

# Invesco India Manufacturing Fund

(An open ended equity scheme following manufacturing theme)

**Application Form for Lumpsum/SIP/Folio Creation**  
Please refer instructions before filling the form.  
All fields marked with "+" are mandatory to be filled by the unitholder(s).

Application No :

**NFO Opens: July 25, 2024**  
**NFO Closes: August 8, 2024**

**This product is suitable for investors who are seeking\*:**

- Capital appreciation over long term
- Investments predominantly in equity and equity related instruments of companies following manufacturing theme

**\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them**



## Key Partner/Agent Information

Mutual Fund Distributor ARN ARN -	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUID) (Of Individual ARN holder or of employee/ Relationship Manager/Sales Person of the Distributor)		Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)

**Existing Unitholder:** Please fill in Folio Number below and then proceed to section 2

Folio Number

Name of Sole / First Unitholder

## New Unitholder

### 1. Applicant Details

**Mode of Holding** (Only for non-demat mode)  Single  Joint  Anyone or Survivor (Default)

**First/Sole<sup>+</sup>** (Name as per PAN records) Mr. / Ms. / M/s.

Father Name  Mother Name

**PAN/PEKRN<sup>+</sup>**

**Date of Birth<sup>+</sup>**

**KIN**

**Gross Annual Income<sup>+</sup>**  Below 1 Lakh  1-5 Lakhs  5-10 Lakhs  10-25 Lakhs  25 Lakhs - 1 Crore  > 1 Crore

Net-worth in Rs.  As on (date within last 1 year) (Mandatory for Non-Individuals)

**Occupation Details<sup>+</sup>**  Private Service  Pub. Sector / Govt. Serv.  Professional  Business  Retired  Student  Agriculturist  Forex Dealer  Housewife  Others \_\_\_\_\_ (Please specify)

**Others<sup>+</sup>** (For individuals)  Politically Exposed Person (PEP)  Related to PEP  Not Applicable (Default)

**Second<sup>++</sup>** (Name as per PAN records) Mr. / Ms. / M/s.

Father Name  Mother Name

**PAN/PEKRN<sup>+</sup>**

**Date of Birth<sup>+</sup>**

**KIN**

**Gross Annual Income<sup>+</sup>**  Below 1 Lakh  1-5 Lakhs  5-10 Lakhs  10-25 Lakhs  25 Lakhs - 1 Crore  > 1 Crore

**Occupation Details**  Private Service  Pub. Sector / Govt. Serv.  Professional  Business  Retired  Student  Agriculturist  Forex Dealer  Housewife  Others \_\_\_\_\_ (Please specify)

**Relationship with First Holder**  Relative<sup>^</sup>  Mutual Fund Distributor

**Others** (For individuals)  Politically Exposed Person (PEP)  Related to PEP  Not Applicable (Default)

**Third<sup>++</sup>** (Name as per PAN records) Mr. / Ms. / M/s.

Father Name  Mother Name

**PAN/PEKRN<sup>+</sup>**

**Date of Birth<sup>+</sup>**

**KIN**

**Gross Annual Income<sup>+</sup>**  Below 1 Lakh  1-5 Lakhs  5-10 Lakhs  10-25 Lakhs  25 Lakhs - 1 Crore  > 1 Crore

**Occupation Details**  Private Service  Pub. Sector / Govt. Serv.  Professional  Business  Retired  Student  Agriculturist  Forex Dealer  Housewife  Others \_\_\_\_\_ (Please specify)

**Relationship with First Holder**  Relative<sup>^</sup>  Mutual Fund Distributor

**Others** (For individuals)  Politically Exposed Person (PEP)  Related to PEP  Not Applicable (Default)

**Others** (For Non-individuals) Is the entity involved in any of the following services (i) Foreign Exchange/Money Changer Services  Yes  No (Default) (ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates  Yes  No (Default) (iii) Money Lending/Pawning  Yes  No (Default)

I/We hereby confirm that the EUID box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no. 1vii).

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

**Sign Here - Sole/First Applicant/Guardian/POA**

**Sign Here - Second Applicant**

**Sign Here - Third Applicant**

### Status (✓)

- |  |  |
|--|--|
| <input type="checkbox"/> Individual                    | <input type="checkbox"/> Minor                         |
| <input type="checkbox"/> HUF                           | <input type="checkbox"/> NRI Repatriable               |
| <input type="checkbox"/> LLP                           | <input type="checkbox"/> Listed Co.                    |
| <input type="checkbox"/> Society/Club                  | <input type="checkbox"/> Trust                         |
| <input type="checkbox"/> AOP                           | <input type="checkbox"/> Co. U/S 25/8 of Companies Act |
| <input type="checkbox"/> Minor-NRI Repatriable         | <input type="checkbox"/> Partnership                   |
| <input type="checkbox"/> Minor-NRI Non-Repatriable     | <input type="checkbox"/> Body Corporate                |
| <input type="checkbox"/> NRI Non-Repatriable           | <input type="checkbox"/> FPI                           |
| <input type="checkbox"/> Unlisted Co.                  | <input type="checkbox"/> Others _____                  |
| <input type="checkbox"/> In case of Non-Profit Entity* |  |

### Instructions

\* No joint holder to be mentioned where minor is first holder.

<sup>^</sup> Relative' shall be namely, Spouse; Father and Mother; Son and his wife; Daughter and her husband; Brother, Sister, stepfather, stepmother, stepson, stepbrother and stepsister

I/ We hereby declare and confirm that the name of joint holder (2nd / 3rd holder) has been added with my/our knowledge and consent.

All fields marked with "+" are mandatory to be filled by the unitholder(s).

\*In case of Guardian, Investor needs to update their gross annual income, Occupation and other details as provided in first/sole holder. Contact Person-In case of non-individual investors only.

#If the investment is being made by a Constituted Attorney, please furnish the details of POA holder.

To be filled mandatory by Non-Individual investors.

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

Yes  No

If Yes, please quote Registration No. of Darpan portal of Niti Aayog \_\_\_\_\_

If you have not registered with Darpan Portal, please register immediately and confirm the above information. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We am/are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/ us or collect such fines/charges in any other manner as might be applicable.

