



## Key Information Memorandum

# Quantum Ethical Fund

(An open-ended equity scheme following an Ethical Theme)

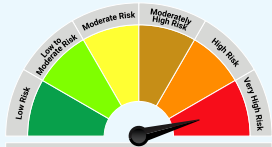



Offer for Units of Rs. 10 Per Unit for cash during the New fund Offer Period and at NAV based prices upon re-opening

New Fund Offer Opens on: December 02, 2024  
New Fund Offer Closes on: December 16, 2024

Scheme Re-opens for continuous sale and repurchase on: December 26, 2024

### Product Labeling

This product is suitable for investors who are seeking*	Scheme Riskometer <sup>#</sup>	Benchmark Riskometer (Tier I) - NIFTY 500 Shariah TRI
<ul style="list-style-type: none"><li>Long term capital appreciation</li><li>Investments in Equity &amp; Equity Related Instruments of companies following an Ethical Set of Principles</li></ul>	 <p>The risk of the scheme is Very High Risk</p>	 <p>The risk of the benchmark is Very High Risk</p>

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

<sup>#</sup>The product labeling assigned during the NFO is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made. The same shall be updated on ongoing basis in accordance with clause 17.4 of the SEBI Master Circular on Mutual Funds dated June 27, 2024.

MUTUAL FUND	TRUSTEE COMPANY	Asset Management Company
Quantum Mutual Fund 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a>	Quantum Trustee Company Private Ltd. 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 CIN - U67190MH2005PTC156119	Quantum Asset Management Company Private Ltd. 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400 020 CIN - U65990MH2005PTC156152 <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a>

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.QuantumAMC.com](http://www.QuantumAMC.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated November 08, 2024.

## Investment Objective

The Investment Objective of the scheme is to achieve long-term capital appreciation by investing in Equity & Equity Related Instruments of companies following an Ethical Set of Principles.

There is no assurance that the investment objective of the scheme will be achieved.

## Asset Allocation Pattern of the scheme

Instruments	Indicative allocations (% of total assets)	
	Minimum	Maximum
Equity & Equity related instruments of Companies following an Ethical set of Principles	80	100
Debt & Money Market Instruments in compliant with Ethical Principles	0	20

The cumulative gross exposure representing Equity & Equity related instruments following an Ethical set of Principles and Debt & Money Market Instruments in compliant with Ethical Principles shall not exceed 100% of the net assets of the Scheme pursuant to para-No. 12.24 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024.

Cash and cash equivalents as per SEBI letter no. SEBI/HO/IMD-II/DOF3/OW/P/2021/31487/1 dated November 03, 2021 which includes T-bills, Government Securities and Repo on Government Securities having residual maturity of less than 91 Days, shall not be considered for the purpose of calculating gross exposure limit.

### Indicative Table

Sr. No.	Type of Instruments	Percentage of Exposure	Circular References of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024.
1.	Securities Lending	Nil	Para No. 12.11
2.	Equity Derivatives for non- hedging purposes	Nil	Para No.12.25
3.	Securitized Debt / Structured Obligations / Credit Enhanced Debt / Repo / Reverse Repo of Corporate Debt Securities / Debt Instruments having Special Features	Nil	Para No.12.15
4.	Overseas Securities / ADR / GDR	Nil	Para No. 12.19
5.	ReITS and InVITS	Nil	Para No.12.21
6.	AT1 and AT2 Bonds	Nil	Para No. 12.2

### Portfolio Rebalancing in case of deviation from Asset Allocation Under Defensive Consideration

The asset allocation pattern indicated above may change from time to time, depending on liquidity considerations or on account of high levels of subscriptions or Repurchase / Redemptions relative to Scheme size, or upon various defensive considerations including market conditions, market opportunities, applicable regulations and political and economic factors. These proportions may vary substantially depending upon the perception of the AMC the intention being at all times to seek to protect the interests of the Unitholders. Such changes in the investment pattern will be for short term and only for defensive considerations. In the event of deviations from the above asset allocation table, the Fund Manager will carry out rebalancing within 30 Calendar Days in accordance to para-No. 2.9 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024. However, at all the times the portfolio will adhere to the overall investment objectives of the Scheme.

### Portfolio Rebalancing in case of Passive Breach

Pursuant to para-No. 2.9 of SEBI Master Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2024/90 dated June 27, 2024 In the event of deviation from the above asset allocation due to passive breaches (occurrence of instances not arising out of omission and commission of the AMC), the rebalancing shall be done within 30 Business Days. In case the rebalancing is not done within 30 Business Days, then justification in writing including details of efforts taken to rebalance the portfolio shall be place before the Investment Committee. The Investment Committee, if so desires, can extend the timelines upto 60 Business Days from the date of completion of 30 Business Days rebalancing period.

In case the portfolio of scheme is not rebalanced within the aforementioned mandated plus extended timelines, the AMCs shall:

- not be permitted to launch any new scheme till the time the portfolio is rebalanced.
- not to levy exit load, if any, on the investors exiting from the scheme.

AMC shall report the deviation to Trustees at each stage. Further, in case the AUM of deviated portfolio is more than 10% of the AUM of main portfolio of scheme:

- AMCs shall immediately disclose the same to the investors through SMS and email / letter including details of portfolio not rebalanced.
- AMCs shall also immediately communicate to investors through SMS and email / letter when the portfolio is rebalanced.

AMCs shall also disclose any deviation from the mandated asset allocation to investors along with periodic portfolio disclosures as specified by SEBI from the date of lapse of mandated plus extended rebalancing timelines.

The Scheme will follow an active investment strategy. The corpus of the scheme will invest in share of companies meeting an Ethical set of Principles including of Shariah, Jainism and other ethical principles encompassing a broad Ethical Framework. Such companies meeting the ethical criteria will be further screened through Quantum's proprietary integrity framework. These companies will also undergo a financial evaluation based on a set of metrics to ensure they are financially sound before being included in the portfolio.

### Shariah Principles:

Shariah has unique approach is that financial transactions are fair & equitable, financial rewards are correlated with the level of risk, efforts and responsibility and prohibits interest, excessive uncertainty / speculation, gambling and harmful businesses. To follow such principles while investing the sector and industry will be excluded are Alcohol, gambling, tobacco, vulgar entertainment, animation content provider, exhibition of films, media-broadcasting, media-content, production & distribution of films, mainstream/conventional financial services, non-halal food and beverages, narcotics substance or anything largely harmful to society.

### Jainism Principles:

Jainism has a unique approach to economic development which is strongly centered on sincerity and integrity' around Ahimsa and Karma, which 'encourages a light footprint in life, where consumption is to be simplified and minimized. To follow such principle while investing the sector & industry will be excluded are Meat & Animal Products, Alcohol & Tobacco, Pharmaceutical involving Animal Testing, Weapon & Defense, Gambling & Betting.

These Ethical Principles guide the overall investment framework and decision-making process.

## Ethical Investment Framework

### I. Ethical Exclusions

The scheme will avoid investing in companies that generate revenues from:

- o Alcohol
- o Gambling
- o Tobacco
- o Vulgar entertainment
- o Film exhibition
- o Media broadcasting and content
- o Film production and distribution

### II. Additional Exclusions

The scheme will also exclude companies involved in:

- o Mainstream/conventional financial services
- o Narcotic substances or anything largely harmful to society
- o Leather industries
- o Meat and poultry industries or any form of animal cruelty
- o Animal testing (including pharmaceutical companies involved in such practices)

### III. Financial Screening

In line with certain ethical considerations, financial screening will ensure that portfolio companies have:

- o Interest-based debt is less than 25% of total assets
- o Interest income is less than 4% of total income

This financial screening is separate from evaluating financial metrics to ascertain a company's financial soundness.

### IV. Integrity Screening

Companies that meet the above criteria will undergo further screening by Quantum's investment process. The strategy aims to match sector weightages with broad, well-diversified indices for the Indian equity markets, subject to ethical screening. The objective is to invest in companies within each sector that score highly on integrity parameters.

Companies meeting the above criteria will further be screened by Quantum's proprietary integrity framework. The strategy determines sector weightages to reflect that of broad well diversified indices for the Indian equity markets subject to Ethical screening. Further, the strategy aims to invest in companies within each sector that stand high on the integrity parameters. The integrity check assesses non-financial aspects of a company's behavior with its various stakeholders, including governance, regulatory risks, and future preparedness. The Governance aspects, how well governed is the company under consideration. Further, the Investment Team will also look at various measures like its preparedness for risks from regulatory as well as disruption like emerging environmental and other regulations or to company specific factors like the conduct with the labour forces which could indicate issues like possibilities of strikes, supply chain disruptions etc. The forward looking assessment of how well is the company prepared for the future with its pro stakeholder behavior whether its if for shareholders, employees, community in which it operates or be its the environmental aspects amongst various stakeholders around the company.

The investment strategy of the Scheme will be to invest in a basket of stocks after intensive analysis on the integrity aspects of the company. The aim is to follow a comprehensive stakeholder approach in order to develop deeper understanding into a company's management practices, sustainable businesses and risk profile, which would thereby help us in understanding the impact on long-term sustainability and stability that drives performance. The companies will also be evaluated on various financial aspects to ensure its on a sound footing further reducing financial risk with such investments.

The primary focus of the Scheme will be on companies based on the following criteria:

1. Exclusion criteria
2. Companies trading \$ 1 million on an average per day for last 12 months
3. Companies qualifying Ethical set of principles including principles like Shariah, Jainism etc amongst broad set of Ethical principles
4. Companies scoring positively on Integrity assessment
5. Companies meeting the criteria for financial soundness that includes leverage, Return on Invested Capital, growth in free cash flow etc.

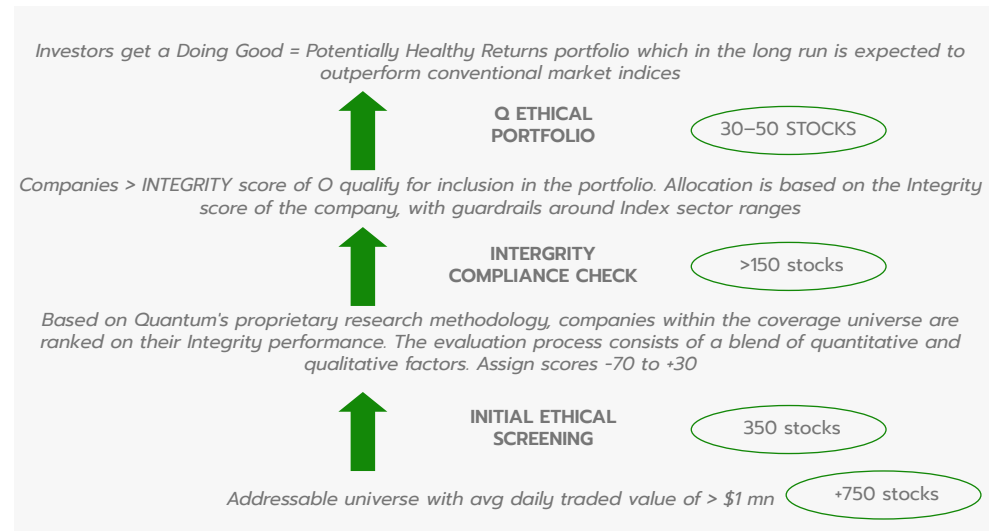
#### PORTFOLIO CONSTRUCTION

1. Every Stock with intergity composite score equal to or above the threshold integrity score will be part of the portfolio.
2. The AMC would generally not try to time the market and will add stocks that meet the Ethical and integrity criteria actively to capitalize on opportunities post through through assessment of potential companies. Every stock in portfolio will be bought and sold on the basis of weights allotted to it and will not consider the prevailing valuation of the stock.

The AMC will set sector weights for the portfolio in accordance with sector weights of a broad well diversified India equity Index.

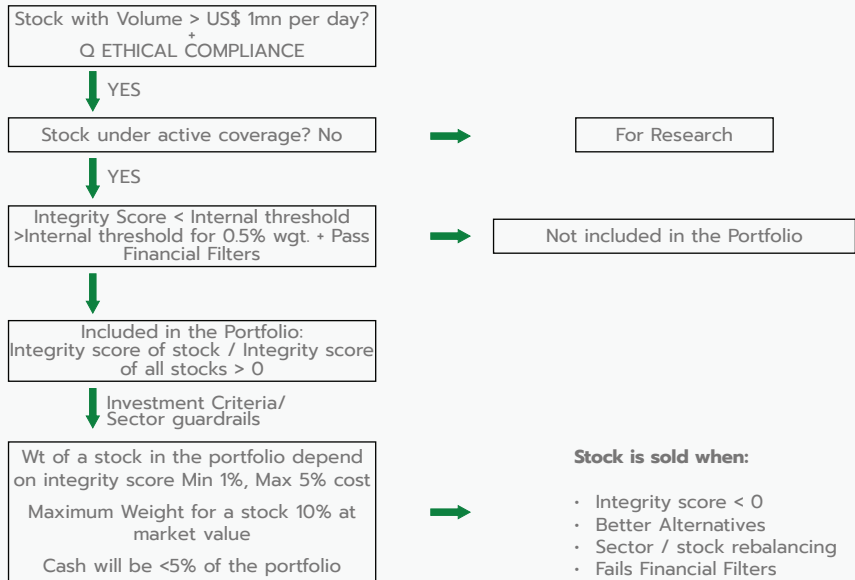
3. In case, there are no stocks meeting the Ethical criteria and the integrity score greater than or equal to the set threshold composite score in a particular sector, the weightage of that sector is redistributed on a relative basis among other sectors, where the stocks meet the criteria. Consequently, the weightages of individual stocks qualifying the criteria within those sectors will also change accordingly.
4. The AMC will seek to periodically rebalance the portfolio on account of a new addition of stock, company specific events and in case of change in the view of the sector or the company.

