information will be deemed to be incomplete & would be rejected. RTGS IFSC code & NEFT IFSC code would help us serve you better. a)
- b) Please enclose a cancelled Cheque leaf of your Bank in case your investment cheque is not from the same account

# 6. E-MAIL COMMUNICATION

E-MAIL COMMUNICATION If the investor has provided an email address, the same will be registered in our records and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. The AMC / Trustee reserve the right to send any communication in physical mode.

#### 7.

- a) Cheques should be crossed "A/c Payee Only" and drawn in favour of the Scheme in which you propose to invest. In case of discrepancy between the scheme name mentioned in the investment application form and cheque, the units will be allotted as per scheme name mentioned on the investment application form.
- If you are residing/ located in a city/ town where we do not have an Official Acceptance Point, please draw a Cheque payable at par and submit at your nearest city/ town where we have an Official Acceptance Point. Payments by Cash, Stockinvests, Outstation Cheques, Non-MICR Cheques will not be accepted. Post dated cheques will not be accepted except for investments made under Systematic Investment Plan. b)
- NRI investors are requested to provide debit certificate from their bank for each investment. d)
- If you are submitting a single cheque for investment in more than 1 schemes/ plan, then please ensure that yourinvestment cheque is drawn in the name of 'Kotak Mahindra Mutual Fund'. e)

- Of Kotak Manindra Mutual Fund.
  8 NOMINATION DETAILS
  1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly
  2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
  2. Non-instructure to Runding a fail of a Magnumitable.

  - Unitholder Cannot nominate. Nomination is not allowed in a folio of a Minor unitholder. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on Anyone or Survivor basis). A minor may be nominated. In that event, the name and address of the Guardian of

  - the minor nominee needs to be provided. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. 6.
  - charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of
  - Attorney holder. A Non-Resident Indian may be nominated subject to the applicable exchange control 8 regulations.
  - regulations. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees 9 nominees
  - 10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
  - 11. Nomination made by a unit holder shall be applicable for units held in all the schemes
  - Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio/account.
     Nomination shall stand rescinded upon the transfer of units.
     Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee s share will be distributed equally amongst the surviving nominees.
     Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
     Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
     Unitholders who do not wish to nominate are required to confirm the same by

of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
16. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
18. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
9. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee/ relationship manager/sales person.
However, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.
10. FATCA and CRS related details: Details under FATCA & CRS The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which Rules require Indian financial institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. relation thereto.

relation thereto.
 Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
 Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
 **11. DECLARATION AND SIGNATURES** 
 a) Signatures can be in English or in any other Indian language. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
 b) Applications by minors must be signed on their behalf by their quardians.

- under nis/her official seal.
  b) Applications by minors must be signed on their behalf by their guardians.
  c) If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is on your behalf by the Constituted Attorney.
  (Application not complying with any of the above instructions/ guidelines would be liable to be rejected.)