**Mobile No. and Email ID Declaration Relationship Reference:**

Family Code	Family Description
SE	Self
SP	Spouse
DC	Dependent Children
DS	Dependent Siblings
DP	Dependent Parents
GD	Guardians
CD	Custodian

**Guardian/ Contact Person\*\*** Mr. / Ms. / M/s.  
(Name as per PAN records)

**Relation**  Father (Natural Guardian)  Mother (Natural Guardian)  Court Appointed Guardian

**PAN/PEKRN+** \_\_\_\_\_ **Date of Birth+** D D M M Y Y Y Y

**KIN** \_\_\_\_\_

**POA Holder#** Mr. / Ms. / M/s.  
(Name as per PAN records)

**PAN** \_\_\_\_\_ **Date of Birth** D D M M Y Y Y Y

**KIN** \_\_\_\_\_

**Mailing Address**

\_\_\_\_\_

City \_\_\_\_\_ PIN \_\_\_\_\_ State \_\_\_\_\_

Tel. No. (R) \_\_\_\_\_ (Address should be as per KYC records, refer Instruction no. 14ii)

**Contact details of 1st Holder**

Mobile \_\_\_\_\_ Email \_\_\_\_\_

This mobile number belongs to:  Self\*  Spouse  DC  DS  DP  GD  Custodian \*Default  
This email ID belongs to:  Self\*  Spouse  DC  DS  DP  GD  Custodian \*Default

**Contact details of 2nd Holder**

Mobile \_\_\_\_\_ Email \_\_\_\_\_

This mobile number belongs to:  Self\*  Spouse  DC  DS  DP  GD  Custodian \*Default  
This email ID belongs to:  Self\*  Spouse  DC  DS  DP  GD  Custodian \*Default

**Contact details of 3rd Holder**

Mobile \_\_\_\_\_ Email \_\_\_\_\_

This mobile number belongs to:  Self\*  Spouse  DC  DS  DP  GD  Custodian \*Default  
This email ID belongs to:  Self\*  Spouse  DC  DS  DP  GD  Custodian \*Default

**Overseas Address** (Mandatory in case of NRI / FPI applicant)

\_\_\_\_\_

City \_\_\_\_\_ State/Province \_\_\_\_\_

Country \_\_\_\_\_ PIN \_\_\_\_\_

**Instructions**

IDCW - Income Distribution cum capital withdrawal Option

Plan, Option, Facility of the scheme should be clearly stated. In case applications are received where Plans/ Options for investment is not selected, the default Plan/ Option as prescribed in the SID of the Scheme will be applicable.

\*Cheque/DD should be drawn in favor of the Scheme.

Investment in single scheme - Invesco India Manufacturing Fund.

Investors applying under direct plan must mention "Direct" in the Plan box provided in Point no. 2.

<sup>1</sup>For SIP registration, please fill the complete Systematic Investment Plan (SIP) Registration cum mandate form for NACH/Direct Debit

**2. Investment and Payment Details<sup>1</sup>**

**Scheme** **Invesco India Manufacturing Fund** Plan  Regular  Direct

**Option**  Growth (Default)  IDCW IDCW Frequency  Payout of IDCW  Reinvestment of IDCW (Default)

Investment Amt. (Rs.) \_\_\_\_\_ DD Charges (Rs.) \_\_\_\_\_

Net Amt. (Rs.) \_\_\_\_\_ Total Amount (Rs.) \_\_\_\_\_

**Mode of Payment**  Cheque  DD  NACH  Funds Transfer  RTGS/NEFT

**Account Type**  Current  Savings  SNRR  NRE  NRO  FCNR  Others \_\_\_\_\_

**Cheque/DD No./ UTR** \_\_\_\_\_

**Bank Name** \_\_\_\_\_

**Bank A/c. No.** \_\_\_\_\_

**3. Demat Account Details**

Optional, Refer instruction no. 12

NSDL  CDSL  DP ID<sup>3</sup> I N \_\_\_\_\_

**Beneficiary Account No.** \_\_\_\_\_ **DP Name** \_\_\_\_\_

Please provide a cancelled cheque leaf of the same bank account as mentioned. We will credit the redemption/IDCW proceeds directly into investors' account through electronic means if the details provided by the investors are sufficient for the same. Mentioning your IFSC is mandatory and will help us transfer the amount to the correct bank account faster. Unitholders who have opted to hold Units in dematerialized form must provide Bank Account details linked with the Demat account, as mentioned under section 4. In case of discrepancy, bank details as per depository records will be final.

#### 4. Bank Account Details<sup>+</sup>

Bank A/c. No.																
Bank Name																
City								PIN								
Account Type	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> SNRR <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____															
Branch Address																
MICR Code								NEFT/RTGS/IFSC Code								
Remitter LEI No.:								Validity Date:	D	D	M	M	Y	Y	Y	Y
Beneficiary Name	Invesco Mutual Fund															
Beneficiary LEI No.:	549300ON71F6PVXRBF54							Validity Date:	D	D	M	M	Y	Y	Y	Y

#### Instructions

LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore

#### 5. Option to receive Physical Copy of Annual Report

Refer Instruction no. 11

I/We would like to receive physical copy of Annual Report of the Scheme or abridged summary thereof (Please ✓)

#### 6. Nomination Details (Mandatory where mode of holding is Single)

Refer Instruction no. 10

(Please fill the appropriate section and strike out the other section which is not applicable.)

If application form is being signed by POA Holder, the unitholder(s) have to mandatory sign this section of Nomination Details.

#### SECTION A

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

	Nominee 1	Nominee 2	Nominee 3
Nominee Name*			
Nominee PAN			
Nominee Relationship*			
% of allocation*			
DOB of Nominee*#			
Name of the Guardian (For minor as nominee)*#			
Guardian PAN			
Guardian Relationship with nominee	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian	<input type="checkbox"/> Mother <input type="checkbox"/> Father <input type="checkbox"/> Legal Guardian
Proof of Relationship	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Passport <input type="checkbox"/> Others _____	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Passport <input type="checkbox"/> Others _____	<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Legal Guardian <input type="checkbox"/> Passport <input type="checkbox"/> Others _____
Complete address inc. City, State and PIN code			
Email ID			
Mobile/ Phone No.			
Enclosed proof of identity			
Nominee / Guardian Sign.			

# applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate). Fields marked with "\*" are mandatory to be filled.

#### Acknowledgement Slip (To be filled by the Applicant)

Application No :

Received from	Mr. / Ms. / M/s.
Towards Subscription of (Scheme Name)	Invesco India Manufacturing Fund
Amount (₹)	Cheque/DD No.

Signature, Stamp & Date
Date

D D M M Y Y Y Y

**Instructions**

Please consult your professional tax advisor for further guidance on your tax residency, if required.

<sup>2</sup>Address of tax residence would be taken as available in KRA & notify the changes.


**FATCA & CRS Terms & Conditions**

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.


Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

**SECTION B (Declaration Form for opting out of nomination)**

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio.

  
Signature of Sole/First Applicant/Guardian

  
Signature of the 2nd unitholder

  
Signature of the 3rd unitholder

**8. FATCA & CRS - Self Certification for Individuals Only (Non Individual Investors should mandatorily fill separate FATCA – CRS Annexure).**

Address Type<sup>2</sup>  Residential  Business  Registered Office

Are you a tax resident of any country other than India?  Yes  No

If 'YES', please fill for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card holder / Tax Resident in the respective countries

Category	First Applicant	Second Applicant	Third Applicant
City Of Birth			
Country of Birth			
Nationality			
Country of Tax Residency			
Tax Identification No.*			
Identification Type (TIN or others, please specify)			
If TIN is not available, please ✓ the reason A, B or C	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C	→ Reason <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C

Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

Reason B → No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected.