The scheme will invest only in securities which are part of the Ethical Compliant Universe. In case of change in Ethical screening of any company post investment by the scheme, the Investment Team will exit from the scrip in a reasonable time.



The number of Stock in trading volume criteria, integrity criteria and in portfolio will be changed from time to time based on Investment Strategy of the scheme.

\*Ethical = Form part of the ethical frameworks Shariah Compliant Universe, Jainism and such other Ethical principles



The Investments in Debt & Money Market Instruments will be done for managing of liquidity and instruments which will qualify under the relevant Ethical Principles.

## Risk Profile of the Scheme

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:

### Scheme specific risk factors:

#### Ethical Investing Risk:

Ethical principles and their interpretations have evolved over a period of time and will continue to evolve going forward. In the pursuit to encompass most ethical principles in spirit, the AMC shall seek to gain guidance from an ethical advisor on the principles (as may be practically possible) and for interpretation of the principles. There may be more than one interpretation of the Ethical principles, the scheme shall always be guided by the advice and interpretation provided by the Advisor and or the best of its interpretation. Ethical principles and their interpretations have evolved over a period of time and will continue to evolve going forward. The AMC shall incorporate the same to the best of its knowledge and guidance.

The Scheme will invest only in Securities which will meets the Ethical Set of Principles as defined in the SID. The Fund Manager will review the various disclosures made by the companies and will do due diligence of the companies as part of the research process. The Fund Manager will exit from the securities on identification of variation / deviations in compliance of the Ethical Set of Principles as per the investment process. This may restrict the scheme to sell such securities as desired price.

#### Liquidity risk:

Different segments of the Indian financial markets have different settlement periods and such periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases due to its inability to liquidate the cash equivalents in time could cause the Scheme to miss certain investment opportunities resulting, at times, in potential losses to the Scheme.

#### Equity and equity-related risk

The Mutual Fund is not guaranteeing or assuring any returns. The trading volumes, settlement periods and transfer procedures may restrict the liquidity of the investments made by the Scheme. In the event investments are made in unlisted securities, the ability to liquidate such investments would be further limited. Along with liquidity risk, the NAV of the Units of the Scheme can go up or down because of various factors that affect the capital markets in general.

The performance of the Scheme may be affected by changes in Government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems.

#### Price risk

The inclusion or exclusion of security depends entirely on the integration of Ethical principles and a comprehensive analysis of the company based on the integrity criteria. Hence, the buying or selling of a security is independent of valuations. Therefore, the price risk is comparatively higher.

#### Allocation risk

In the scheme, the portfolio allocations (or weights) are done on two levels: one on sector level and second at security level. The sectoral allocations in the Scheme will track the sectoral allocations of a broad well-diversified index subject to ethical screening and integrity performance to ensure portfolio diversification. Therefore, the underlying security allocation may be under or overweight as compared to that in the broad well-diversified Index. There is a risk that the returns from the Scheme may not be exactly equivalent to the returns from the broad well-diversified Index.

**Concentration Risk:**

The Scheme will invest in certain securities of certain companies, industries, sectors, based on its investment objectives and policies as outlined in this Scheme Information Document. The funds invested by the Scheme in certain securities of industries, sectors, etc. may acquire a substantial portion of the Scheme's investment portfolio and collectively may constitute a risk associated with non-diversification and thus could affect the value of investments.

**For details on risk factors and risk mitigation measures, please refer SID.**

**Plans and Options****Plans available under the Scheme:**

- Direct Plan
- Regular Plan

**Options under each Plan(s):**

- Growth Option

The Income will not be declared & distributed under this Option. The income attributable to Units under this Option will continue to remain invested and will be reflected in the Net Asset Value of Units under this Option.

Investor should indicate the Direct / Regular Plan for which the subscription is made by indicating the choice in the application form. In case of valid application received without indicating any choice of plan then the application will be processed for plan as under:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong /invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar Days, the AMC shall reprocess the transaction under Direct Plan from the date of application. In case an investor submits an application with ARN number which is valid but the broker/distributor is not empaneled with the AMC, the transaction will be processed under "Direct Plan" or in the manner notified by SEBI / AMFI from time to time.

All Purchase / Switch requests (including under fresh registrations of Systematic Investment Plan (SIP) / Systematic Transfer Plan (STP) or under SIPs/ STPs registered prior to the suspension period).The financial transactions# of an investor where his distributor's AMFI Registration Number (ARN) has been suspended temporarily or terminated permanently received during the suspension period shall be processed under "Direct Plan" and continue to be processed under "Direct Plan" perpetually unless after suspension of ARN is revoked, unitholder makes a written request to process the future installments / investments under "Regular Plan". Any financial transactions requests received through the stock exchange platform, from any distributor whose ARN has been suspended, shall be rejected.

**Applicable NAV****During New Fund Offer (NFO):**

The Units are being offered at ₹10 per Unit during the New Fund Offer Period.

**After the scheme Re-opens for Continuous Sale and Repurchase:****SUBSCRIPTION/PURCHASE INCLUDING SWITCH-INS:-**

- In respect of valid application received up to 3.00 p.m. on a Business Day at the official point(s) of Acceptance and funds for the entire amount of subscription/ purchase (Including switch-in) as per the application are credited to the bank account of the Scheme and are available for utilization before the cut-off time (3.00 p.m.), the closing NAV of the day shall be applicable;
- In respect of valid application received after 3.00 p.m. on a Business Day at the official Point(s) of acceptance and funds for the entire amount of subscription / purchase (including switch-in) as per the application are credited to the bank account of the Scheme on same day or before the cut-off time of the next business day i.e. funds are available for utilization before the cut-off time of next Business Day- the closing NAV of the next Business Day shall be applicable;
- However, irrespective of the time of receipt of application at the official point(s) of acceptance, where the funds for the entire amount of subscription / purchase (including switch-in) as per the application are credited to the bank account of the Scheme on or before the cut - off time of the subsequent Business Day i.e. funds are available for utilization before the cut-off time of subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable;

It may be noted that in case of Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Transfer of Income Distribution cum Capital Withdrawal Facility, the units will be allotted based on the funds available for utilization by the respective schemes / target schemes irrespective of the installment date of the SIP, STP or record date of income distribution.

**REDEMPTIONS INCLUDING SWITCH-OUTS:**

- a. In respect of valid applications received up to 3 p.m. on a Business Day, the closing NAV of the day of receipt of application shall be applicable.
- b. In respect of valid applications received after 3 p.m. on a Business Day, the closing NAV of the next Business Day shall be applicable.

**Minimum Application Amount/ Number of Units**

Initial Purchase	Additional Purchase	Redemption
₹500/- and in multiples of Re.1/- thereafter	₹500/- and in multiples of Re.1/- thereafter /50 Units	Any Amount

**Despatch of Redemption Request**

Despatch of redemption proceeds:

The redemption or repurchase proceeds shall be dispatched to the unitholders within three working days from receipt of valid redemption or repurchase request.

**Benchmark Tier 1**

Tier 1: NIFTY 500 Shariah TRI

**Dividend Policy**

Not Applicable

**Name of the Fund Manager**

Mr. Chirag Mehta  
Tenure of the Fund Manager: Not available, since this is a new scheme.

**Name of the Trustee Company**

Quantum Trustee Company Private Ltd.

**Performance of the scheme**

This is a new scheme and does not have any performance track record.

**Additional Scheme Related Disclosures**

- SCHEME'S PORTFOLIO HOLDINGS** – The scheme is a new scheme and currently does not have any holdings.
- DISCLOSURE OF NAME AND EXPOSURE TO TOP 7 ISSUERS, STOCKS, GROUPS AND SECTORS AS A PERCENTAGE OF NAV OF THE SCHEME IN CASE OF DEBT AND EQUITY ETFS/INDEX FUNDS THROUGH A FUNCTIONAL WEBSITE LINK THAT CONTAINS DETAILED DESCRIPTION** – Not Applicable
- SCHEME'S PORTFOLIO TURNOVER RATIO:** Not available, since this a new scheme.

**Expenses of the Scheme**

All the NFO expenses shall be borne by the AMC

**Load Structure**

Type of Load	Load chargeable (as % of NAV)
<b>Exit Load</b>	
10% of units If redeemed or switched out on or before 365 days from the date of allotment.	NIL
Remaining 90% of units if redeemed or switched out on or before 365 days from the date of allotment.	1
If redeemed or switched out after 365 days from the date of allotment	NIL
Note: Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis.	

Redemptions / Switch outs of units will be done on First In First Out (FIFO) basis. The above-mentioned load structure shall be equally applicable to the special facilities such as Systematic Withdrawal Plan (SWP) / Systematic Transfer Plan (STP) and Switches etc. However, no load shall be charged for switching between option / plan within the Scheme.

**Recurring Expenses**

The AMC has estimated that upto 2.25% of the daily net asset of the scheme will be charged as the expense ratio to the Scheme as permitted under Regulation 52 of SEBI (Mutual Funds) Regulations, 1996. For actual current expense being charged, the investor should refer to the website of the Mutual Fund viz. [www.QuantumAMC.com](http://www.QuantumAMC.com). The Fund would update the current expense ratio of the scheme on the website at least three working days prior to the effective date of the change. Investor can refer <https://www.quantumamc.com/total-expense-ratio> for total expense ratio details. Additionally, the Fund will disclose the Total Expense Ratio (TER) of the Scheme on daily basis on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

As per Regulation 52(6)(c) of SEBI (MF) Regulations, the total expenses of the Scheme, including Investment Management and Advisory Fees shall be subject to following limits as specified below:



Asset Under Management Slab	Total Expense Ratio % limits
On the first ₹500 Crores of the daily net assets	2.25
On the next ₹250 Crores of the daily net assets	2.00
On the next ₹1,250 Crores of the daily net assets	1.75
On the next ₹3,000 Crores of the daily net assets	1.60
On the next ₹5,000 Crores of the daily net assets	1.50
On the next ₹40,000 Crores of the daily net assets	Total expense ratio reduction of 0.05% for every increase of ₹5,000 Crores of daily net assets or part thereof
On balance of the assets	1.05

The maximum recurring expenses of the Scheme, including the investment management and advisory fee, together with additional expenses if any, shall not exceed the limits prescribed under Regulation 52 of the SEBI (Mutual Funds) Regulations 1996 read with the SEBI Master circular dated June 27, 2024.

Actual expenses for the financial year: Not available, since this is a new scheme.

### Tax treatment for the Investors (Unitholders)

Investors are advised to refer to the details in the Scheme Information Document and Statement of Additional Information and also independently refer to his/her/their tax advisor

### Daily Net Asset Value (NAV) Publication

The AMC will calculate and disclose the first NAV(s) of the Scheme not later than 5 Business Days from the date of allotment of units under the NFO period.

The NAV will be disclosed on the website of the AMC [www.QuantumAMC.com](http://www.QuantumAMC.com) and on the website of Association of Mutual Funds in India [www.amfindia.com](http://www.amfindia.com) by 11.00 p.m. on every Business Days.

### For Investor Grievances please contact

<p><b>Name and Address of Registrar</b></p> <p>Registrars &amp; Transfer Agent:</p> <p><b>KFin Technologies Limited Unit:</b> Quantum Mutual Fund, Selenium Tower B, Plot number 31 &amp; 32, Financial District, Nanakramguda Serilingampally Mandal, Hyderabad - 500032, Phone: +91 – 040-23312454</p>	<p>Investor may contact the AMC for any Queries/Clarifications/ Complaints to Mr. Chandrasen Manjrekar - Vice President Customer Relations at Email - <a href="mailto:CustomerCare@QuantumAMC.com">CustomerCare@QuantumAMC.com</a>, Telephone number - 1800 209 3863 / 1800 22 3863 (Toll Free).</p> <p>Ms. Rina Nathani - Investor Relation Officer (IRO) can be contacted at Quantum Asset Management Company Private Limited, 1st Floor, Appejay House, 3 Dinshaw Vachha Road, Churchgate, Mumbai - 400020, Telephone Number 022-61447800, Email - <a href="mailto:IRO@Quantumamc.com">IRO@Quantumamc.com</a>.</p> <p>For any grievances with respect to transactions through Stock Exchange Platform for Mutual Funds, the investors should approach either the stockbroker or the investor grievance cell of the respective stock exchange.</p> <p>For further details / escalation please refer the Grievance Policy available on the AMC Website <a href="https://www.quantumamc.com/downloads/pdfs/grievance_report.pdf">https://www.quantumamc.com/downloads/pdfs/grievance_report.pdf</a></p>
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### Unitholders' Information

#### Account Statement / Allotment Confirmation:

On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of email and/or SMS or issue units in the dematerialized form as soon as possible but not later than 5 Business Days from the date of receipt of transaction request. The allotment confirmation will be sent to the applicant's registered e-mail address and/or mobile number. Investors / unit holders are therefore requested to provide their email id and mobile number in the application form at the time of subscription.

Thereafter, a Consolidated Account Statement for each calendar month shall be sent by mail / email on or before 15th of the succeeding month to the unit holders by the Depository for unit holders having Mutual Fund investments and holding demat account and by the AMC / Registrar for unit holders having Mutual Fund investments but do not have demat account for each calendar month in whose folios transactions have taken place during the month. The CAS shall contain details relating to all the transactions carried out by the investor / unit holder across all schemes of all mutual funds or transactions in demat account during the month and holding at the end of the month.

The CAS issued for the half year (September / March) shall include:

1. The amount of actual gross commission paid to the distributors (in absolute terms) during the half-year period against the concerned investors /unit holders total investments in each scheme.
2. Average Total Expense Ratio of the scheme (in percentage terms) for the half-year period for each Scheme's applicable plan (regular or direct or both) where the concerned investor / unit holder has actually invested in.

