

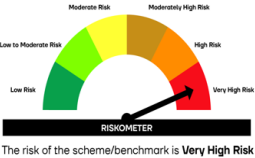
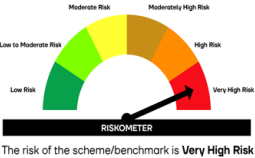
KEY INFORMATION MEMORANDUM

Navi Nifty Smallcap250 Momentum Quality 100 Index Fund

(An open ended scheme replicating/ tracking Nifty Smallcap250 Momentum Quality 100 TRI)

Continuous Offer of Units at Applicable NAV

(Face Value: Rs. 10/-)

This product is suitable for investors who are seeking*:	Scheme Risk-o-meter	As per AMFI Tier I Benchmark- Nifty Smallcap250 Momentum Quality 100 TRI
<ul style="list-style-type: none"> - To create capital appreciation over long time. - Investment in equity and equity related securities covered by Nifty Smallcap250 Momentum Quality 100 TRI. 		

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made. An addendum may be issued or updated in accordance with provisions of Paragraph 17.4 of SEBI Master circular on Mutual Funds dated June 27, 2024, on an ongoing basis on the website <https://navi.com/mutual-fund/downloads/statutory-disclosure>.

Offer for Units of Rs. 10 each for cash during the New Fund offer and Continuous offer for units at NAV based prices.

New Fund Offer Opens on: 25th February, 2025

New Fund Offer Closes on: 10th March, 2025

Scheme re-opens : *Within 5 business days from date of allotment*

Name of Mutual Fund	Navi Mutual Fund
Name of Asset Management Company	Navi AMC Limited CIN U65990KA2009PLC165296
Name of Trustee Company:	Navi Trustee Limited CIN: U65990WB2009PLC134536
Addresses:	Registered Office: Vaishnavi Tech Square, 7th Floor, Iballur Village, Begur Hobli, Bengaluru, Karnataka 560102
Website	https://navi.com/mutual-fund

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of**

Additional Information available free of cost at any of the Investor Service Centers or distributors or from the website <https://navi.com/mutual-fund>

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated 19th February, 2025.

Investment Objective	<p>The investment objective of the scheme is to achieve return equivalent to Nifty Smallcap250 Momentum Quality 100 Index by investing in stocks of companies comprising Nifty Smallcap250 Momentum Quality 100 TRI, subject to tracking error.</p> <p>“There is no assurance that the investment objective of the Scheme will be achieved. ”</p>																			
Asset Allocation Pattern of the scheme	<p>Under normal circumstances the asset allocation pattern will be:</p> <table border="1" data-bbox="544 439 1477 725"> <thead> <tr> <th data-bbox="544 439 1075 517" rowspan="2">Instruments</th> <th colspan="2" data-bbox="1075 439 1477 517">Indicative allocations (% of total assets)</th> </tr> <tr> <th data-bbox="1075 517 1286 562">Minimum</th> <th data-bbox="1286 517 1477 562">Maximum</th> </tr> </thead> <tbody> <tr> <td data-bbox="544 562 1075 685">Equities and equity related securities covered by Nifty Smallcap250 Momentum Quality 100 TRI</td> <td data-bbox="1075 562 1286 685">95%</td> <td data-bbox="1286 562 1477 685">100%</td> </tr> <tr> <td data-bbox="544 685 1075 725">Debt & Money Market Instruments#</td> <td data-bbox="1075 685 1286 725">0%</td> <td data-bbox="1286 685 1477 725">5%</td> </tr> </tbody> </table> <p>#Investments in Repo in Corporate debt and corporate reverse repo shall be within the limits prescribed as per SEBI circulars and guidelines issued from time to time. The residual portion of 5% in asset allocation is provided for liquidity purposes and hence instruments will be only cash and cash equivalent.</p> <p>The Cumulative Gross Exposure to Equity, Debt, Money market instruments, Derivatives, repo transactions in corporate debt securities etc. and such other securities/assets as may be permitted by the Board from time to time, subject to prior approval from SEBI, if required, should not exceed 100% of the net assets of the scheme in line with clause 12.24 of SEBI Master Circular dated June 27, 2024.</p> <p>Subject to the SEBI (MF) Regulations and in accordance with clause 12.11 of SEBI Master Circular dated June 27, 2024, and framework for short selling and borrowing and lending of securities notified by SEBI vide circular No MRD/DoP/SE/Dep/Cir-14/2007 dated December 20, 2007, as may be amended from time to time, the Scheme may engage in short selling and borrowing and lending of securities.</p> <p>Securities in which investment is made for the purpose of ensuring liquidity (debt and money market instruments) are those that fall within the definition of liquid assets as provided by SEBI or RBI.</p> <p>Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)</p> <table border="1" data-bbox="544 1697 1522 1977"> <thead> <tr> <th data-bbox="544 1697 679 1776">Sl.no</th> <th data-bbox="679 1697 922 1776">Type of Instrument</th> <th data-bbox="922 1697 1273 1776">Percentage of Exposure</th> <th data-bbox="1273 1697 1522 1776">Circular References*</th> </tr> </thead> <tbody> <tr> <td data-bbox="544 1776 679 1977">1.</td> <td data-bbox="679 1776 922 1977">Securities Lending</td> <td data-bbox="922 1776 1273 1977">1. Not more than 20% of the net assets of a Scheme can generally be deployed in Stock Lending.</td> <td data-bbox="1273 1776 1522 1977">Paragraph 12.11 of SEBI Master Circular dated June 27, 2024.</td> </tr> </tbody> </table>	Instruments	Indicative allocations (% of total assets)		Minimum	Maximum	Equities and equity related securities covered by Nifty Smallcap250 Momentum Quality 100 TRI	95%	100%	Debt & Money Market Instruments#	0%	5%	Sl.no	Type of Instrument	Percentage of Exposure	Circular References*	1.	Securities Lending	1. Not more than 20% of the net assets of a Scheme can generally be deployed in Stock Lending.	Paragraph 12.11 of SEBI Master Circular dated June 27, 2024.
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		2. Not more than 5% of the net assets of a Scheme can generally be deployed in Stock Lending to any single approved intermediary / counterparty.	
2.	Securitized debt.	The Scheme will not invest in stated security:	Clause 12.15 of SEBI Master Circular dated June 27, 2024
3.	Credit Default Swaps.	The Scheme will not invest in stated security:	Clause 12.28 of SEBI Master Circular dated June 27, 2024
4.	Overseas Investments/ADR/GDR	The Scheme will not invest in stated security:	Clause 12.19 of SEBI Master Circular dated June 27, 2024
5.	Real Estate Investment Trusts (REITs), Infrastructure Investment Trusts (InvITs)	The Scheme will not invest in stated security:	Clause 12.21 of SEBI Master Circular dated June 27, 2024
6.	Structured obligation and Credit enhancement.	The Scheme will not invest in stated security:	
7.	Debt instruments with special features as stated in paragraph 12.2 of SEBI Master Circular dated June 27, 2024.	The Scheme will not invest in stated security:	
8.	Unrated debt instruments	The Scheme will not invest in stated security:	
9.	Investment in their own Mutual Fund Schemes or Other Mutual fund schemes	The Scheme will not invest in stated security:	
*SEBI circular references (wherever applicable) in support of exposure limits of different types of asset classes in asset allocation shall be provided.			

Exposure to Derivatives:

Exposure to equity derivatives of the index itself or its constituent stocks may be required in certain situations wherein equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period etc. The gross position to such derivatives will be restricted to 20% of net assets of equity component.

The Scheme shall ensure compliance with the portfolio concentration norms in accordance with provisions as per clause 3.4 of SEBI Master Circular dated June 27, 2024, details whereof are given below:

- a) The index shall have a minimum of 10 stocks as its constituents.
- b) For a sectoral/ thematic Index, no single stock shall have more than 35% weight in the index. For other than sectoral/ thematic indices, no single stock shall have more than 25% weight in the index.
- c) The weightage of the top three constituents of the index, cumulatively, shall not be more than 65% of the Index.
- d) The individual constituent of the index shall have a trading frequency greater than or equal to 80% and an average impact cost of 1% or less over the previous six months.

The updated constituents of the Indices shall be available on the website of such ETF/Index Fund issuers at all points of time.

Pending deployment of the funds in securities in terms of investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of the Scheduled Commercial Banks, in terms of clause 12.16,12.16.1.6, 12.16.1.8 and 12.16.1.9 of SEBI Master Circular dated June 27, 2024.

Tracking Error: In accordance with clause 3.6.3.1 of SEBI Master Circular dated June 27, 2024, the tracking error i.e. the annualized standard deviation of the difference in daily returns between the underlying index or goods and the NAV of the Scheme based on past one year rolling data shall not exceed 2%. In case of unavoidable circumstances in the nature of force majeure, which are beyond the control of the AMCs, the tracking error may exceed 2% and the same shall be brought to the notice of Trustees with corrective actions taken by the AMC, if any. The same shall be disclosed on a daily basis on the websites of AMC and AMFI. The Scheme shall disclose the tracking error based on past one year rolling data, on a daily basis, on the website of respective AMCs and AMFI.

Tracking Difference: In accordance with clause 3.6.3.2 of SEBI Master Circular dated June 27, 2024, The annualized difference of daily returns between the index and the NAV of the Scheme. The same shall be disclosed on a monthly basis on the websites of AMC and AMFI. The Scheme, in general, will hold all of the securities that comprise the Underlying Index in the same proportion as the index. Expectation is that, over time, the

	<p>tracking error of the Scheme relative to the performance of the Underlying Index will be relatively low. The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. There can be no assurance or guarantee that the Scheme will achieve any particular level of tracking error relative to the performance of the Underlying Index.</p> <p><u>Change in Asset Allocation Pattern/ Portfolio Rebalancing:</u></p> <p>Rebalancing due to Passive Breaches: Pursuant to clause 3.6.7 of SEBI Master Circular dated June 27, 2024, and circulars issued thereunder In case of change in constituents of the index due to periodic review, the portfolio of equity ETF/ Index Funds be rebalanced within 7 calendar days.</p> <p>Any transactions undertaken in the scheme portfolio of ETF/ Index Fund in order to meet the redemption and subscription obligations shall be done while ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.</p> <p>Rebalancing due to Short term defensive consideration: Subject to clause 1.14.1.2 of SEBI Master Circular dated June 27, 2024, and circulars issued thereunder, the asset allocation pattern indicated above may change for a short-term period on defensive considerations, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the Unit holders. Such changes in the investment pattern will be rebalanced within 7 calendar days from the date of deviation and further action may be taken as specified under SEBI Circulars/ AMFI guidelines issued from time to time.</p> <p>Rebalancing in case of involuntary corporate action. In the event of involuntary corporate action, the scheme shall dispose the security not forming part of the underlying index within 7 days from the date of allotment/ Listing.</p> <p>In case of any breaches in asset allocation, the norms as specified in paragraph 2.9 and 3.5.3.11 of SEBI Master circular on Mutual Funds dated June 27, 2024 shall be applicable.</p>
Investment Strategy	<p>The investment objective of the scheme is to achieve return equivalent to Nifty Smallcap250 Momentum Quality 100 TRI by investing in stocks of companies comprising the Index, subject to tracking error. There is no assurance that the investment objective of the Scheme will be achieved.</p> <p>The Scheme endeavours to invest in stocks in proportion to the weightages of these stocks in the Nifty Smallcap250 Momentum Quality 100 TRI. The fund will, in general, invest a significant part of its corpus in equities; the surplus amount of the fund, not</p>

exceeding 5% shall be invested in Cash/Tri-Party Repo, Repo in corporate debt securities & Money Market instruments.

The performance of the Scheme may not be commensurate with the performance of the respective benchmark of the Schemes on any given day or over any given period. Such variations are commonly referred to as the tracking error. The Scheme intends to maintain a low tracking error by effectively replicating the portfolio in line with the index.

Subject to the SEBI regulations as applicable from time to time, the scheme may participate in securities lending.

EQUITY DERIVATIVE INSTRUMENTS:

- Futures are exchange-traded contracts to sell or buy financial instruments for future delivery at an agreed price. There is an agreement to buy or sell a specified quantity of financial instrument on a designated future date at a price agreed upon by the buyer and seller at the time of entering into a contract. To make trading possible, the exchange specifies certain standardized features of the contract. A futures contract involves an obligation on both the parties to fulfil the terms of the contract.

SEBI has permitted futures contracts on indices and individual stocks with maturity of 1 month, 2 months and 3 months on a rolling basis. The futures contracts are settled on last Thursday (or immediately preceding trading day if Thursday is a trading holiday) of each month. Currently, the futures are settled in cash. The final settlement price is the closing price of the underlying stock(s)/index.

- Option is a contract which provides the buyer of the option (also called holder) the right, without the obligation, to buy or sell a specified asset at the agreed price on or up to a particular date. For acquiring this privilege, the buyer pays premium (fee) to the seller. The seller on the other hand has the obligation to buy or sell specified asset at the agreed price and for this obligation he receives premium. The premium is determined considering number of factors such as the market price of the underlying asset/security, number of days to expiry, risk free rate of return, strike price of the option and the volatility of the underlying asset. Option contracts are of two types, viz:
 - Call Option - The option that gives the buyer the right to buy specified quantity of the underlying asset at the strike price is a call option. The buyer of the call option (known as the holder of call option) can call upon the seller of the option (writer of the option) and buy from him the underlying asset at the agreed price at any time on or before the expiry of the option.

	<p>The seller (writer of the option) on the other hand has the obligation to sell the underlying asset if the buyer of the call option decides to exercise his option to buy.</p> <ul style="list-style-type: none"> ○ Put Option - The right to sell is called put option. A Put option gives the holder (buyer) the right to sell specified quantity of the underlying asset at the strike price. The seller of the put option (one who is short put) however, has the obligation to buy the underlying asset at the strike price if the buyer decides to exercise his option to sell. <p>There are two kind of options based on the date of exercise of right. The first is the European Option which can be exercised only on the maturity date. The second is the American Option which can be exercised on or before the maturity date.</p> <p>For detailed derivative strategies, please refer to SAI.</p> <p>Portfolio Turnover:</p> <p>Portfolio Turnover is defined as the lower of purchases or sales as a percentage of the average corpus during the specified period of time. Portfolio Turnover is a term used to measure the volume of trading that occurs in a Scheme's portfolio during a given time period. Navi Nifty Smallcap250 Momentum Quality 100 Index Fund is a passively managed open-ended index scheme. It is therefore expected that there would be a number of subscriptions and redemptions on a daily basis. Generally, turnover will depend upon the extent of purchase and redemption of units and the need to rebalance the portfolio on account of change in the composition, if any, and corporate actions of securities included in Nifty Smallcap250 Momentum Quality 100 TRI.</p> <p>There may be an increase in transaction costs such as brokerage paid, if trading is done frequently. However, it is difficult to estimate with reasonable measure of accuracy, the likely turnover in the portfolio of the Scheme. The Scheme has no specific target relating to portfolio turnover.</p>
<p>Risk Profile of the Scheme</p>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <p><u>Risks associated with Equity and Equity Related Instruments:</u></p> <p>Equity and Equity Related Instruments by nature are volatile and prone to price fluctuations on a daily basis due to macro and micro economic factors. The value of Equity and Equity Related Instruments may fluctuate due to factors affecting the securities markets such as price volatility, volumes traded, interest rates, currency exchange rates, changes in law/policies of the Government, taxation laws, political, economic or other developments, which may have an adverse impact on individual</p>

securities, a specific sector or all sectors. Consequently, the NAV of the Units issued under the Scheme may be adversely affected.

Equity and Equity Related Instruments listed on the stock exchange carry lower liquidity risk; however the Scheme's ability to sell these investments is limited by the overall trading volume on the stock exchanges. In certain cases, settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell securities held in the Scheme's portfolio may result, at times, in potential losses to the Scheme, if there is a subsequent decline in the value of securities held in the Scheme's portfolio.

Investments in equity and equity related instruments involve a degree of risk and investors should not invest in the Scheme unless they can afford to take the risk of losing their investment.

Risks of Total Return

Dividends are assumed to be reinvested into the Nifty Smallcap250 Momentum Quality 100 TRI after the ex-dividend date of the constituents. However, in practice, the dividend is received with a lag. This can lead to some tracking error.

Index Fund Risk

The Scheme being an index scheme follows a passive investment technique and shall only invest in Securities comprising one selected index as per investment objective of the Scheme. The Fund Manager would invest in the Securities comprising the underlying index irrespective of the market conditions. If the Securities market declines, the value of the investment held by the Scheme shall decrease.

Passive Investments

The Scheme is not actively managed. Since the Scheme is linked to index, it may be affected by a general decline in the Indian markets relating to its underlying index. The Scheme as per its investment objective invests in Securities which are constituents of its underlying index regardless of their investment merit. The AMC does not attempt to individually select stocks or to take defensive positions in declining markets.

Trading through mutual fund trading platforms of BSE and/ or NSE

In respect of transaction in Units of the Scheme through BSE and/ or NSE, allotment and redemption of Units on any Business Day will depend upon the order processing/settlement by BSE and/ or NSE and their respective clearing corporations on which the Mutual Fund has no control.