Reason C → Others; please state the reason thereof.

**9. Declaration**

The Trustees, Invesco Mutual Fund

Having read and understood the contents of the Statement of Additional Information/Scheme Information Document(s) of Invesco India Manufacturing Fund.

I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my/our investment to my/our bank(s)/ Invesco Mutual Fund's Bank(s) and/or Distributor/Broker/Investment Advisor and to verify my/ our bank details provided by me/us. I/We give my consent to AMC and its agents / Registrar to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotions/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I / We declare that the email address and mobile number provided is of the primary / joint unitholder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. I/We hereby

declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I/We will also inform Invesco Asset Management (India) Pvt. Ltd., about any changes in my/our bank account. I/We hereby declare that the amount invested by me/us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.


I/we hereby declare and confirm that the name of joint holder (2nd holder / 3rd holder) has been added with my/our knowledge and consent.

**Applicable to PEKRN holders:** I, the first/sole holder hereby declare that I do not hold a Permanent Account Number and hold only a single 'PAN exempt PEKRN' issued by KRA and that my existing investment in schemes of Invesco Mutual Fund together with current application will not result in aggregate investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year i.e. April to March.

**Applicable to NRIs only:** I/We confirm that I am/we are Non-Residents of Indian Nationality /Origin and that the funds are remitted from abroad through approved banking channels or from my/our NRE/NRO/FCNR/SNRR Account. I/We confirm that the details provided by me/us are true and correct.

Yes  No

If NRI :  Repatriation basis  Non-Repatriation basis

  
Sole/First Applicant/Guardian

  
Second Applicant

  
Third Applicant

Date         Place

## 1. General Instructions

- i. Please read the Statement of Additional Information, Key Information Memorandum and the Scheme Information Document(s) containing the terms of offer carefully before investing. In the Scheme Information Document, your attention is particularly drawn to the risk factors of investing in the scheme and also the sections "Who cannot invest" and "Important note on anti-money laundering & KYC, FATCA / CRS".
- ii. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- iii. Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of these notes to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
- iv. Please strike out any section that is not applicable. Cancellation / white-ink on any of the mandatory information should be countersigned.
- v. Applications can be submitted at any of the Official Points of Acceptance of the AMC / Registrar / MFU. Applications can also be made through the electronic platform, for details please refer point 1(vi).
- vi. In addition to subscribing Units through submission of application in physical, investor/Unit holder can also subscribe to the Units of the Schemes through our website [invescomutualfund.com](http://invescomutualfund.com), through mutual fund trading platforms of stock exchanges viz. BSE StAR MF of BSE, MF Utility, MFSS facility, and/or NMF-II platform of NSE and MF Central. Investors can also subscribe through website of KFin Technologies Ltd. or mobile application i.e. 'KFinKart'. Non-individual investors can also send financial transactions through their registered email ID to [mfservices@invesco.com](mailto:mfservices@invesco.com) which is an Official Point of Acceptance (OPA). The facility to subscribe through electronic platforms will be subject to operating guidelines and terms and conditions as may be prescribed from time to time.
- vii. Investments through distributors : Pursuant to SEBI Master circular no. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2023/74 dated May 19, 2023, it is mandatory to mention Employee Unique Identification Number ('EUIIN') of individual ARN holder or of employee/relationship manager/sales person of the Distributor in the application form if the investments are routed through a Distributor. EUIIN is allotted by AMFI and mentioning EUIIN would assist in addressing instances of mis-selling. If there is no interaction by the employees / sales person / relationship manager of the distributor / sub-distributor w.r.t. transaction, then the EUIIN box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.
- viii. Investors must ensure that the email address and mobile number provided is of the primary holder or a family member and not of any third party. For more details, please refer instructions on "Email Communication".
- ix. Plan, Option, Facility of the scheme should be clearly stated. In case applications are received where Plans/Options for investment is not selected, the default Plan/Option as prescribed in the SID of the Scheme will be applicable.

## 2. Applicant Information

- i. Name should be exactly as it appears in your PAN card/ Income Tax records. In case, units are applied for electronic mode (Demat mode), please write the name exactly in the same order as it appears in depository records.
- ii. In case investment is 'On behalf of Minor':
  - a. Name of the Guardian must be mentioned if the investments are being made on behalf of the minor. Payment for investment by any mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian. For existing folios, the AMCs shall insist upon a Change of Pay-out Bank mandate before redemption is processed. Irrespective of the source of payment for subscription, all redemption proceeds shall be credited only in the verified bank account of the minor, i.e. the account the minor may hold with the parent/ legal guardian after completing all KYC formalities. For existing folios, if the bank account registered in the folio is not in favour of minor or not a joint account of minor with the guardian, then the Unitholder will be required to submit the change of bank mandate where minor is bank account holder (either single or joint with guardian) or else the transactions are liable to be rejected. Guardian in the folio on behalf of minor should either be a natural guardian (i.e. father or mother) or a Court appointed legal guardian. A document evidencing the relationship should be submitted; if the same is not available as part of the documents stated in point (c) below, transaction is liable to be rejected.
  - b. The minor shall be the first and sole holder. There shall not be any joint holder where minor is the first holder.
  - c. Date of birth of minor along with the photocopy of following supporting documents shall be mandatory while investing on behalf of minor:
    - Birth certificate of the minor; or
    - School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc.; or
    - Passport of the minor; or
    - Any other suitable proof evidencing the date of birth of the minor.
- iii. Name of the Contact Person, e-mail and Telephone no. should be mentioned in case of investments by HUF, Company, Body Corporate, Trust, Society, FPI and other non-individual applicants.
- iv. Complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/ FPIs should necessarily state their overseas address failing which application will be rejected. In addition, Indian address (if available) may be stated for correspondence. It should be noted that NRI residents in any Financial Action Task Force non-compliant countries or territories, US Persons & Residents of Canada cannot invest in the Schemes.
- v. In case of NRI/FPI investors, the Account Statements / Allotment Advice/ Redemption cheques / other correspondence will be sent to their correspondence address as per KYC records.