# ASBA FORM

APPLICATION SUPPORTED BY BLOCKED AMOUNT

1. NEW	APPLICANT'S PERSONAL INFORMATION			
SOLE/FIRS	T APPLICANT			Category Please (Tick 🗸)
	La seco Cala / First Angliant in a single			Retail Individual Investor(s)
GUARDIAN	(in case Sole / First Applicant is a minor)			Non-Individual Investor(s)
	PERSON (in case of Non-individual applicants)			
		] [		
SECOND A	PPLICANT (Joint Holder 1)		Designation	
THIRD API	PLICANT (Joint Holder 2)			
MODE OF	<b>OPERATION</b> (where there is more than one applicant)	First Holder only	Anyone or Survivor	Joint
	SOLE / FIRST APPLICANT		SECOND APPLICANT	THIRD APPLICANT
	rrespective of Size of the ) (Application without			
this inform	ation are liable to be			
rejected)	TAUS			
ISIN Numbe		Scheme Name: K	OTAK ENERGY OPPORTUNITIES FUND	D
3. SCSB D	-			
Bank Accou	nt Number:	Bank and Branch where acc	count is held	
	nt to be blocked (Rs. in figures)		(Rs. in words)	
	be blocked shall be the No. of Unit for x Unit Price).			
	AT ACCOUNT DETAILS (Please ensure that unit holders given an option and in the application form matches with the Depository Participant.)	n to hold the units in demat	form in addition to account statement a	as per current practice and the sequence of names as
0	NSDL			CDSL
DP N				
DP ID	* I N I I			
z				
E Bene	ficiary Account No.			
	Init holders do not provide their Demat Account details, an Acco	unt Statement shall be se	ent to them. Such investors will not b	e able to trade on the stock exchange.
UNDERTAK	ING BY ASBA INVESTOR/ ACCOUNT HOLDER			-
1) I/We here	by undertake that I/We am/are an ASBA investor(s) as per the applica	ble provisions of the SEBI (I	ssue of Capital and Disclosure Requirem	nents) Regulations, 2009. 2) In accordance with ASBA process
Company, b	locking the amount to the extent mentioned above in the SCSB details	ails or unblocking of funds	in the bank account maintained with t	he SCSB specified in the ASBA form, transfer of funds to the
Registrar to	unt designated for this purpose on receipt of instruction from the Re the KMAMC to issue instructions to the SCSB to remove the block on	egistrar to the Issue after fir the funds in the bank accou	nalisation of the basis of allotment entitl int specified in the ASBA Form, upon fin	ling me/us to receive Units on such transfer of funds, etc. (b) alisation of the basis of allotment and to transfer the requisite
money to th	by Undertake that I/We anvare an ASBA investor(s) as per the applicative SEB (issue of Capital and Disclosure Requirements). Regulations, 2 locking the amount to the extent mentioned above in the SCSB deta bount designated for this purpose on receipt of instruction from the Re the KMAMC to issue instructions to the SCSB to remove the block on e Issuer's account designed for this purpose. 3) In case the amount avail reject the application. 4) If the DP ID, Client ID or PAN furnished by I losses, if any. 5) We hereby authorise the SCSB to make relevant revis	ailable in the bank account s me/us in the ASBA Form is ir	specified in the ASBA Form is insufficient	for blocking the amount equivalent to the application money ation shall be rejected and the AMC. R&TA and SCSB shall no
be liable for	losses, if any. 5) I/We hereby authorise the SCSB to make relevant revis	ions as may be required to b	be done during the NFO, in the event of p	price revision.
SIGNATURE	OF THE			Attn. : NRI Investors : Payment
APPLICANT	(5)			should be made through their
	E OF THE BANK HOLDER(S)			NRE/FCNR accounts.
	TO BE RETAINED BY	<u> </u>		
H	THE BANKER		iti, Building No. 21, Infinity Park,	
Z	THE BANKER (To be filled by the Sole/First Applicant)	Gen. A. K. Vaidya Ma Tel No.: 022-6605 68	rg, Malad (East), Mumbai 400097.	
ACKNOWLEDGEMEN SLIP FOR SCSB	Sole/First Applicant)	Web: www.kotakmf.o		Date : 2024
SCS	Received from Mr./Ms.		SCSB Account Details	No. of Units
N D	Address			(In Figures)
VLED FOR		Bank Account Number	r:	
ŽК		Branch Address:		
õ≙	Tel/Fax Mobile			
SLIP	E-mail	Total Amount to be blo	ocked (Rs.)	
ð				
Ā	SIGNATURE(S)			
	LI			I
		— — TEAR HE KOTAK MAHINDRA I		
	THE COOP	6th Floor, Kotak Infinit	i, Building No. 21, Infinity Park,	
	(To be filled by the KOTAK	Gen. A. K. Vaidya Mar Tel No.: 022-6605 682	g, Malad (East), Mumbai 400097. 5	
		Web: www.kotakmf.co		Date : 2024
SLIP	INVESTMENT DETAILS		1	
E I	Scheme Name: KOTAK ENERGY OPPORTUNITIES FUND		Plan:	
N N	Option:		Frequency:	
E E	Amount in figures:		Amount in words:	
ES ES	No of units:		Unit price:	
8≥	Received From			
ACKNOWLEDGEMENT FOR INVESTOR	Mr./Ms.			
N N	Address		Telephone	Pin Code
<b>S</b> R				SCSB Stamp
Z	Bank Account Number :			Signature, Date & Time of Bid Form
ð	Bank Name & Branch Address :			Submission
Ā				
	Total Amount to be blocked (Rs.)			(Cheques are subject to realisation)
	Note : Only purchases registered on the electronic system will be con			
	that validity of the purchases or any allocation thereon, is subject to	the literation of the correct an	Provide Ridden Protocopy of this for	



# ASBA FORM APPLICATION SUPPORTED BY BLOCKED AMOUNT

#### IMPORTANT INFORMATION INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS

Background: In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

1. Meaning of ASBA: ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.

2. Self Certified Syndicate Bank (SCSB): SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010, 27 Banks have been recognised as SCSBs. Investors maintaining their accounts in of any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs, whoe become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address http://www.sebi.gov.in. Further these details are also available on the websites of the Stock Exchanges at http://www.bseindia.com and http://www.nseindia.com. Alternatively, investors may also contact the AMBC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by t

**3. Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a "Resident Retail Individual Investor, Non-Individual Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

4. ASBA Facility in Brief: Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application, as the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSB to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

5. Obligations of the AMC: AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

#### Other Information for ASBA Investors:

1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.

2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.

3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.

4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.

5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.

6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

Grounds for rejection of ASBA applications

- ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:
- 1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- 2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
- 3. ASBA Application Form without the stamp of the SCSB.
- 4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
- 5. Bank account details not given/incorrect details given.
- 6. Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
- 7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.