The half-yearly CAS shall not be issued to those investors who do not have any holdings in Schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.



The CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September / March), shall be sent by mail/ email as per the timeline specified by the SEBI from time to time i.e. on or before 21st day of succeeding month by the Depositories to all such investors / unit holders which has demat account with nil balance and no transaction in securities or in folio has taken place during the period.

The investor may request for a physical Account Statement by writing / calling to the AMC / Investor Service Center / Registrar & Transfer Agent. The AMC and Mutual Fund shall provide the physical Account Statement to the investor within 5 Business Days from the receipt of such request without any charges.

#### **Portfolio Disclosures**

The Fund will disclose portfolio (along with ISIN) in user friendly & downloadable spreadsheet format, as on the last day of the month / half year for the scheme on its website [www.QuantumAMC.com](http://www.QuantumAMC.com) & on the website of AMFI [www.amfindia.com](http://www.amfindia.com) within 10 days from the close of each month / half year.

In case of unitholders whose email addresses are registered, the Fund will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month / half year respectively.

The Fund will publish an advertisement every half-year in the all India edition of at least two daily newspapers, one each in English & Hindi, disclosing the hosting of the half yearly statement of the scheme's portfolio on the AMC's website [www.QuantumAMC.com](http://www.QuantumAMC.com) & on the website of AMFI [www.amfindia.com](http://www.amfindia.com). The Fund will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholders.

#### **(I) Half – Yearly Financial Results**

The Fund shall within one month from the close of each half year, (i.e. March 31 and on September 30), host a soft copy of its unaudited financial results on its website ([www.QuantumAMC.com](http://www.QuantumAMC.com)). Further, the Fund shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in at least one national English daily newspaper having nationwide circulation and in newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated.

#### **(II) Annual Report**

The AMC / Mutual Fund shall be sent the Scheme wise annual report or an abridged summary thereof within four months from the date of closure of the relevant accounting year i.e. March 31 each year to all investors / unit holders as per the following mode:

- i. by e-mail to the investors / Unit holders whose e-mail address is available with the AMC / Fund.
- ii. in physical form to the investors / Unit holders whose email address is not registered with the AMC / Fund and/or those Unit holders who have opted / requested for the same.

The physical copies of the scheme wise annual report or abridged summary shall be made available to the investors / unit holders at the registered office of the AMC.

A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India.

The AMC / Mutual Fund shall publish an advertisement every year disclosing the hosting of the scheme wise annual report on their respective website and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter), etc. through which unitholders can submit a request for a physical or electronic copy of the scheme wise annual report or abridged summary thereof. Such advertisement shall be published in the all India edition of at least two daily newspapers, one each in English and Hindi.

### **Know Your Customer (KYC) Norms Effective April 1, 2024**

As per the SEBI Circular No. SEBI/HO/MIRSD/SECFATF/P/CIR/2023/169 dated October 12, 2023, as amended from time to time, the KYC Registration Agencies (KRAs) shall verify the following attributes of records of all investors within 2 days of receipt of KYC records:

- PAN
- Name
- Address
- Mobile number
- Email id

If KRA is unable to verify the above attributes, such investors shall not be allowed to transact further until the attributes are verified. Investors should ensure that they provide their valid contact details [Email id / Mobile Number] to the AMC.

KRA	Existing Investor	New Investor	Remediation
KYC Validated – Existing records prior to April 01, 2024	No impact	No impact	Not Required
KYC Registered	No impact	Allowed, Fresh set of KYC documents to be submitted	Investor can do a re-KYC using OVD (Officially Valid Document).
KYC On-Hold/ KYC Rejected	Transactions will not be allowed	Transactions will not be allowed	Investor should ensure to do the following to change the status to Registered:  1. To complete PAN Aadhaar seeding. 2. Update email id / mobile and validate; 3. re-submit the official valid documents to KRA.

Note:

1. NRI's provisions with respect to portability of KYC Records have been relaxed for one year i.e. till April 30, 2025.
2. Transaction Validation by either one of the attributes namely Mobile or Email is considered valid (including NRIs).
3. The existing Investors, as on March 31, 2024, in whose KYC status cannot be verified by the KRAs shall be allowed to exit (sale/redemption, etc.).

## Nomination

Nomination shall be mandatory for new folios/accounts opened by an individual no new folio /account for individuals would be opened without nomination. However, investors who do not wish to nominate must sign declaration opting out of Nomination. The requirement of nomination shall be optional for jointly held folio(s). Minors and Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Please refer the instructions given in the Nomination Form.

## INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM

### 1. Scheme features and investment details

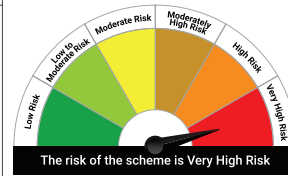
#### QUANTUM ETHICAL FUND

An open-ended equity scheme following an Ethical Theme

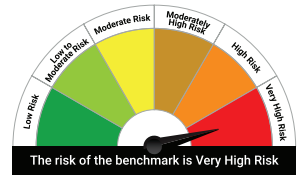
This product is suitable for investors who are seeking\*

- Long term capital appreciation
- Investments in Equity & Equity Related Instruments of companies following an Ethical Set of Principles

#### SCHEME RISKOMETER#



#### BENCHMARK RISKOMETER (TIER I) - NIFTY 500 SHARIAH TRI



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

#The product labeling assigned during the NFO is based on internal assessment of the scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made. The same shall be updated on ongoing basis in accordance with clause 17.4 of the SEBI Master Circular on Mutual Funds dated June 27, 2024.

## INSTRUCTIONS FOR FILLING UP THE APPLICATION FORM Continued...

### 2. General Instructions

- a) Please read the Key Information Memorandum, Scheme Information Document (SID) of the respective scheme(s) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing.
- b) Please Tick (✓) in the appropriate box (☐), where boxes have been provided.
- c) Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
- d) Please strike out any section that is not applicable. Correction/Cancellation on any of the information should be countersigned by the investor.
- e) All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- f) In case of applications under Power of Attorney or by a Limited Company or by a Corporate Body or Eligible Institution or a Registered Society or a Trust or a Fund the original Power of Attorney or the certified copy thereof duly notarised and the relevant resolution or authority to make the application, as the case may be including authority granted in favour of the officials signing the application and their specimen signature etc., or duly certified copy thereof alongwith a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or trust deed and/ or partnership deed and certificate of registration must be lodged at the office of the Registrar, quoting the serial number of application simultaneously with the submission of the Application Form, failing which the application is liable to be rejected.
- g) All communications and payments will be made to the sole/first applicant or to the Karta in case of HUF. Confirmation may also be sent to all joint holders.
- h) While processing the redemption/switch out request in case Quantum AMC/Registrar come across a signature mismatch, then Quantum AMC/Registrar reserves the right to process the redemption/switch only on the basis of supporting documents confirming the identity of the investors. List of such documents would be notified by Quantum AMC from time to time.
- i) Investors who wish to make Systematic Investment Plan (SIP) will need to complete and submit the Application Form along with the SIP Application Form.
- j) Quantum Mutual Fund does not levy any transaction charges to pay to the distributors and will invest the whole subscription amount received and units will be allotted accordingly.
- k) Direct Plan: An investor who has made the investment directly from the Mutual Fund or through RIA (mentioned RIA code) needs to select the Plan as 'Direct'.  
Regular Plan: Any investment made through a distributor by mentioning valid distributor details, need to select the Plan as 'Regular'. The AMC will be paying commission to the distributor for such transactions.

### 3. Existing Unitholder Information

An existing investor should mention the correct Folio Number and Name & go directly to Section (Scheme Details). Investors should note that there will be no change to the existing Investor Profile Details mentioned in the Existing Folio.

### 4. A) Permanent Account Number (PAN)

Permanent Account Number (PAN) issued by the Income Tax Authorities has been made mandatory for all the applications received in the Scheme, irrespective of the amount of transaction (except MICRO SIP Investments). Accordingly it is mandatory for investor's to provide their PAN along with self-attested copy of PAN Card while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor /PoA holder, PAN details of the Guardian/ PoA holder must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors. The PAN Exempt KYC Registration Number (PEKRN) needs to be provided in case of PAN exempt cases. As per Circular issues by The Central Board of Direct Taxes (CBDT) all Individuals (except exempted category) to link permanent account number (PAN) with Aadhaar number. It is mandatory for all taxpayers to complete the linking process as any non-compliance will mean that the PAN will become inoperative from July 1, 2023.

### B) Know Your Customer (KYC)

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002, Mutual Funds are required to follow enhanced Know Your Customer (KYC) norms. Accordingly, it will be mandatory for all categories of investors to be KYC Compliant, irrespective of the amount of investment. Categories include:

- i. Individual investors.
- ii. Non-individual investors such as Companies, Body Corporates, Association of Persons, Banks, Financial Institutions, Funds, Trusts, Societies, Hindu Undivided Family (HUF), Partnership Firms, Foreign Institutional Investors, Limited Liability Partnerships etc.
- iii. Non-Resident Investors (NRIs), including Persons of Indian Origin (PIOs).

The above category of investors for the purpose of KYC compliance shall include their constituted Power of Attorney (POA) holder, in case of investment through a POA and each of the applicants, in case of application in joint names and also the guardian in case of a minor. Investors investing through Micro SIP or investors residing in the state of Sikkim or UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India or in case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. are exempt from the mandatory requirement of PAN proof submission, however they are required to mandatorily submit KYC Acknowledgment copy while making an investment in Quantum Mutual Fund. Please refer to our website [www.QuantumAMC.com](http://www.QuantumAMC.com) and AMFI website [www.amfiindia.com](http://www.amfiindia.com) and SEBI registered intermediaries for KYC compliance where the completed forms and the relevant documents are to be submitted. Applications for subscriptions without a valid KYC Compliance for the relevant category of investors may be rejected.

Provided further, where it is not possible to verify the KYC Compliance status of the investor at the time of allotment of units, Quantum AMC shall verify the KYC Compliance status of the investor within a reasonable time after the allotment of units. In case during first time purchase the investor's KYC verification/ Compliance is under process, subsequent purchase shall not be permitted till the investor is KYC Compliant.

In case of Non-individual investors, Quantum AMC will verify the status of KYC compliance of beneficiaries whose beneficiary ownership interest in the investor is more than the limit as prescribed in the Regulations, from KRA (KYC Registration Agency) on the basis of PAN No. of beneficiaries provided by the investor along with the beneficiary holding pattern and the filled application form. In case the beneficiary is not KYC compliant and such beneficiary ownership interest in the investor is more than the limit as prescribed in the Regulations, Quantum AMC reserves the right to source documents relating to identity, address and beneficiary ownership interest in the beneficiary of such beneficiary from the beneficiary directly and / or the investor. The list of valid documents in this regard is prescribed in the application form under title CHECKLIST of documents at the bottom of the application form.

In the event of non compliance of KYC requirements, Quantum AMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the Applicable NAV, subject to payment of exit load, if any. Investors, who have obtained MIN allotment letter by submitting the PAN copy, are deemed to be KYC compliant. Investors should note that on completion of KYC Compliance address details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor to the KRA. Any change in this details like change of Name / Address /Status /Signature, etc. should be given by Investor directly to KRA in the prescribed Change request form quoting PAN number. Quantum AMC reserves the right to call for any additional information from the investors/ applicant/ reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulations from time to time.

Quantum AMC further reserves the right to source data/ documents/ information/ specimen signature from third party/ KRA and that Quantum AMC has the right to use the same/ specimen signature for validation to process any future transactions that are submitted by the investor.

## 5. Employee Unique Identification Number (EUIIN)

Investor investing through Intermediary shall mandatorily mention the EUIIN on the application form, irrespective whether he/she has been advised by Sales person/ Employee/ Relationship manager of the Intermediary or not. However, in case of any exception cases, where there is no interaction by Sales person / Employee / Relationship manager of the intermediary with respect to the investment / transaction, the EUIIN box may be left blank. If left blank, AMC will seek the following declaration separately from the investor, "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

It is mandatory to obtain EUIIN for every Sales person / Employee / Relationship manager of the Intermediary for dealing (irrespective whether transactions are in the nature of execution or advisory) in mutual fund products. EUIIN will assist in tackling the problem of mis-selling even if the employee/relationship manager/ sales person leaves the employment of the ARN holder / sub broker.

## 6. Applicant Information

- a. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account & KYC records or as it appears in the incorporation document or demat account as the case may be.
- b. Name, Date of birth of the Minor, Name of Parent/Guardian and relationship with minor is mandatory for investment on behalf of a Minor applicant, proof of date of birth and relationship proof is mandatory.
- c. Name of the Contact Person, email and Telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- d. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H. U. F., the Karta should sign on behalf of the H. U.F.
- e. The designated Investor Service Center/ Official Point of Acceptance will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the application. No separate receipt will be issued for the application money.
- f. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center. Official Points of Acceptance Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
- g. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
- h. Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs/FIIs 'Overseas Address' should also be provided failing which application may be rejected. In addition, Indian address should be stated for correspondence.
- i. Differently abled here refers to people with Blindness, Low vision, Leprosy-cured, Hearing impairment, Loco motor disability, Mental retardation, Mental illness etc. as defined under the Persons with Disabilities Act, 1995, which ensures Participation and Equality of the People with Disabilities. This is as per the definition laid down by the Department of Empowerment of Persons with Disabilities, Government of India. [http://disabilityaffairs.gov.in/upload/uploadfiles/files/PWD\\_Act.pdf](http://disabilityaffairs.gov.in/upload/uploadfiles/files/PWD_Act.pdf).
- j. Some additional details are required for validating your identity for certain transactions / Communications.

Hence please fill annual income, mobile number and email id in case of all applicants.