- vi. Please fill in your date of birth as this mandatory to validate the investor name with PAN records and may be required for validating your identity for certain transactions / communications.
- vii. If you have an email ID, please provide the same, this will help us send investment / product related communication and resolve any queries more promptly.
- viii. In case of applications for units in non demat mode, made in joint names without indicating the mode of holding, mode of holding will be deemed as 'Any One or Survivor' and processed accordingly. All communication and payments shall be made in the name of and favouring the first applicant (in case of joint holders).
- ix. In case any of the Joint Holder(s) mentioned in the application form is the Mutual Fund Distributor (MFD), separate declaration / confirmation to be submitted by the 1st holders/ other joint holders (excluding MFD's close relatives) that the name of the MFD has been added with their knowledge and consent.
- x. Declaration is available on our website [invescomutualfund.com](http://invescomutualfund.com)

## 3. PAN (Permanent Account Number)

It is mandatory for all existing and prospective investors irrespective of the amount of purchase<sup>1</sup> (including joint holders, guardians of minors and NRIs) to mention the PAN on the application form. In case of minor, the PAN of his / her guardian should be quoted. In the absence of this, application will be rejected. Further, following investors are exempted from the mandatory requirement of PAN for transacting in securities market:

- i. Investors who want to make Micro Investment with an aggregate of the lump sum investment up to Rs. 50,000/- in a rolling 12 months period or a financial year i.e. April to March<sup>2</sup> per investor, per year, per mutual fund (Micro Investments):
- ii. Applicants resident in the state of Sikkim, Central Government, State Government, officials appointed by the courts e.g. official liquidator, court receiver etc. (under the category of Government)

The exemption will be applicable only to Micro Investment made by individuals (including NRIs, Minors acting through Guardian and sole Proprietary Firms). However PIOs, HUF and other categories of investors will not be eligible for this exemption. This will be subject to collecting necessary documentary evidence by the AMC/ Fund to verify the veracity of the claim. However, such investors are mandatorily required to complete the KYC documentation as prescribed by KRA and to obtain PAN Exempted KYC Reference Number (PEKRN). These investors should mention the PEKRN in place of PAN.

<sup>1</sup>includes fresh / additional purchase, switch, systematic investment plan, systematic transfer plan and IDCW reinvestment. <sup>2</sup>Such investments will be aggregated at the investor level irrespective of the number of folios under which the investor has invested. The requirement of verification of PAN with original copy is dispensed with, investors are not required to provide original PAN card for verification.

Please note that Submission of Permanent Account Number (PAN) is mandatory for all the financial transactions including redemption / repurchase transactions in respect of non-PAN exempt folios for all the Unitholders in the folio.

Non - PAN / Non - PEKRN folios shall be deemed as non-compliant folios and investors shall not be allowed to execute any financial or non-financial transactions w.e.f April 01, 2023.

As per Section 139AA of the Income Tax Act, 1961, every person who has been allotted a Permanent Account Number (PAN), and who is eligible to obtain Aadhaar number, shall be required to link the Aadhaar with PAN.

Please note that, if Aadhaar is not linked with PAN, the PAN of such person may be deemed inoperative, and other provisions of the IT Act shall apply, such as higher TDS deduction or restriction on transactions where PAN is mandatory.

## 4. Bank Account Details

As per SEBI Guidelines, it is mandatory for the Sole / First Applicant to provide the bank account details in the application form. Applications received without the bank details will be deemed to be incomplete and liable for rejection. The Mutual Fund reserves the right to hold the redemption proceeds in case the requisite details are not submitted.

To enable verification of the bank mandate details and ensure expeditious clearing, the 9-digit MICR (Magnetic Ink Character Recognition) number appearing to the right of the cheque number on the bottom white strip of the cheque leaf should be provided.

IFSC is a 11 digit alpha numeric character given by some of the banks on the cheques.

It is mandatory to mention IFSC as it will help to secure transfer of redemption and IDCW payouts via the various electronic modes of transfers that are available with the banks.

Investors opting to hold units in Demat mode should furnish Bank Account details linked with their Demat Account. In case the bank details provided in the application form don't match with the bank details in the Depository records, bank details as available in the Depository records shall be deemed final.

If the cheques submitted along with the application form for subscription under a new folio is drawn on bank account (pay-in Bank Account) which is different from pay-out bank account details (i.e. bank account for receipt of redemption / IDCW proceeds) as mentioned in the application form, then it would be mandatory for the investor to submit below mentioned documentary proof:

- Cancelled Original cheque leaf of the pay-out bank account having the First Holder Name and bank account number printed on the cheque; or
- Self-attested Bank statements/pass book with current entries not older than 3 months reflecting the First Holder Name, Bank Account Number and Bank Name as specified in the application

The AMC / RTA may initiate a penny drop to the bank account mentioned on the application form to validate the bank details and confirm that payment is being done by the unitholder(s) bank account only.

Originals of above documents should be produced for verification at the Investor Service Centre or Official Points of Acceptance of Transactions of the Fund and the original document(s) will be returned across the counter after verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his name, designation and employee number.

Investors are requested to note that applications for new folio creation submitted (wherein the pay-in bank details are different from pay-out bank details) without any of the above mentioned documents are liable to be rejected.

In case of change in bank mandate, change will be effected for the folio and not for individual scheme.

For multiple bank account registration, please refer to Statement of Additional Information.

## 5. Systematic Investment Plan (SIP)

SIP facility is offered to investors under this scheme. Investors have choice of three payment options

- Online
- National Automated Clearing House (NACH)
- Direct Debit Instructions

Please refer to the Terms & Conditions and Instructions on the reverse of the SIP Registration cum Mandate Form.

## 6. Mode of Payment

Payment can be made in any of the following modes:

- i. Payment should be made by way of separate cheque/demand draft\* and must be payable locally at the Official Point(s) of Acceptance where the application is lodged. The cheque/demand draft should be drawn favouring the Scheme e.g. Investment in "Invesco India Manufacturing Fund", as the case may be, and crossed "Account Payee Only". Please mention the folio no./application no. and applicant name on the reverse of the Cheque/Draft tendered with the application. In order to avoid fraud and prevent misuse of payment instruments, investors are advised to draw payment instrument (i.e. cheque, demand draft, pay order etc.) favouring either "Name of the Scheme - A/c First Investor name" or "Name of the Scheme - Permanent Account Number of the First investor" or "Name of the Scheme - Folio number". If the investor is investing in multiple schemes and the DD/ pay order /cheque is drawn in favour of a single scheme, or vice versa then the application is liable to be rejected.

In case the Scheme name on the application form and on the payment instrument are different, the request will be processed and units will be allotted in the Scheme which is mentioned in the application form duly signed by investor(s).

The units will be allotted for amount net of DD charges, at NAV based prices. The fund/ the AMC will not entertain any request for refund of Demand Draft charges where Official Points of Acceptance of Invesco Mutual Fund are located.

Payment can also be made through Electronic Funds Transfer (EFT) by way of direct credit / RTGS / NEFT to Scheme Collection Account by clearly mentioning the name of the investor and application number. Investors can make investments in SIP also through NACH facility.