8. Insufficient funds in the investor's account

9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar



# OTM REGISTRATION FORM Strike off sections that are not applicable

Distributor s ARN/ RIA Code	Distributor s ARN/ RIA Code Sub-Broker s Name & Code EUIN			JIN		FOLIO NO.				DATE			
											DD /	MM /	ΥΥΥΥ
"By mentioning RIA code, I/We authorize yo "I/We hereby confirm that the EUIN box l relationship manager/sales person of the a manager/sales person of the distributor/su	nas been intentionally above distributor/sub b	left blank by n	ne/us as th	is transactio	n is exe	ecuted v	without	any i	nterac	tion or	advice	by the	employee/
Sole/First Holder		Second Holder ed by <u>All Unithol</u>	<b>ders</b> if mod	e of operation	is <b>"Join</b> "		Third	Holde	r				
Jpfront commission shall be paid directly by th by the distributor.	e investor to the AMFI	registered distr	ibutors bas	ed on the inv	estor's	assessm	nent of	variou	s facto	rs inclu	ding th	e service	rendered
NAME OF SOLE/ FIRST HOLDER :													
NAME OF THIRD HOLDER :													
PAN So	le / First Holder			Second	Holder					Third	Holder		
Note: Name shall be as per PAN card only	,												
ONE TIME MANDATE REGIST	RATION FORM												
UMRN	For	o f f	i c	e u	s e				Date				
TICK ( $$ )	Code Fo	r Office Use		Utility Cod	e			Fc	or Office	e Use			
CREATE V I/We hereby authorize	к	otak Mutual Fu	nd			to deb	it (tick	√) si	B CA	cc s	B-NRE	SB-NRO	Other
CANCEL Bank a/c number													
with Bank		IFSC					/	MICR					
an amount of Rupees										₹			
FREQUENCY A Mthly Q Qylt A H	-Yrly 🛛 Yrly 🗹	As & when preser	nted	DI	EBIT TYP	PE - 🗵	Fixed A	Amount	<u> </u>	<b>√</b> Ma	aximum A	Amount	
Reference 1	Folio Number				Phone	No.							
Reference 2	Application Num				Ema								
<ol> <li>I agree for the debit of mandate processing charge been carefully read, understood &amp; made by me/us authorised to cancel/amend this mandate by appro</li> <li>PERIOD</li> </ol>	. I am authorising the use priately communicating the	r entity/corporate t	to debit my a ndement req	ccount, based uest to the use	on the ir r entity/ c	nstruction	ns as agr	reed and	d signed	d by me	. 3. I und	lerstood t	ation has hat I am
From            To		rimary Account he	older	Signatur	e of Acco	ount hold	der		ç	ianatur	e of Aco	ount hold	er
Maximum period of validity of this mandate 40 years only	s	as in Bank records		Name						Name	as in Ba	nk record	S
INSTRUCTIONS													
<ul> <li>Investors who have already submone-time process only for each b</li> <li>Other investors, who have not re</li> <li>Mobile Number and Email Id: Un</li> <li>Unit holder(s) need to provide alt the bank account to be registere. The Unit holder(s) cheque/ bank a</li> <li>Investors are deemed to have real information Document, Stateme the respective Scheme(s) of Kota</li> <li>One Time Debit Mandate Form c</li> <li>OTM Mandate date and OTM Pe</li> <li>Any charges payable by the investible debited to bank account, the</li> <li>OTM Mandate End date should real other in the should read the should</li></ul>	ank account. Howey gistered for OTM fac it holder(s) should m ong with the manda d or bank account ve account details are si ead and understood nt of Additional Info k Mahindra Mutual I an be used for Systei riod From and To in t stor to his/ her bank mandate contains no tot be more than 40	rer, such invest cility, may fill the andatorily pro- te form an ori- erification lette ubject to third the terms an irmation, Key I Fund. matic Purchase the mandate for for registering ecessary author years from the	ors if wish the OTM for vide their ginal cance or for regis party veri- d condition nformation e as well a porm are m g and hom- prisation. e OTM Ma	to add a n rm and sub nobile num elled chequ tration of th ication. ons of OTM n Memorar s Lump Sun andatory fit buring this ndate Start	ew bar mit dul iber an- e (or a ne man 1 Facilit ndum, I n Purch elds. manda date.	ik acco ly signe d email copy) v date fa ty, SIP i instruct ase. te will i	unt tov ed with I id on with na iiling w registra ions an	wards their the m ame a which i ation nd Ad	OTM name and ac registr throug denda	facility ment te forn count ation gh OT a issue	y may f ioned. n. numbe may nc M facil d from	ill the fire fire pre-p to be action to the term of te	orm. rinted of cepted. Scheme o time of
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											-		

Kota Mutual Fu	OTM REGISTRATION FORM ACKNOWLEDGEMENT SLIP (To be filled by Applicant)     DATE	MM Y	YYY
Folio Number			
Bank Name	Amount		
Bank Account No.		Official Accepta	
	Please retain this Acknowledgement Slip for future reference	Stamp & S	Sign



# Declaration Form of Ultimate Beneficial Ownership [UBO] / **Controlling Persons** Please fill in the information below legibly in English and in CAPITALS.

			DATE D D M M Y Y Y Y
I. INVESTOR DETAILS			
Investor Name			
PAN		]	
	* If PAN is not available, specify Folio No.(s)		
II. CATEGORY			
Our company is a Listed Com selected, no need to provide UI	pany on a recognized stock exchange in BO details].	n India/ Subsidiary of a or Controlled b	by a Listed Company [If this category is
Name of the Stock Exchange when	e it is listed#		
Security ISIN#			
Name of the Listed Company (app investor is subsidiary/ associate)	licable if the		
# Mandatory in case of Listed company	or subsidiary of the Listed Company		
Unlisted Company	Partnership Firm / LLP	Unincorporated a	association / body of individuals
Public Charitable Trust	Private Trust	🗌 Religious Trust	Trust created by a Will
Others (please specify)			
UBO/ CONTROLLING PERSON	(S) DETAILS		
	y individual person(s) who holds direct/ ind	direct controlling ownership above the pr	escribed threshold limit? 🗌 Yes 🗌 No
	e following individual person holds direc		
	person (directly/ indirectly) holds contro of Senior Managing Official (SMO) are pr		prescribed threshold limit. Details of the
	UBO-1/ Senior Managing Official (SMO)	UBO-2	UBO-3