- k. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly. This is compulsory for all electronic/telecommunication facilities available now and to be introduced in future. Please declare if the Email Id and Mobile Number of the respective applicants belongs to Self / Spouse / Dependent Children / Dependent siblings / Dependent Parents / Guardian
- l. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all income distribution/redemption will be paid to the first named holder.
- m. The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.
- n. Date of birth of the minor along with photocopy of supporting documents as enumerated below shall be mandatory while opening the account on behalf of minor:
  - 1. Birth certificate of the minor, or 2. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or 3. Passport of the minor, or 4. Any other suitable proof evidencing the date of birth of the minor.
- o. Guardian in the folio on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- p. If guardian is a legal guardian then a court order duly notarized copy is to be attached along with the application.

<b>7. Power Of Attorney</b>	Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
<b>8. Online / Telephonic PIN</b>	An online PIN is generated at the time of the online transaction for a new investment from our website / mobile application which is sent to the email id furnished while investing. It is used for making online transactions from our website like Insta-Redemption, Multiple Bank Account Registration, Nomination and Folio Consolidation. It can be also generated online through our website, in case you are unable to recollect. There is a "TPIN" that can be used to know the folio details in the IVR.
<b>9. Electronic/ Telecommunication Facility</b>	Electronic communication will be sent to folios where email id is available. Newsletters, addenda and other general information will be sent to you via email only. Physical copies of the same will not be sent. As per SEBI guidelines, Annual Report will be forwarded to the registered email id i.e. registered with the AMC. In case unitholder desires to receive physical copy of the same you need to tick on the box accordingly.
<b>10. Bank Account Details</b>	<p>SEBI Regulations have made it mandatory for investors to mention the Bank Name &amp; address of branch, IFSC, Bank A/c type and Bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent activity. For registering multiple bank accounts please fill separate Form for Registering/ Adding/cancellation of Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts.</p> <p>Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank Statement/ Certified bank passbook with current entries not older than 3 months or a Bank Letter/Certificate duly signed by Bank Branch Manager/Authorized Personnel. If photocopy of any document is submitted, the copy should be certified by the Bank Manager or self attested copy along with Original documents for verification.</p> <p>Additionally the AMC may call for any additional documents, wherever required on case to case basis." In case the investor is a minor, the bank account details for redemption purpose should be of the minor i.e. the minor should be an account holder in the bank account.</p> <p><b>PAYMENT OF REDEMPTION /INCOME DISTRIBUTION:</b></p> <p>In case of receipt of redemption request with new bank account there will be a cooling period of 10 calendar days for validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 business days. In case the bank change request received along with the redemption request is invalid, then the change of bank will not be processed and redemption/income distribution proceeds, if any, will be credited to default bank account registered in folio.</p> <p>In the case of NRIs, payments shall be:</p> <ul style="list-style-type: none"><li>(i) Credited only to NRE account of the NRI investor where the payment for purchase of Units repurchased/ redeemed was made out of funds held in NRE account or</li><li>(ii) Credited, at the NRI investor's option, to his/her NRO account, where the payment for the purchase of the Units repurchased /redeemed was made out of funds held in NRO account or</li><li>(iii) Remitted abroad or at the NRI investor's option, credited to his/ its NRE/FCNR/NRO account, where the Units were purchased on repatriation basis and the payment for the purchase of Units repurchased / redeemed was made by inward remittance through normal banking channels or out of funds held in NRE/FCNR account.</li></ul> <p>Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, IFSC and account type and account no.) in the application form for electronic fund transfer (EFT) of income distribution / redemption amount to the unit holders bank account. Quantum</p>

AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

The 11 digit IFSC (Indian Financial System Code) or 9-digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf is to be mandatorily given.

Based on the above information AMC will enable secure transfer of your redemption and income distribution via the various electronic modes of transfers (RTGS /NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available. "If the remittance is delayed or not affected for reasons of incomplete or incorrect information, Quantum AMC cannot be held responsible". For validation of IFSC/ MICR code, investor shall attach the cancelled cheque/ copy of cheque. If these documents are not provided the fund will not be responsible for consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

## 11. Mode Of Payment

1. As required by AMFI, Quantum Mutual Fund shall not accept applications for subscriptions with third party payment instruments.
2. Investors may make payment by single Cheque payable locally in the city where the application form is submitted at Quantum AMC/ K-Fin Technologies Collection Centers or electronic mode such as RTGS/ NEFT directly to Mutual Fund Collection account.
3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
5. The cheque should be drawn in favor of "Quantum Mutual Fund A/c PAN (number)" or Quantum\_(Scheme name) A/c PAN (number)" and crossed 'Account Payee Only'.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. **If paid by RTGS, Bank Account-to-Account Transfer, NEFT etc.:** Investors should attach to the purchase application form, an acknowledged copy of the instruction to the bank also stating the account number debited. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named applicant/investor should be one of the account holders in the bank account. debited for such electronic transfer of funds.
8. **On Repatriation Basis:** In the case of NRIs including PIOs, payment may be made either by inward remittance through normal banking channels or out of funds held in his Non - Resident (External) Rupee Account (NRE) Foreign Currency (Non- Resident) Account (FCNR). In case Indian rupee drafts are purchased abroad or from Foreign Currency Accounts or Non-resident Rupee Accounts an account debit certificate from the Bank issuing the draft confirming the debit shall also be enclosed. FIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non- Resident Rupee Account maintained by the FI with a designated branch of an authorized dealer in accordance with the relevant exchange management regulations.  
  
**On non-repatriation basis:** NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are location.
9. A. Payment through electronic mode RTGS/NEFT/IMPS:
  - i. For purchase through RTGS / NEFT / IMPS, the investor needs to first complete the fund transfer formalities with the Bank.

Bank Name	HDFC Bank
Bank A/c Type	Current A/C
Beneficiary Account Number (QUANTUM and Folio no./PAN no.)#	QUANTUM XXXXXX
Beneficiary Name	QUANTUM MUTUAL FUND
Branch Address	Sandoz Branch
IFSC Code	HDFC0000240

# = Investor PAN

- ii. After completing the fund transfer through RTGS / NEFT / IMPS mode, the investor needs to mention the payment reference number under the section 'Payment Details' in the main application form.
- iii. Enclose the fund transfer proof alongwith the application form.



**CHECKLIST** (Please submit the following documents with your application (where applicable). For Investors other than Individuals please also submit declaration of Beneficial Ownership (as applicable) as per format available on [www.QuantumAMC.com](http://www.QuantumAMC.com) All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public.)

Documents	Individual	Minor	NRI	Investment through POA	Sole Proprietorship	HUF	Companies / LLP	Societies	Partnership Firms	Trusts	FII's*	PIO	FPI#
Self Certified PAN Card copy	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Declaration under FATCA	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Resolution/ Authorization to invest							✓	✓	✓	✓	✓		✓
"List of authorized signatories with specimen signatures"				✓			✓	✓	✓	✓	✓		✓
Memorandum & Articles of Association							✓						
Trust Deed										✓			
Declaration of Ultimate Beneficial Ownership						✓	✓	✓	✓	✓	✓		✓
Bye-laws								✓					
Partnership Deed									✓				
Certificate of Incorporation					✓						✓		✓
Notarized POA				✓									
Proof of Date of Birth		✓											
Proof of Relationship		✓											
PIO card												✓	
Foreign Inward Remittance Certificate												✓	

\*For FII's copy of SEBI registration certificate should be provided. #Certificate of registration granted by designated depository participants on behalf of SEBI.

## 12. Nomination Details

- Nomination is mandatory for new folios/accounts opened by all individual. Even those investors who do not wish to nominate must sign separately confirming their non-intention to nominate. Nomination is not allowed in a folio held on behalf of a minor.
- The applicant/unit holders can nominate a person/person (**up to three**) in whom the units held by him shall vest in the event of their death.
- Existing investors where nominee not registered in folio earlier and If the units are held jointly, all joint holders will sign the nomination form.
- In case of multiple nominees, the percentage of allocation/share in favour of each of the nominees should be indicated against their name and such allocation/share should be in whole numbers without any decimals making a total of 100 percent.
- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non individuals including society, trust, body corporate, partnership firm, Karta of Hindu undivided family (HUF), holder of Power of Attorney cannot nominate.
- If nominee is minor the name, address of the guardian and relationship of the guardian need to be provided by the Unit holder. The Applicant is advised that, the Guardian to a Minor Nominee should be a person other than the Applicant.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units. In case of multiple nominees, on the death of one or more nominee, the transmission of units shall be made in favour of the remaining nominee(s). Transfer of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir.
- The nomination details as registered with the Depository Participant shall be applicable to unit holders who have opted to hold units in Demat mode.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded, and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Investors should note that where the Units are transferred in favour of the nominee, the KYC formalities, as applicable, shall have to be completed by the nominee.
- Every new nomination for a folio/account will overwrite the existing nomination.

### 13. Demat Account

Unit holders who have provided the Demat Account details will be issued units in demat form on request. Please submit Client Master copy or any documents specifying the name and demat account no. details as a proof of demat account details furnished in the application form.

Investor can hold the Units in demat form by selecting the relevant option of Yes/No - for opting or not opting for Units in demat form. If no option is exercised, the default option will be "No". Investors have to ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect, not matching with the Depository data, then Units will be issued in Physical mode and Statement of Account will be issued to the Unit holder.

Investor have to ensure that the bank a/c through which the investment is being done matches with the bank a/c details in the demat account, otherwise the application is liable to be rejected. In case of holding in demat account the bank mandate, mode of holding, nominee details, contact details etc. will be as per the details stated in the demat account and not as per this application.

In case an investor desires to redeem the dematerialized units, redemption request can be placed through Depository Participants or exchanges specified intermediaries where NSE MFSS/ BSE StAR MF platform is available for transacting of Mutual Fund Units. As an alternative, investor can convert the units into physical form (represented by statement of account) by submitting an application for rematerialization of units to the Depository Participant, in such case application for redemption would be considered on the date when physical redemption slip is provided duly signed to the Quantum AMC.

In case of Unit holders holding units in demat (electronic) mode, a demat statement will be sent by Depository Participant to the Unit holders.

### 14. List Of Attachments

You are requested to enclose the relevant documents as listed in the check list of accompanying documents depending on the applicant's status and tick in the box against the document.

### 15. FATCA and CRS Details

Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information of your account with the relevant tax authority(ies). If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or Resident or Green Card Holder or Tax Resident of a country other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If there is any change in the information provided, kindly intimate the same to us within 30 days.

### 16. Legal Entity Identifier

RBI vide circular on "introduction on Legal Entity Identification (LEI) Number for large value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to include 20-digit LEI information while initiating any transaction of value INR 50 crore and above by entities (non-Individual) for purchase and redemption transaction.



# QUANTUM ETHICAL FUND

An open-ended equity scheme following an Ethical Theme

New Fund Offer Opens on: December 02, 2024

New Fund Offer Closes on: December 16, 2024

Scheme Reopens For Continuous Sale & Repurchase on: December 26, 2024

## 1. INTERMEDIARY INFORMATION

Name & ARN Code	Sub - Broker Code	EUIN	RIA Code	E-Code / RM Code

I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser.

### EUIN Declaration

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (\*) are mandatory.

## 2. EXISTING UNIT HOLDER INFORMATION (Please note that Applicant details & mode of holding will be as per existing Folio Number)

Folio No.  Name of First Applicant

3.	*PAN/PEKRN	Date of Birth (Mandatory)	CKYC Details (KIN Number, if any)
1 <sup>st</sup> Applicant/Minor		D D M M Y Y Y Y	
2 <sup>nd</sup> Applicant		D D M M Y Y Y Y	
3 <sup>rd</sup> Applicant		D D M M Y Y Y Y	
Guardian		D D M M Y Y Y Y	

## 4. \*APPLICANT INFORMATION (TO BE FILLED IN BLOCK LETTERS)

Mode of Holding  Single  Joint  Any one or survivor(s) (Default option in case of more than one applicant)

Name of Sole/ 1<sup>st</sup> Applicant Mr./Ms./M/s.  Other Please Specify \_\_\_\_\_

Gender  Male  Female  Transgender

Proof of DOB (Incase of Minor)  Birth Certificate  School leaving Certificate  Passport  Other \_\_\_\_\_

Guardian (in case of minor) /Authorized person (in case of non individual applicant) \_\_\_\_\_

Relationship with Minor  Father  Mother  Legal Guardian Note: If Guardian is a Legal Gaurdian, please submit duly notarised copy of court order along with application.

Relationship Proof (With specified Guardian)  Birth Certificate  Passport  Other \_\_\_\_\_

If the sole/first applicant is differently abled; then please tick the prepered mode of communication:  Email & SMS  Voice  Both

LEI code  valid up to

Legal Entity Identifier Number is Mandatory for transaction value of INR 50 crore and above for non-Individual investors.

Address: Mailing Address of Sole/First Applicant (P.O Box alone may not be sufficient) This address will be replaced with the address as per your KYC records on validation of your KYC data Overseas Investor must provide Indian Address

City  State  Country  Pin code

Contact Details of Sole/First Applicant Mobile No.  Email ID

This Email ID belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian

This Mobile No. belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian

Tel No - STD Code \_\_\_\_\_ Res. \_\_\_\_\_ Off. \_\_\_\_\_ Fax \_\_\_\_\_

## ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: QMFP

Quantum Mutual Fund - 1<sup>st</sup> Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Please scan this code, and fill in your details. Our representative will get in touch with you.



Date  Received from: Mr./Ms./M/s \_\_\_\_\_

An application for purchase units of **Quantum Ethical Fund** \_\_\_\_\_

along with cheque as detailed overleaf. Cheques / Drafts are subject to realisation.