\*Investors residing in Centres, where designated Investor Service Centres of the AMC are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. Demand Draft charges will be borne by the AMC to the extent of SBI DD charges. The AMC will not reimburse demand draft charges where demand draft amount exceeds Rs. 50,000/-. Applications accompanied by cheques / drafts not fulfilling the above criteria are liable to be rejected.

- ii. Money Order/Postal Order/Post dated Cheques and Stock Invest will not be accepted.
- iii. The Fund is not obliged to represent dishonoured cheque or inform the investor/ investor's agent about it.
- iv. NRI / FPI / PIO Investors:

### Repatriation Basis

Payments by NRIs/Persons of Indian Origin (PIO) residing abroad/FPI may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/ FCNR account payable at par and payable at the cities where the Official Points of Acceptance are located.

In case of Indian Rupee drafts purchased abroad or subscription through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit/ Foreign Inward Remittance Certificate (FIRC) should also be enclosed.

In case the debit certificate / FIRC is not provided, the AMC reserves the right to reject the application of the NRI investors.

### Non Repatriation Basis

NRIs or person of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques / demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Official Points of Acceptance are located.

- v. Restrictions on acceptance of Third Party Payment for subscription to units of scheme.

Application with Third Party Payments for subscriptions to Scheme will not be accepted except in following cases:

- Payment by employer on behalf of employee(s) under Systematic Investment Plans (SIP) or lumpsum / one-time subscription, through Payroll deductions or deductions out of expenses reimbursements;
- Custodian on behalf of FPI or a client.
- Payment by the AMC to a Distributor empanelled with it on account of commission/ incentive etc. in the form of the units of schemes of Invesco Mutual Fund through Systematic Investment Plans or lump sum / one-time subscription.
- Payment by Corporates to its Agents/Distributor/ Dealer (similar arrangement with Principal-agent relationship) on account of commission or incentive payable for sale of its goods/services in the form of units of schemes of Invesco Mutual Fund through Systematic Investment Plans or lump sum / one-time subscription.

The application for third party payments based on above cases is at the sole discretion of the AMC and is subject to change without any prior intimation.

'Third-Party Payment' means a payment made through instruments issued from a bank account other than that of bank account of first named applicant/ investor or a joint bank account where the first named Unitholder/investor is not one of the joint holders of bank account from which payment is made.

In each of the exceptions mentioned above, investors are required to attach following mandatory documents without which application for subscription to units will be rejected:

- KYC of all the investors (guardian in case of minor) and KYC of person making the payment i.e. parent, related person, employee, custodian.
- Third Party Declaration from the investors and the person making the payment (Third Party) (available on our website [invescomutualfund.com](http://invescomutualfund.com))

It is mandatory for investor to mention details of bank account from where payment for subscription is made in referred section of application form. Further the name of first Unitholder/ applicant should be pre-printed as one of the holders on payment cheque. In case, name of first Unitholder/ applicant is not pre-printed on cheque, the investor should submit copy of bank statement or pass book showing account number, account holders' name and address, account type and IFSC code, if any, of the branch. Originals of the bank statement/ pass book should be produced for verification and the same will be returned across the counter after verification.

In case payment is made through pay order, demand draft, banker's cheque etc., an investor should submit a certificate (in original) from issuing bank as a proof of debit of investor's bank account with details of account holders' name, bank account number which has been debited for issue of payment instrument and PAN as per bank records, if available or a copy of the acknowledgement from the bank, wherein the instructions to debit carrying the bank account details and name of the investor as an account holder are available or a copy of the passbook/ bank statement evidencing the debit for issuance of a DD.

In case of RTGS, NEFT, bank transfer etc., the investor should submit an acknowledged copy of the instruction to bank stating the account number to be debited.

Demand draft, pay order, banker's cheque purchased against cash of Rs. 50,000/- or more will not be accepted. Such purchase application (for amount less than Rs. 50,000) should be accompanied with a banker's certificate stating the investor's Name, bank account number and PAN as per bank record, if available, is a must.

The bank account number mentioned in the certificate/instructions must be the bank account which is registered with the Fund or the first named applicant/investor should be one of the holders of the said bank account.

Please refer to Statement of Additional Information for a facility of multiple Bank Accounts registration in a folio.

Certificate from Bank should be signed by the authorised person of the issuing bank mentioning his name, employee code, contact details and bank seal.

As per RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems", from April 1, 2021, it will be mandatory to include remitter and beneficiary LEI information while initiating RTGS and NEFT for all purchases (inward remittance), redemption / IDCW / brokerage payouts (outward remittance), where transaction value is of INR 50 crore and above.

## 7. Cash Investments

The Fund has discontinued the acceptance of subscription applications with payment mode as 'Cash' (Cash Investments) in all schemes(s) with effect from September 17, 2019. However, it reserves the right to change / modify the provisions at a later date.

Payment of redemption proceeds, IDCW etc. with respect to previous Cash Investments shall be made only to pay-out bank account mentioned in the application form or to the bank mandate as registered in the folio in the records of the Fund.

## 8. Mobile / E-mail Communication

If the investor has provided email id / mobile number, then Account statement / Allotment advice/ Transaction Confirmation, other statutory as well as general information and any relevant / important information pertaining to the investor's investment with the Fund will be sent only through email / SMS instead of physical. If an investor needs a hard copy, then a request should be sent by email to [mfservices@invesco.com](mailto:mfservices@invesco.com).

The Fund / Registrar are not responsible for email not reaching the investor and for all consequences thereof. It is the responsibility of the investor to intimate the Fund / Registrar about any changes in the registered email address, as it will enable the AMC to send important communication regarding your investment(s). In case of a large document, a suitable link would be provided and investor can download, save and print documents. However, investor always has a right to demand for a physical copy of any or all of the service deliverables and the Fund would arrange to send the same to the investor.

Investors must ensure that the email address and mobile number provided is of the primary / joint unit-holder(s) / Family member (spouse, dependent children or dependent parents) and not of any third party. Please ensure to specify the option as self / family member.

If the AMC / RTA finds that the email address / mobile number provided is not of the actual investor or seems to be incorrect, the AMC / RTA may choose not to capture / update the email address / mobile number. The AMC also reserves the right to capture / update the email address / mobile number from the KYC/KRA portal.

We recommend that investor First / Sole Holder in the folio must provide their own email address and mobile number for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

It is recommended that investors use contact details of self or immediate relatives only and not of their mutual fund distributor. Contact details of distributors are liable to be deleted if there is no relationship determined with the unitholder.

## 9. Direct Credit Of Redemption/IDCW (Income distribution cum capital withdrawal option) Proceeds

If the investor has provided sufficient details for electronic credit, the Fund will give direct credit for redemption/IDCW proceeds into the investor's bank account and such instruction will be adequate discharge of the Fund towards the said payment. In case the credit is not effected by the Unitholder's banker for any reason, the Fund reserves the right to make the payment by a cheque/ Demand Draft. If the direct/ electronic credit is delayed or not affected or credited to a wrong account on account of incomplete / incorrect information, the Fund will not be held responsible. Physical dispatch of redemption or repurchase proceeds or dividend payments shall be carried out only in exceptional circumstances as defined in AMFI BPG Circular No. AMFI/ 35P/ MEM-COR/ 74 / 2022-23.