	UBO-1/ Senior Managing Official (SMO)	UBO-2	UBO-3	
Name of the UBO/ SMO#				
UBO/ SMO PAN# For Foreign Nationals, TIN to be provided				
UBO/ SMO Country of Tax Residency#				
UBO/ SMO Taxpayer Identification Number/ Equivalent ID Number#				
UBO/ SMO Identity Type				
UBO/ SMO Place & Country of Birth#	Place of Birth Country of Birth	Place of Birth Country of Birth	Place of Birth Country of Birth	
UBO/ SMO Nationality				
UBO/ SMO Date of Birth#	Date D D M M Y Y Y Y	Date D D M M Y Y Y Y	Date D D M M Y Y Y Y	
UBO / SMO PEP#	Yes - PEP Yes - Related to PEP N - Not a PEP	Yes - PEP	Yes - PEP	
UBO/ SMO Address Type	Residence     Business     Registered Office	Residence     Business     Registered Office	Residence     Business     Registered Office	

UBO/ SMO s Occupation	Public Service Private Service Business Others	Public Service Private Service Business Others	Public Service Private Service Business Others
SMO Designation#			
UBO/ SMO KYC Complied?	YES NO If 'Yes,' please attach the KYC acknowledgement If 'No,' complete the KYC &confirm the status	YES NO If 'Yes,' please attach the KYC acknowledgement If 'No,' complete the KYC &confirm the status	YES NO If Yes, please attach the KYC acknowledgement If No, complete the KYC &confirm the status

# Mandatory column.

\*\* In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and valid declaration should be submitted again with all the required information.

#### L. UNITHOLDER(S) SIGNATURE(S)

I/ We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/ are aware that I/ We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/ We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/ RTA/ other registered intermediaries can make reliance on the same. I/ We hereby authorize you [RTA/ Fund/ AMC/ Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all/any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees/ RTAs ('the Authorised Parties') or any Indian or foreign governmental or statutory or judicial authorities/ agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/ revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/ us of the same. Further, I/ We authorise to share the given information to other SEBI Registered Intermediaries/ or any regulated intermediaries registered with SEBI/ RBI/ IRDA/ PFRDA to facilitate single submission/ update & for other relevant purposes. I/ We also undertake to keep you informed in writing about any changes/ modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required

#### SIGNATURE(S) with relevant Seal

NATURE(S)	Authorised Signatory	Authorised Signatory	Authorised Signatory
SIGN	Name:	Name:	Name:
	Designation:	Designation:	Designation:

at your/Fund s end or by domestic or overseas regulators/tax authorities.

#### INSTRUCTIONS ON CONTROLLING PERSONS/ ULTIMATE BENEFICIAL OWNER

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

#### A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
  - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
  - more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or or who exercises control through other means.
  - For the purpose of this clause, Control shall include the right to control the management or policy decision.
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
     In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account-based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

#### C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

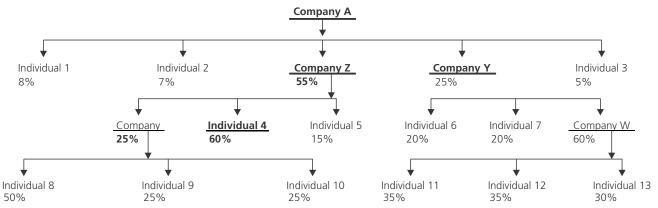
#### D. KYC requirements

Beneficial Owner(s)/ Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

#### Sample Illustrations for ascertaining beneficial ownership:

#### Illustration No. 1 Company A

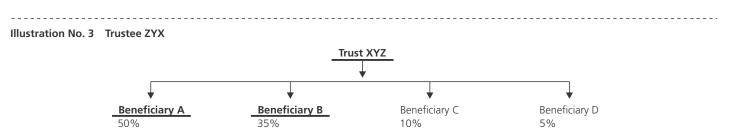


For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

#### Illustration No. 2 Partner ABC



For Partnership Firm ABC, Partners 1, 2, 3 and 4 are considered as UBO as each of them holds >=10% of capital. KYC proof of these partners needs to be submitted including shareholding



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust/ Protector of Trust, relevant information to be provided along with the proof indicated.