Collection Center's Stamp & Receipt Date and Time

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)



Overseas Address (mandatory for NRI/Fl applicant)

Address for correspondence (for NRI Applicants)  Indian  Overseas

City \_\_\_\_\_ Country \_\_\_\_\_ Zip code \_\_\_\_\_

Note: The address provided by you above will be replicated with the address as per KYC record

Name of the 2<sup>nd</sup> Applicant Mr./Ms./M/s. \_\_\_\_\_

Mobile No.           Email ID \_\_\_\_\_

This Email ID belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  
 This Mobile No. belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian

Name of the 3<sup>rd</sup> Applicant Mr./Ms./M/s. \_\_\_\_\_

Mobile No.           Email ID \_\_\_\_\_

This Email ID belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  
 This Mobile No. belongs to (Mandatory Please ✓):  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian

**5. Tax Status (Applicable for First/Sole Applicant)**

Resident Individual  Fils  NRI-NRO  HUF  Society  Company  Body Corporate  Club/Society  PIO  
 Minor  Government Body  Trust  NRI-NRE  Bank & FI  Proprietorship Firm  Partnership Firm  QFI  
 Provident Fund  NRI minor with gaurdian  Others \_\_\_\_\_

**Additional KYC Details**

Occupation	Professional	Agriculturist	Housewife	Retired	Government Service/ Public Sector	Business	Forex Dealer	Student	Private Sector Service	Others
1 <sup>st</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____
2 <sup>nd</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____
3 <sup>rd</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____
Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____

Gross Annual Income Details	Below 1 Lac	1-5 Lacs	5-10 Lacs	10-25 Lacs	25 Lacs-1 Crore	>1 Crore	Net-worth in Rs.	Date
1 <sup>st</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	(Net worth should	DD/MM/YYYY
2 <sup>nd</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	not be older	DD/MM/YYYY
3 <sup>rd</sup> Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	than 1 year)	DD/MM/YYYY
Guardian	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		DD/MM/YYYY

PEP Details	1 <sup>st</sup> Applicant	2 <sup>nd</sup> Applicant	3 <sup>rd</sup> Applicant	Guardian
Are you a Politically Exposed Person (PEP)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Are you related to a Politically Exposed Person (PEP)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

**For Non-Individual Investors (Please ✓)**

Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company:  Yes  No (if No, Mandatory to provide the UBO declaration)  
 Yes  No Foreign Exchange/Money Charger Services  Yes  No Gaming/Gambling/Lottery/Casino Services  Yes  No Money Lending/Pawning

**6. POWER OF ATTORNEY (POA) (Refer Instruction Nos. 2 (f) & 7)**

POA Name Mr./Ms. \_\_\_\_\_

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

**ACKNOWLEDGEMENT SLIP (To be continued)** **Application No: QMFP**

Quantum Mutual Fund - 1<sup>st</sup> Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

**INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility**

Separate cheque must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan/Option/Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Payment Details	
					Cheque/DDNo./UTR No. (Incase of NEFT/RTGS)	Bank & Branch
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	Growth		DD/MM/YYYY	

**7. \*BANK ACCOUNT DETAILS** (Refer Instruction No. 10)

A/c Type [please ✓]  SB  Current  NRO  NRE  FCNR

Bank Name  IFSC  MICR Code

Account No  Branch  City  Pin Code

\*Mandatory - Please attach either a Cancelled Cheque with first applicant name and account number pre-printed on the face of the cheque or a Bank statement/certified bank passbook with current entries not older than 3 months or a bank letter/Certificate duly signed by Bank Branch Manager/ Authorized Personnel.

**8. \*INVESTMENT DETAILS/ Payment Details** (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Cheque/DDNo./ UTR No. (Incase of NEFT/RTGS)	Bank Branch, Account Type & Account Number
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	Growth		DD/MM/YYYY	

Applicable to minor (incase payment done other than the minor account)

Payment/ bank account holder name \_\_\_\_\_ relationship with minor  Father  Mother  Legal Guardian

Note: (1) Relationship proof with minor required (2) If payment done by Legal Guardian, please submit duly notarized copy of court order along with application.

**9. FATCA and CRS DETAILS For Individuals** (Including Sole Proprietor) (Mandatory) The Below information is required for all applicants/guardian

Category	1st Applicant	2nd Applicant	3rd Applicant
Place/City of Birth			
Country of Birth			
Country of Citizenship/Nationality			
Is your Tax Residency/Country of Birth/Citizenship/ Nationality other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If Yes, Please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below. In case of POA holder should mandatorily fill Annexure I for complete details.

Category	1st Applicant	2nd Applicant	3rd Applicant
Country of Citizenship/Nationality			
Tax Payer Reference ID No. 1			
Country of Tax Residency 2			
Tax Payer Reference ID No.2			

**10. \*NOMINATION DETAILS**

	1st Nominee	2nd Nominee	3rd Nominee
Name of the Nominee(s) (as in PAN card/KYC records)			
Date of Birth			
Relationship with Investor			
Address			
Guardian Name (in case Nominee is a Minor)			
Guardian's relationship with minor	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian
Share of Nominee Allocation % (Total to be 100%)			
Mobile / Telephone no. of Nominee(s) / Guardian in case of minnor			
Email Id of Nominee(s) / Guardian in case of minnor			

**OR** I do not wish to Nominate

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio.

**11. DEMAT ACCOUNT DETAILS**  
(Please ✓) (Please refer Instruction no. 13)

NSDL  CDSL

I would like to be allotted units in DEMAT mode.  Yes  No (Please ✓) (Non - ticking of this box would result in allotment of units in physical form).

Please ensure that the name of the investor in the application form matches with the account held with the depository participant.

NSDL  BENEFICIARY Account No. (NSDL Only)   
CDSL

Enclose:  Client Master List  Transaction/Holding Statement  DIS Copy

**12. PHYSICAL COPY**

Opt In to receive the physical copy of Annual Report/Statement of Account (SOA)

**13 NPO DECLARATION (Mandatory for Trust and Society)**

I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

Enclosed relevant documentary proof evidencing the above definition.

I/We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal

If not, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF/AMC/RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.

I/We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act/Rules thereof.

**Declaration and Signature(s)**

I/We read and understood the contents of the Scheme Information Document and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to Quantum Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents.

I hereby give my consent to receive various Communications, emails, SMS, alerts and notifications statutory or otherwise including of products of Quantum Mutual Fund and also to receive call from Quantum AMC related to products and transactions in Quantum Mutual Fund even though my mobile number is registered under the National Do Not Call Registry (NDNC). Please read our complete private policy here <https://www.quantumamc.com/privacy-policy>.

Applicable to NRI only: I/We confirm that I am / we are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (i) (Including amount of Additional Purchase Transaction made in future)

Signature(s)

Date

Place \_\_\_\_\_

Sole/1st Applicant/Guardian/Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory
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# APPLICATION FORM FOR REGISTRATION OF SYSTEMATIC INVESTMENT PLAN (SIP)

Name & ARN Code	Sub - Broker Code	EUIN	RIA Code	E-Code / RM Code

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

<input type="checkbox"/> <b>EUIN Declaration</b>	I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.
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## 1. INVESTOR AND INVESTMENT DETAILS Please ✓ wherever applicable.

Sole/First Investor Name  (as appearing in ID proof)  
 PAN No.  Folio No. (For Existing Investor)

## 2. INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Frequency Details (Select any one frequency)
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	Growth		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly _____ (Any week - Monday to Friday) <input type="checkbox"/> Fortnightly _____ (Any day of alternative Week) <input type="checkbox"/> Monthly <input type="text"/> <input type="text"/> <input type="checkbox"/> Quarterly <input type="text"/> <input type="text"/>

## 3. Payment Details

Sr. No.	Scheme Name	Cheque Amount (₹)	Cheque / DDNo.	Bank Name	Account Number
1.					

## 4. SIP PERIOD

From  To  OR No. of installments \_\_\_\_\_

## 5. DECLARATION

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

First Account Holders Signature	Second Account Holders Signature	Third Account Holders Signature
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## ✂ ACKNOWLEDGEMENT SLIP (To be filled in by the investor) ✂

**Application No: QMFP**

Quantum Mutual Fund - 1<sup>st</sup> Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Date  Received from: Mr./Ms./M/s \_\_\_\_\_

An SIP application for purchase of units in \_\_\_\_\_ along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Collection Center's Stamp & Receipt Date and Time	
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Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)



# SYSTEMATIC INVESTMENT FORM

## TERMS & CONDITIONS

### Systematic Investment Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

- This SIP facility is offered to investors having bank accounts in select banks mentioned in the link <http://www.npci.org.in/>. The banks in the list may be modified /updated /changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
- Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
- The Unitholder(s) cheque/bank account details are subject to third party verification.
- SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
- Investor/ Unit holders can opt to invest any day of the week in weekly and fortnight frequency (for fortnight alternative week transaction will be processed example if investor selected as Monday the SIP will be processed alternative Monday) and any date (except 29,30,31) in monthly and quarterly frequency.
- In case the end date is not specified, the SIP will be registered for **40 years**.
- Minimum installments and frequency wise minimum amount can be referred below table.

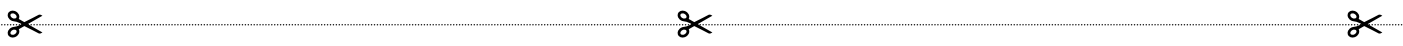
Systematic Investment Plan (SIP)			
Frequency of SIP	Eligible dates for effect	Minimum amount per Instalment	Minimum term/duration applicable
Daily	All Business days	₹100 and in multiple of ₹1 thereafter	30 Business days
Weekly	Any day of the week	₹500 and in multiple of ₹1 thereafter	10 instalments
Fortnightly	Any day of alternative Week	₹500 and in multiple of ₹1 thereafter	10 instalments
Monthly	Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter	12 instalments
Quarterly	Any date (Any date (except 29, 30, 31st)	₹500 and in multiple of ₹1 thereafter	12 instalments

- In case the frequency is not specified / ambiguity, in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity for monthly or quarterly frequency, the SIP transaction will be processed as of 10th of every month/ first month of every quarter default day for weekly/ fortnight will be Tuesday.
- The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
- The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
- The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance / Investor Service Center at least T+2 working days in advance before the execution / commencement date.
- SIP enrolment automatically terminated in below scenario:
  - Two for quarterly frequency and three for other than quarterly frequency consecutive payment instructions on submitted by Unit holder is not honored by banker.
  - Upon receipt of intimation of death of the Unit holder/ 1st Unit holder.
  - As a result of a stop payment instruction issued by the investor/unitholder.
  - Bank account is closed by investor.
- Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investor's bank/branch.
- The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
- Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
- Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently. Quantum Mutual Fund/Sponsor / Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
- If any chosen day/date falls on a non-business day, the next business day/date will be considered as the transaction date.
- In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

### Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds upto Rs. 50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/installments in a rolling 12-month period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NRI & PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However, redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Slip. Investors may submit any one of the PHOTO IDENTIFICATION documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self -attested. All the applicants including second and third applicant (if any) is mandated to submit any of the above documents.

**Systematic Investment Plan for Corporate Employees:** In this case, the concerned employer is required to forward to the AMC the Scheme application cum SIP registration mandate form of the corporate employee who desires to invest in the Scheme. The application amount for the corporate employees would also be forwarded by the employer on specific request from the concerned employee. The concerned employee has to authorize the employer to deduct the application amount from his salary and remit the same to the Fund at regular intervals to ensure receipt of funds by the AMC on or before the next due date. This facility allows Unitholders to transfer specified fixed sums on periodic basis from one scheme to other schemes launched by the Mutual Fund from time to time by giving a single instruction. A Unitholder may effect a Systematic Transfer Plan (STP) by redeeming units of the transfer-out (transferor) Scheme at the prevailing NAV, subject to Exit Load, if any and investing the same amount in the transfer-in (transferee) scheme at prevailing NAV of the transfer-in Scheme. To seamlessly facilitate STP between two Schemes of the Mutual Fund, the Unitholder must comply with the redemption requirements of the transferor scheme and subscription requirements of the transferee scheme.



### ACKNOWLEDGEMENT SLIP (To be continued)

Application No: QMFP

Quantum Mutual Fund - 1<sup>st</sup> Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. [www.QuantumAMC.com](http://www.QuantumAMC.com)

INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility					
Separate cheque must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan/Option/Sub Option.					
Sr. No.	Scheme Name	Cheque Amount (₹)	Cheque / DDNo.	Bank Name	Account Number
1.					
2.					
3.					

**ONE TIME MANDATE FORM**



UMRN:

Date:

Utility Code:  (Office use only)

Create:  Modify:  Cancel:

Sponsor Bank Code:  (Office use only)

I/We authorize: QUANTUM MUTUAL FUND

To debit (Tick ✓)  SB/  CA/  CC/  SB-NRE /  SB-NRO/  Other Bank A/C number:

With Bank: \_\_\_\_\_ IFSC/ MICR:

an amount of Rupees \_\_\_\_\_ (in words) ₹

Debit Type:  Fixed Amount  Maximum Amount Frequency:  Mthly  Qtly  H-yrly  Yrly  As & when presented

Reference 1:

Reference 2:

*I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity / corporate or the bank where I have authorized the debit.*

From

Maximum period of validity of this mandate is 40 years only

To

Phone No.

Signature of 1st Account Holder Signature of 2nd Account Holder Signature of 3rd Account Holder

1 \_\_\_\_\_ 2 \_\_\_\_\_ 3 \_\_\_\_\_  
Name as in bank records Name as in bank records Name as in bank records

**ACKNOWLEDGEMENT SLIP (To be filled in by the investor)** **Application No: QMFP**

Quantum Mutual Fund - 1<sup>st</sup> Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 4000201. www.QuantumAMC.com

Date         Received from: Mr./Ms./M/s \_\_\_\_\_

An application for \_\_\_\_\_ under the Folio No.