Risks associated with Fixed Income Securities:

Interest-Rate Risk: Fixed income securities such as government bonds, corporate bonds, Money Market Instruments and Derivatives run price-risk or interest-rate risk.

Generally, when interest rates rise, prices of existing fixed income securities fall and when interest rates drop, such prices increase. The extent of fall or rise in the prices depends upon the coupon and maturity of the security. It also depends upon the yield level at which the security is being traded.

Re-investment Risk: Investments in fixed income securities carry re-investment risk as interest rates prevailing on the coupon payment or maturity dates may differ from the original coupon of the bond.

Basis Risk: The underlying benchmark of a floating rate security or a swap might become less active or may cease to exist and thus may not be able to capture the exact interest rate movements, leading to loss of value of the portfolio.

Spread Risk: In a floating rate security the coupon is expressed in terms of a spread or mark up over the benchmark rate. In the life of the security this spread may move adversely leading to loss in value of the portfolio. The yield of the underlying benchmark might not change, but the spread of the security over the underlying benchmark might increase leading to loss in value of the security.

Liquidity Risk: The liquidity of a bond may change, depending on market conditions leading to changes in the liquidity premium attached to the price of the bond. At the time of selling the security, the security can become illiquid, leading to loss in value of the portfolio.

Credit Risk: This is the risk associated with the issuer of a debenture/bond or a Money Market Instrument defaulting on coupon payments or in paying back the principal amount on maturity. Even when there is no default, the price of a security may change with expected changes in the credit rating of the issuer. It is to be noted here that a Government Security is a sovereign security and is the safest. Corporate bonds carry a higher amount of credit risk than Government Securities. Within corporate bonds also there are different levels of safety and a bond rated higher by a particular rating agency is safer than a bond rated lower by the same rating agency.

Liquidity Risk on account of unlisted securities: The liquidity and valuation of the Scheme investments due to their holdings of unlisted securities may be affected if they have to be sold prior to their target date of divestment. The unlisted security can go down in value before the divestment date and selling of these securities before the divestment date can lead to losses in the portfolio.

Settlement Risk: Fixed income securities run the risk of settlement which can adversely affect the ability of the fund house to swiftly execute trading strategies which can lead to adverse movements in NAV.

Risks associated with investing in Tri-Party Repos Segments

The mutual fund is a member of securities and Tri-Party Repos segments of the Clearing Corporation of India (CCIL). All transactions of the mutual fund in government securities and in Tri-Party Repos segments are settled centrally through the infrastructure and settlement systems provided by CCIL; thus reducing the settlement and counterparty risks considerably for transactions in the said segments. The members are required to contribute an amount as communicated by CCIL from time to time to the default fund maintained by CCIL as a part of the default waterfall (a loss mitigating measure of CCIL in case of default by any member in settling transactions routed through CCIL). The mutual fund is exposed to the extent of its contribution to the default fund of CCIL at any given point in time. In the event that the default waterfall is triggered and the contribution of the mutual fund is called upon to absorb settlement/default losses of another member by CCIL, the scheme may lose an amount equivalent to its contribution to the default fund allocated to the scheme on a pro-rata basis.

Tracking Error/Tracking difference Risk

The Fund Manager would not be able to invest the entire corpus exactly in the same proportion as in the underlying index due to certain factors such as the fees and expenses of the Scheme, corporate actions, cash balance and changes to the underlying index and regulatory restrictions, lack of liquidity which may result in Tracking Error. Hence it may affect AMC's ability to achieve close correlation with the underlying index of the Scheme. The Scheme's returns may therefore deviate from its underlying index. "Tracking Error" is defined as the standard deviation of the difference between daily returns of the underlying index and the NAV of the Scheme. The Fund Manager would monitor the Tracking Error of the Scheme on an ongoing basis and would seek to minimize the Tracking Error. There can be no assurance or guarantee that the Scheme will achieve any particular level of Tracking Error relative to performance of the underlying Index.

Risk Factors relating to Portfolio Rebalancing

In the event that the asset allocation of the Scheme deviates from the ranges as provided in the asset allocation table in this SID, then the Fund Manager will rebalance the portfolio of the Scheme to the position indicated in the asset allocation table.

Risks associated with Derivatives Transactions

Systematic Risk: Systematic Risk is the risk associated with the entire market. Unlike unsystematic risk, it is not linked to a specific security or sector. Systematic risk is a market risk which can be due to macro-economic factors, news events, etc.

Mark to Market Risk: This risk is on account of day to day fluctuations in the underlying Security and its derivative instrument, which can adversely impact the portfolio.

Credit Risk: The credit risk is the risk that the counter party will default in its obligations and is generally small as in a Derivative transaction there is generally no exchange of the principal amount.

Interest rate risk: Derivatives carry the risk of adverse changes in the price due to change in interest rates.

Basis Risk: When a security is hedged using a Derivative, the change in price of the security and the change in price of the Derivative may not be fully correlated leading to basis risk in the portfolio.

Liquidity risk: During the life of the Derivative, the benchmark might become illiquid and might not be fully capturing the interest rate changes in the market, or the selling, unwinding prices might not reflect the underlying assets, rates and indices, leading to loss of value of the portfolio.

Model Risk: The risk of mis-pricing or improper valuation of Derivatives.

Trade Execution: Risk where the final execution price is different from the screen price, leading to dilution in the spreads and hence impacting the profitability of the reverse arbitrage strategy.

Systemic Risk: For Derivatives, especially OTC ones the failure of one Counter Party can put the whole system at risk and the whole system can come to a halt.

The scheme may invest in various derivative products in accordance with and to the extent permitted under the regulations from time to time.

Derivatives are financial contracts of pre-determined fixed duration, like stock Futures /options and index futures and options, whose values are derived from the value of an underlying primary financial instrument such as: Equities, Interest rates, Exchange rates and Commodities.

Derivative products are specialized instruments that require investment techniques and risk analysis which are different from those associated with stocks and other traditional securities.

Derivatives are highly leveraged instruments and a small price fluctuation in the underlying can have a larger impact on its value. Thus, its use can lead to disproportionate gains or losses to the portfolio. Execution of derivatives instruments depends on the ability of the fund manager to identify good opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

“The risks associated with the use of Derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.”

Risks associated with Short Selling & Securities Lending:

Securities Lending is a lending of securities through an approved intermediary to a borrower under an agreement for a specified period with the condition that the borrower will return equivalent securities of the same type or class at the end of the specified period along with the corporate benefits accruing on the securities borrowed. There are risks inherent in securities lending, including the risk of failure of the other party, in this case the approved intermediary to comply with the terms of the agreement. Such failure can result in a possible loss of rights to the collateral, the inability of the approved intermediary to return the securities deposited by the lender and the possible loss of corporate benefits accruing thereon.

Short-selling is the sale of shares or securities that the seller does not own at the time of trading. Instead, he borrows it from someone who already owns it. Later, the short seller buys back the stock/security he shorted and returns the stock/security to the lender to close out the loan. The inherent risks are Counterparty risk and liquidity risk of the stock/security being borrowed. The security being short sold might be illiquid or become illiquid and covering of the security might occur at a much higher price level than anticipated, leading to losses.

Risk factor associated with segregated portfolio

Investor holding units of segregated portfolio may not be able to liquidate their holding till the time realisable value is recovered.

Security comprising of segregated portfolio may realise lower value or may realise zero value.

Listing of units of segregated portfolio in recognized stock exchange does not necessarily guarantee their liquidity. There may not be active trading of units in the stock market. Further trading price of units on the stock market may be significantly lower than the prevailing NAV.

Risks factors associated with investments in Repo Transactions in Corporate Bond

In repo transactions, securities are sold with the seller agreeing to buy them back at later date. The repurchase price should be greater than the original sale price, the difference effectively representing interest. A repo is economically similar to a secured loan, with the buyer receiving corporate debt securities as collateral to protect against default. The Scheme may invest in repo of corporate debt securities which are subject to the following risks:

Counter party Risk: This refers to the inability of the seller to meet the obligation to buy back securities at the contracted price on the contracted date. The Investment Manager will endeavour to manage counterparty risk by dealing only with counterparties, having strong credit profiles, approved by our credit risk analysis team. The exposure to each

	<p>counterparty will be within the overall approved credit limits. Also, the counterparty risk is to an extent mitigated by taking collateral equivalent in value to the transaction after knocking off a minimum haircut on the intrinsic value of the collateral. In the event of default by the repo counterparty, the scheme shall have recourse to the corporate debt securities.</p> <p>Collateral Risk: Collateral risk arises when the market value of the securities is inadequate to meet the repo obligations. This risk is mitigated by restricting participation in repo transactions only in AA or equivalent and above rated money market and corporate debt securities. Any rating downgrade will tantamount to either an early termination of the repo agreement or a call for fresh margin to meet the minimum haircut requirement. In addition, the Investment manager may apply a higher haircut on the underlying security than mentioned above to adjust for the illiquidity and interest rate risk on the underlying instrument. The adequacy of the collateral will be monitored on a daily basis by considering the daily market value & applying the prescribed haircut. The fund manager shall then arrange for additional collateral from the counterparty, within a period of 1 business day. If the counterparty is not able to top-up either in the form of cash / collateral, it shall tantamount to early termination of the repo agreement.</p> <p>Risk Control</p> <p>The risk control process involves reducing risks through portfolio diversification. This diversification would help achieve the desired level of consistency in returns. The AMC aims to identify securities, which offer superior levels of yield at lower levels of risks. There would be regular rebalancing of the portfolio, taking into account the change in weights of stocks in the Index.</p> <p>Navi Nifty Smallcap250 Momentum Quality 100 TRI being a passive investment carries lesser risk as compared to active fund management. The portfolio follows the index and therefore the level of stock concentration in the portfolio and its volatility would be the same as that of the index, subject to tracking error. Thus, there is no additional element of volatility or stock concentration on account of fund manager decisions.</p> <p>While these measures are expected to mitigate the above risks to a large extent, there can be no assurance that these risks would be completely eliminated.</p> <p>For details on risk factors and risk mitigation measures, please refer SID.</p>
<p>Plans/Options</p>	<p>The Scheme has two Plans: Regular and Direct.</p> <p>Each Plan offers a Growth Option.</p> <p>Direct Plan is only for the investor who purchase/subscribe Units in the Scheme directly with the Fund (i.e., Investments not routed through AMFI Registration number (ARN) Holder. Investments under Direct Plan can be made through various modes offered by</p>

	<p>the Fund for investing directly with the Fund {except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors.</p>																																						
	<table border="1" data-bbox="544 353 1506 1039"> <thead> <tr> <th data-bbox="544 353 695 479">Scenario</th> <th data-bbox="695 353 943 479">Broker Code mentioned by the investor</th> <th data-bbox="943 353 1190 479">Plan mentioned by the investor</th> <th data-bbox="1190 353 1506 479">Default Plan to be captured</th> </tr> </thead> <tbody> <tr> <td data-bbox="544 479 695 551">1</td> <td data-bbox="695 479 943 551">Not mentioned</td> <td data-bbox="943 479 1190 551">Not mentioned</td> <td data-bbox="1190 479 1506 551">Direct Plan</td> </tr> <tr> <td data-bbox="544 551 695 622">2</td> <td data-bbox="695 551 943 622">Not mentioned</td> <td data-bbox="943 551 1190 622">Direct</td> <td data-bbox="1190 551 1506 622">Direct Plan</td> </tr> <tr> <td data-bbox="544 622 695 694">3</td> <td data-bbox="695 622 943 694">Not mentioned</td> <td data-bbox="943 622 1190 694">Regular</td> <td data-bbox="1190 622 1506 694">Direct Plan</td> </tr> <tr> <td data-bbox="544 694 695 766">4</td> <td data-bbox="695 694 943 766">Mentioned</td> <td data-bbox="943 694 1190 766">Direct</td> <td data-bbox="1190 694 1506 766">Direct Plan</td> </tr> <tr> <td data-bbox="544 766 695 837">5</td> <td data-bbox="695 766 943 837">Direct</td> <td data-bbox="943 766 1190 837">Not Mentioned</td> <td data-bbox="1190 766 1506 837">Direct Plan</td> </tr> <tr> <td data-bbox="544 837 695 909">6</td> <td data-bbox="695 837 943 909">Direct</td> <td data-bbox="943 837 1190 909">Regular</td> <td data-bbox="1190 837 1506 909">Direct Plan</td> </tr> <tr> <td data-bbox="544 909 695 981">7</td> <td data-bbox="695 909 943 981">Mentioned</td> <td data-bbox="943 909 1190 981">Regular</td> <td data-bbox="1190 909 1506 981">Regular Plan</td> </tr> <tr> <td data-bbox="544 981 695 1039">8</td> <td data-bbox="695 981 943 1039">Mentioned</td> <td data-bbox="943 981 1190 1039">Not Mentioned</td> <td data-bbox="1190 981 1506 1039">Regular Plan</td> </tr> </tbody> </table> <p data-bbox="544 1084 807 1117">Default Option: Growth</p> <p data-bbox="544 1167 1522 1238">All plans and options available for offer under the Scheme shall have a common portfolio but separate NAVs, as applicable, shall be applied among Plans and Options</p> <p data-bbox="544 1288 1313 1321">For detailed disclosure on default plans and options, kindly refer SAI.</p>			Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured	1	Not mentioned	Not mentioned	Direct Plan	2	Not mentioned	Direct	Direct Plan	3	Not mentioned	Regular	Direct Plan	4	Mentioned	Direct	Direct Plan	5	Direct	Not Mentioned	Direct Plan	6	Direct	Regular	Direct Plan	7	Mentioned	Regular	Regular Plan	8	Mentioned	Not Mentioned	Regular Plan
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<p>Applicable NAV (after the scheme opens for subscriptions and redemptions)</p>	<p>The AMC will calculate the NAVs for all the Business Days. The Net Asset Value of the scheme shall be calculated on daily basis and disclosed in the manner specified by SEBI. The Asset Management Company ("AMC") shall update the NAVs on its website (https://navi.com/mutual-fund) and of the Association of Mutual Funds in India ("AMFI") (www.amfiindia.com) before 11.00 p.m. every Business Day.</p>																																						
<p>Minimum Application Amount/ Number of Units</p>	<p>Purchase Rs. 100/- and in multiples of Re 1/- thereafter</p>	<p>Additional Purchase Rs. 100/- and in multiples of Re. 1/- thereafter</p>	<p>Redemption Minimum Redemption - Rs. 10/- or 1 Unit or account balance whichever is lower. Switch Out- Rs. 100/- and in multiples of Re. 1/- thereafter</p>																																				
<p>Dispatch of Redemption Request</p>	<p>Under normal circumstances the AMC shall endeavour to dispatch the Redemption proceeds within 01 Business Day from date of receipt of request from the Unit holder.</p> <p>As per SEBI Regulations, the Mutual Fund shall dispatch redemption proceeds within 03 Business Days of receiving a valid redemption request.</p>																																						