## **OFFICIAL COLLECTION CENTRES (FOR FRESH PURCHASES & SWITCH-INS)**

### KMAMC AUTHORISED COLLECTION CENTRES

Agra: Shop No. G-4, Ground Floor, U-Pee Tower, Block No.53/4, Sanjay Place, Agra - 282002. Ahmedabad: 305,3rd Floor, Siddhi Vinayak Complex, Near Shivranjani Cross Road, Satellite, Ahmedabad 38015 (Gujarat). Ahmednagar: Shop No. 207, 2nd Floor, Vedant Icon, Premdan Chowk, Professor Colony Road, Savedi, Ahmednagar: Al4003 (Maharashtra). Ajmer: 1st Floor, India Heights, Kutchary Road, India Motor Circle, Ajmer - 305001 (Rajasthan). Aligarh: 1st Floor, Omeshwar Plaza, C1 Omeshwar Plaza, Plot No.3/243, Laxmi Bai Marg, Marris Road, Aligarh - 202001 (Uttar Pradesh). Alwar: 2nd Floor, Ram Arcade, 30A, Scheme No.2, Lajpat Nagar, Bhagat Singh Circle, Alwar: 301001 (Rajasthan). Amritsar - 143001 (Punjab). Anand: Shop No. 8, Ground Fl, Ashwamegh Complex, Opp. Vyayam Shala, Sardar Ganj Road, Anand – 388001 (Gujarat). Aurangabad: 3rd Floor, Block No. D 28/29, Motiwala Trade Centre, Opp HDFC Bank, Nirla Bazar, Aurangabad – 431001. Ambala: Bldg No.5396, First Floor, Punjabi Mohalla, Nicholson Road, Above Haryana Beauty Parlour, Ambala Cantt, Ambala - 133001. Bangalore: 5th FL, 506, North Block, Manipal Centre, Dickenson Road, Bangalore - 560042. Bangalore: GPNS Towers No. 60 (Old No. 568), 2nd Floor, 11th Main Road, 4th Block, Jayanagar, Bangalore - 560011. Belgaum: 2nd Floor, Amar Empire, Office No. 10, Near Basaveshwar Circle, Goavess Khanapur Road, BELGAUM - 590001 (Karnataka). Bareily: 1st Floor, Singh Complex, 167-A, Civil Lines, Station Road, Bareily - 243001 (Uttar Pradesh). Bhavnagar: Office No. 5/1, 2nd Floor, Gangotri Plaza, Opp. Daxinamurti School, Waghadi Road, Bhavnagar -Floor, Singn Complex, 167-A, Civil Lines, Station Road, Barelly - 243001 (Uttar Pradesh). Bnavnagar: Office No. 5/1, 2nd Floor, Gangoth Plaza, Opp. Daxinamuth School, waghadi Road, Bhavhagar - 243001 (Uttar Pradesh). Bnavnagar: Office No. 5/1, 2nd Floor, Gangoth Plaza, Opp. Daxinamuth School, waghadi Road, Bhavhagar - 243001 (Uttar Pradesh). Bilaspur: 2nd Floor, Shreji Plaza, Near Tagore Chowk, Tarbahar Road, Bilaspur - 495001 (Chhattisgarh). Bhubaneshwar: 2nd Floor, Building No.24, SCR Janpath, Bapujinagar, Bhubaneshwar - 751009. Bhuj: Office No.18, Ground Floor, A D Mehta Complex, opp Anchor Circle, Bhuj-Madhapar Road, RTO Relocation Site, Bhuj – Kutch - 370001 (Gujarat). Calicut: Door No 62/2732/C, 1st Floor, Pearl Bay Arcade, YMCA Cross Road, Calicut - 673001 (Kerala). Chandigarh: 1st Floor, SCO 2475-76, Sector 22-C, Chandigarh - 160022. Chennai: Unit G-01 & G-02, Ground Floor, Building No:52-53, Prince Towers, College Road, Nungambakam, Chennai – 600 006. Tamil Nadu. Coimbatore: Shop No.1, 2nd Floor, Ploor, Hon, 254/2, D. B. Road, R.S. Puram, Coimbatore - 641002 Tamil Nadu). Cuttack: 1st Floor, Gopal Bhawan, Plot No-553, Machua Bazar, Opposite Bsnl Office, Buxi Bazar, Mangalabag, Cuttack – 753001 (Orissa). Dehradun: Office No. 247/2, 1st Floor, Swaraj Plaza, Above Cafe Coffee day, Rajpur Road, Dehradun – 248001 - 248001. Delhi: Office No. 314, 3rd Floor, H.B. Twin Tower – 1, Plot No. A-2, 3/4, Netaji Subhash Place, Pitampura, Delhi-24501. Delhabad- 826001. 110034. Dhanbad: 2nd Floor, Shop No. 215, Shriram Plaza, Bank More, Dhanbad - 826001 (jharkhand). Durgapur: 2nd Floor, Akshara Building, Plot No. C-100, Beside Junction Mall, City Centre, Durgapur - 713216 (West Bengal). Goa: 3rd Floor, Mathias Plaza, 18th June Road, Panaji, Goa - 403001. Gorakhpur: 1st Floor, Shop no. 6, Cross Road The Mall, Argasen Chowk, Bank Road, Gorakhpur - 273001 (Uttar Pradesh). Gurgaon: Unit no. 214, 2nd floor, Vipul Agora Building, Sector no. 28, M G Road, Gurgaon - 122001. Guwahati: Uma Abhaya Complex, 2nd Floor, Opp. Ulubari High School, Bora Service, G.S.Road, Guwahati - 781007. Gwalior: 2nd Floor, 'Sai Pawar Building', Sector Not26, We Adad, Gugahar 122001. Guwahati. Onta Adhaya Complex Jult Hool, Opp. Otdaan High School, Bora Service, G.S.Road, Guwahati - 781007. Gwalior: 2nd Floor, 'Sai Pawar Building', 300 Tulsi Vihar Colony, City Centre, Gwalior - 474011 (Madhya Pradesh). Hisar: Shop No 1, Ground Floor, RD City Center, Near Elite Cinema, Railway Road, Hisar - 125001 (Haryana). Hubli: Office No. 201, 2nd Floor, Challamarad Building, Behind Vivekananda Hospital, Above IDFC First Bank, Deshpande Nagar, Hubli - 580029 (Karnataka). Hyderabad: 