Collection Center's Stamp & Receipt Date and Time



# ASBA FORM

## APPLICATION SUPPORTED BY BLOCKED AMOUNT

1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020 | www.QuantumAMC.com

BROKER/AGENT INFORMATION			FOR OFFICE USE ONLY			
Broker Name & AMFI Regn. No.	Sub-Broker Name & ARN Code	EUIN (Employee UIN)	SCSB (Name & Code)	SCSB IFSC Code (11 digit code)	Syndicate Member Code (Name & Code)	S. No.

Declaration for "execution-only" transaction (only where EUIN box is left blank): I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

### 1. APPLICANT INFORMATION (TO BE FILLED IN BLOCK LETTERS)\*

Name of Sole/ 1st Applicant  Mr.  Ms.  M/s.  Others \_\_\_\_\_ Please Specify \_\_\_\_\_ Date of Birth/ Date of Incorporation 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Parent/Guardian Name of 1st Applicant - (in case of Minor)/Contact person (in case of non individual applicant) \_\_\_\_\_ Relationship with Minor/ Designation \_\_\_\_\_

Name of 2nd Applicant  Mr.  Ms. \_\_\_\_\_ Date of Birth 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Name of 3rd Applicant  Mr.  Ms. \_\_\_\_\_ Date of Birth 

D	D	M	M	Y	Y	Y	Y
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PAN No. (Irrespective of Size of the investment) (Application without this information are liable to be rejected)

1st Applicant	2nd Applicant	3rd Applicant

### 2. ISIN DETAILS

Quantum Ethical Fund Direct Plan - Growth - ISIN \_\_\_\_\_

Quantum Ethical Fund Regular Plan - Growth - ISIN \_\_\_\_\_

### 3. DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS (Bank Account should be in the name of First Applicant only)

Bank Account No: \_\_\_\_\_

Bank Name \_\_\_\_\_

Branch Name \_\_\_\_\_

Account Type (Please ✓)	For Residents	For Non-Residents
	<input type="checkbox"/> Savings <input type="checkbox"/> Current	<input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Repatriable <input type="checkbox"/> Others

Total Amount to be blocked (₹ in figures) \_\_\_\_\_ (₹ in words) \_\_\_\_\_

### 4. DEMAT ACCOUNT DETAILS

	NSDL	CDSL
DP Name		
DP ID*	IN _____	
Beneficiary Account No.		

\*In case Unit holders do not provide their Demat Account details application will to be rejected.

**UNDERTAKING BY ASBA INVESTOR/ ACCOUNT HOLDER**

1) I/We hereby undertake that I/We am/are an ASBA investor(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009, I/We authorize (a) the SCSB to do all acts as are necessary to make an application for purchase of units in the NFO of the Company, blocking the amount to the extent mentioned above in the "SCSB details" or unblocking of funds in the bank account maintained with the SCSB specified in the ASBA form, transfer of funds to the Issuer's account designated for this purpose on receipt of instruction from the Registrar to the Issue after finalisation of the basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar to the Quantum AMC to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon finalisation of the basis of allotment and to transfer the requisite money to the Issuer's account designated for this purpose. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Client ID or PAN furnished by me/us in the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the AMC, R&TA and SCSB shall not be liable for losses, if any. 5) I/We hereby authorise the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of price revision. I/ We hereby undertake that, I/ we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Scheme Information Document (SID) / Key Information Memorandum (KIM) of the above mentioned Scheme and Statement of Additional Information (SAI) of Quantum Mutual Fund. Further, I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case; the application is liable to be rejected.

Signature of the Applicant(s)				<b>Attention: NRI Investors:</b> Payment should be made through their NRE/FCNR accounts.
Signature of the Bank Account Holder(s)				




	1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020   <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a>	<b>TO BE RETAINED BY THE BANKER</b> <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a> (To be filled by the Sole/First Applicant)	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
<b>ACKNOWLEDGEMENT SLIP FOR SCSB</b>											

Received from Mr./Ms. <input type="text"/>	<b>SCSB Account Details</b>
Address <input type="text"/>	Bank Name <input type="text"/>
<input type="text"/>	Bank Account Number <input type="text"/>
Tel/Fax <input type="text"/> Mobile <input type="text"/>	Branch Address <input type="text"/>
E-mail <input type="text"/>	Total Amount to be blocked (₹) <input type="text"/>

<b>SIGNATURE(S)</b>			
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	1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020   <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a>	<b>TO BE GIVEN BY THE SCSB</b> <a href="http://www.QuantumAMC.com">www.QuantumAMC.com</a> (To be filled by the Sole/First Applicant)	<table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y				
<b>ACKNOWLEDGEMENT SLIP FOR INVESTOR</b>											

<b>INVESTMENT DETAILS</b>			
Scheme Name:	<b>Quantum Ethical Fund</b>	Plan:	<input type="checkbox"/> Regular <input type="checkbox"/> Direct
Option:	Growth		
Amount in figures:		Amount in words:	
Name (Mr./Ms.)			
Address			
	Pin Code	Telephone	
Bank Account Number:			SCSB Stamp Signature, Date & Time of Bid Form Submission
Bank Name & Branch Address:			
Total Amount to be blocked (₹)			(Cheques/Drafts are subject to realisation)

Note : Only purchases registered on the electronic system will be considered for allocation. Therefore, kindly ensure that you get a computerised TRS for every Investment from the SCSB. Please note that validity of the purchases or any allocation thereon, is subject to realisation of the correct amount. Please retain photocopy of this form for future reference.

**1. What is "ASBA"?**

ASBA means "Application Supported by Blocked Amount". ASBA is an application containing an authorization to block the application money in the bank account, for subscribing to an issue. If an investor is applying through ASBA, his application money shall be debited from the bank account only if his/her application is selected for allotment after the basis of allotment is finalized, or the issue is withdrawn/failed.

**2. Who can apply through ASBA facility?**

SEBI has specified the investors who can apply through ASBA. In public issues w.e.f. October 1, 2010 all the investors can apply through ASBA.

**3. What advantage an investor has in applying through ASBA vis à vis applying through an application with a cheque?**

Applying through ASBA facility has the following advantages:

- (i) The investor need not pay the application money by cheque rather the investor submits ASBA which accompanies an authorization to block the bank account to the extent of the application money.
- (ii) The investor does not have to bother about refunds, as in ASBA only that much money to the extent required for allotment of securities, is taken from the bank account only when his application is selected for allotment after the basis of allotment is finalized.
- (iii) The investor continues to earn interest from the bank on the application money blocked as the same remains in the bank account until allotment, which is not the case in other modes of payment.
- (iv) The application form is simpler.
- (v) The investor deals with the known intermediary i.e. its own bank.

**4. Where should I submit my Application Supported by Blocked Amount (ASBA)? How shall I know which bank and which branch of that bank is the designated branch for accepting ASBA applications?**

List of Self Certified Syndicate Banks (SCSBs) and their designed branches i.e. branches where ASBA application form can be submitted, is available on the websites of BSE ([www.bseindia.com](http://www.bseindia.com)) and NSE ([www.nseindia.com](http://www.nseindia.com)) and on the website of SEBI ([www.sebi.gov.in](http://www.sebi.gov.in)).

**5. What is Self certified Syndicate Bank (SCSB)?**

SCSB is a bank which is recognized as a bank capable of providing ASBA services to its customers. Names of such banks would appear in the list available on the website of SEBI. [Also see answer to question (6)].

**6. Can I submit ASBA in any of the banks specified in the list of SCSBs?**

No, ASBA can be submitted to the SCSB with which the investor is holding the bank account.

**7. Whether my bank account will be blocked or only the amount to the extent of application money is blocked?**

No. the entire bank account will not be blocked. Only the amount to the extent of application money authorized in the ASBA will be blocked in the bank account. The balance money, if any, in the account can still be used for other purposes.

**8. Do I necessarily need to have a DP account with the SCSB where I intend to submit the ASBA application?**

No. Investors need not necessarily have their DP account with SCSB, where they are submitting the ASBA form.

**9. Can I submit my ASBA to a broker as is being done in the present issue process applying through cheque?**

You are required to submit ASBA to the SCSBs only.

**10. Will I get the acknowledgement of receipt for applications submitted through ASBA from the SCSB?**

Yes. The SCSB shall give a counterfoil as an acknowledgement at the time of submission of ASBA and also the order number, generated at the time of uploading the application details, if sought by the investors in case of need.

**11. Other Information for ASBA Investors:**

- 1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
- 2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
- 3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
- 4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
- 5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
- 6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

**12. Grounds for rejection of ASBA applications**

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

- 1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- 2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
- 3. ASBA Application Form without the stamp of the SCSB.
- 4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
- 5. Bank account details not given/incorrect details given.
- 6. Duly certified Power of Attorney, if applicable, not submitted alongwith the ASBA application form.
- 7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- 8. Insufficient funds in the investor's account.
- 9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar.

**Want To  
Have The Latest  
Information  
About Us?**

 **Website** : [www.QuantumAMC.com](http://www.QuantumAMC.com)

 **Email** : [CustomerCare@QuantumAMC.com](mailto:CustomerCare@QuantumAMC.com)

 **SMS** : <QUANTUM> to 9243-22-3863

 **Toll Free Helpline** : 1800-22-3863 / 1800-209-3863

 **Missed Call Facility** : 022 6829 3807

INVESTOR SERVICE CENTERS/ OFFICIAL POINT OF ACCEPTANCE

Quantum Asset Management Company Pvt. Ltd. Mumbai: 1<sup>st</sup> Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020