## 10. Nomination Details

1. The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
2. Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
3. Nomination is not allowed in a folio of a Minor unitholder.
4. If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on "Anyone or Survivor" basis).
5. A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
6. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
7. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
8. A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
9. Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
10. Every new nomination for a folio/account shall overwrite the existing nomination, if any.
11. Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
12. Nomination shall stand rescinded upon the transfer of units.
13. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
14. Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
15. Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
16. Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
17. The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
18. In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.
19. Investors subscribing to mutual fund units on or after October 1, 2022, should either provide "Nomination" details OR they need to submit a signed Declaration form for "Opting out of nomination". This would be applicable for all new folios created with effect from October 1, 2022. It is mandatory for all unitholders to sign the nomination details section irrespective of opting in or opting out of nomination.
20. The changes are applicable to all the sources through which the transactions are received – Physical / Channel / Exchange Non-Demat / MFU / MF CENTRAL / All digital transactions of Websites of AMC and KFIN / Mobile apps etc. Exchange-Demat Transactions are exempted from this requirement.
21. It is mandatory for all new folios for individual/ Sole Proprietors with mode of holding as Single, to comply with the requirement for nomination/opting out of nomination.
22. Investors subscribing to mutual fund units under new folios with single holding, application where details of nomination/intention to opt out of nomination, has not been provided, are liable to be rejected.
23. In case of existing Folio(s) where individual unit holder(s) holding mutual fund units either solely or jointly who have not registered a nominee, it is recommended to submit the nomination details as nomination is a way to make things easy for one's near and dear ones to claim the money in your mutual fund folio or demat account expeditiously after one's death.

## 11. Opt-In facility to receive Physical Copy of Annual Report

If the investor has provided e-mail ID, then the scheme-wise annual report or abridged summary thereof will be sent by e-mail. However, if investor wishes to receive physical copy of the scheme-wise annual report or abridged summary thereof, he must tick the Opt-in checkbox. In case the Opt-in tick box is not selected, the scheme-wise annual report of the scheme or an abridged summary thereof shall be sent by email.

## 12. Dematerialization

The Unitholders would have an option to hold the units in dematerialized form. The applicant intending to hold units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID, DP Name and Beneficiary Account No. with the DP name at the time of subscribing to the units of the Scheme. Applicants must ensure that the sequence of the names as mentioned in the application form matches with that of the beneficiary account held with the Depository Participant. Names, Address, PAN details, KYC Details etc. mentioned in the application form will be verified against the Depository data. If the details mentioned in the application are incomplete / incorrect or not matching with the depository records, the application shall be treated as application for non-demat mode and accordingly units will be allotted in non-demat mode. Subscription in Demat mode is not available for subscription through Plans/

Options where IDCW distribution frequency is less than 1 month.

The investors can also subscribe units through SIP in demat (electronic) mode. However, the units will be allotted based on applicable NAV of the Scheme and will be credited to investor's Demat (Beneficiary) Account on weekly basis on realization of funds, e.g. units will be credited to investor's Demat (Beneficiary) account every Monday (or next business day, if Monday is a non-business day) for realization status received in last week from Monday to Friday.

Note - In case of subscription of units through SIP in demat (electronic) mode, Unitholder will not be able to redeem / transfer such units till units are credited to investor's Demat (Beneficiary) account.

Unitholders who have opted to hold units in dematerialized form will receive payment of redemption / IDCW proceeds into bank (i.e. beneficiary) account linked to their Demat account.

Units held in demat form are freely transferable from one demat account to another demat account.

## 13. Prevention Of Money Laundering And Know Your Customer (KYC)

To ensure appropriate identification of the investor and with a view to monitor transactions for the prevention of money laundering as prescribed by the Prevention of Money Laundering Act, 2002, the AMC reserves the right to:

- i. Scrutinize and verify the identity of the investor, Unitholder, person making the payment on behalf of the investor and the source of the funds invested/ to be invested in Invesco Mutual Fund;
- ii. Reject any application;
- iii. Prevent further transactions by a Unitholder;
- iv. To mandatorily redeem the units held by the Unitholder at the applicable NAV prevalent 'and subject to payment of exit load, if any' at the time of such redemption; and
- v. Report cases to the director appointed by the Central Government, pertaining to a single transaction exceeding Rs.10 Lakhs or series of transactions integrally connected or remotely connected or related to each other which are valued below the prescribed value of Rs. 10 Lakhs within a month.

## 14. Know Your Customer (KYC) Requirements

- i. KYC is mandatory for applicants for subscription in the schemes of Invesco Mutual Fund. The applicants for the purpose of KYC Compliance shall include
  - their constituted Power of Attorney (PoA) holder in case of investments through a PoA;
  - each of the applicants in case of investments in joint names; and
  - guardian in case of investments on behalf of minor.

With reference to **SEBI Master Circular on Know Your Client (KYC) norms dated October 12, 2023**, the investors are required to submit a KYC Application Form with specified documents and undergo In-Person verification (IPV). The KYC Application Forms are available on our website [invescomutualfund.com](https://www.invescomutualfund.com).

The Fund shall perform the initial KYC / due diligence of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. Registrar & Transfer Agent (RTA) of Invesco Mutual Fund ("the Fund") may also undertake the KYC of the investors on behalf of the Fund. The Fund/ RTA shall upload the KYC information of the investors on the system of KYC Registration Agency (KRA) and CERSAI (Central Registry of Securitisation Asset Reconstruction and Security Interest).

The AMC or its RTA or NISM/AMFI certified distributors who are KYD compliant shall undertake the IPV for the investors of the Fund. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks. IPV carried out by any other SEBI registered intermediary will also be relied upon by the Fund.

Currently existing investors who have done KYC with a SEBI registered intermediary can submit letter/ acknowledgement issued by KRA. Investors whose KYC is registered and verified in the KRA system wants to modify existing details in KRA, then such investors need to fill CKYC form.

- ii. Any subsequent change in address, Pin Code, Country, Nationality, Date of Birth, Proof of Identity or any details provided at the time of submission of Common KYC Form should be communicated to SEBI registered intermediary and Occupation, Income Details and PEP status should be communicated to the AMC in the prescribed manner along with requisite supporting documents as may be specified from time to time. Address details of the investor will be overwritten with the details available in the KRA /CERSAI records.
- iii. It is mandatory for all investors to provide additional KYC information (such as income details, occupation, association with politically exposed person, net worth etc.) as mentioned in the application form, failing which the application will be liable to be rejected.