201, 2nd Floor Legend Esta, Rajbhavan Road, Somajiguda, Hyderabad - 500 082 (Telangana). Hyderabad: Premises No.6-3-885/7/C/2/S1, 2nd Floor, Amit Plaza, Rajbhavan Road, Near Somajiguda Circle, Somajiguda, Hyderabad - 500082 (Telangana). Indore: Office No. 203, 2nd Floor, N M Tower, Near 56 Shop, New Palasia, Indore - 452001 (Madhya Pradesh). Jabalpur: 290-C, Ground Floor, Scientex Building, Opp. Spandhan Hospital, Near Bhawartal Park, Sudarshan Motors Chowk, Jabalpur Hospital To Shastri Bridge Road, Napier Town, Jabalpur: 200-C, Ground Floor, Scientex Building, Opp. Spandhan Hospital Park, Sudarshan Motors Chowk, Jabalpur Hospital To Shastri Bridge Road, Napier Town, Jabalpur 482001 (Madhya Pradesh). Jaipur: Shop/Unit No. 008, Ground Floor, Trimurti Vijay City Point, D-52 Ashok Marg, Ahinsa Circle, C-scheme, Jaipur - 302001 (Rajasthan). Jammu: Shop no.21, A-2, South Block, Ground Floor, Bahu Plaza, Jammu - 180001 (Jammu & Kashmir). Jamnagar: 'Neo Square', 1st Floor, Office No. 131 & 136, Pandit Nehru Marg, Near Amber Cinema, Jamnagar - 361001 (Gujarat). Jalandhar: Office No. 18, 3rd Floor, City Square Building, Eh-197, Civil Lines, GT Road, Jalandhar -144001 (Punjab). Jalgaon: Shiv Priya Chambers, 2nd Floor, 1st Part, Main Chitra Square, Plot N0.72, Jilha Peth, Jalgaon – 425 001 (Maharashtra): Jamshedpur: 2nd Floor, Tee Kay Corporate Towers, Opp. Chamber Of Commerce, Main Road, Bistupur, Jamshedpur - 831001 (Jharkhand). Jodhpur: 106, 1st Floor, Modi Arcade, Near Bombay Motor Circle, Chopasani Road, Jodhpur - 342001 (Rajasthan): Kanpur: 1st Floor, Tower A, Virendra Smriti Complex, 15/54- B, Civil Lines, Kanpur- 208001 (Uttar Pradesh). Kochi: Shop/ Door No. 454/D, Al-Hissan, 2nd Floor, Kaloor Kadavanthra Road, Opp. Skyline Topaz, Gandhinagar, Kochi - 682017 (Kerala). Kolhapur: Office No.6, 1st Floor, Vasant Prabha Chambers, Sykes Extension, Near Parikh Pool, Railway Gate, Kolhapur - 416001. Kolkata: 3rd Floor, The Millenium, 235/2A, AJC Bose Road, Kolkata - 700020 (West Bengal). Kolkata - Dalhousie: Room No-302B, 2, Church Lane, Kolkata - 700001. Kolkata: 3rd Floor, The Millenium, 235/2A, AJC Bose Road, Kolkata - 700020 (West Bengal). Kolkata - Dalhousie: Room No-302B, 2, Church Lane, Kolkata - 70001. Kolkata: 3rd Floor, The Millenium, 235/2A, AJC Bose Road, Kolkata - 700020 (Bengal). Kota: Shop No-1, Ground Floor, Anand Villa, 157, Sindhi Colony, Near Shiv Jyoti School, Gumanpura, Kota - 324007 (Rajasthan)... Kottayam: Shop No.273/4/G3G4, 1st Floor, Pulimoottil Arcade, K K Road, Muttambalam P O, Kanjikuzhy, Kottayam - 686004 (Kerala). Lucknow: 2nd Floor,Aryan Business Park, 90, M.G.Road [Exchange Cottage], Off:Park Road, Hajratganj, Lucknow - 226001. Ludhiana: Lower Ground Floor, SCO 13, Shanghai Tower, Feroze Canadhi Martot Ludhisna: Lower Ground 100, SCO 13, Shanghai Tower, Feroze (Refail): Lucknow: 2nd hour, Aryan business rank, 90, M.G. Roda (Exchange); On Park Road, Hajratgan; Lucknow - 22001. Lubrana: Cover Glound hour, 2CO 31, Shangha Hower, Peroze Gandhi Market, Ludhiana - 141001 (Punjab). Madurai: 272/273, Suriya Towers, 2nd Floor, Goodshed Street, Madurai – 625001 (Tamil Nadu). Mangalore: D.No. 5-4-169/21, 3rd Floor, Lalbagh Towers, Ballalbhag Circle, Near Kalyan Jewellers, M.G. Road, Mangalore – 575003. Margao: Shop No 1, Ground Floor, Mangalya, Opp. Colaco Building, Comba, Margao - 403601 (Goa). Meerut: Office No-106, Om Plaza, 1st Floor, Begum Bridge Road, Sothiganj, Meerut - 250001 (Uttar Pradesh). Mehsana: Shop NO. F/F 57, 1ST Floor, Someshwar Mall, Near IOC Petrol Pump, Modhera Cross Road, Mehsana -384002 (Gujarat). Moradabad: Krishna Complex, 1st Floor, Near Raj Mahal Hotel, Civil Lines, Moradabad – 244001 (Uttar Pradesh). Mumbai (BKC): 2nd Floor, 12 BKC, Plot No. C-12, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051 (Maharashtra). Mumbai (Borivali-W): Shop No. 16, 17 & 18, 1st Floor, Harismruti CHSL, Next to Kotak Bank, Near Chamunda Circle, SVP Road, Borivali West, Mumbai - 400092 (Maharashtra). Mumbai (Fort): Shop No.6, Ground Floor, Rajabahadur Mansion (Bansilal Building), 9-15 Homi Modi Street, Fort, Mumbai – 400023. Mumbai (Goregaon): 6th Floor, Zone IV, Kotak Infinity, Bldg No.21, Infinity Park, Off Western Express Highway, General A K Vaidya Marg, Malad (E), Mumbai - 400097. Mumbai (Ghatkopar East): Shop/ Unit No. 202, 2nd Floor, RNJ Corporate, Jawahar