K-Fin Technologies Collection Centers

SL No	Branch Name	Address	SL No	Branch Name	Address
1	Agartala	KFin Technologies Ltd. OLS RMS CHOWMUKHANI,MANTRI BARI ROAD 1ST FLOOR NEAR Jana Sevak Saloon Building TRAFFIC POINT Agartala, Tripura West, Pin-799001	54	Faridabad	KFin Technologies Ltd. A-2B, 3rd Floor, Nehru Ground, Neelam Bata Road, Nit, Peer Ki Mazar, Faridabad - 121 001
2	Agra	KFin Technologies Ltd. House No. 17/2/4, 2nd Floor Deepak Wasan Plaza, Behind Hotel Holiday INN, Sanjay Place Agra - 202002 (UP)	55	Ferozpur	KFin Technologies Ltd. The Mall Road, Chawla Buiding, 1st Floor, Opp. Centrail Jail, Near Hanuman Mandir Ferozpur City -152002
3	Ahmedabad	KFin Technologies Ltd. Office No. 401, 4th Floor ABC-I, Off. C.G Road Ahmedabad-380 009	56	Gandhidham	KFin Technologies Ltd. Shop # 12, Shree Ambica Arcade, Plot # 300 Ward 12 Opp. CG High School, Near HDFC Bank Gandhidham - 370201
4	Ajmer	KFin Technologies Ltd. Shop no. 2 3rd Floor, Above Raymond Shop Opp City Power House, Hath Bhatta Ajmer - 305 001	57	Gandhinagar	KFin Technologies Ltd. 138 - Suresh solitaire Nr. Podar International School Kudsan Gandhinagar - 382421
5	Akola	KFin Technologies Ltd. Shop No 25, Ground Floor Yamuna tarang complex Murtizapur Road NH. No-6 Opp Radhakrishna Talkies Akola - 444001	58	Gaya	KFin Technologies Ltd. Property No 71045129, Ground Floor, Hotel Skylark, Swaripuri Road, Gaya-823001, Bihar
6	Aligarh	KFin Technologies Ltd. 1st Floor Sevti Complex Near Jain Temple,Samad Road Aligarh - 202001, Uttar Pradesh	59	Ghaziabad	KFin Technologies Ltd. FF - 31, Konark Building Rajnagar Ghaziabad - 201003
7	Allahabad	KFin Technologies Ltd. Meena Bazar,2nd Floor, 10 S.P. Marg Civil Lines,Subhash Chauraha, Prayagraj Allahabad -21001	60	Ghazipur	KFin Technologies Ltd. House No. 148/19,Mahua Bagh Raini Katra Ghazipur-233 001
8	Alwar	KFin Technologies Ltd. 137, First Floor, Jai Complex Road No - 2 Alwar-301001	61	Gonda	KFin Technologies Ltd. House No. 782, Shiv Sadan, ITI Road Near Raghuikul Vidya Peeth, Civil Lines Gonda-271 001
9	Amaravathi	KFin Technologies Ltd. Shop No. 21, 2nd Floor Gulshan Tower, Near Panchsheel Talkies, Jaistambh Square, Amaravathi - 444601	62	Gorakhpur	KFin Technologies Ltd. Shop No. 8-9 , 4th floor Cross Mall Gorakpur - 273 001
10	Ambala	KFin Technologies Ltd. 6349,2nd Floor, Nicholson Road, Adjacent Kos Hospital, Ambala Cant, Ambala - 133001	63	Gulbarga	KFin Technologies Ltd. H NO 2-231KRISHNA COMPLEX 2ND FLOOR Opp. Municipal corporation Office, Jagat Station Main Road, Kalaburagi Gulbarga : 585105
11	Amritsar	KFin Technologies Ltd. SCO 5 , 2nd Floor,District Shopping Complex Ranjit Avenue Amritsar - 143 001	64	Guntur	KFin Technologies Ltd. 2nd Shatter, 1st Floor Hno 6-14-48, 14/2 Lane,Arundal Pet Guntur -522002
12	Anand	KFin Technologies Ltd. B-42 Vaibhav Commercial Center Nr TvS Down Town Show Room, Grid Char Rasta Anand - 388 001	65	Gurgaon	KFin Technologies Ltd. 2nd Floor, Vipul Agora M. G Road Gurgaon - 122001
13	Anantapur	KFin Technologies Ltd. #13/4, Vishnuapriya Complex, Beside SBI Bank, Near Tower Clock Anantapur - 515 001	66	Guwahati	KFin Technologies Ltd. Ganapati Enclave, 4th Floor Opposite Bora service, Ullubari Guwahati - 781007
14	Asansol	KFin Technologies Ltd. 1st Floor, 112/N, G. T. ROAD BHANGA PACHIL, Paschim Bardhaman Asansol - 713303, West Bengal	67	Gwalior	KFin Technologies Ltd. City Centre Near Axis Bank Gwalior - 474 011
15	Aurangabad	KFin Technologies Ltd. Shop no B 38,Motiwala Trade Center Nirala Bazar Aurangabad-431001	68	Haldwani	KFin Technologies Ltd. Shop No. 5, KMWV Shopping Complex Haldwani - 263139
16	Azamgarh	KFin Technologies Ltd. Shop no. 18 Gr. Floor Nagarpalka, Infront of Tresery office Azamgarh-276 001	69	Haridwar	KFin Technologies Ltd. Shop No. - 17, Bhatia Complex Near Jamuna Palace Haridwar-249 410
17	Balasure	KFin Technologies Ltd. 1-B. 1st Floor, Kalinga Hotel Lane Baleshwar, Baleshwar Sadar Balasure - 756001, Orissa	70	Hassan	KFin Technologies Ltd. HEMADRI ARCADE, 2ND MAIN ROAD SALGAME ROAD NEAR BRAHMINS BOYS HOSTEL Hassan - 573201
18	Bangalore	KFin Technologies Ltd. OLD NO : 35 , NEW NO-59, 1st Floor KAMALA NIVAS PUTTANNA ROAD Basavanagud Bangalore - 560 004	71	Hissar	KFin Technologies Ltd. Shop No. 20, Ground Floor, R D City Centre, Railway Road, Hissar - 125001
19	Bankura	KFin Technologies Ltd. Plot nos- 80/1/ANATUNCHATI MAHALLA 3rd floor Ward No 24 Opp to PC Chandra, Bankura - 722101	72	Hoshiarpur	KFin Technologies Ltd. The Mall Complex Unit # SF-6,2nd Floor Opposite Kapila Hospital Sutheri Road Hoshiarpur - 146001
20	Bareilly	KFin Technologies Ltd. 1ST FLOOR REAR SIDE A - SQUARE BUILDING 54-CIVIL LINES, Ayub Khan Chauraha BAREILLY - 243 001	73	Hubli	KFin Technologies Ltd. R R MAHALAXMI MANSION, ABOVE INDUSIND BANK 2ND FLOOR, DESAI CROSS, PINTO ROAD HUBLI - 580029
21	Baroda	KFin Technologies Ltd. 1st Floor 125 Kanha Capital Opp. Express Hotel, R C Dutt Road, Alkapuri Vadodara - 390007.	74	Hyderabad	KFin Technologies Ltd. JBS Station, Lower Concourse 1 (2nd floor) Situated in Jubilee Bus Metro Station Secunderabad - 500 009
22	Begusarai	KFin Technologies Ltd. SRI RAM MARKET KALI ASTHAN CHOWK, MATHANI ROAD Begusarai - 851101, Bihar	75	Indore	KFin Technologies Ltd. 101,Diamond Trade centre 3-4 Diamond Colony, New Palasia, Above khurana Bakery Indore -- 452001
23	Belgaum	KFin Technologies Ltd. Premises No 101 CTS NO 1893 Shree Guru Darshani Tower, Anandwadi Hindwadi Belgaum - 590011	76	Jabalpur	KFin Technologies Ltd. 2nd Floor, 290/1 (615-New) Near Bhavartal Garden Jabalpur - 482001
24	Bellary	KFin Technologies Ltd. GROUND FLOOR,3RD OFFICE, NEAR WOMENS COLLEGE ROAD BESIDE AMRUTH DIAGNOSTIC SHANTHI ARCHADE Bellary 583103	77	Jaipur	KFin Technologies Ltd. Office no 101, 1st Floor, Okay Plus Tower Next to Kalyan Jewellers, Government Hotel Circle Ajmer Road Jaipur - 302 001
25	Berhampur (Or)	KFin Technologies Ltd. Opp -Divya Nandan Kalyan Mandap 3rd Lane Dharam Nagar, Near Lohiya Motor Berhampur-760001	78	Jalandhar	KFin Technologies Ltd. Office No 7, 3rd Floor City Square building ,E-H197 Civil Lines Jalandhar - 144 001
26	Bhagalpur	KFin Technologies Ltd. 2nd Floor, Chandrakot Complex, Near Ghantaghar, Radha Rani Sinha Road, Bhagalpur - 812001	79	Jalgaon	KFin Technologies Ltd. 3rd floor,269 JAE Plaza Baliram Peth near Kishore Agencies Jalgaon - 425 001
27	Bharuch	KFin Technologies Ltd. 123 Nexus business Hub,Near Gangotri Hotel B/s Rajeshwari Petroleum, Makampur Road Bharuch - 392 001	80	Jalpaiguri	KFin Technologies Ltd. D.B.C. Road, Near Rupasree Cinema Hall, Opp to Nirala Hotel, Beside Kalamandir, Po & Dist Jalpaiguri Jalpaiguri - 735101
28	Bhatinda	KFin Technologies Ltd. SECOND FLOOR, MCB -Z-3-01043 GONIANA ROAD OPPOSITE NIPPON INDIA MF, GT ROAD NEAR HANJUMAN CHOWK BHATINDA - 151001	81	Jammu	KFin Technologies Ltd. 1D/D Extension 2 Valmiki Chowk, Gandhi Nagar Jammu - 180004
29	Bhavnagar	KFin Technologies Ltd. 303, STERLING POINT, WAGHAWADI ROAD, BHAVNAGAR - 364001	82	Jamnagar	KFin Technologies Ltd. Office No 131, 1st Floor Madhav Plaza Opp SBI Bank, Nr. LAL Bunglow Jamnagar - 361001
30	Bhilai	KFin Technologies Ltd. Office No.2, 1st Floor Plot No. 9/6, Nehru Nagar [East] Bhilai,Durg BHILAI - 490020, Chhattisgarh	83	Jamshedpur	KFin Technologies Ltd. Madhukunj, 3rd Floor O Road, Sakchi, Bistupur Jamshedpur - 831 001
31	Bhilwara	KFin Technologies Ltd. Office No. 14 B, Prem Bhawan, Pur Road Gandhi Nagar, Near Canara Bank Bhilwara-311001	84	Jhansi	KFin Technologies Ltd. 1st Floor, Puja Tower, Near 48 Chambers ELITE Crossing Jhansi - 284 001
32	Bhopal	KFin Technologies Ltd. SF-13 Gurukripa Plaza, Plot No. 48A Opposite City Hospital, zone-2,M P Nagar Bhopal-462011	85	Jodhpur	KFin Technologies Ltd. Shop No. 6, GROUND FLOOR, GANG TOWER OPPOSITE APORA MOTER SERVICE CENTRE, NEAR BOMBAY MOTER CIRCLE Jodhpur - 342 003
33	Bhubaneswar	KFin Technologies Ltd. A/181 Back Side Of Shivam Honda Show Room Saheed Nagar Bhubaneswar-751007	86	Junagadh	KFin Technologies Ltd. Shop No. 201, 2nd Floor, V-ARCADE Complex Near vanzari chowk,M.G Road Junagadh - 362001
34	Bikaner	KFin Technologies Ltd. H.No. 10, Himatar House Museum circle, Civil line Bikaner-334001	87	Kannur	KFin Technologies Ltd. 2ND FLOOR, GLOBAL VILLAGE BANK ROAD KANNUR - 670001
35	Bilaspur	KFin Technologies Ltd. ANANDAM PLAZA, Shop.No.306 3rd Floor, Vyapar Vihar Main Road Bilaspur-495001	88	Kanpur	KFin Technologies Ltd. 15/46,Ground Floor, Opp. Muir Mills, Civil Lines, Kanpur - 208001
36	Bokaro	KFin Technologies Ltd. CITY CENTRE, PLOT NO. HE-07,SECTOR-IV BOKARO STEEL CITY Bokaro Steel City - 827 004	89	Karimnagar	KFin Technologies Ltd. 2nd Shutter, H.No. 7-2-607 Sri Matha Complex Mankammaththa Karimnagar-505001
37	Burdwan	KFin Technologies Ltd. Saluja Complex; 846, Laxmipur G T Road, Burdwan; PS. BURDWAN & DIST: BURDWAN-EAST Burdwan - 713101, West Bengal	90	Karnal	KFin Technologies Ltd. 3 Randhir Colony Near Doctor J.C.Bathla Hospital Karnal-132001
38	Calcut	KFin Technologies Ltd. Second Floor, Manimuriyil Centre Bank Road, Kasaba Village Calcut - 673 001	91	Karur	KFin Technologies Ltd. No 88/11, BB Plaza NRMP street, K S Mess Back side Karur - 639002
39	Chandigarh	KFin Technologies Ltd. First floor, SCO 2469-70 Sector 22-C Chandigarh - 160022	92	Kharagpur	KFin Technologies Ltd. Holding No 254/220, SBI BUILDING Malancha Road, Ward No.16, PO: Kharagpur, PS: Kharagpur Dist: Paschim Medinipur Kharagpur-721301
40	Chennai	KFin Technologies Ltd. 9th Floor, Capital Towers 180,Kodambakkam High Road Nungambakkam Chennai - 600034	93	Kolhapur	KFin Technologies Ltd. 605/1/4 E Ward, Near Sultane Chambers, Shahupuri 2nd Lane, Lakshmi Niwas Kolhapur 416001
41	Chinsura	KFin Technologies Ltd. 96, DOCTORS LANE POCHINSURAH, DT: HOOGHLY Chinsura - 712101	94	Kolkata	KFin Technologies Ltd. 2/1 Russel Street 4th Floor, Kankaria Centre Kolkata-700071, West Bengal, India
42	Cochin	KFin Technologies Ltd. Door No:61/2784, Second floor SreeLakshmi Tower Chittoor Road, Ravipuram Cochin-682 015	95	Kollam	KFin Technologies Ltd. SREE VIGNESWARA BHAVAN SHASTRI JUNCTION KOLLAM - 691001
43	Coimbatore	KFin Technologies Ltd. 3rd Floor,1057 Jaya Enclave, Avanashi Road Coimbatore- 641018	96	Kota	KFin Technologies Ltd. D-8, SHR RAM COMPLEX OPPOSITE MULTI PURPOSE SCHOOL, GUMANPURA Kota - 324007
44	Cuttack	KFin Technologies Ltd. SHOP NO-45,2ND FLOOR, NETAJI SUBAS BOSE ARCADE BIG BAZAR BUILDING, ADJACENT TO RELIANCE TRENDS DARGHA BAZAR Cuttack - 753001	97	Kottayam	KFin Technologies Ltd. 1st Floor Csiascension Square Railway Station Road Collectorate P O Kottayam - 686 002
45	Darbhanga	KFin Technologies Ltd. H.No-185, Ward No-13, National Statistical office Campus, Kathalbari, Bhandar Chowk Darbhanga-846 004	98	Kurnool	KFin Technologies Ltd. Shop No:47, 2nd Floor 5 komda Shopping mall Kurnool-518 001
46	Davangere	KFin Technologies Ltd. D.No 162/6 , 1st Floor, 3rd Main, P J Extension Davangere taluk, Davangere Mandal Davangere : 577002	99	Lucknow	KFin Technologies Ltd. 1st Floor,A. A. Complex 5 Park Road, Hazratganj, Thaper House Lucknow - 226001
47	Dehradun	KFin Technologies Ltd. Shop No-809/799 , Street No-2 A Rajendra Nagar Near Sheesha Lounge, Kaulagarh Road Dehradun - 248 001	100	Ludhiana	KFin Technologies Ltd. Second floor, SCO 122, Above Hdfc Mutual fund , Feroze Gandhi Market Ludhiana - 141001
48	Deoria	KFin Technologies Ltd. K. K. Plaza, Above Apurva Sweets Civil Lines Road Deoria- 274001	101	Madurai	KFin Technologies Ltd. No. G-16/17,AR Plaza, 1st floor North Veli Street Madurai - 625001
49	Dhanbad	KFin Technologies Ltd. 208, New Market, 2nd Floor, Katras Road, Bank More, Dhanbad - 826001	102	Malda	KFin Technologies Ltd. RAM KRISHNA PALLY ; GROUND FLOOR ENGLISH BAZAR MALDA - 732001
50	Dhule	KFin Technologies Ltd. Ground Floor Ideal Laundry, Lane No 4 Khol Galli, Near Muthoot Finance Opp Bhavasar General Store Dhule-424001	103	Mangalore	KFin Technologies Ltd. SHOP NO - 305, MARIAN PARADISE PLAZA 3RD FLOOR, BUNTS HOSTEL ROAD MANGALORE - 575003
51	Durgapur	KFin Technologies Ltd. MWAV-16 Bengal Ambuja 2ndFloor, City Centre, Dist: Burdwan Durgapur- 713216	104	Margao	KFin Technologies Ltd. SHOP NO 21, ASIA MALL, 1ST FLOOR NEAR KTC BUS STAND, SGDKA MARKET COMPLEX Margao-Goa -403601
52	Eluru	KFin Technologies Ltd. DNO-23A-7-72/73K K S PLAZA MUNUKUTLA VARI STREET,OPP ANDHRA HOSPITALS,R R PETA ELURU-534 002	105	Mathura	KFin Technologies Ltd. Shop No. 9, Ground Floor Vhari Lal Plaza, Opposite Brijwasi Centrum Near New Bus Stand Mathura - 281001
53	Erode	KFin Technologies Ltd. No 38/1 Ground Floor,Sathy Road,(VCTV Main Road) Sorna Krishna Complex Erode - 638 003	106	Meerut	KFin Technologies Ltd. Shop No- 111, First Floor, Shivam Plaza Near Canara Bank,Opposite Eves Petrol Pump Meerut - 250 001
			107	Mehsana	KFin Technologies Ltd. FF-21, Someswar Shopping Mall, Modhera Char Rasta, Mehsana-384002