Benchmark Index	Nifty Smallcap250 Momentum Quality 100 TRI				
Dividend Policy	Not Applicable				
Name of the Fund Manager	The Scheme shall be managed by Mr. Aditya Mulki and Mr. Ashutosh Shirwaikar				
Name of the Trustee Company	Navi Trustee Limited				
Performance of the scheme:	This Scheme is a new scheme and therefore the performance is not available.				
Additional Scheme Related Disclosures	This Scheme is a new scheme and therefore the additional disclosures are not applicable.				
Expenses of the Scheme	<p>New Fund Offer:</p> <p>These expenses are incurred for the purpose of various activities related to the NFO like sales and distribution fees paid marketing and advertising, registrar expenses, printing and stationery, bank charges etc. The NFO expenses shall be borne by the AMC.</p>				
Load Structure	<p>Exit Load is an amount which is paid by the investor to redeem the units from the scheme. Load amounts are variable and are subject to change from time to time. For the current applicable structure, please refer to the website of the AMC https://navi.com/mutual-fund or may call at (toll free no.) or your distributor.</p> <p>As per Clause 10.4 of SEBI Master Circular on Mutual Fund dated June 27, 2024, there shall be no entry Load for all Mutual Fund Schemes.</p> <p>Exit Load: NIL</p> <table border="1" data-bbox="544 1227 1497 1393"> <thead> <tr> <th>Type of Load</th> <th>Load Chargeable (as a % to NAV)</th> </tr> </thead> <tbody> <tr> <td>Exit Load</td> <td>Nil Redemption of units would be done on First in First out Basis (FIFO).</td> </tr> </tbody> </table> <p>Units issued on reinvestment of Dividends shall not be subject to any Load, if any. The above-mentioned load structure shall be equally applicable to the special products such as STP, switches, etc. offered by the AMC.</p> <p>The switch of investments from Regular Plan to Direct Plan shall be subject to applicable exit load if any. Further, no exit load shall be levied in case of switches from Direct Plan to Regular Plan.</p> <p>The entire Exit Load (net of Goods & Service Tax (GST)), charged, shall be credited to the Scheme.</p> <p>The Investor is requested to check the prevailing Load structure of the Scheme before investing. For any change in Load structure, AMC will issue an addendum and display it on the website/Investor Service Centers.</p>	Type of Load	Load Chargeable (as a % to NAV)	Exit Load	Nil Redemption of units would be done on First in First out Basis (FIFO).
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	<p>In accordance with Paragraph 10.8 of SEBI Master Circular on Mutual Fund dated June 27, 2024 to bring about parity among all class of unit holders, no distinction among unit holders would be made based on the amount of subscription while charging exit loads.</p> <p>Under the Scheme, the AMC/Trustee reserves the right to change / modify the Load structure if it so deems fit in the interest of smooth and efficient functioning of the Mutual Fund. The AMC/Trustee reserves the right to introduce / modify the Load depending upon the circumstances prevailing at that time subject to maximum limits as prescribed under the Regulations.</p> <p>Any imposition or enhancement of Load in future shall be applicable on prospective investments only. However, AMC shall not charge any load on issue of bonus units and units allotted on reinvestment of dividend for existing as well as prospective investors.</p> <p>The Trustee/AMC reserves the right to change the load structure subject to the limits prescribed under the Regulations. Any change in Load structure shall be only on a prospective basis i.e. any such changes would be chargeable only for Redemptions from prospective purchases (applying first in first out basis).</p>														
<p>Recurring expenses</p>	<p>The AMC has estimated that up to 1.00% of the daily net assets of the Scheme. For the actual current expenses being charged, the investor may refer to the website of the Mutual Fund https://navi.com/mutual-fund. Further, the disclosure of Total Expense Ratio (TER) on a daily basis shall also be made on the website of AMFI (www.amfiindia.com).</p> <p>The Mutual Fund would update the current expense ratios on the website at least three Business days prior to the effective date of the change.</p> <p>For the actual current expenses being charged, the Investor should refer to the website of the AMC (https://navi.com/mutual-fund/downloads/statutory-disclosure).</p> <table border="1" data-bbox="555 1368 1477 2007"> <thead> <tr> <th data-bbox="555 1368 1155 1413">Expense Head</th> <th data-bbox="1155 1368 1477 1413">% of daily net assets</th> </tr> </thead> <tbody> <tr> <td data-bbox="555 1413 1155 1458">Investment Management and Advisory Fees</td> <td data-bbox="1155 1413 1477 1458" rowspan="13">Up to 1.00</td> </tr> <tr> <td data-bbox="555 1458 1155 1503">Trustee fees</td> </tr> <tr> <td data-bbox="555 1503 1155 1547">Audit fees</td> </tr> <tr> <td data-bbox="555 1547 1155 1592">Custodian fees</td> </tr> <tr> <td data-bbox="555 1592 1155 1637">RTA Fees</td> </tr> <tr> <td data-bbox="555 1637 1155 1704">Marketing & Selling expense including agent commission</td> </tr> <tr> <td data-bbox="555 1704 1155 1749">Cost related to investor communications</td> </tr> <tr> <td data-bbox="555 1749 1155 1794">Cost of fund transfer from location to location</td> </tr> <tr> <td data-bbox="555 1794 1155 1861">Cost of providing account statements and dividend redemption cheques and warrants</td> </tr> <tr> <td data-bbox="555 1861 1155 1906">Costs of statutory Advertisements</td> </tr> <tr> <td data-bbox="555 1906 1155 2007">Cost towards investor education & awareness (at least 1 - bps)</td> </tr> </tbody> </table>	Expense Head	% of daily net assets	Investment Management and Advisory Fees	Up to 1.00	Trustee fees	Audit fees	Custodian fees	RTA Fees	Marketing & Selling expense including agent commission	Cost related to investor communications	Cost of fund transfer from location to location	Cost of providing account statements and dividend redemption cheques and warrants	Costs of statutory Advertisements	Cost towards investor education & awareness (at least 1 - bps)
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Brokerage & transaction cost over and above 12 bps for cash and 5 bps for derivative market trades respectively ¹	
Goods & Service Tax (GST) on expenses other than investment and advisory fees ²	
Goods & Service Tax (GST) on brokerage and transaction cost ²	
Other Expenses	
Maximum total expense ratio (TER) permissible under Regulation 52 (6)	Up to 1.00
Additional expenses for gross new inflows from specified cities	Upto 0.30%

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. as compared to Regular Plan and no commission for distribution of Units will be paid/ charged under Navi Nifty Smallcap250 Momentum Quality 100 Index Fund - Direct Plan.

These estimates have been made in good faith as per information available to the AMC and the total expenses may be more than as specified in the table above. However, as per the Regulations, the total recurring expenses that can be charged to the Scheme in this SID shall be subject to the applicable guidelines. The purpose of the above table is to assist the investor in understanding the various costs and expenses that an investor in the Scheme will bear.

The Scheme can charge expenses within overall maximum limits prescribed under SEBI (MF) Regulations, without any internal cap allocated to any of the expense heads specified in the above table.

Pursuant to clause 2.3, 5.6.2 and 10.1.3 of SEBI Master Circular dated June 27, 2024, and SEBI (Mutual Funds) Second Amendment Regulations, 2012, and SEBI (Mutual Funds) (Fourth Amendment) Regulations 2018 following additional costs or expenses may be charged to the scheme, namely:

1. The AMC may charge Goods and Services tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations, whereas Goods and Services tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.
2. expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from retail investors from B30 cities as specified by the Securities and Exchange Board of India, from time to time are at least –
 - 30 per cent of the gross new inflows from retail investors from B30 cities into the scheme, or;
 - 15 per cent of the average assets under management (year to date) of the scheme.

	<p>whichever is higher;</p> <p>Provided that if inflows from retail investors from B30 cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis;</p> <p>Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from retail investors from B30 cities;</p> <p>Provided further that amount incurred as expense on account of inflows from retail investors from B30 cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.</p> <p>For above purposes, 'B30 cities' shall be beyond Top 30 cities as at the end of the previous financial year as communicated by AMFI. Retail investors would mean individual investors from whom inflows into the Scheme would amount upto Rs. 2,00,000/- per transaction.</p> <p>With reference to SEBI's letter no. SEBI/HO/ IMD/ IMD-SEC-3/ P/ OW/ 2023/ 5823/ 1 dated February 24, 2023, and AMFI Circular No. CIR/ ARN-23/ 2022-23 March 07, 2023, the B-30 incentive structure for new inflows has been kept in abeyance with effect from March 01, 2023 till the incentive structure is appropriately re-instated by SEBI with necessary safeguards.</p> <p>1 basis points on daily net assets within the maximum limit of overall expense Ratio shall be annually set apart for investor education and awareness initiatives.</p> <p>All Scheme related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the Scheme only within the regulatory limits and not from the books of the AMC, its Associate, Sponsor, Trustee or any other entity through any route subject to the clarifications as per clause 3.3.5 of SEBI Master Circular dated June 27, 2024 on Total Expense Ratio (TER) as amended from time to time.</p> <p>Further, the brokerage and transaction cost incurred for the purpose of execution shall be charged to the schemes as provided under Regulation 52 (6A) up to 12 bps and 5 bps for cash market transactions and derivatives transactions respectively.</p> <p>Any payment towards brokerage & transaction costs, over and above the said 12 bps and 5 bps for cash market transactions and derivatives transactions respectively may be charged to the Scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under Regulation 52 of the SEBI (Mutual Funds) Regulations, 1996.</p> <p>Goods and Services tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the Regulations.</p>
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	<p>Expenses shall be charged / borne in accordance with the Regulations prevailing from time to time.</p> <p>Illustration of impact of expense ratio on scheme's returns:</p> <table border="1" data-bbox="544 398 1477 730"> <thead> <tr> <th data-bbox="544 398 1114 479">Particulars</th> <th data-bbox="1114 398 1246 479">Regular Plan</th> <th data-bbox="1246 398 1477 479">Direct Plan</th> </tr> </thead> <tbody> <tr> <td data-bbox="544 479 1114 521">Amount Invested at the beginning of the year</td> <td data-bbox="1114 479 1246 521">10,000</td> <td data-bbox="1246 479 1477 521">10,000</td> </tr> <tr> <td data-bbox="544 521 1114 564">Returns before Expenses</td> <td data-bbox="1114 521 1246 564">1500</td> <td data-bbox="1246 521 1477 564">1500</td> </tr> <tr> <td data-bbox="544 564 1114 607">Expenses other than Distribution Expenses</td> <td data-bbox="1114 564 1246 607">150</td> <td data-bbox="1246 564 1477 607">150</td> </tr> <tr> <td data-bbox="544 607 1114 649">Distribution Expenses</td> <td data-bbox="1114 607 1246 649">50</td> <td data-bbox="1246 607 1477 649">-</td> </tr> <tr> <td data-bbox="544 649 1114 692">Returns after Expenses at the end of the Year</td> <td data-bbox="1114 649 1246 692">1300</td> <td data-bbox="1246 649 1477 692">1350</td> </tr> <tr> <td data-bbox="544 692 1114 730">Returns in Percentage (%)</td> <td data-bbox="1114 692 1246 730">13.00</td> <td data-bbox="1246 692 1477 730">13.50</td> </tr> </tbody> </table>		Particulars	Regular Plan	Direct Plan	Amount Invested at the beginning of the year	10,000	10,000	Returns before Expenses	1500	1500	Expenses other than Distribution Expenses	150	150	Distribution Expenses	50	-	Returns after Expenses at the end of the Year	1300	1350	Returns in Percentage (%)	13.00	13.50
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Tax treatment for the Investors (Unitholders)	Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to their tax advisor/s.																						
Daily Net Asset Value (NAV) Publication	The Asset Management Company ("AMC") shall update the NAVs on its website (https://navi.com/mutual-fund) and of the Association of Mutual Funds in India ("AMFI") (www.amfiindia.com) before 11.00 p.m. every Business Day.																						
For Investor Grievances please contact	<p>Name and Address of Registrar</p> <p>Computer Age Management Services Limited (CAMS)</p> <p>Address: MRC Nagar, Raja Annamalai Puram, Chennai, Tamil Nadu- 600028</p> <p>Tel: 044 6109 4339</p> <p>Website: https://www.camsonline.com/</p>	<p>Customer Service Cell of AMC:</p> <p>Ms. Sadiqa Banu, Investor Relations Officer, Navi AMC Limited.</p> <p>Toll free/Tel No: 1800 203 2131 /81475 44555,</p> <p>Email : mf@navi.com</p> <p>Address: Vaishnavi Tech Square, 7th Floor, Iballur Village, Begur, Bengaluru, Karnataka 560102 For any grievances with respect to transactions through stock exchange mechanism.</p>																					
Unitholders' Information	<p>A. Consolidated Account Statement</p> <p>For normal transactions during ongoing sale and repurchase:</p> <ul style="list-style-type: none"> The AMC shall send an allotment confirmation specifying the units allotted by way of email and / or SMS within 5 Business Days of receipt of valid application / transaction to the Unitholders registered e-mail address and /or mobile number. A consolidated account statement for each calendar month to the Unit holder(s) in whose folio(s) transaction(s) has/ has taken place during the month on or before 15th day of the succeeding month shall be sent by mail or e-mail. In the event the account has more than one registered holder, the first named Unitholder shall receive the CAS/ account statement. 																						

- The transactions viz. purchase, redemption, switch, dividend payout, etc., carried out by the Unit holders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).
- The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN.
- For folios not included in the CAS (due to non-availability of PAN), the AMC shall issue monthly account statement to such Unit holder(s), for any financial transaction undertaken during the month on or before 15th day of succeeding month by mail or email.
- In case of a specific request received from the Unit holders, the AMC will provide an account statement (reflecting transactions of the Fund) to the investors within 5 Business Days from the receipt of such request, by mail/email.
- The Unit holder without any charges may request for a physical account statement by writing to/calling the AMC/ISC/RTA. The Mutual AMC shall dispatch an account statement within 5 Business Days from the date of the receipt of request from the Unit holder.

B. Half Yearly Consolidated Account Statement (CAS)

A consolidated account statement detailing holding across all schemes at the end of every six months (i.e. September/ March), on or before 21st day of succeeding month, to all such Unit holders holding Units in non- demat form in whose folios no transaction has taken place during that period shall be sent by mail/email.

The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is registered with the Fund, unless a specific request is made to receive the same in physical mode.

The statement of holding of the beneficiary account holder for units held in demat form will be sent by the respective DPs periodically.

The Account Statement shall state that the net investment as gross subscription less transaction charges, if any and specify the no. of units allotted against the net investment.

Account Statement for demat account holders: No Account Statements will be issued by the AMC to Unit holders who hold units in dematerialized mode. For Units in dematerialised mode, the Account Statements may be obtained by the Investor from the depository participants with whom the investor holds the DP account.

CAS for investors having Demat account:

- (a) The unit holders would have an option to hold the units in demat form in addition to the account statement as per the current practice.
- (b) unit holders who wish to trade in units would be required to have a demat account
- (c) Application form shall be modified to provide for demat account details and other details to enable exercise of the option as detailed above.

- Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. For PANs which are common between depository and the AMC, the depository shall send the CAS. In other cases (i.e. PANs with no demat account and only MF units holding), the AMC/RTA shall continue to send the CAS to its unit holders as is being done presently in compliance with the Regulation 36(4) of the SEBI (Mutual Funds) Regulations.
- The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within fifteen days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository which will consolidate details across depositories and MF investments and dispatch the CAS to the investor. However, option shall be given to the demat account holder by the default depository to choose the depository through which the investor wishes to receive the CAS.
- Where statements are presently being dispatched by email either by the Mutual Funds or by the Depositories, CAS shall be sent through email. However, where an investor does not wish to receive CAS through email, option shall be given to the investor to receive the CAS in physical form at the address registered in the Depository system.
- If an investor does not wish to receive CAS, an option shall be given to the investor to indicate negative consent.

The dispatch of CAS by the depository would constitute compliance by the AMC/ the Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations.

The AMC reserves the right to furnish the account statement in addition to the CAS, if

deemed fit in the interest of investor(s).

C. Monthly Portfolio Disclosure:

The Mutual Fund shall disclose the Portfolio of the Scheme as on the last day of the month on its website <https://navi.com/mutual-fund> on or before the tenth day of the succeeding month in the prescribed format.

D. Half yearly Disclosures: Portfolio / Financial Results:

The Mutual Fund shall provide a complete statement of the Scheme portfolio within ten days from the close of each half year (i.e. 31st March and 30th September), in the manner specified by SEBI. The Portfolio Statement will also be displayed on the website of the AMC and AMFI.

Paragraph 5.3 of SEBI Master Circular on Mutual Fund dated June 27, 2024, the AMC shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on its website: <https://navi.com/mutual-fund> and publish a notice regarding availability of the same in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated.

E. Annual Report:

The Scheme wise annual report or an abridged summary thereof shall be provided to all Unit holders not later than four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year (i.e. 31st March each year) in the manner specified by SEBI. The mutual fund shall provide physical copy of the abridged summary of the Annual Report without any cost, if a request through any mode is received from a unitholder. The full annual report shall be available for inspection at the Head Office of the Mutual Fund and a copy shall be made available to the Unit holders on request on payment of nominal fees, if any.

Scheme wise annual report shall also be displayed on the website of the AMC <https://navi.com/mutual-fund> and Association of Mutual Funds in India (www.amfiindia.com).

A link of the scheme annual report or abridged summary shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

Navi Nifty Smallcap250 Momentum Quality 100 Index Fund- Application Form



Application Form – Navi Nifty Smallcap250 Momentum Quality 100 Index Fund

(An open ended scheme replicating/ tracking Nifty Smallcap250 Momentum Quality 100 TRI)

This product is suitable for investors who are seeking*

<p>Navi Nifty Smallcap250 Momentum Quality 100 Index Fund (An open ended scheme replicating/ tracking Nifty Smallcap250 Momentum Quality 100 TRI)</p> <ul style="list-style-type: none"> Capital appreciation over long time. Investment in equity and equity related securities covered by Nifty Smallcap250 Momentum Quality 100 TRI. 	<p>Scheme Riskometer</p> <p>The risk of the scheme/benchmark is Very High</p>	<p>As per AMFI Tier I benchmark Nifty Smallcap250 Momentum Quality 100 TRI</p> <p>The risk of the scheme/benchmark is Very High</p>
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Application No. _____
NFO Dates: NFO Opens: 25th February 2025, NFO Closes 10th March 2025
Scheme re-opens for continuous sale and repurchase within 5 business days of allotment of units.