Road, Opp. Ghatkopar Station East, Adjacent To Parakh Hospital, Ghatkopar East, Mumbai - 400077 (Maharashtra). Mumbai (Registered Office): 27 BKC, C-27, G Block, Bandra Kurla Complex, Bandra (East), Mumbai - 400051 (Maharashtra). Email: kmamc.emailstamping@kotakmutual.in. Muzaffarpur: 1st Floor, Laxmi Bhavan, Pani Tanki Chowk, Club Road, Mithanpura, Opp. Ramna Post Office, Ramna, Muzaffarpur - 842002 (Bihar). Mysore: 1st Floor, Office No.23, 24 & 25, Prashanth Plaza, CH 16, 5th Cross, 4th Main Road, Chamaraja Mohalla, Saraswathipuram, Mysore - 57009 (Karnataka). Nagpur: 302,374 IE Shalwak Manor, East High Court Road, Opp. Dr.Jay Deshmukh's Hospital, Ramdaspert, Nagpur: 440011. Nadia: B-8/25(CA), 1st Floor, Royal Banquet Building, Near Kalyani Ghoshpara Station, Kalyani, Nadia - 741235 (West Bengal). Nasik: Office No.1, Mezzanine Floor, Sharada Niketan, GCK Avenue, Tilakwadi, Opp. Hotel City Pride, Sharanpur Road, Nashik - 422002 (Maharashtra). Navsari: Office No. 206, 2nd Floor, Union Heights, Asha Nagar, Opp. Avdhoot Eye Hospital, Navsari – 396445 (Gujarat). New Delhi: Unit Pride, Sharahpur Koad, Nashik - 422002 (Maharashtra). Navsan: Office No. 206, 2nd Floor, Union Heights, Asha Nagar, Opp. Avdnoot Eye Hospital, Navsan - 396445 (Gujarat). New Defini: Unit Number 1101, 1103 & 1104, 11TH Floor, Kailash Building. 26, Kasturba Gandhi Marg, New Defini - 110001. Noida: Unit no. 206, 2nd Floor, Ocean Plaza, Plot No. P-5, Sector 18, Maharaja Agrasen Marg, Noida - 201301 (Uttar Pradesh). Panipat: Lower Ground Floor, Jawa Complex, Opp. Railway Station, G. T.Road, Panipat - 132103. Patiala: SCO-130, 1ST Floor, New Leela Bhawan, Near Punjab National Bank, Patiala - 147001. Patna: 3rd Floor, Office No. 306, Grand Plaza, Frazer Road, Patna - 800001 (Bihar ). Prayagraj: Shop No. SF-8, 2nd Floor, Vinayak Vrindawan Tower, Taskent Marg, Civil Lines, Prayagraj: 211001 (Uttar Pradesh). Puducherry: Jayalakshmi Complex, 1st Floor, 114-116, Thiruvalluvar Salai, Pillaithottam, Puducherry - 605013. Pune: Shop No. 8, Ground Floor, Rama Equator, Near City International School, Morewadi, Pimpri, Pune - 411018 (Maharashtra). Pune: Office No. 10/11, 3rd Floor, Aditya Centeegra, F C Road, Near Dyneshwar Paduka Chowk, Next to Kotak Mahindra Deals Chiverane Marga Chiefen No. 2014 2nd Floor, Debita Tawar, Beheria Tawar, Grivil Line, Navari Alitya Centeegra, F C Road, Near Dyneshwar Paduka Chowk, Next to Kotak Mahindra Bank, Shivajinagar, Pune – 411005, Molewald, Finhpir, Fule 2411018 (Wanarashta). Parket on View Or Pri 1, Sta Piool, Autya Centegra, P.C. Road, Near Dynestiwa Paduka Chowk, Next to Kotak Manimuda Bank, Shivajinagar, Pune – 411005, Raipur: Shop No. F1, 1st Floor, Raheja Tower, Fafadih Chowk, Jail Road, Raipur - 492001 (Chhattisgarh). Rajkot: Office No. 204, 2nd Floor, Orbit Enclave, Near Ramkrishna Ashram, Dr. Yagnik Road, Rajkot - 360001 (Gujarat). Ranchi: 3rd Floor, Satya Ganga Arcade, Lalji Hirji Road, Near Sarjana Chowk, Main Road, Ranchi-834001, Jharkhand. Rohtak: Lower Gr Floor, Office No.3, "Bank Square" Building, Opp: Myna Tourist Complex, 120-121 Civil Lines, Rohtak - 124001. Rourkela: 2nd Floor, Plot No.304, Holding No. 72, Above Axis Bank & Union Bank, Udit Nagar Main Road, Rourkela - 769012 (Orissa). Saharanpur: 1st Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur – 247001 (Uttar Pradesh). Salem: Shop No. 213, 2nd Floor, Kandaswarna Shopping Mall, Saradha College Road, Salem - 636016 (Tamil Madu). Satara: Shop No. 2, Ground Floor, Ok Pride, Opp Taluka Police Station, Radhika Road, Satara - 415002 (Maharashtra). Shinla: 1st, Floor, Bhagra Niwas, Near Lift Road, The Mall, Shimla - 17001. Siliguri: Manak Complex, Lower Ground Floor, Sevoke Road, Siliguri - 2522012 (Bengal). Solapur: Shop Xo. 2 & 3, Ground Floor, Advait Apartment, Railway Lines, Near Naval Petrol Pump, Solapur - 413001 (Maharashtra). Surat: Office nob-129, 1st Floor, International Trade, Centre [ITC] Building, Majura Gate Crossing, Ring Road, Surat - 395002. Thane (Mumbai): Shop No.2 Gr.Fl, Ram Rao Sahani Sadan, Kaka Sohni Path, Naupada, Thane (West) : 400602. Thrissur: 2nd Floor, Trichur Trade Centre, Kuruppam Road, Thrissur Node, State V9502: Thrans (Wallhabit, Shop No. 2, Ght), Kalmab Sanah Bada, Naka Sohim atil, Nabada, Imilatil, Nabada, Imilatil, Vasou V, Vosou V complex, Station road, Dwarakanagar, Visakhapatnam - 530016 (Andhra Pradesh).