108	Mirzapur	KFin Technologies Ltd. Second Floor Triveni Campus Ratanganj Mirzapur-231001
109	Moga	KFin Technologies Ltd 1st Floor,Dutt Road, Mandir Wali Gali Civil Lines, Barat Ghar Moga-142001
110	Moradabad	KFin Technologies Ltd. Chadha Complex, G. M. D. Road Near Tadi Khana, Chowk Moradabad - 244 001
111	Morena	KFin Technologies Ltd. House No. HIG 959, Near Court Front of Dr. Lal Lab, Old Housing Board Colony Morena -476 001
112	Mumbai	KFin Technologies Ltd. 6/8 Ground Floor, Crossely House Near BSE ( Bombay Stoch Exchange) Next Union Bank , Fort Mumbai - 400001
113	Muzaffarpur	KFin Technologies Ltd. First Floor Saroj Complex Diwam Road, Near Kalyani Chowk Muzaffarpur-842001
114	Mysore	KFin Technologies Ltd. NO 2924, 2ND FLOOR, 1ST MAIN, 5TH CROSS SARASWATHI PURAM Mysore - 570 009
115	Nadiad	KFin Technologies Ltd. 311-3rd Floor City Center Near Paras Circle Nadiad - 387001
116	Nagercoil	KFin Technologies Ltd. HNO 45, 1st Floor East Car Street, Nagarcoil - 629001
117	Nagpur	KFin Technologies Ltd. Block No. B / 1 & 2 , Shree Apartment Plot No. 2 , Khare Town Mata Mandir Road, Dharampeth Nagpur - 440 010
118	Nanded	KFin Technologies Ltd. Shop No. 4, First Floor, Opp.Bank Of India Santkrupa Market, Gurudwara Road Nanded-431601
119	Nasik	KFin Technologies Ltd. S-9, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik, Maharashtra - 422002
120	Navsari	KFin Technologies Ltd. 103 , 1ST FLOOR LANDMARK MALL NEAR SAYAJI LIBRARY , Navsari - 396 445
121	New Delhi	KFin Technologies Ltd. 305 New Delhi House 27 Barakhamba Road New Delhi-110 001
122	Noida	KFin Technologies Ltd. F-21,2nd Floor,Near Kalyan Jewellers Sector-18 Noida - 201301(U.P)
123	Palghat	KFin Technologies Ltd.No.20 & 21, Metro Complex, Head Post Office Road, Sultanpet, Palghat - 678001
124	Panipat	KFin Technologies Ltd. Shop No. 20, 1st Floor BMK Market, Behind HIVE Hotel G.T. Road Panipat - 132103
125	Panjim	KFin Technologies Ltd. H. No. T-9, T-10, Affran plaza 3rd Floor Near Don Bosco High School Panjim - 403001
126	Pathankot	KFin Technologies Ltd. 2nd Floor, Sahni Arcade Complex Adjlindra colony Gate Railway Road, Pathankot - 145001
127	Patiala	KFin Technologies Ltd. B- 17/423 Opp Modi College, Lower Mall Patiala - 147 001
128	Patna	KFin Technologies Ltd. Flat No- 102, 2BHK Maa Bhawani Sherdalay Exhibition Road Patna - 800001
129	Pondicherry	KFin Technologies Ltd. No 122(10b) Muthumariamman koil street Pondicherry - 605 001
130	Pune	KFin Technologies Ltd. Office no 207-210, 2nd Floor Kamla Arcade, Jangli Maharaj Road Opposite Balgandharva, Shivaji Nagar Pune - 411005
131	Raipur	KFin Technologies Ltd. Office No.5-13 Second Floor, Raheja Tower Fafadih Chowk, Jail Road Raipur - 492 001
132	Rajahmundry	KFin Technologies Ltd. D.No: 6-7-7, Sri Venkata Satya Nilayam,1st Floor, Vadrevu vari Veedhi, T - Nagar, Rajahmundry - 533101
133	Rajkot	KFin Technologies Ltd. 302 Metro Plaza Near Moti Tanki Chowk Rajkot - 360 001
134	Ranchi	KFin Technologies Ltd. Room no 103, 1st Floor, Commerce Tower Beside Mahabir Tower Main Road Ranchi - B34 001
135	Renukoot	KFin Technologies Ltd. C/o Mallick Medical Store Bangali Katra Main Road, Renukoot Dist. Sonbhadra (U.P)-231 217
136	Rewa	KFin Technologies Ltd. Shop No. 2, Shree Sai Anmol Complex,Ground Floor,Opp Teerth Memorial Hospital Rewa-486 001
137	Rohtak	KFin Technologies Ltd. Office No- 61, First Floor Ashoka Plaza, Delhi Road Rohtak - 124001
138	Roorkee	KFin Technologies Ltd. Near Shri Dwarkadish Dharm Shala Ramnagar Roorkee - 247 667
139	Rourkela	KFin Technologies Ltd. 2nd Floor, Main Road UDIT NAGAR Rourkela - 769 012 SUNDARGARH
140	Sagar	KFin Technologies Ltd. II floor ,Above shiva kanch mandir 5 civil lines Sagar-470002
141	Salem	KFin Technologies Ltd. No.6 NS Complex Omakur Main Road Salem-636009
142	Sambalpur	KFin Technologies Ltd. SAHEJ PLAZA, First Floor; Shop No. 219 Golebazar Sambalpur-768001
143	Satna	KFin Technologies Ltd 1st Floor Gopal Complex Near Bus Stand Rewa Road Satna (MP) -485 001
144	Shillong	KFin Technologies Ltd. Annex Mani Bhawan Lower Thana Road,Near R K M Lp School Shillong - 793 001
145	Shimla	KFin Technologies Ltd. 1st Floor, Hills View Complex Near Tara Hall Shimla - 171 001
146	Shimoga	KFin Technologies Ltd. JAYARAMA NILAYA, 2ND CORSS MISSION COMPOUND Shimoga - 577201
147	Shivpuri	KFin Technologies Ltd. Near Hotel Vanasthali. In Front of Sawarkar Park, A. B. Road, Shivpuri Shivpuri-473 551
148	Sikar	KFin Technologies Ltd. 1st Floor, Super Towers, Near Taparya Bagichi Behind Ram Mandir, Station Road Sikar-332001
149	Silchar	KFin Technologies Ltd. 1st Floor, Chowchakra Complex, N N Dutta Road, Premtala Silchar - 788001
150	Siliguri	KFin Technologies Ltd. 2nd Floor, Nanak Complex Sevoke Road, Siliguri - 734001
151	Sitapur	KFin Technologies Ltd. 12/12, Surya Complex Station Road Sitapur - 261001
152	Solan	KFin Technologies Ltd. Disha Complex, 1st Floor Above Axis Bank, Rajgarh Road Solan-173 212
153	Solapur	KFin Technologies Ltd. Shop No 106. Krishna complex 477 Dakshin Kasaba, Datta Chowk Solapur-413 007
154	Sonepat	KFin Technologies Ltd. Shop no. 205 PP Tower Opp income tax office,Subhash chowk Sonepat-131001
155	Sri Ganganagar	KFin Technologies Ltd. Shop No. 5, Opposite Bihani Petrol Pump NH - 15, near Baba Ramdev Mandir Sri Ganga Nagar - 335001
156	Sultanpur	KFin Technologies Ltd. 1st Floor, Ramashanker Market Civil Line Sultanpur-228 001
157	Surat	KFin Technologies Ltd. Ground Floor, Empire State building, Near Udhna Darwaja, Ring Road, Surat-395002
158	Tirunelveli	KFin Technologies Ltd. Jeney Building, 55/18, S N Road, 2nd Floor Near Arvind Eye Hospital Tirunelveli - 627 001
159	Tirupathi	KFin Technologies Ltd. Shop No.18-1421/f1 CITY Center, K.T.Road, Airtel Backside office Tirupati - 517501
160	Tiruvalla	KFin Technologies Ltd. 2nd Floor, Eriinjery Complex, Opp Axis Bank, Near Kotak Securities, Ramanchira Tiruvalla - 689107
161	Trichur	KFin Technologies Ltd. 4TH FLOOR, CROWN TOWER SHAKTHAN NAGAR OPP. HEAD POST OFFICE THRISSUR - 680001
162	Trichy	KFin Technologies Ltd. No 23C/1 E V R Road, Near Vekkaliamman Kalyana Mandapam Puttur Trichy - 620 017
163	Trivandrum	KFin Technologies Ltd. 3rdFloor, No- 3B TC-82/3417, CAPITOL CENTER, OPP SECRETARIAT, MG ROAD , Trivandrum - 695 001.
164	Tuticorin	KFin Technologies Ltd. No 48/A-34, Mani nagar Mangal Mall Palayamkottai Road Tuticorin - 628003
165	Udaipur	KFin Technologies Ltd. Shop No. 202, 2nd Floor business centre 1C Madhuvan, Opp G P O Chetak Circle Udaipur-313001
166	Ujjain	KFin Technologies Ltd. Heritage Shop No. 227,87 Vishvavidyalaya Marg Station Road,Near ICICI bank Above Vishal Megha Mart Ujjain-456001
167	Valsad	KFin Technologies Ltd. 406 Dreamland Arcade Opp Jade Blue, Tithal Road Valsad - 396001
168	Vapi	KFin Technologies Ltd. A-8, Second Floor, Solitaire Business Center, Opp DCB Bank, GIDC Char rashta, Silvassa Road, Vapi - 396191
169	Varanasi	KFin Technologies Ltd. D.64 / 52, G - 4 Arihant Complex , Second Floor Madhopur, Shivpurva Sagra ,Near Petrol Pump Varanasi - 221 010
170	Vellore	KFin Technologies Ltd. No 2/19, 1st floor Vellore city centre, Anna salai Vellore - 632001
171	Vijayawada	KFin Technologies Ltd. Hno 26-23, 1st Floor Sundarammastreet, Gandhinagar Vijayawada - 520 003
172	Visakhapatnam	KFin Technologies Ltd. D NO : 48-10-40, GROUND FLOOR, SURYA RATNA ARCADE, SRINAGAR OPP ROAD TO LALITHA JEWELLER SHOWROOM, BESIDE TAJ HOTEL LADGE VISAKHAPATNAM - 530 016
173	Warangal	KFin Technologies Ltd. Shop No22 , Ground Floor Warangal City Center,15-1-237 Mulugu Road Junction Warangal - 506002
174	Yamuna Nagar	KFin Technologies Ltd. B-V, 185/A, 2nd Floor, Jagadri Road, Near DAV Girls College, (UCO Bank Building) Pyara Chowk Yamuna Nagar-135 001
175	Vashi	KFin Technologies Ltd. Haware Infotech Park, 902 , 9th Floor, Plot No 39/03 Sector 30A, Opp Inorbit Mall, Vashi Navi Mumbai 400 703 Maharashtra
176	Vile Parle	KFin Technologies Ltd. Office No 103, 1st Floor, MTR Cabin-1, Vertex, Navkar Complex, M. V. Road, Andheri East , Opp Andheri Court Mumbai - 400069
177	Borivali	KFin Technologies Ltd. Gomati Smuti, Ground Floor, Jambli Gully, Near Railway Station, Borivali (West), Mumbai -400 092
178	Thane	KFin Technologies Ltd. Room No. 302 3rd Floor, Ganga Prasad Near RBL Bank Ltd,Ram Maruti Cross Road, Naupada Thane West Mumbai - 400602
179	Hyderabad	KFin Technologies Ltd. Selenium, Plot No: 31 & 32, Tower B, Survey No.115/22, 115/24, 115/25 Financial District, Gachibowli, Nanakramguda, Serilingampally Mandal Hyderabad - 500032
180	Srikakulam	KFin Technologies Ltd. D No: 158, Shop No#3 Kaki Street Opp Ulasi Das Hospital, CB Road Srikakulam - 532001
181	Ghatkopar	KFin Technologies Limited 1/1 Platinum Mall, Jawahar Road, Ghatkopar ( East ) Mumbai - 400 077
182	Satara	KFin Technologies Limited G7, 465, A Govind Park Sadar Bazaar, Satara - 415001
183	Ahmednagar	KFin Technologies Limited Above Shubham mobile & Home Appliances Tlak Road, Maliwada Ahmednagar-414001
184	Nellore	KFin Technologies Limited 24-6-326/1 Ibaco Building 4th Floor, Grand Truck Road Beside Hotel Minerva, Saraswathi Nagar, Dargamitta Nellore - 524003
185	Kalyan	KFin Technologies Limited Seasons Business Centre 104 / 1st Floor, Shivaji Chowk Opposite KDMC (Kalyan Dombivali Mahanagar Corporation) Kalyan - 421301
186	Korba	KFin Technologies Limited Office No 202, 2nd Floor, QUBE 97, ICRC Transport Nagar Korba - 495677
187	Ratlam	KFin Technologies Limited 106 Rajaswa Colony Near Sailana Bus Stand Ratlam - 457001
188	Kalyani	KFin Technologies Limited Ground Floor,H No B-7/275 Kalyani HO, Nadia District Kalyani - 741235
189	Hosur	KFin Technologies Limited No.2/3-4. Sri Venkateswara Layout Denkanikottai road, Dinnur Hosur - 635109

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