The above product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made. Investors should consult their financial advisers if in doubt about whether the product is suitable for them. Investors must read the Key Information Memorandum, Instructions and Product Labelling before completing this Form. Tick (✓) whichever is applicable, strike out whichever is not required. All fields marked with *are mandatory

1	DISTRIBUTOR / ARN CODE / RIA	SUB BROKER ARN CODE	EUIN*	SUB-BROKER CODE / AGENT CODE	RM CODE	DATE & TIME OF RECEIPT
						FOR OFFICE USE ONLY
<p>*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".</p>						
Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder		2nd Applicant/Authorised Signatory/PGA Holder		3rd Applicant/Authorised Signatory/POA Holder		

2	EXISTING INVESTOR INFORMATION (If you have existing folio please fill in sections 3,6,9,11,12 and 17)
	Unit Holding Options <input type="checkbox"/> Demat Mode <input type="checkbox"/> Physical Mode <input type="checkbox"/> Folio Number <input type="text"/>

3	DEMAT ACCOUNT DETAILS	(Please ensure that the sequence of names as mentioned in the application form matches with that, of the account held in depository participant. Demat Account details are if demat mode is opted above.)
	<input type="checkbox"/> NSDL Depository Participant Name _____ <input type="checkbox"/> CDSL DP ID Number _____ Beneficiary Account Number _____	Enclosures _____ <input type="checkbox"/> Client Master List Delivery <input type="checkbox"/> Instruction Slip <input type="checkbox"/> Transaction Cum Holding Statement

4	NEW INVESTOR INFORMATION (To be filled in Block Letters please leave one box blank between two words)
	NAME OF FIRST/SOLE APPLICANT _____ <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s. PAN/PEKRN # _____ <input type="checkbox"/> KYC Proof # _____ Date of Birth/Date of Incorporation D D M M Y Y CKYC Id _____ Aadhaar No _____ By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) and their Registrar and TransferAgent (RTA) for the purpose of updating the same in my / our folios. Father's Name/Name of Guardian (in case of Minor) / Contact Person (in case of non individual applicant) <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. PAN/PEKRN # _____ <input type="checkbox"/> KYC Proof # _____ Date of Birth/Date of Incorporation D D M M Y Y CKYC Id _____ Aadhaar No _____ By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) and their Registrar and TransferAgent (RTA) for the purpose of updating the same in my / our folios. Mailing Address of First/Sole Applicant (PO Box address is not sufficient) _____ City _____ State _____ Country _____ Pin Code _____ Overseas Address (Mandatory in case of NRI/FIL PO Box address is not sufficient. Investors residing overseas and with PO Box address please provide your Indian address) *All Non Individual Investors have to mandatory fill FATCA/CRS Declaration form (for non-individuals/legal entity)" Overseas Address _____ _____ _____ Country _____

Please attach proof. Refer instructions page point II - APPLICANT INFORMATION

Acknowledgment Slip (To be filled in by the investor)	Application No. _____
Received from Mr./Ms./M/s. An application for Scheme: Navi Nifty Smallcap250 Momentum Quality 100 Index Fund Plan: _____ Option: _____ Cheque/DD No. : _____ Dated: Drawn on _____ Amount (Rs.) _____ Bank and Branch : _____ Please note : All Purchases are subject to realization of Cheques /DD.	Collection Centre's Stamp & Receipt Date and Time

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

5 FIRST / SOLE APPLICANT OTHER DETAILS

Telephone											Mobile																		
Email											Mode of Holding	<input type="checkbox"/> Single	<input type="checkbox"/> Joint	<input type="checkbox"/> Anyone or Survivor (s)(Default option in case of more than one Applicant)															
Occupation (of first / sole Applicant)	<input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> House wife <input type="checkbox"/> Agriculture <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Others																												
Status (of first / sole Applicant)	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Society/Club Company <input type="checkbox"/> NRI <input type="checkbox"/> Repatriable <input type="checkbox"/> Trust <input type="checkbox"/> HUF										<input type="checkbox"/> Partnership Firm <input type="checkbox"/> On Behalf of Minor <input type="checkbox"/> Bank/Financial Institution <input type="checkbox"/> NRI <input type="checkbox"/> Non-Repatriable (NRO) <input type="checkbox"/> Others																		
Gross Annual Income	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> >25 Lacs - 1 Crore										Net-worth _____ Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>																		
<input type="checkbox"/> 1 - 5 Lacs										<input type="checkbox"/> 10 - 25 Lacs										<input type="checkbox"/> >1 Crore									
										Net-worth (Mandatory for Non-IndiAduals) Rs. _____ as on (Not older than fear)																			
Politically Exposed Persons (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors)										<input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> Not Applicable																			
Non - Individual Investors involved/ providing any of the mentioned services										<input type="checkbox"/> Foreign Exchange / Money Changer Services <input type="checkbox"/> Money Lending / Pawning																			
# Please attach proof. Refer instructions page point II - APPLICANT INFORMATION										<input type="checkbox"/> Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> None of the Above																			

6 JOINT APPLICANT DETAILS

a NAME OF SECOND APPLICANT										<input type="checkbox"/> Mr. <input type="checkbox"/> Ms.																			
PAN/PEKRN #										<input type="checkbox"/> KYC Proof #										Date of Birth/Date of Incorporation									
																				D D M M Y Y									
CKYC Id																													
Aadhaar No																				By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) and their Registrar and TransferAgent (RTA) for the purpose of updating the same in my / our folios.									
Gross Annual Income										<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> >25 Lacs - 1 Crore										Politically Exposed Persons (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) <input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> Not Applicable									
<input type="checkbox"/> 1 - 5 Lacs										<input type="checkbox"/> 10 - 25 Lacs										<input type="checkbox"/> >1 Crore									
Father's Name																													
Occupation (of first / sole Applicant)										<input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> House wife <input type="checkbox"/> Agriculture <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Others																			
b NAME OF THIRD APPLICANT										<input type="checkbox"/> Mr. <input type="checkbox"/> Ms.																			
PAN/PEKRN #										<input type="checkbox"/> KYC Proof #										Date of Birth/Date of Incorporation									
																				D D M M Y Y									
CKYC Id																													
Aadhaar No																				By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) and their Registrar and TransferAgent (RTA) for the purpose of updating the same in my / our folios.									
Gross Annual Income										<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> >25 Lacs - 1 Crore										Politically Exposed Persons (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) <input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> Not Applicable									
<input type="checkbox"/> 1 - 5 Lacs										<input type="checkbox"/> 10 - 25 Lacs										<input type="checkbox"/> >1 Crore									
Father's Name																													
Occupation (of first / sole Applicant)										<input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> House wife <input type="checkbox"/> Agriculture <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Others																			

7 Power of Attorney (POA)

NAME OF POA										<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s.																			
PAN										<input type="checkbox"/> KYC Proof #										Date of Birth									
																				D D M M Y Y									

Please attach proof. Refer instructions page point II - APPLICANT INFORMATION

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8 FATCA INFORMATION/ FOREIGN TAX LAWS (For Individual including Sole Proprietor) (For Non-individual, mandatory to fill up FATCA CRS form) (Refer instruction)

Place of Birth		Country of Birth		
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please specify)	Tax Residence Address (for KYC Address) <input type="checkbox"/> Residential <input type="checkbox"/> Registered <input type="checkbox"/> Others <input type="checkbox"/> Business		
Are you a tax resident (i.e. are you assessed for Tax) in any other country outside India? <input type="checkbox"/> Yes <input type="checkbox"/> No				
If 'No' please proceed for the signature of declaration				
If 'YES', please fill for ALL countries (other than India) in which you are Resident for tax purposes Resident i.e., where you are a citizen / Resident / Green Card Holder / Tax Resident in the respective countries				
Applicant Details	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (Tin or other, please specify)	If TIN is not available, please tick <input checked="" type="checkbox"/> the reason A, B or C (as defined below)
Applicant 1				* Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Applicant 2				* Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Applicant 3				* Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
* Reason A The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.				
* Reason B No TIN required. (Select this reason Only if the authorities of the country of tax residence do not require the TIN to be collected)				
* Reason C others; please state the reason thereof.				
Declaration: I hereby confirm that the information provided here in above is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also under take to provide any other additional information as may be required any intermediary or by domestic or overseas regulators / tax authorities.				

Please attach proof. Refer instructions page point XII - PAN/PEKRN and KYC

9 *BANK ACCOUNT DETAILS (Please attach copy of cancelled cheque) For registering Multiple Bank Accounts please fill up 'Registration of Multiple Bank Account* Form

Name of the Bank :				Branch:				
Account Type (Please <input checked="" type="checkbox"/>)	<input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR	Account Number :						
Branch Address :			City :			PIN:		
IFSC Code :				MICR Code:				
AMC reserves the right use any mode of payment deemed appropriate. I/We understand that AMC shall not be responsible if transaction through DC/RTGS/NEFT could not be carried out because of incomplete or incorrect information								

10 *INVESTMENT DETAILS I/We would like to invest in the following scheme of Navi Mutual Fund Scheme :

Scheme : Navi Nifty Smallcap250 Momentum Quality 100 Index Fund	Plan	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option <input type="checkbox"/> Growth		

*In case of any ambiguity I incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum. Scheme Information Document & Statement of Additional Information.

11 *PAYMENT DETAILS

Mode of Payment	<input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Fund Transfer <input type="checkbox"/> Others
Cheque/DD No	Date D D M M Y Y Y Y
Gross Amount (Rs)	DD Charges (Rs) Net Amount (Rs)
Drawn on Bank & Branch	Account Type <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR

12 SYSTEMATIC INVESTMENT PLAN (SIP) PAYMENT TYPES

SIP through Auto Debit (ECS) (Please fill up enclosed SIP Auto Debit (ECS) Form & submit with this form)

13 NOMINATION DETAILS (Please refer to Instructions page, point no VI) In case of existing Investor, nomination details mentioned In the below table will replace the existing details registered In the follo

Nomination Required <input type="checkbox"/> Yes <input type="checkbox"/> No					
Nominee Name	Relationship with Nominee	Date of Birth of Minor	Guardian Name (in case Nominee is Minor)	Allocation (%)	Sign of Applicants
					1st App.
					2nd App.
					3rd App.

Please note that if you do not wish to nominate anyone request you to fill the declaration for opting out of nomination.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Date		d	d	-	m	m	-	y	y	y	y
------	--	---	---	---	---	---	---	---	---	---	---

To

<Name of the Mutual Fund>

<MF>

Folio Number	
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Declaration for opting out of nomination

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.

Name and Signature of unitholder(s)

	Name	Signature of Applicant(s)
Sole / First Holder / Guardian		
Second Holder		
Third Holder		

** Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.*

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14 HOW DO YOU WISH TO RECEIVE THE DOCUMENT(S) (Please)

I/We wish to "Opt in" for receiving the following in Physical Copy		I/We wish to receive the Account Statement in	I/We wish to receive the CAS Statement
<input type="checkbox"/> Annual Reports /Abridged Summary	<input type="checkbox"/> Account Statement	<input type="checkbox"/> English (Default option)	<input type="checkbox"/> E-mail (Default option)

15 DOCUMENTS ENCLOSED (Please)

<input type="checkbox"/> Resolution/Authorisation to Invest	<input type="checkbox"/> List of Authorized Signatories with Specimen Signatures	<input type="checkbox"/> Memorandum & Articles of Association
<input type="checkbox"/> Trust Deed	<input type="checkbox"/> Bye-laws	<input type="checkbox"/> Partnership Deed
<input type="checkbox"/> PAN Card	<input type="checkbox"/> KYC	<input type="checkbox"/> PIO Card
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

16 DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Statement of Additional Information and Scheme Information Document of the Scheme (s). I/We hereby apply for units of the scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme and to other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objective, investment pattern and risk factors applicable to Plan/Option under the Scheme (s). I/We agree that in case of my/our investment in the scheme is equal to or more than 25% of the corpus of the scheme, then Navi AMC LTD has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We undertake that these investments are on my/our own account and in event Know Your Customer process is not completed by me/us to the satisfaction of the Mutual Fund, I/We hereby authorise the Mutual Fund to redeem the funds invested in the scheme, in favour of the applicant at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable law enacted by the Government of India or any Statutory Authority. I/We hereby declare that the particulars above are correct. I/We hereby, further agree that the Fund can directly credit all the dividend and redemption amount to my bank details given above. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. For NRIs : I/ We confirm that I am/We are Non-resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from my/our Non-resident External/Ordinary Account/FCNFI/NRSR Account. I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/We hereby provide my/our consent of my Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios.

Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder	2nd Applicant/Authorised Signatory/PGA Holder	3rd Applicant/Authorised Signatory/POA Holder
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17 CHECKLIST (Please submit the following documents with application wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

Documents	Individual	Companies	Societies	Partnership Firm	Investment through POA	Trust	NRI	FIs
Resolution/Authorisation to invest		✓	✓	✓		✓		✓
List of Authorised Signatories with Specimen Signatures		✓	✓	✓	✓	✓		✓
Memorandum & Articles of Associations		✓						
Trust Deed						✓		
Bye-laws								
Partnership Deed				✓				
Notarised POA					✓			
PAN/PEKRN Proof	✓	✓	✓	✓	✓	✓	✓	✓
KYC in case of Investment of any Amount	✓	✓	✓	✓	✓	✓	✓	✓
Foreign Inward Remittance Certificate							✓	✓
Copy of Cancelled Cheque	✓	✓	✓	✓	✓	✓	✓	✓
FATCA & CRS Declaration		✓	✓	✓	✓	✓		✓

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 81475 44555

mf@navi.com

18 INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

I. GENERAL INFORMATION

- Please read the Statement of Additional Information, Scheme Information Document (SID) and Key Information Memorandum (KIM) carefully before investing. All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering payment.
- Application Form should be filled legibly in English in BLOCK LETTERS so as to avoid errors in your application processing.
- Correction/Cancellation of any of the mandatory information should be counter signed by the investor. Please strike out any section which is not applicable. Refer to the checklist to ensure that the requisite details and documents are provided in order to avoid unnecessary delays and/or rejection of your application.
- The Application No./Folio No., the Scheme name and the name of the applicant should be mentioned on the reverse side of the instrument that accompanies the application.
- Application Form incomplete in any respect or not accompanied by a Cheque/Demand Draft are liable to be rejected and the money paid will be refunded without interest.
- All communications and payments shall be made to the First Applicant only irrespective of the holding basis.
- The right to accept or reject any application in the whole or in part lies with the Navi AMC Limited Navi Trustee Limited Navi Mutual Fund.
- The investors who wish to avail Systematic Investment Plan (SIP) facility must use separate Common Transaction Slips available in any of the Navi Mutual Fund - Customer Service Centres.
- The application complete in all respects along with the cheque/fund transfer instructions must be submitted to the nearest designated Investor Service Centre/Collection Centre.
- Investors are required to clearly indicate the plans/options in the application form of the same. Investor may note that following shall be applicable for default plan.

Scenario	Broker code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct Plan	Direct Plan
3	Not mentioned	Regular Plan	Direct Plan
4	Mentioned	Direct Plan	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular Plan	Direct Plan
7	Mentioned	Regular Plan	Regular Plan
8	Mentioned	Not mentioned	Regular Plan

In cases of wrong / invalid / incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. If the EUIN is invalid/missing, the transactions shall be processed in Regular plan, and the distributor/investor shall be given 30 day period from the date of the transaction for remediation of the EUIN. In such cases, the investor to be advised to either provide a different EUIN linked to the ARN who would be engaged in servicing the investor OR switch to Direct Plan. The commission shall not be paid to the ARN holder if the Switch transaction does not happen, or fresh EUIN is not provided within 30 days. The commission may be paid if the fresh EUIN is provided by client within 30 days.

- If the Name given in the application does not match the name as appearing on the PAN Card / Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected.

II. APPLICANT INFORMATION

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
- Guardian name and date of birth of the Minor is mandatory for investment on behalf of Minor applicant. Age proof of minor is mandatory. Upon attaining majority a minor has to write to the fund giving his specimen signature duly authenticated by his banker as well as his new bank mandate, PAN details, KYC in order to facilitate the Fund to update its records and permit the erstwhile minor to operate the account in his own right.
- Name of the Contact Person, Email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FI and other non-individual applicants.
- Applicant should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor".
- In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- To help us service you better please provide email Id and mobile number.
- In case of Minor Investor proper third party declaration should be submitted by donor.

III. BANK DETAILS

Applicants are requested to mention the bank account, since the same is mandatory as per the directives issued by SEBI. Application without this information will be deemed to be incomplete and are liable for rejection. Investor should submit a copy of a cancelled cheque to verify details.

IV. INVESTMENT DETAILS

Investors should indicate the Plan/Option for which the application is made. In case Investors wish to choose both the Options, separate Application form will have to be filled. In case applications are received where Plan/Option/ Sub-option frequency is not selected the default options will be considered.