## **OFFICIAL COLLECTION CENTRES (FOR FRESH PURCHASES & SWITCH-INS)**

I. COMPUTER AGE MANAGEMENT SERVICES LIMITED (CAMS) - INVESTOR SERVICE CENTRES

Ahmedabad: 111-113, 1st Floor, Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380006. **Bangalore**: Trade Centre, 1st Floor, 45, Dikensen Road, (Next to Manipal Centre), Bangalore - 560042. **Bhubaneswar**: Plot No. 501/ 1741/ 1846, Premises No. 203, 2nd Floor, Kharvel Nagar, Unit-3, Bhubaneswar - 751001. Odisha. **Chandigarh**: Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. **Chandrapur**: Opp Mustafa décor, Behind, Bangalore, Bakery Kasturba, Road, Chandrapur - 442402 (Maharashtra). **Chennai**: No 178/10, M G R Salai, Nungambakkam, Chennai - 600034. **Coimbatore**: No 1334; Thadagam Road, Thirumoorthy Layout, R.S. Puram, Behind Venkteswara Bakery, Coimbatore – 641002. **Dibrugarh**: Amba Complex, Ground Floor, H S Road, Dibrugarh - 786001. Assam. **Durgapur**: Plot No.3601, Nazrul Sarani, City Centre, Durgapur - 713216. **Faizabad**: 9/1/51, Rishi Tola, Fatehganj, Faizabad, Ayodhya - 224 001, Ultar Pradesh. **Goa**: Office No. 103, 1st Floor, Unitech City Centre, M.G. Road, Panaji Goa, Goa - 403 001. **Guntur**: D No 31-13-158, 1st Floor, 13/1 Arundelpet, Ward No.6, Guntur - 522002 (AP). **Hyderabad**: 208, 2nd Floor, Jade Arcade, Paradise Circle, Secunderabad - 50003. **Indore**: 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp. Greenpark, Indore - 452001. **Jaipu**: R-7, Yudhisthir Marg, C-Scheme, Behind Ashok Nagar Police Station, 63/2, The Mall, Jaipur - 302001. **Jorhat**: Dewal Road, Second Floor, Left Side Second Building, Near Budhi Gukhani Mandir, Gar Ali, Orhana Shar, 11, 414, 115, 415 Floor, Seasons Business Centre, Opp. KDMC (Kalyan Dombili Municipal Corporation), Shivaji Loowk, Kalyan (West) – 421301 (Maharashtra). **Kanpur**: 1st Floor, 106 to 108, City Centre, Phase II, 63/2, The Mall, Kanpur – 208001. **Kochi**: Modayil, Door No. 39/2638 DJ, 2nd Floor, 2A, M.G. Road, Kochi - 682016. **Korba**: Shop No 6, Shiriam Commercial Complex, Infront of Hotel Blue Diamond Ground Floor, T.P. Nagar, Korba - 495677, West Bengal). Lucknow: Office No. 11, Floor, Nishiat Arcade B

#### II. COMPUTER AGE MANAGEMENT SERVICES LIMITED (CAMS) - TRANSACTION POINT

Agartala: Nibedita, 1st floor, JB Road, Palace Compound, Near Babuana Tea and Snacks, Agartala – 799001 (Tripura West). Agra : No.8, 2nd Floor, Maruti Tower, Sanjay Place, Agra - 282002. Ahmednagar : Office No. 3, 1st Floor, Shree Parvati, Plot No. 1/175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar - 414 003. Ajmer : AMC No. 423/30, New Church Brahampuri, Opp T B Hospital, Jaipur Road, Ajmer - 305001. Akola : Opp. RLT Science College, Civil Lines, Akola - 444001. Aligarh : City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh - 202001. Allahabad



NUMBER 1990

NUMB Floor, Sindouba Niceairi, Jiak Wadi, Opp. Hote Cuty Pride: Shafangu Wadin, Vadink - 422: 002. NetSairi, 1035. PhateKishind, Offipiek, above Delini: Ali yaki Vadin, Lakike, Chimihada Koda, Nakashi Sod, Shew Delini: Shew Shew Delini: Sod, Shew Delini: Shew Shew Delini: Sod, Shew D 396445. Nellore : Shop No. 2, 1st Floor, NSR Complex, James Garden, near Flower Market, Nellore – 524001 (Andhra Pradesh). New Delhi: 401 to 404, 4th Floor, Kanchan Junga Building, Barakhamba Road, New Delhi 110001. New Delhi: 306, 3rd Floor, DDA - 2 Building District Centre, Janakpuri, New Delhi 110058. New Delhi: Aggarwal Cyber Plaza-II, Commercial Unit No-371, 3rd Floor,Plot No C-

CAMS, Registrar and Transfer Agent to Kotak Mutual Fund will be the official point of acceptance for electronic transaction received through specified banks, Financial Institutions with whom Kotak Mahindra Mutual Fund has entered or may enter into specific arrangement for purchase/sale/switch of units and secured internet site operated by Kotak Mahindra Mutual Fund