Further no subscriptions (whether fresh or additional) and switches pertaining to 'KYC - on Hold' cases shall be accepted, unless the investors/ Unitholders also submit relevant KYC missing/ updated information, which is appropriately updated on the KRA-KYC system.

- iv. Non Individual applicants are mandatorily required to provide details of net worth along with gross annual income. Individual applicants should provide details of net worth or gross annual income or both. While providing details of net worth, the same should be of a date which is within one year. In case applications are received where gross annual income is not selected, then Rs. 1 Lakh to Rs. 5 Lakhs income slab will be considered as the default income slab.

In case there is a significant change in KYC details, non-individual investors will have to initiate a KYC modification request.

Additional certified documents as mentioned below to be submitted by mentioned entity-

Company / Body corporate - Document mentioning the name of the relevant person holding senior management position.

Documents mentioning address of registered office and principal office if different.

Partnership Firm - Document mentioning the name of all the partners. Document mentioning the address of the registered office, and principal office if different.

Trust - Document mentioning the name of the beneficiaries, trustees, settler and authors of the trust.

Documents mentioning the address of the registered office of the trust list of trustees are required for individuals for those discharging role as trustee and authorized to transact on behalf of the trust.

- v. The KYC compliance status of the investors will be validated with the records of the KRA/ CERSAI. The AMC reserves right to reject application forms for transactions in units of the Fund not accompanied by common KYC Application Form or letter / acknowledgement issued by KRA/CERSAI.

It is mandatory to complete the KYC requirements for all unitholders (new and existing), including for all joint holders and the guardian in case of folio of a minor investor. Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and non-financial requests will not be processed if the Unitholders have not completed KYC requirements.

- vi. It is prudent for investors to provide accurate and up-to-date profile details such as Name, Address, Email ID and Mobile Number. PAN should be linked to Aadhaar before submitting a KYC application. KRAs shall validate the above-mentioned details and KYC shall be registered only post successful validation of the client details.

#### 15. Ultimate Beneficiary Owner

Pursuant to SEBI Master Circular no. SEBI/HO/MIRSD/SECFATF/P/CIR/2023/169 dated October 12, 2023, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s).

As per these guidelines, UBO means 'Natural Person', or persons who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement, investors are requested to note the following:

- It is mandatory for all investors / Unitholders to provide beneficial ownership details failing which the transaction for additional subscription (including switches) will be liable to be rejected.

However, systematic transactions such as Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP) etc. already registered till December 31, 2015 are exempted from the above stipulations.

However, the investor or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, is exempted from the provisions of UBO.

#### 16. Foreign Accounts Tax Compliance Act ("FATCA") / Common Reporting Standard ("CRS")

Invesco Asset Management (India) Private Limited (AMC) is required to collect certain information as declaration from the investors in order to comply with the requirement of Foreign Account Tax Compliance Act provisions (commonly known as FATCA) as

contained in the US Hire Act 2010 and Common Reporting Standard (CRS) on Automatic Exchange of Information (AEOI). Under the FATCA regime, the AMC would be required to collect information/ certification from the investors as per the US indicia, report information on the holdings or investment returns of any investor to the US authorities and/or apply withholding tax on payments to investors who fail to provide the information and/or documents required under FATCA.

India has joined the Multilateral Competent Authority Agreement (MCAA) on AEOI for CRS. The CRS on AEOI requires the financial institutions to collect and report information to their tax authorities about account holders "resident" in other countries.

All investors will have to mandatorily provide the details and declaration pertaining to FATCA/ CRS for all new accounts opened, failing which applications are liable to be rejected. Subject to the Inter-Governmental Agreement (IGA) between Governments of India and United States of America and MCAA, the FATCA/CRS requirements are subject to change from time to time.

#### 17. Purchase/Redemption Of Units Through Stock Exchange Infrastructure:

Investors can purchase and redeem units of the scheme on Mutual Fund Services System (MFSS) and / or NMF-II platform of the National Stock Exchange of India Ltd. (NSE) and on the BSE Stock Exchange Platform for Allotment and Repurchase of Mutual Funds (BSE STAR MF System) of BSE Ltd. (BSE). BSE STAR MF System also offers facility for switching of units. Please refer Scheme Information Document of the Scheme for further details.

#### 18. Declaration And Signature

i. All the applicants must sign the application form. Application on behalf of minor should be signed by their natural parent or legal guardian. Signatures should be in English or in any Indian language. Thumb impression should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of HUF, the Karta will sign on behalf of the HUF.

ii. The original Power of Attorney or a duly notarized copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

19. All applications are subject to detailed scrutiny and verification. Applications which are not complete in all respects are liable for rejection, either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrars.

20. "Non-profit organization" means any entity or organisation, constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), that is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013;"

Insertion of the following under rule 9 - "(9A) Every Banking Company or Financial Institution or intermediary, as the case may be, shall register the details of a client, in case of client being a non-profit organisation, on the DARPAN Portal of NITI Aayog, if not already registered, and maintain such registration records for a period of five years after the business relationship between a client and a reporting entity has ended or the account has been closed, whichever is later.

In case the investor status is Trust or Society, it is mandatory to submit the Declaration Form of Non-Profit Organization (NPO) along with application form.

#### Checklist (Please ensure the following)

- Application Form is complete in all aspects. Name, address & contact details are mentioned in full and signed by all applicants.
- Bank account details stated are complete and correct. In case investment cheque is different from a registered bank account, original cancelled cheque copy of the registered bank account is attached.
- Permanent Account Number (PAN) for all applicants as applicable is mentioned.
- Preferred investment option i.e. whether Growth or IDCW Payout or IDCW Re-investment is mentioned clearly.
- The cheque / demand draft should be drawn favouring the name of the scheme : "Invesco India Manufacturing Fund" & crossed as "Account Payee Only", dated and duly signed.
- Application Number / Folio Number and applicant's name is mentioned on the reverse of the cheque.
- Demat A/c details are filled correctly in the form. Please provide self attested Client Master list Copy.
- Details of applicants provided matches exactly with those in the Depository.
- United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States of America and Residents of Canada as defined under the applicable laws of Canada cannot apply.

#### Accompanying documents

Please submit the following documents with your application (where applicable). All documents should be original / true copies by a Director / Trustee / Company Secretary / Authorised Signatory.