V. PAYMENTS

- The Fund Name is Navi Nifty Smallcap250 Momentum Quality 100 Index Fund
- Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/CAMS.
- The cheque should be drawn on any bank which is situated at and is a member/ sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
- The following mode of payments are not valid and applications accompanied by such payments are liable to be rejected: i) Money Order/Post Order, ii) Postdated Cheques iii) Third Party Cheques without third party declaration.
- Returned cheques will not be presented again for collection and the accompanying application will be rejected.
- In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), contact the nearest AMC/CAMS ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited also while submission of a purchase form please provide the bank acknowledgement copy along with purchase application.
- NRI /FII's Repatriation basis: Payments by NRIs/FIIs may be made by way of cheques drawn on nonresident external accounts payable at par and payable at the cities where the Investor Service Centers are located. Non-Repatriation basis: NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

VI. NOMINATION DETAILS

- Nomination shall be mandatory for new folios/accounts opened by individuals especially with sole holding and no new folios/accounts for individuals in single holding will be opened without nomination. Applicants applying for Units singly/jointly can make a nomination at the time of initial investment or during subsequent investments.
- The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will have to sign the nomination form.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- Nomination in respect of the units stands rescinded upon the redemption/transfer/ transmission of units.
- Transmission of units in favour of a Nominee shall be a valid discharge by the AMC against the legal heir.
- Investor can nominate maximum ten nominees.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
- Investors can choose to opt out of Nomination. Investors shall have to mandatorily confirm the same by filling in the form for DECLARATION OF OPTING OUT of nomination provided in the application form. Under such circumstances the AMC shall transmit the assets in the account / folio to either the legal heir(s) or legal representative(s) of the holders as per the rules of intestate succession or as per the Will of the latter, as the case may be, after following the prescribed procedure.
- Nomination will not be allowed in a folio held on behalf of a minor.
- The nominee(s) shall receive the assets of deceased sole account / sole holder(s) as trustee on behalf of legal heir(s) of deceased holder(s) thereby effecting due discharge of the Mutual Fund.
- Legal heir(s) of nominee shall not be eligible to inherit the assets of the investor, if the nominee predeceases the investor.
- Upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor.
- The requirement of nomination shall be optional for jointly held accounts / folios.
- In case the investor specifies multiple nominees, then he / she shall also specify the percentage share for each nominee.
- In absence of such specification, the Mutual Fund shall apportionment the assets equally among all the nominees.
- In case of demise of the investor and any one of the nominees, the Mutual Fund shall distribute the assets pro rata to the remaining nominee.
- Acknowledgement shall be provided to the investor for each and every instance, irrespective of the mode of nomination.

VII. ACCEPTANCE OF FINANCIAL TRANSACTIONS THROUGH EMAIL IN RESPECT OF NONINDIVIDUAL INVESTOR

In case Navi is facilitating the financial transactions through email by Non Individual Investors then the same Navi may incorporated under instructions on page no. 6 in the Application Form.

- For acceptance of financial transactions in respect of non-individual investors routed through email, (NAVI may review that the process is in place at their end/RTA as may be applicable)
 - obtain from the entity a copy of the board resolution or an authority letter on the entity's letter head, granting appropriate authority to the designated officials of the entity. The board resolution/ authority letter shall explicitly mention the following:
 - List of approved authorized officials who are authorized to transact on behalf of non-individual investors along with their designation and email IDs.
 - An undertaking that the instructions for any financial transactions sent by email by the authorized officials shall be binding upon the entity as if it were a written agreement.
- In case the document is executed electronically with a valid Digital Signature Certificate (DSC) or through Aadhaar based e-signature by the authorized official/s, the same shall be considered as valid and acceptable, and shall be binding on the non-individual investor even if the transaction request is not received from the registered email id. of the authorized official/s. However, in such cases, the domain name of the email ID should be from the same organization's official domain name.
- In addition to the acceptance of financial transactions via email, scanned copy of duly signed transaction form/request letter bearing wet signatures of the authorized signatories of the entity, received from some other official / employee of the non-individual investor may also be accepted, and shall be binding on the non-individual investor provided
 - The email is also CC'd (copied) to the registered email ID of the authorized official / signatory of the non-individual unitholder; and
 - the domain name of the email ID of the sender of the email is from the same organization's official domain name.
- In addition to the acceptance of financial transactions via email,
 - scanned copies of signed transaction form/request letter bearing wet signatures of the authorized signatories of the entity, received from the registered mutual fund distributor of the entity or a third party duly authorized by the non-individual unitholder may also be accepted subject to fulfillment of the following requirements:
 - Authorization letter from the non-individual unitholder authorizing the MFD/person to send the scanned copies of signed transaction form/request letter on behalf the non-individual investor.
 - In such cases, the non-individual unitholder's registered email ID shall also be copied in the email sent by the MFD/person sending the scanned copies of the duly signed transaction form/request letter. the latter, as the case may be, after following the prescribed procedure.

VIII. DECLARATION AND SIGNATURES

- Signatures can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF. Similarly, for the Association of Persons (AOP) the application must be signed by the Authorised Signatory. Applications on behalf of minors should be signed by their Guardian.
- If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the POA. Alternatively, the original POA can be submitted, which will be returned after verification. The signature of the investor and the POA holder has to be clearly captured in the POA document to be accepted as a valid document.
- In case of corporate or any non-individual investors, a list of authorised signatories should be submitted along with the application form.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

19 INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

XI. EMAIL COMMUNICATION

Account Statements / Newsletters / Annual Reports / Other statutory information (as may be permitted under SEBI (Mutual Funds) Regulations, 1996) can be sent to each unit holders by courier/post/email. Unit holders who have opted to receive these documents by email will be sent all documents by email only and no physical documents will be sent. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder may request the fund for delivery of the same through alternate means. It is deemed that the Unit holder is aware of the security risks including possible third party interception of the documents. default option is email for CAS and holding statements w.e.f August 01, 2024.

X. E-ALERTS

This facility enables the Unit holder to receive SMS confirmation for purchase, redemption, switch, declaration.

XII. DIRECT PLAN

In compliance with Paragraph 2.4 of SEBI Master Circular on Mutual Funds dated June 27, 2024. In all the eligible schemes of Navi Mutual Fund along with the 'Regular Plan', The Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Fund and is not available for investors who route their investments through a Distributor. All Plans / Options / Sub-Options offered under the Schemes of Existing Plan will also be available for subscription under the "Direct Plan". For details please refer to the table on Scheme options. Accordingly, investors subscribing under Direct Plan of Navi Nifty Smallcap250 Momentum Quality 100 Index Fund have to indicate the Scheme / Plan name in the application form as 'Navi Nifty Smallcap250 Momentum Quality 100 Index Fund - Direct Plan'. Investors should also Indicate "Direct" in the ARN column. In case Distributor code is mentioned on the application form, the Distributor code will be ignored and no commission will be paid to the distributor. Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

XIII. ANTI MONEY LAUNDERING (AML) REGULATIONS

Details for compliance with Anti Money Laundering (AML) regulations Prevention of Money Laundering Act, the SEBI Circulars on Anti Money Laundering and the Client Identification implementation procedures prescribed by AMFI inter alia require the AMC to verify the records of identity and address(es) of investors. To ensure adherence to these requirements, investors are required to approach Points of Service (POS) (list of POS available on www.amfindia.com) appointed by any of the KYC Registration Agency and submit documents for completion of appropriate KYC checks. The Mutual Fund website also prescribes the list of documents that can be submitted by investors to the POS to get their KYC checks completed. It is mandatory for all investors (including joint holders, NRIs, POA holders and guardians in the case of minors) to furnish such documents and information as may be required to comply with the Know Your Customers (KYC) policies under the AML Laws. Applications without such documents and information may be rejected. Uniform KYC format of SEBI Master Circular on Know Your Client (KYC) norms for the securities market dated October 12, 2023. Permanent Account Number (PAN) would be the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, except (a) investors residing in the state of Sikkim; (b) Central Government, State Government, and the officials appointed by the courts e.g. Official liquidator, Court receiver etc.(under the category of Government) and (c) investors participating only in micro-pension. In compliance with Clause 16.7 of SEBI Master Circular of Mutual Fund dated May 19, 2023. has conveyed that Systematic Investment Plans (SIP) and lumpsum investments (both put together) per mutual fund up to Rs.50,000/- per year per investor shall be exempted from the requirement of PAN. Accordingly, investments in Navi AMC LTD (including SIP investment where the aggregate of SIP installments in a rolling 12 months period or in a financial year i.e April to March) of upto Rs 50,000/per investor per year shall be exempt from the requirement of PAN. However, eligible Investors (including joint holders) should comply with the KYC requirement through registered KRA by submitting photo identification documents as proof of identification and the Proof of Address [selfattested by the investor / attested by the ARN Holder/AMFI distributor]. These exempted investors will have to quote the "PEKRN (PAN exempt KYC Ref No) in the application form. This exemption of PAN will be applicable only to investments by individuals (including NRIs but not PIOs), joint holders, Minors and Sole proprietary firms. PIOs, HUFs and other categories of investors will not be eligible for this exemption. Thus, submission of PAN is mandatory for all other investors existing as well as prospective investors (except the ones mentioned above) (including all joint applicants/holders, guardians in case of minors, POA holders and NRIs but except for the categories mentioned above) for investing with mutual funds from this date. Investors are required to register their PAN with the Mutual Fund by providing the PAN card copy (along with the original for verification which will be returned across the counter). The procedure implemented by the AMC and the decisions taken by the AMC in this regard shall be deemed final. After completion of KYC compliance, investors need to approach KRA for Change of Address and not Registrar (CAMS). In respect of KYC compliant Folio, prospective Change of Address received along with transaction slip will not be processed by Registrar (CAMS). The AMC reserves the right to reject subscription requests in the absence of appropriate compliance with the AML Laws. as amended by SEBI from time to time.

- All investors who have opened accounts with the Intermediaries on or after 1 January 2012 have been complying with the revised KYC norms with one of the KYC Registration Agencies (KRA). MF investors who had already complied with the KYC-KRA requirements post 1 January 2012, need not undergo any changes.
- MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make fresh investments / SIPs with a different MF, will have to submit the revised KRA form with all the relevant documents.
- MF investors who had complied with KYC requirements with CVL, prior to 1 January 2012, and wanting to make additional investment in the same MF (where they hold investments), with no further requirement for KRA compliance.
- Individual Investor who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification No. (KIN) in application form as per AMR Circular 135/BP/68/2016-17.
- The above will be applicable for both Individuals and Non-individuals.

XIII. EUIN No.

Investments through distributors

As per directions of Securities and Exchange Board of India (SEBI), the distributors, agents or any persons employed or engaged or to be employed or engaged in the sale and/or distribution of

mutual fund products are required to have a valid certification from the National Institute of Securities Markets (NISM) by passing the certification examination. Further, no agents /distributors are entitled to sell units of mutual funds unless the intermediary is registered with Association of Mutual Funds in India (AMFI). Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/ sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form. Overseas Distributors: Overseas Distributors are exempt from obtaining NISM certification and AMFI registration. However, such Overseas Distributors are required to comply with the guidelines/ requirements as may be issued by AMFI /SEW from time to time and also comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors. Direct Investments: Investors applying under Direct Plan must mention "Direct" in ARN column. In case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. In case of valid application received with out indicating "Direct Plan" against the Scheme / Plan name and without any Distributor Code mentioned on the form, the application will be processed under "Direct Plans"

XIV UNITHOLDERS' INFORMATION

Account Statement:

- The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form). A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds (including transaction charges paid to the distributor) and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month. Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all schemes of mutual funds and securities held in dematerialized form across demat accounts, if applicable.
 - Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
 - Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. The CAS shall be generated on a monthly basis.
 - If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
 - In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository. The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations.
- The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations.
- Annual Financial Results:**

The Scheme wise annual report or an abridged summary thereof shall be sent:

- by e-mail only to the Unit holders whose e-mail address is available with the Fund, (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same. The scheme-wise annual report or an abridged summary shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year). The physical copy of the scheme-wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

3. Half yearly Disclosures:

Portfolio / Unaudited Financial Results (This is a list of securities where the corpus of the scheme is currently invested. The market value of these investments is also stated in portfolio disclosures) The Mutual Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website and shall publish an advertisement disclosing the hosting of such financial results on their website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated. The mutual fund may opt to send the complete statement of its scheme portfolio to all unit holders in lieu of the advertisement .

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 81475 44555

mf@navi.com

SYSTEMATIC INVESTMENT PLAN (SIP) -Navi Nifty Smallcap250 Momentum Quality 100 Index Fund

(Applicable for Lumpsum Additional Purchase as well as SIP Registration) **LUMPSUM / SIP AUTO DEBIT / NACH / ECS FORM** (for Lumpsum Investment please refer page 1) New Investor are requested to fill in the Common Application form. First SIP Cheque and subsequent Via Auto Debit in Selected cities only.

1	DISTRIBUTOR / ARN CODE / RIA	SUB BROKER ARN CODE	EUIN*	SUB-BROKER CODE / AGENT CODE	RM CODE	DATE & TIME OF RECEIPT
						FOR OFFICE USE ONLY
<p>*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction".</p>						
Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder		2nd Applicant/Authorised Signatory/PGA Holder		3rd Applicant/Authorised Signatory/POA Holder		

2 REGISTRATION CUM MANDATE FORM FOR SIP THROUGH NACH, AUTO DEBIT OR ECS (Debit Clearing/Auto Debit)

(Please) New Registration* *if you are a new investor kindly fill the common application form

3 INVESTOR AND INVESTMENT DETAILS

Sole/First Investor Name Mr. Ms. M/s.

PAN/PEKRN # KYC Proof #

CKYC Id By sharing the Aadhaar number I provide my consent for sharing / disclosing of my Aadhaar number(s) including demographic information with the asset management Companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios.

Folio/Application No. Existing Investors please mention Folio No.

Scheme **Navi Nifty Smallcap250 Momentum Quality 100 Index Fund**

Plan Direct Regular Option: Growth

In case of any ambiguity / incomplete Information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum. Scheme Information Document & Statement of Additional. **Individual Applicant must fill Individual self certification under FATCA. All Non Individual Investors have to mandatory fill UBO Declaration Form.**

4 SIP DETAILS (Please tick on any 1 SIP frequency only. In case the SIP frequency opted for is either Monthly, Quarterly or Half Yearly, please mention the date of SIP)

Each SIP Amount (Rs)

First SIP Cheque No. Cheque Amount (Rs) Cheque Date

Frequency	Weekly	Fortnightly	Daily	Monthly	Quarterly	Half Yearly	SIP Period	Start Date	M	M	Y	Y	End Date	M	M	Y	Y	
	Every Wednesday	Alternate Wednesday	Preferred Debit Date (All business day) <input type="text"/>															<input type="checkbox"/> Perpetual

(Note :Cheque should be drawn on bank details provided below. Please allow minimum one month for Auto Debit to register and start). Each of the SIP installment excluding initial cheque should be of the same amount & there should be a gap of 30 days between 1st & 2nd SIP installment. Please refer NACH instruction page for further clarification.

I/We hereby, authorised Navi Mutual Fund and their authorised service providers, to debit my/our following bank account NACH/ECS (Debit Clearing/Auto Debit) to account for collection of SIP Payment

I/We hereby declare that the particulars over and above are correct and express my willingness to make payment referred above through participation in Lumpsum NACH/ECS/auto debit If the transaction is delayed or not executed at all for any reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will inform Navi Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and condition mentioned overleaf. I/We have read and understood the contents of SID/KIM/SAI, I/We hereby apply for the respective units of Navi Mutual Fund Scheme at NAV based resale price and agree to abide by terms, conditions, rules and regulation of the scheme (s).

Signature(s) Sole /1st Applicant/Guardian/Authorised Signatory/POA Holder 2nd Applicant/Authorised Signatory/PGA Holder 3rd Applicant/Authorised Signatory/POA Holder

To be signed by ALL UNIT HOLDERS if mode of holding joint

5 LUMPSUM / NACH / ECS / DIRECT DEBIT / MANDATE INSTRUCTIONS FORM (applicable for LUMPSUM additional purchase as well as SIP registration)

UMRN Date

Sponsor Bank Code Utility Code

Tick (✓) I/We here by authorize **NAVI MUTUAL FUND** to debit (Tick ✓) SB CA CC SB-NRE SB-NRO Other

Bank a/c Number

With Bank Name of customer bank IFSC or MICR

an amount of Rupees Amount in words

Frequency Monthly Quarterly Half Yearly Yearly As & when presented Debit Type Fixed Amount Maximum Amount

Reference 1 Folio No.: Mobile No.

Reference 2 Scheme / Plan: Email ID

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my accounts as per latest schedule of charges of the bank.

Period From To Or Until Cancelled

1. Signature Primary Account holder 2. Signature of Account holder 3. Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

Declaration: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/ amendment request to the user entity/ corporate or the bank where I have authorized the debit.

Please attach proof. Refer instructions page point II - APPLICANT INFORMATION

Acknowledgment Slip (To be filled in by the investor) Application No.

Received from Mr./Ms./M/s.

An application for Scheme: **Navi Nifty Smallcap250 Momentum Quality 100 Index Fund**

Plan: Option:

Cheque/DD No. : Dated: Amount (Rs.) Drawn on Bank and Branch :

Please note : All Purchases are subject to realisation of Cheques/DD.

Collection Centre's Stamp & Receipt Date and Time

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund> | Toll free : 18002032131 Non Toll Free: +91 81475 44555 | mf@navi.com

20 INSTRUCTIONS FOR FILLING UP OTM / SIP FORM

Mandatory Information to be provided by Investors In One Time Mandate Form (OTM) / SIP / NACH / ECS form

1. Unique Mandate Registration Number is for Office use only.
2. Date in DD/MM/YYYY format
3. Tick to select type of action initiated (Create, Modify or Cancel)
4. Tick to select type of account to be affected
5. Customers bank account number, left padded with zeros. (Maximum length - 30 Alpha Numeric Characters)
6. Name of Investor's Bank
7. IFSC or MICR of Investors Bank (Maximum length - 11 Alpha Numeric Characters for IFSC & Maximum Length 8 Numeric Characters for MICR)
9. Amount payable for service or maximum amount per transaction that needs to be processed, in words.
10. Amount in figures, similar to the amount mentioned in words as per point 8 and above
11. Tick on box to select frequency of transaction
12. Tick on box to select Debit type
13. Mention the Folio No.
14. Under Scheme Code please mention the Scheme Name
15. Registered Phone No. with STD Code if the contact number is a landline number or 10 digit mobile number & Email ID
16. Mention the start and end date of transaction in DD/MM/YYYY format
17. Signature of the Account Holders in the Folio
18. Name as per Bank records
19. NACH debit facility is offered at various banks. For a detailed list of banks please refer the website www.npci.org.in
20. By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI (mwr.npci.org.in).
21. New Investors should mandatorily give a cheque for the first transaction
22. Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered
23. In case of existing investor, if application is received without existing UMRN then the first UMRN registered in the folio would be considered
24. Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
25. Please mention the Name of Bank and Branch, IFSC / MICR Code also provide An Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
26. Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
27. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
28. For the convenience of investors, the frequency of the mandate mentioned "As and when presented".
29. There is no maximum duration for enrolment. Investor(s) has an option to fill 'End Date' or select the option "Until Cancelled".

Terms and Conditions For SIP

1. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
2. For all transactions including lump sum and SIP, consolidated statements across Mutual Funds on common PAN basis will be sent once a month. The dispatch time will be before 10th of the following month. Dispatches are carried out via post as in the form of inland letters. For transactions without PAN (Micro SIPs) statements are sent by the AMCs independently as and when the transactions are carried out.
3. The unit holders can choose to discontinue/cancel the SIP at any point of time by submitting a written request to the nearest designated Investor Service Center. Such request for discontinuation/ cancellation should be received at least 30 working days prior to the next SIP date.
4. Navi AMC Ltd reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
5. For mandatory requirements like Bank account details, Know Your Customer (KYC-KRA) and required documents please refer KIM/SID.

Systematic Investment Plan / One time mandate plan

1. New investors, who wish to enroll for SIP, should fill the Common Application Form & SIP NACH/Auto Debit/ECS form.
2. Direct Debit/ECS instructions will take minimum 30 days for registration with the bank and hence the first auto debit will be carried out only after 30 days on the SIP date. The AMC reserves the right to modify the SIP registration period.
3. First SIP cheque could be submitted on any business day.
4. Investors want to invest in SIP, can subscribe to this facility subject to the condition that each of the SIP installments should be of the same amount (excluding the 1st installment amount).
5. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP Application issued & crossed "A/c Payee Only".
6. For payments by NACH/ECS/Auto Debit mode, investors/unit holders are required to give SIP Auto Debit form to debit their bank accounts at periodic intervals & credit the subscription proceeds to Navi (AMC) Ltd Navi Mutual Fund Bank Account.
7. For change in bank mandate, the request must be submitted 30 days prior to be SIP dates.
8. Returned/Dishonored Cheque/ECS Rejects will not be presented again for collection. If the first installment cheque is dishonoured, the SIP processing/registration will be carried out as per the details given on ECS mandate form.
9. SIP enrollment will be discontinued in case the SIP installment is not honoured consecutively for 3 SIP installments.
10. If investor has not provided the SIP frequency the default SIP frequency would be Monthly. If an investor does not mention SIP start date or the SIP start date is unclear in the application form/SIP Mandate, the SIP date will by default start from the next subsequent month after meeting the minimum registration requirement of 30 working days. If an investor does not mention SIP end date or the SIP end date is not expressly mentioned/ unclear in the application form/SIP Mandate, the tenure of SIP will be treated as perpetual i.e. the end date shall be considered as December 2099. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished.
11. The default date will be considered as 7th of the month for each option.
12. Additional SIP. If an investor wants to enroll in another scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP form along with the first cheque (If Investment is in the new scheme) & NACH/ECS/Auto Debit form.
13. After completion of the tenure, in case the investor wants to continue his SIP investment for another tenure with the existing folio, then the investor has to submit a duly filled & signed SIP form & ECS Mandate Form.
14. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC - KRA compliant while making an application for a subscription of any amount. Thus application for any SIP installment amount without a valid KYC - KRA compliance may be rejected.
15. The cities mentioned above may be modified/updated/changed/removed at any time in future at the discretion of the AMC without assigning any reason or prior notice. If any city, bank/branch is removed, SIP (NACH/ ECS/Auto debit) instructions for investors in such cities, bank branch will be discontinued without prior notice.
16. As per the enable smooth processing of the debits from your Bank NC towards purchase of SIP wits we request you to mention the CBS NC No. wherever applicable.
17. The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository participants (DPs) periodically. For more details, please refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free: 18002032131 Non Toll Free: +91 81475 44555

mf@navi.com

APPLICANT DETAILS

NAME OF THE ENTITY

TYPE OF ADDRESS GIVEN AT KRA Residential or Business Residential Business Registered Office

CUSTOMER ID / FOLIO NO

PAN

CITY OF INCORPORATION

COUNTRY OF INCORPORATION

PLEASE TICK THE APPLICABLE TAX RESIDENT DECLARATION

1. Is "Entity" a tax resident of any country other than India Yes No
 (If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below)

COUNTRY	TAX IDENTIFICATION NUMBER*	IDENTIFICATION TYPE (TIN or other, please specify)

In case Tax Identification Number is not available, kindly provide its functional equivalent.
 In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.
 In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

Please refer to para 3 (VII) Exemption code for U.S. persons under Part 3 of FATCA Instructions & Definitions

FATCA & CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

1. We are a, Financial Institution³ or Direct reporting NFE⁴ (please tick as appropriate)

GIIN

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsors GIIN above and indicate your sponsor's name below

Name of the sponsoring entity

2. GIIN not available (please tick as applicable) if the entity is a financial institution. Applied for Not required to apply for - please specify 2 digits sub - category¹⁰ Not obtained - Non - participating FI

PART B (Please fill any one as appropriate *to be filled by NFEs other than Direct Reporting NFEs)

1. Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) No Yes of yes, please specify any one stock exchange on which the stock is regularly traded
 Name of stock exchange _____

2. Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) No Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)
 Name of listed company _____
 Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed company
 Name of stock exchange _____

3. Is the Entity an active¹ non-financial Entity (NFE) No Yes
 Name of Business _____
 Please specify the sub-category of Active NFE (Mention code - refer 2c of Part D)

4. Is the Entity a passive² NFE No Yes (If yes, please fill UBO declaration in the next section)
 Name of Business _____

¹Refer 2 of Part D | ²Refer 3(II) of Part D | ³Refer 1(I) of Part D | ⁴Refer 3(VI) of Part D

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

If passive NFE, please provide below additional details for each of Controlling person. (Please attach additional sheets if necessary)

Name & PAN / Any other Identification Number PAN, Aadhar, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others) City of Birth - Country of Birth	Occupation Type - Service, Business, Others Nationality Father's Name - Mandatory if PAN is not available	DOB - Date of Birth Gender - Male / Female / Other
1. Name & PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ City of Birth _____ Country of Birth _____	DOB _____ DD/MM/YY Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
1. Name & PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ City of Birth _____ Country of Birth _____	DOB _____ DD/MM/YY Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
1. Name & PAN _____ City of Birth _____ Country of Birth _____	Occupation Type _____ City of Birth _____ Country of Birth _____	DOB _____ DD/MM/YY Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others

Additional details to be filed by controlling persons with tax residency / permanent residency / citizenship / Green Card in any other country other than India
 * To Include U.S. where controlling person is a U.S. citizen or green card holder.
 % In case Tax Identification Number is not available, kindly provide functional equivalent.

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-Tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.
 Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.
 If any controlling person of the entity is a U.S. citizen or green card holder, please include United States in the foreign country information field along with the U.S. Tax Identification Number.
 It is mandatory to supply a TIN or functional equivalent if the country in which you are resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

PART C: Certification

I / We have understood the information requirements of the Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

Date: _____

Name: _____

Designation: _____

Signature & Seal

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Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

- 1 Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
 - Custodial institution is an entity that holds a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of -
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
 - Investment entity is any entity:
 - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments, (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange, exchange, interest rate, and index instruments; transferable securities; or commodity futures trading; or
 - (ii) Individual or collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
- or
- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
 - (ii) The period during which the entity has been in existence.
- The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

FI not required to apply for GIIN: (Refer Rule 114(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard.

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund Entity is an Indian FI solely because it is an investment entity Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executing Brokers
07	Exempt collective investment vehicle
08	Trust
09	Non-registering local banks
10	FFI with only Low-Value Accounts
11	Sponsored investment entity and controlled foreign corporation
12	Sponsored, Closely Held Investment Vehicle

2. Active Non-Financial Entity (NFE) : (any of the following): Refer Explanation (A) to 114F(6) of Income Tax Rules, 1962 for details.

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market.
03	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
04	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
05	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
06	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
07	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
08	Any NFE that fulfills all of the following requirements: It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation - For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (i) an Investor Protection Fund referred to in clause (23EA); (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

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Note: The Guidance Note/notification issued by the CBDT shall prevail in respect to interpretation of the terms specified in the form)

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

- (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- (ii) an Investment entity defined in clause (b) of these Instructions
- (iii) a withholding foreign partnership or withholding foreign trust;

(iii) Passive income

The term passive income includes income by way of :

- (1) Interest
 - (2) Income equivalent to interest,
 - (3) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
 - (4) Annuities
 - (5) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
 - (6) The excess of gains over losses from transactions (Including futures, forwards, options and similar transactions) In any financial assets,
 - (7) The excess of foreign currency gains over foreign currency losses
 - (8) Net Income from swaps
 - (9) Amounts received under cash value insurance contracts
- But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets. any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005.

In determining the beneficial owner, the procedure specified In the following circSur as amended from time to time shall be applied, namely:

- i. OBOD.AML.BC. No. 71/14.01.001/2012-13, issued on the 18th January, 2013 by the Reserve Bank of India; or
- ii. CIRMIRSD/2/2013, issued on the 24th January, 2013 by the Securities and Exchange Board of India; or
- iii. IRONSDD/GDUCIR/019/02/2013, issued on the 4th February, 2013 by the Insurance Regulatory and Development Authority.

In the case of a trust, the controlling person means the settler, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. and in the case of a legal arrangement other than a trust, the said expression means the person in equivalent or similar position.

(A) Controlling Person Type:

2. Active Non-Financial Entity (NFE) : (any of the following): Refer Explanation (A) to 114F(6) of Income Tax Rules, 1962 for details.

Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement- Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Unknown

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(v) Specified U.S. person - A U.S. person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets,
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664[©] of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045[©] of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

(vii) Exemption code for U.S. persons (Refer 114(9) of income Tax Rules, 1962 details)

Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(cX1)(1)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

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FATCA & CRS Terms & Conditions for Individual Accounts including Sole Proprietor

(Note: The Guidance Note / notification Issued by the CBOT shall prevail in respect to Interpretation of the terms specified in the form)

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income -tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointment agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert Hs name) or its group entities. Therefore, it is important that you respond, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Instructions	Documentation required for Cure of FATCA / CRS Indicia
U. S. place of birth	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: Certified Copy of 'Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence / mailing address in a country other than India	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below)
Telephone number in a country other than India	<p>If no Indian telephone number is provided</p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and 2. Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number <p>OR</p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

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Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

I: Investor details:

Investor Name											
PAN*											

* If PAN is not available, specify Folio No. (s)

II: Category

Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company *[If this category is selected, no need to provide UBO details].*

Name of the Stock Exchange where it is listed# _____

Security ISIN# _____

Name of the Listed Company (applicable if the investor is subsidiary/associate): _____

#mandatory in case of Listed company or subsidiary of the Listed Company

Unlisted Company Partnership Firm / LLP Unincorporated association / body of individuals

Public Charitable Trust Private Trust Religious Trust Trust created by a

II. Others *[please specify]* _____

UBO / Controlling Person(s) details.

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit? Yes No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#.			
UBO / SMO PAN#. For Foreign National, TIN to be provided]			
% of beneficial interest#.	>10% controlling interest. <input type="checkbox"/>	>10% controlling interest. <input type="checkbox"/>	>10% controlling interest. <input type="checkbox"/>

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(Mandatory for Non-individual Investors)

	>15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/>	>15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/>	>15% controlling interest. <input type="checkbox"/> >25% controlling interest. <input type="checkbox"/> NA. (for SMO) <input type="checkbox"/>
UBO / SMO Country of Tax Residency#.			
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#.			
UBO / SMO Identity Type			
UBO / SMO Place & Country of Birth#	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____
UBO / SMO Nationality			
UBO / SMO Date of Birth [dd-mmm-yyyy]#			
UBO / SMO PEP#	Yes – PEP. <input type="checkbox"/> Yes – Related to PEP. <input type="checkbox"/> N – Not a PEP. <input type="checkbox"/>		
UBO / SMO Address [include City, Pincode, State, Country]	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
UBO / SMO Address Type	Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office. <input type="checkbox"/>		
UBO / SMO Email			
UBO / SMO Mobile			
UBO / SMO Gender	Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="checkbox"/>		

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Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

UBO / SMO Father's Name			
UBO / SMO Occupation	Public Service <input type="checkbox"/> Private Service <input type="checkbox"/> Business <input type="checkbox"/> Others <input type="checkbox"/>		
SMO Designation#			
UBO / SMO KYC Complied?	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.	Yes / No. If 'Yes,' please attach the KYC acknowledgement. If No, complete the KYC and confirm the status.

Mandatory column.

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

* Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

Declaration

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory

Name:

Designation:

Authorized Signatory

Name:

Designation:

Authorized Signatory

Name:

Designation:

Place: _____

Date: __ / __ / __

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Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

Instructions on Controlling Persons / Ultimate Beneficial Owner

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

A. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
 - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
 - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership.
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 81475 44555

mf@navi.com

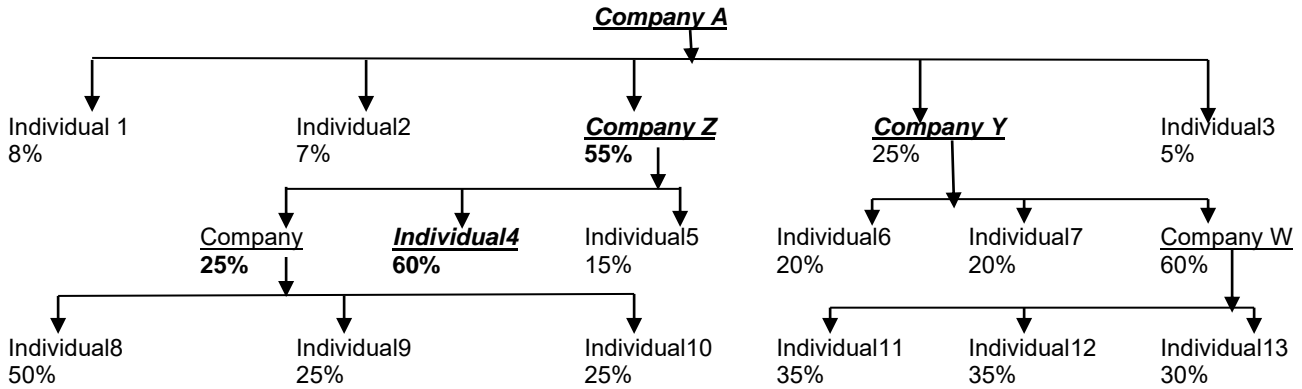
Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



(Mandatory for Non-individual Investors)

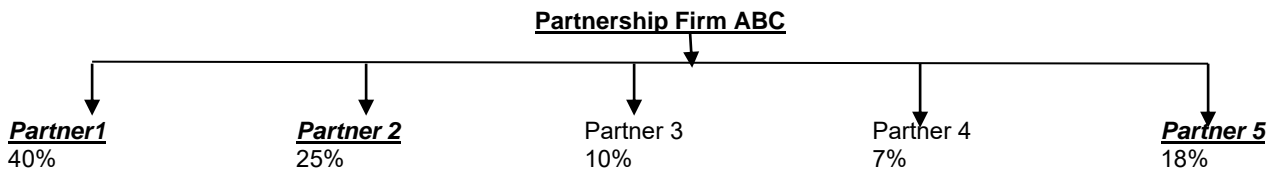
Sample Illustrations for ascertaining beneficial ownership:

Illustration No. 1 – Company A



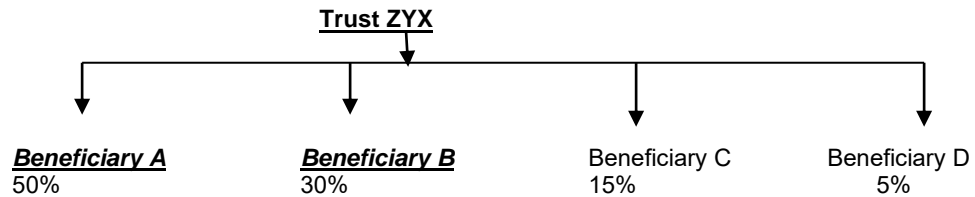
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

Illustration No. 2 – Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds $\geq 15\%$ of capital. KYC proof of these partners needs to be submitted including shareholding.

Illustration No. 3 – Trustee ZYX





For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for $\geq 10\%$ of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

Application Form - Navi Nifty Smallcap250 Momentum Quality 100 Index Fund

(An open ended scheme replicating/ tracking Nifty Smallcap250 Momentum Quality 100 TRI)

This product is suitable for investors who are seeking*

<p>Navi Nifty Smallcap250 Momentum Quality 100 Index Fund (An open ended scheme replicating/ tracking Nifty Smallcap250 Momentum Quality 100 TRI)</p> <ul style="list-style-type: none"> Capital appreciation over long time. Investment in equity and equity related securities covered by Nifty Smallcap250 Momentum Quality 100 TRI. 	<p>Scheme Riskometer</p>  <p>The risk of the scheme/benchmark is Very High</p>	<p>As per AMFI Tier I benchmark Nifty Smallcap250 Momentum Quality 100 TRI</p>  <p>The risk of the scheme/benchmark is Very High</p>
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Application No. _____
NFO Dates: NFO Opens: 25th February 2025, NFO Closes 10th March 2025
Scheme re-opens for continuous sale and repurchase within 5 business days of allotment of units.

The above product labelling assigned during the New Fund Offer is based on internal assessment of the Scheme Characteristics or model portfolio and the same may vary post NFO when actual investments are made. Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Investors must read the Key Information Memorandum, Instructions and Product Labelling before completing this Form. Tick (✓) whichever is applicable, strike out whichever is not required. (*) mark fields are mandatory

1	DISTRIBUTOR / ARN CODE / RIA	SUB BROKER ARN CODE	EUIN*	SUB-BROKER CODE / AGENT CODE	RM CODE	DATE & TIME OF RECEIPT
						FOR OFFICE USE ONLY

Please ✓ if the EUIN space is left blank: I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. Upfront commission shall be paid directly by the investor to the (AMFI) registered Distributors based on the investors' assessment of various factors including services rendered by the distributor.

2 APPLICANTS INFORMATION (Name should be as available in Demat Account in case of Demat Account holders)

Name of Sole / 1 st Applicant	Mr. / Ms. / M/s.	
Name of 2 nd Applicant	Mr. / Ms. / M/s.	
Name of 3 rd Applicant	Mr. / Ms. / M/s.	
Name of Guardian / Contact Person	Mr. / Ms. / M/s.	

Category (Please ✓) Retail Individual Investor(s) Non-Institutional Investor(s)

PAN No. Sole / 1st Applicant 2nd Applicant 3rd Applicant

Mode of Operation In Demat Account (Where there is more than one applicants) : Single OR Anyone or Survivor OR Joint

3 DEPOSITORY ACCOUNT DETAILS

Depository Name (Please ✓) National Securities Depository Ltd. Central Depository Services (India) Limits

Depository Participate Name	DP-ID
Beneficiary Account Number	

4 INVESTMENT DETAILS (Please ✓ where applicable)

Scheme : **Navi Nifty Smallcap250 Momentum Quality 100 Index Fund** Plan Regular Direct

Option Growth

In case of any ambiguity / incomplete intonation, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information.

5 DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS

Bank Account Number	Bank Name
Bank Name where is held	
Total Amount to be blocked* (₹ in figure)	(in words)

6 UNDERTAKING BY ASBA INVESTOR

1) I/We hereby undertake that I/we am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of capital and Disclosure Requirements), Regulations 2009 (SEBI Regulations) as amended from time to time. 2) In accordance with ASBA process provided in the SERI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the 'SCSB/ASBA Account details' or unblocking of funds in the bank account maintained with the SCSB specified in this applicant transfer of funds to the Bank account of the Scheme/ Navi Nifty Smallcap250 Momentum Quality 100 Index Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Navi Nifty Smallcap250 Momentum Quality 100 Index Fund 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Navi Nifty Smallcap250 Momentum Quality 100 Index Fund or SCSBs shall not be held liable for losses, if any. I/We communication in connection with NFO should be addressed to the SCSB/R&TA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details (if it has been provided), Amount applied for and the account number from where NFO amount was blocked.

Signature of the Applicant(s)

Sole / 1st Applicant	2nd Applicant	3rd Applicant
Signature of Bank Account Holder(s) (to be signed as per holding pattern in the bank account)		
xx Sole / 1st Account Holder as in Bank Records	xx 2nd Account Holder as in Bank Records	xx 3rd Account Holder as in Bank Records

Acknowledgment Slip (To be filled in by the investor)

Application No. _____

<p>Received from Mr./Ms./M/s. An application for Scheme: Navi Nifty Smallcap250 Momentum Quality 100 Index Fund Plan: _____ Option: _____ Cheque/DD No. : _____ Dated: Drawn on _____ Amount (Rs.) _____ Bank and Branch : _____ Please note : All Purchases are subject to realization of Cheques /DD.</p>	<p>Collection Centre's Stamp & Receipt Date and Time</p>
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Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

IMPORTANT INFORMATION & INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS

Background : In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as have been amended for ASBA process. in compliance with Clause 14.8 of SEBI Master Circular of Mutual Funds dated May 19, 2023. for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process

- 1. Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer Application Form (NFO), containing an authorisation to block the application money in a bank account.
- 2. Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010, 27 Banks have been recognised as SCSBs. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, investors may also contact the AMC, R & TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids, (iii) Name and contact details of a nodal officer at a senior level from the CB.
- 3. Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a "Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on nonrepatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".
- 4. ASBA Facility in Brief:** Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application

Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/ Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

- 5. Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009. Investors are requested to check with their respective banks about the availability of the ASBA facility.

Other Information for ASBA Investors:

- SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
- SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
- SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
- SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
- SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
- ASBA Application Form without the stamp of the SCSB.
- Application by any person outside India if not in compliance with applicable foreign and Indian laws.
- Bank account details not given/incorrect details given.
- Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
- No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- Insufficient funds in the investor's account.
- Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

LIST OF NAVI AMC LIMITED OFFICES

BENGALURU : Vaishnavi Tech Square, 7th Floor, Iballur Village, Taluk, Bagalur, Begur, Hobli, Bengaluru, Karnataka 560102.

List of Point of Acceptances of Computer Age Management Services Limited (CAMS) Technologies Pvt. Ltd

• Ahmedabad 111 - 113, 1 st Floor - Devpath Building Off C G Road Behind Lal Bungalow, Ellis Bridge, Ahmedabad, Gujarat - 380006 • Bangalore Trade Centre, 1st Floor, 45, Dikensen Road (Next to Manipal Centre), Bangalore, Karnataka - 560042 • Bhubaneswar Plot No. 501 / 1741 / 1846, Office No. 203 (2nd Floor), Centre Point, Sriya Talkies Road, Kharvel Nagar, Unit-3, Bhubaneswar, Odisha - 751001 • Chandigarh Deepak Tower, SCO 154 - 155, 1st Floor - Sector 17 - Chandigarh, Punjab - 160017 • Chennai Ground Floor No. 178 / 10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, Tamilnadu - 600034 • Cochin Building Name Modayil, Door No. 39 / 2638, DJ, 2nd Floor, 2A, M.G. Road, Cochin - 682016 • Coimbatore No. 1334, Thadagam Road, Thirumurthy Layout, R.S. Puram, Behind Venketeswara Bakery, Coimbatore - 641002 • Durgapur Plot No.3601, Nazrul Sarani, City Centre, Durgapur - 713216 • Goa Office No. 103, 1st Floor, Unitech City Centre, M.G. Road, Panaji Goa, Goa - 403001 • Secunderabad (Hyderabad) 208, II Floor Jade Arcade Paradise Circle, Hyderabad, Telangana 500 003. • Indore 101, Shalimar Corporate Centre, 8 - B, South Tukogunj, Opp.Greenpark, Indore, MadhyaPradesh - 452001 • Jaipur R-7, Yudhisthir Marg C - Scheme, Behind Ashok Nagar Police Station, Jaipur, Rajasthan - 302001 • Kanpur First Floor 106 - 108 City Centre, Phase II, 63/ 2, The Mall, Kanpur, Uttarpradesh - 208001 • Kolkata 2/1, Russell Street, 2nd Floor, Kankaria Centre, Kolkata - 700071 • Lucknow Office No. 107, First Floor, Vaisali Arcade Building, Plot No 11, 6 Park Road, Lucknow - 226001 • Ludhiana U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana, Punjab - 141002 • Madurai Shop No. 3, 2nd Floor Surya Towers, No. 272/273, Goodshed Street, Madurai - 625001 • Mangalore 14-6-674/15(1), Shop No -ug11-2, Maximus Complex, Light House Hill Road, Mangalore - 575001, Karnataka • Mumbai 30, Rajabhadur Compound, Opp. Indian Bank, Mumbai Samachar Marg, Fort, Mumbai, Maharashtra - 400023 • Nagpur 145, Lendra, New Ramdaspath, Nagpur, Maharashtra - 440010 • New Delhi CAMS Service Center, 401 to 404, 4th Floor, Kanchan Junga Building, Barakhamba Road, New Delhi - 110001 • Patna G-3, Ground Floor, OM Complex, Near Saket Tower, SP Verma Road, Patna, Bihar - 800001 • Pune Vartak Pride, 1st Floor, Survey No. 46, City Survey, No. 1477, Hingne budruk, D.P.Road, Behind Dinanath mangeshkar Hospital, Karvenagar, Pune - 411052 • Surat Shop No. G-5, International Commerce Center, Nr. Kadiwala School, Majura Gate, Ring Road, Surat - 395002 • Vadodara 103, Aries Complex, Bpc Road, Off R.C. Dutt Road, Alkapuri, Vadodara, Gujarat - 390007 • Vijayawada 40 - 1 - 68, Rao & Ratnam Complex, Near Chenupati Petrol Pump, M.G. Road, Labbipet, Vijayawada, Andhra Pradesh - 520010 • Visakhapatnam (Vizag) Flat No. GF2, D. No. 47 - 3 - 2 / 2, Vigneswara Plaza, 5th Lane, Dwarakanagar, Visakhapatnam, Andhra Pradesh - 530016 • Agra No. 8, II Floor Maruti Tower Sanjay Place, Agra, Uttarpradesh - 282002 • Ajmer AMC No. 423 / 30, Near ChurchOpp T B Hospital, Jaipur Road, Ajmer, Rajasthan - 305001 • Allahabad 30/2, A & B, Civil Lines Station Besides, Vishal Mega Mart Strachey Road, Allahabad, Uttarpradesh - 211001 • Alwar 256A, Scheme No. 1, Arya Nagar, Alwar, Rajasthan - 301001 • Amaravati 81, Gulsham Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati, Maharashtra, 444601 • Amritsar 3rd Floor, Bearing Unit No. 313, Mukut House, Amritsar - 143001 • Anand 101, A.P. Tower, B / H, Sardhar Gunj, Next to Nathwani Chambers, Anand, Gujarat - 388001 • Asansol Block - G, First Floor, P C Chatterjee Market Complex, Rambandhu Talab PO, Ushagram Asansol, West Bengal - 713303 • Aurangabad 2nd Floor, Block No. D - 21 - D - 22, Motiwala Trade Centre, Nirala Bazar, New Samarth Nagar, Opp. HDFC Bank, Aurangabad - 431001 • Belgaum Classic Complex, Block No. 104, First Floor, Saraf Colony, Khanapur Road, Tilakwadi, Belgaum - 590006 • Berhampur Kalika temple Street, Ground Floor, Beside SBI BAZAR Branch, Berhampur - 760002 • Bhavnagar 501 - 503, Bhayani Skyline, Behind Joggers Park, Atabhai Road, Bhavnagar - 364001 • Bhilai First Floor, Plot No.3, Block No.1, Priyadarshini Pariswar west, Behind IDBI Bank, Nehru Nagar, Bhilai - 490020 • Bhilwara C/o. Kodwani Associates, Shope No. 211 - 213 2nd floor, Indra Prasth Tower syam Ki Sabji Mandi, Near Mukerjee Garden, Bhilwara, Rajasthan - 311001 • Bhopal Plot no 10, 2nd Floor, Alankar Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh - 462011 • Bokaro 1st Floor, Plot No. HE-7 City Centre, Sector 4, Bokaro Steel City, Bokaro, Jharkhand - 827004 • Burdwan 399, G T Road, Basement, Building Name - Talk of the Town, Burdwan, West Bengal - 713101 • Calicut 29 / 97G, 2nd Floor, S A Arcade, Mavoor Road, Arayidathupalam, Calicut, Kerala - 673016 • Cuttack Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack, Orissa - 753001 • Davangere 13, First Floor, Akkamahadevi Samaj Complex, Church Road, P. J. Extension, Davangere, Karnataka - 577002 • Dehradun 204 / 121, Nari Shilp Mandir Marg, First Floor, Old Connaught Place, Chakrata Road, Dehradun, Uttarakhand, 248001 • Dhanbad Urmila Towers, Room No. 111 First Floor, Bank More, Dhanbad, Jharkhand - 826001 • Erode 197, Seshaiyer Complex, Agraharam Street, Erode, Tamilnadu - 638001 • Faridabad LG3, SCO 12 Sector 16, Behind Canara Bank, Faridabad - 121002 • Ghaziabad 1st Floor, C - 10, RDC Rajnagar, Opp Kacheri, Gate No. 2, Ghaziabad - 201002 • Gorakhpur Shop No. 5 & 6, Third Floor, Cross Road, The mall, A D Tiraha, Bank Road, Gorakhpur -273001 • Guntur Door No. 31 - 13 - 1158, First Floor, 13 / 1, Arundelpet, Ward No. 6, Guntur - 522002 • Gurgaon Unit No. - 115, First Floor Vipul Agora Building, Sector - 28, Near Sahara Mall, Mehrauli, Gurgaon Road, Chakkarpur, Gurgaon - 122001 • Guwahati Piyali Phukan Road, K. C. Path, House No. 1, Rehabari, Guwahati - 781008 • Gwalior G - 6, Global Apartment, Kailash Vihar Colony, Opp. Income Tax Office, City Centre, Gwalior, Madhya Pradesh - 474002 • Hubli No. 204 - 205, First Floor, B - Block, Kundagol Complex, Opp. Court, Club Road, Hubli, Karnataka - 580029 • Jabalpur 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur, Madhya Pradesh - 482001 • Jalandhar 144, Vijay Nagar, Near Capital Small Finance Bank, Football Chowk, Jalandar City, Punjab - 144001 • Jalgaon Rustomji Infotech Services 70, Navipeth, Opp. Old Bus Stand, Jalgaon, Maharashtra - 425001 • Jamnagar 207, Manek Centre, P N Marg, Jamnagar, Gujarat - 361001 • Jamshedpur Tee Kay Corporate Towers, 3rd Floor, S B Shop Area, Main Road, Bistupur, Jamshedpur-831001 • Jodhpur 1/5, Nirmal Tower, 1st Chopasani Road, Jodhpur, Rajasthan - 342003 • Kolhapur 2 B, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur, Maharashtra - 416001 • Kota B-33, Kalyan Bhawan, Near Triangle Park, Vallabh Nagar, Kota, Rajasthan - 324007 • Kottayam 1307 B, Puthenparambil Building, KSACS Road, Opp. ESIC Office, Behind Malayala Manorama Muttambalam - P O, Kottayam - 686501 • Meerut 108, First Floor, Shivam Plaza, Opp. Eves Cinema, Hapur Road, Meerut, Uttarpradesh - 250002 • Moradabad H 21 - 22, First Floor, Ram Ganga Vihar Shopping Complex, Opposite Sale Tax Office, Moradabad - 244001 • Muzaffarpur Brahman Toli, Durgasthan Gola Road, Muzaffarpur, Bihar - 842001 • Mysore No. 1, First Floor, CH. 26 7th Main, 5th Cross (Above Trishakthi Medicals), Saraswati Puram, Mysore, Karnataka, - 570009 • Nasik First Floor, "Shrad-

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Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 81475 44555

mf@navi.com

List of Point of Acceptances of Computer Age Management Services Limited (CAMS) Technologies Pvt. Ltd

dha Niketan", Tilak Wadi, Opp Hotel City Pride, Sharanpur Road, Nasik - 422002 • Nellore Shop No. 2, 1st Floor, NSR Complex, James Garden, Near Flower Market, Nellore - 524001 • Panipat SCO 83 - 84, First Floor, Devi Lal Shopping Complex, Opp RBL Bank, G.T.Road, Panipat, Haryana - 132103 • Patiala No. 35 New Lal Bagh, Opp. Polo Ground, Patiala - 147001 • Pondicherry S - 8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House), Pondicherry - 605001 • Raipur HIG, C - 23 Sector - 1, Devendra Nagar, Raipur, Chattisgarh - 492004 • Rajahmundry Door No. 6 - 2 - 12, First Floor, Rajeswari Nilayam, Near Vamsikrishna Hospital, Nyapathi Vari Street, T. Nagar, Rajahmundry, Andhra Pradesh - 33101 • Rajkot Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot, Gujarat - 360001 • Ranchi 4, HB Road No. 206, Second Floor, Shri Lok Complex, H B Road, Near Firayalal, Ranchi, Jharkhand - 834001 • Rourkela Second Floor, J B S Market Complex, Udit Nagar, Rourkela - 769012 • Salem No. 2, First Floor, Vivekananda Street, New Fairlands, Salem, Tamilnadu - 636016 • Sambalpur C/o. Raj Tibrewal & Associates, Opp. Town High School, Sansarak Sambalpur, Orissa - 768001 • Siliguri No.78, Haren Mukherjee Road, First Floor, Beside SBI Hakimpara, Siliguri - 734001 • Tirupur 1 (1), Binny Compound, Second Street, Kumaran Road, Tirupur, Tamilnadu - 641601 • Tirunelveli No. F4, Magnam Suraksaa Apatments, Tiruvananthapuram Road, Tirunelveli - 627002 • Trichur Room No. 26 & 27, Dee Pee Plaza, Kokkalai, Trichur, Kerala - 680001 • Trichy No 8, First Floor, 8th Cross West Extn, Thillainagar, Trichy, Tamilnadu - 620018 • Trivandrum TC NO: 22/902, 1st - Floor "BLOSSOM" BLDG, OPP.NSS KARAYOGAM, SASTHAMANGALAM VILLAGE P.O, Thiruvananthapuram Trivandrum-695010. Kerala • Udaipur No.32, Ahinsapuri, Fatehpura Circle, Udaipur - 313001 • Valsad 3rd floor, Gita Nivas, Opp Head Post Office, Halar Cross Lane Valsad, Gujarat - 396001 • Varanasi Office No. 1, Second Floor, Bhawani Market, Building No. D - 58 / 2 - A1, Rathyatra Beside Kuber Complex, Varanasi, Uttarpradesh - 221010 • Vellore Door No. 86, BA Complex, 1st Floor Shop No 3, Anna Salai (Officer Line), Tollgate, Vellore - 632 001 • Warangal H. No. 2 - 4 - 641, F - 7, First Floor, A. B. K Mall, Old Bus Depot Road, Ramnagar, Hanamkonda, Warangal, Telangana - 506001 • Balasore B. C. Sen Road, Balasore, Orissa - 756001 • Jammu JRDS Heights, Sector 14, Nanak Nagar, Near Peaks Auto Showroom, Jammu Jammu & Kashmir - 180004 • Ballari No. 18 / 47 / A, Govind Nilaya, Ward No. 20, Sangankal Moka Road, Gandhinagar, Ballari - 583102 • Navsari 214 - 215, Second Floor, Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari, Gujarat - 396445 • Rohtak SCO 06, Ground Floor, MR Complex, Near Sonipat Stand Delhi Road, Rohtak - 124001 • Tirupati Shop No. 6, Door No. 19 - 10 - 8, (Opp to Passport Office), AIR Bypass Road, Tirupati, AndhraPradesh - 517501 • Kalyani A - 1 / 50, Block A, Kalyani - Nadia Dt, PIN - 741235 • Bhuj T i r t h k a l a First Floor, Opp BMB Bank, New Station Road, Bhuj_kachchh. 370001 • Solapur Flat No 109, First Floor, A Wing, Kalyani Tower 126 Siddheshwar Peth, Near Pangal High School, Solapur, Maharashtra - 413001 • Junagadh "Aastha Plus", 202 - A, Second Floor, Sardarbag Road, Nr. Alkapuri, Opp. Zansi Rani Statue, Junagadh, Gujarat - 362001 • Ankleshwar Shop No. F - 56, First Floor, Omkar Complex, Opp. Old Colony, Near Valia Char Rasta, GIDC, Ankleshwar, Gujarat - 393002 • Kollam Uthram Chanmbers (Ground Floor), Thamarakulam, Kollam - 691006 • Jhansi No. 372 / 18D, First Floor, Above IDBI Bank, Beside V - Mart, Near RAKSHAN, Gwalior Road, Jhansi - 284001 • Aligarh City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh, Uttarpradesh - 202001 • Satara 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara, Maharashtra - 415002 • Kumbakonam No. 28 / 8, First Floor, Balakrishna Colony, Pachaiappa Street, Near VPV Lodge, Kumbakonam - 612001 • Bhagalpur Ground Floor, Gurudwara Road, Near Old Vijaya Bank, Bhagalpur - 812001 • Bareilly F - 62 - 63, Second Floor, Butler Plaza, Commercial Complex, Civil Lines, Bareilly, Uttarpradesh - 243001 • Akola Opp. RLT Science College Civil Lines, Akola, Maharashtra - 444001 • Yamuna Nagar 124 - B / R, Model Town Yamunanagar, Yamuna Nagar, Haryana - 135001 • Deoghar S S M Jalan Road, Ground floor, Opp. Hotel Ashoke, Caster Town, Deoghar, Jharkhand - 814112 • Karimnagar H. No. 7 - 1 - 257, Upstairs S B H mangammathota, Karimnagar, Telangana - 505001 • Kadapa Bandi Subbaramaiah Complex, D. No. 3 / 1718, Shop No. 8, Raja Reddy Street, Kadapa, Andhra Pradesh - 516001 • Shimla First Floor, Opp. Panchayat Bhawan Main gate, Bus stand, Shimla, Himachal Pradesh - 171001 • Kannur Room No. PP. 14 / 435, Casa Marina Shopping Centre, Talap, Kannur, Kerala - 670004 • Mehsana First Floor, Subhadra Complex Urban Bank Road, Mehsana, Gujarat, 384002 • Hazaribag Municipal Market, Annanda Chowk, Hazaribag, Jharkhand - 825301 • Anantapur AGVR Arcade, Second Floor, Plot No. 37 (Part), Layout No. 466 / 79, Near Canara Bank, Sangamesh Nagar, Anantapur, Andhra Pradesh - 515001 • Kurnool Shop No. 26 and 27, Door No. 39 / 265 A and 39 / 265 B, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri, 39th Ward, Kurnool - 18001 • Hisar No - 12, Opp. HDFC Bank, Red Square Market, Hisar, Haryana - 125001 • Sri Ganganagar 18 L Block, ri Ganganagar, Rajasthan - 335001 • Bhatinda 2907 GH, GT Road, Near Zila Parishad, Bhatinda, Punjab - 151001 • Shimoga No. 65, First Floor, Kishnappa Compound, 1st Cross, Hosmane Extn, Shimoga, Karnataka - 577201 • Palakkad Door No. 18 / 507 (3), Anugraha, Garden Street, College Road, Palakkad, Kerala - 678001 • Margao F4 - Classic Heritage, Near Axis Bank, Opp. BPS Club, Pajifond, Margao, Goa - 403601 • Karur 126 G, V. P. Towers, Kovai Road, Basement of Axis Bank Karur, Tamilnadu - 639002 • Bikaner Behind Rajasthan Patrika In front of vijaya bank, 1404, amar singh pura Bikaner - 334001 • Kakinada D. No. 25 - 4 - 29, First Floor, Kommireddy vari street, Beside Warf Road, Opp swathi medicals, Kakinada - 533001 • Bilaspur Shop No. B - 104, First Floor, Narayan Plaza, Link Road, Bilaspur (C. G) - 495001 • Vapi 208, Second Floor, HEENA ARCADE, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi, Gujarat - 396195 • Ambala Shop No.4250, Near B D Senior Secondary School, Ambala Cantt, Ambala Haryana - 133001 • Agartala Nibedita First Floor, J B Road, Palace Compound, Agartala, Near Babuana Tea and Snacks, Tripura West, Pin - 799001 • Saharanpur First Floor, Krishna Complex, Opp. Hathi Gate Court Road, Saharanpur, Uttarpradesh - 247001 • Kharagpur "Silver Palace" OT Road, Inda - Kharagpur, G - P - Barakola, P.S. Kharagpur Local, Dist West Midnapore - 721305 • Tiruvalla First Floor, Room No. 61 (63), International shopping Mall, Opp. ST Thomas Evangelical Church, Above Thomsan Bakery, Manjady, Thiruvalla - 689105 • Alleppey Doctor's Tower Building, Door No. 14 / 2562, First Floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Alleppey, Kerala - 688001 • Noida Commercial Shop No. GF 10 & GF 38, Ground Floor, Ansal Fortune Arcade, Plot No. K - 82, Sector - 18, Noida - 201301 • Thane Dev Corpora, A Wing, 3rd floor, Office no.301, Cadbury Junction, Eastern Express way, Thane (West) - 400 601 • Andheri No. 351, Icon, 501, Fifth Floor, Western Express Highway, Andheri East, Mumbai - 400069 • Sangli Jiveshwar Krupa Bldg. Shop. No. 2, Ground Floor, Tilak Chowk Harbhat Road, Sangli, Maharashtra - 416416 • Jalna Shop No. 6,

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List of Point of Acceptances of Computer Age Management Services Limited (CAMS) Technologies Pvt. Ltd

Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna, Maharashtra - 431203 • Ghatkopar Platinum Mall, Office No. 307, Third Floor, Jawahar Road, Ghatkopar East, Mumbai - 400077 • Borivali 501 – TIARA, CTS 617, 617 / 1 - 4, Off Chandavarkar Lane, Maharashtra Nagar, Borivali – West, Mumbai – 400092 • Vashi BSEL Tech Park, B - 505, Plot No. 39 / 5 & 39 / 5 A, Sector 30A, Opp.Vashi Railway Station Vashi, Navi Mumbai - 400705 • Pitampura Number G - 8, Ground Floor, Plot No. C - 9, Pearls Best Height - II, Netaji Subhash Place, Pitampura, New Delhi – 110034 • Tambaram Third Floor, B R Complex, No. 66, Door No. 11 A, Ramakrishna Iyer Street, Opp. National Cinema Theatre, West Tambaram, Chennai - 600045 • Janakpuri Office Number 112, First Floor, Mahatta Tower, B Block Community Centre, Janakpuri, New Delhi -110058 • Bangalore(Wilson Garden) First Floor, No. 17 / 1, (272) Tweleth Cross Road, Wilson Garden, Bangalore - 560027 • Karnal No. 29, Avtar Colony, Behind vishal mega mart, Karnal - 132001 • Kalyan Office No. 413, 414, 415, Fourth Floor, Seasons Business Centre, Opp. KDMC (Kalyan Dombivli Municipal Corporation), Shivaji Chowk, Kalyan (W) – 21301 • Bharuch A - 111, First Floor, R K Casta, Behind Patel Super Market, Station Road, Bharuch - 392001 • Nadiad F 142, First Floor, Ghantakarna Complex Gunj Bazar, Nadiad, Gujarat - 387001 • Ahmednagar No. 3. First Floor, Shree Parvati, Plot No. 1 / 175, Opp. Mauli Sabhagruh, Zopadi Canteen, Savedi, Ahmednagar - 414003 • Basti C/O. Rajesh Mahadev & Co., Shop No. 3, First Floor, Jamia Complex Station Road, Basti - 272002 • Chhindwara Second Floor, Parasia Road, Near Surya Lodge, Sood Complex, Above Nagpur CT Scan, Chhindwara, Madhya Pradesh - 480001 • Chittorgarh 3, Ashok Nagar, Near Heera Vatika, Chittorgarh, Rajasthan - 312001 • Darbhanga Ground Floor, Belbhadrapur, Near Sahara Office, Laheriasarai Tower Chowk, Laheriasarai, Darbhanga - 846001 • Dharmapuri 16 A / 63 A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Tamilnadu - 636701 • Dhule 1793/A, J B Road, Near Tower Garden, Dhule - 424001 • Faizabad 9/1/51, Rishi Tola Fatehganj, Ayodhya, Faizabad, Uttar Pradesh-224001 • Gandhidham Shyam Sadan, First Floor, Plot No. 120, Sector 1 / A, Gandhidham - 370201 • Gulbarga Pal Complex, First Floor, Opp. City Bus Stop, Super Market, Gulbarga, Karnataka - 585101 • Haldia Mouza - Basudevpur, J. L. No. 126, Haldia Municipality, Ward No. 10, Durgachak, Haldia - 721602 • Haldwani Durga City Centre, Nainital Road, Haldwani, Uttarakhand - 263139 • Himatnagar Unit No. 326, Third Floor, One World - 1, Block - A, Himmatnagar - 383001 • Hoshiarpur Near Archies Gallery, Shimla Pahari Chowk, Hoshiarpur, Punjab - 146001 • Hosur Survey No. 25 / 204, Attibele Road, HCF Post, Mathigiri, Above Time Kids School, Oppsite To Kuttys Frozen Foods, Hosur - 635110 • Jaunpur 248, Fort Road Near Amber Hotel, Jaunpur Uttarpradesh - 222001 • Katni First Floor, Gurunanak dharmakanta, Jabalpur Road, Bargawan, Katni, Madhya Pradesh - 483501 • Khammam Shop No. 11 - 2 - 31 / 3, First Floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam, Telangana - 507001 • Malda Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda, West bengal - 732101 • Manipal Shop No. A2, Basement Floor, Academy Tower, Opposite Corporation Bank, Manipal, Karnataka - 576104 • Mathura 159 / 160 Vikas Bazar Mathura Uttarpradesh - 281001 • Moga Street No 8-9 Center, Aarya Samaj Road, Near Ice Factory, Moga -142 001 • Namakkal 156A / 1, First Floor, Lakshmi Vilas Building, Opp. To District Registrar Office, Trichy Road, Namakkal, Tamilnadu - 637001 • Palanpur Gopal Trade center, Shop No. 13 - 14, Third Floor, Nr. BK Mercantile bank, Opp. Old Gunj, Palanpur - 385001 • Rae Bareilly 17, Anand Nagar Complex, Opposite Moti Lal Nehru Stadium, SAI Hostel Jail Road, Rae Bareilly, Uttar pradesh - 229001 • Rajapalayam No. 59 A / 1, Railway Feeder Road, (Near Railway Station), Rajapalayam, Tamilnadu - 626117 • Ratlam Dafria & Co., No. 18, Ram Bagh, Near Scholar's School, Ratlam, Madhya Pradesh - 457001 • Ratnagiri Orchid Tower, Ground Floor, Gala No. 06, S. V. No. 301 / Paiki, 1 / 2, Nachane Munciple Aat, Arogya Mandir, Nachane Link Road, At, Post, Tal. atnagiri Dist. Ratnagiri - 415612 • Roorkee 22, Civil Lines, Ground Floor, Hotel Krish Residency, Roorkee, Uttara khand - 247667 • Sagar Opp. Somani Automobile, S Bhagwanganj Sagar, Madhya Pradesh - 470002 • Shahjahanpur Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur Uttarpradesh - 242001 • Sirsa Ground Floor of CA Deepak Gupta, M G Complex, Bhawna Marg, Beside Over Bridge, Bansal Cinerma Market, Sirsa Haryana - 125055 • Sitapur Arya Nagar, Near Arya Kanya School, Sitapur, Uttarpradesh - 261001 • Solan First Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan, Himachal Pradesh - 173212 • Srikakulam Door No 10-5-65, 1st Floor, Dhanwanthri Complex, Kalinga Road, Opp Chandramouli Departmental Store, Near Seven roads Junction, Srikakulam – 32 001 • Sultanpur 967, Civil Lines, Near Pant Stadium, Sultanpur, Uttarpradesh - 228001 • Surendranagar Shop No. 12, M. D. Residency, Swastik Cross Road, Surendranagar - 363001 • Tinsukia Bangiya Vidyalaya Road, Near Old post office, Durgabari, Tinsukia, Assam - 786.125 • Tuticorin 4 B / A 16, Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin, Tamilnadu - 628003 • Ujjain Adjacent to our existing Office at 109, First Floor, Siddhi Vinayak Trade Center, Shahid Park, Ujjain - 456010 • Yavatmal Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal, Maharashtra, 445001 • Kukatpally No. 15 - 31 - 2 M - 1 / 4, First Floor, 14 - A, MIG, KPHB Colony, Kukatpally, Hyderabad - 500072 • Mapusa (Parent ISC : Goa) Office No. 503, Buildmore Business Park, New Canca By pass Road, Ximer, Mapusa Goa - 403507 • Bhusawal (Parent: Jalgaon TP) 3, Adelaide Apartment, Christain Mohala, Behind Gulshan - E - Iran Hotel, Amardeep Talkies Road, Bhusawal, Maharashtra - 425201 • Gondal (Parent Rajkot) A / 177, Kailash Complex, Opp. Khedut Decor Gondal, Gujarat, 360311 • Vasco (Parent Goa) No. DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank, Vasco, Goa - 403802 • Kolkata-CC (Kolkata Central) 3 / 1, R. N. Mukherjee Road, Third Floor, Office space - 3 C, "Shreeram Chambers", Kolkata - 700001 • Chennai-Satelite ISC No. 158, Rayala Tower - 1, Anna Salai, Chennai - 600002

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Website: <https://navi.com/mutual-fund>

Toll free : 18002032131 Non Toll Free: +91 81475 44555

mf@navi.com