Documents	Individuals/ Sole Proprietor <sup>1</sup>	Companies	Societies	Partnership Firms	Investments through PoA	Trust	NRI	FPI <sup>2</sup>	HUF	Minor
Resolution / Authorisation to invest		✓	✓	✓		✓		✓		
List of Authorised Signatories with Specimen signature(s)		✓	✓	✓	✓	✓		✓		
Memorandum & Articles of Association		✓								
Trust Deed						✓				
Bye - Laws			✓							
Partnership Deed				✓						
SEBI Registration / Designated Depository Participant Registration Certificate								✓		
Notarised Power of Attorney					✓					
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE / FCNR a/c, where applicable							✓			
KYC Acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Demat Account Details (Client Master List Copy) <sup>3</sup>	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
FATCA / CRS Declaration	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
UBO Declaration		✓	✓	✓	✓	✓		✓	✓	
NPO Declaration			✓	✓	✓	✓				
Share holding pattern		✓	✓	✓	✓	✓		✓		
Unit Holder Declaration for MFD as Joint Holder (If Joint Holder is a Mutual Fund Distributor)	✓						✓			

<sup>1</sup>Self attestation is mandatory. <sup>2</sup>Copy of Designated Depository Participant registration certificate (for FPI) should be provided. <sup>3</sup>In case Units are applied in Electronic (Demat) mode.



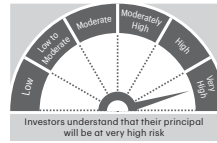
# Invesco India Manufacturing Fund

(An open ended equity scheme following manufacturing theme)

**Systematic Investment Plan (SIP)  
Registration cum mandate  
form for NACH/Direct Debit  
(Applicable for NFO only)**

This product is suitable for investors who are seeking\*:

- Capital appreciation over long term
- Investments predominantly in equity and equity related instruments of companies following manufacturing theme



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them

Application No :

**NFO Opens: July 25, 2024**

**NFO Closes: August 8, 2024**

## Key Partner/Agent Information

Mutual Fund Distributor ARN	ARN -	Sub-Broker ARN Code	ARN -	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIIN)	Registered Investment Advisor (RIA) Code/ Portfolio Manager's Registration Number (PMRN)			

### 1. Applicant Details

First/Sole\* (Name as per PAN records) (Mr./ Ms./ M/s.)

Application No. (New Investor) Folio No. (Existing Unitholder)

PAN/PEKRN+ Enclosed KYC Proof

KIN

UMRN No.

### 2. Investment and SIP Details<sup>1</sup>

Scheme **Invesco India Manufacturing Fund** Plan  Regular  Direct

Option  Growth (Default)  IDCW IDCW Frequency  Payout of IDCW  Reinvestment of IDCW (Default)

SIP Date<sup>2</sup> Any Date: 1-28; Default -15<sup>th</sup> Frequency  Monthly (Default) or  Quarterly (Jan, Apr, July, Oct)

SIP Period From M M Y Y Y Y To M M Y Y Y Y It is mandatory to mention the From & To date, and tenure of SIP should be less than or equal to 40 years.

SIP Amount (Rs.) Total SIP amount (Rs.)

Bank A/c. No. Bank Name

SIP Top-Up (Optional)

Top-up Amount Rs. Top-up Start Month M M Y Y Y Y Frequency  Half Yearly  Yearly (Default) End Month M M Y Y Y Y

### 3. Demat Account Details (Optional)

NSDL  CDSL

DP ID<sup>3</sup> I N Beneficiary Account No.

DP Name

**Declaration : (Mandatory)** I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment through Direct Debit/NACH and agree to abide by the same. I/We hereby apply to the Trustee of Invesco Mutual Fund for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in NACH/Direct Debit. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that Invesco Asset Management (India) Private Limited/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sign Here -Sole/First Applicant/  
Guardian

Sign Here - Second Applicant

Sign Here - Third Applicant

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

New SIP  Micro SIP

Sign Here - Sole/First Applicant/Guardian/POA

[Signature Box]

Sign Here - Second Applicant

[Signature Box]

Sign Here - Third Applicant

[Signature Box]

#### Instructions

IDCW - Income Distribution cum capital withdrawal Option

<sup>1</sup>Investors applying under the direct plan must mention "Direct" against Scheme name.

<sup>2</sup>The SIP Form should be submitted at least 30 Calendar days before the first SIP debit date.

+ Mandatory

#### Instructions

<sup>3</sup>Not applicable in case of CDSL. Applicable only to existing investors for fresh SIP enrolment.

## NACH/Auto Debit Mandate (Applicable for SIP Registration)

UMRN For Office Use only Date D D M M Y Y Y Y

Sponsor Bank Code  Create  Modify  Cancel

Utility Code For Office Use only I/We hereby authorize **Invesco Mutual Fund**

To debit (✓)  SB  CA  CC  NRE  NRO  Others Bank Account No.

with Bank Name of customers bank IFSC / MICR

An amount of Rupees In Words ₹ In Figures

Debit Type:  Fixed Amount  Maximum Amount Frequency:  Monthly  Quarterly  Half Yearly  Yearly  As & when presented

Folio No. PAN

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

PERIOD From D D M M Y Y Y Y To D D M M Y Y Y Y Maximum period of mandate validity of this mandate is 40 years only

Signature of Primary Bank Account Holder Signature of Bank Account Holder Signature of Bank Account Holder

Name as in bank records Name as in bank records Name as in bank records

Maximum period of mandate validity of this mandate is 40 years only

Mobile

This page is intentionally left blank

## Terms & Conditions for SIP through Direct Debit/NACH and SIP Top-up

### • Features of SIP:

Frequency	Monthly/Quarterly (Jan, April, July, October)												
SIP dates offered	Any date of your choice except 29, 30 and 31.												
Default Frequency/ Date	<ul style="list-style-type: none"> <li>• Frequency: Monthly</li> <li>• Date: 15th of the month</li> <li>• If end date is not specified, the fund will continue SIP till it receives termination notice from the investor or all the post dated cheques are utilized. (See Note below)</li> </ul>												
Minimum amount per installment	<b>NFO and Ongoing Basis</b>												
	<table border="1"> <thead> <tr> <th></th> <th>Amount</th> <th>No. of Installments</th> </tr> </thead> <tbody> <tr> <td>Monthly Frequency</td> <td>Rs. 500/- per month and in multiples of Re. 1 thereafter</td> <td>12 (including first installment)</td> </tr> <tr> <td></td> <td>Rs. 1,000/- or more per month and in multiples of Re. 1 thereafter</td> <td>6 (including first installment)</td> </tr> <tr> <td>Quarterly Frequency</td> <td>Rs. 1,500/- per quarter and in multiples of Re. 1 thereafter</td> <td>4 (including first installment)</td> </tr> </tbody> </table>		Amount	No. of Installments	Monthly Frequency	Rs. 500/- per month and in multiples of Re. 1 thereafter	12 (including first installment)		Rs. 1,000/- or more per month and in multiples of Re. 1 thereafter	6 (including first installment)	Quarterly Frequency	Rs. 1,500/- per quarter and in multiples of Re. 1 thereafter	4 (including first installment)
		Amount	No. of Installments										
Monthly Frequency	Rs. 500/- per month and in multiples of Re. 1 thereafter	12 (including first installment)											
	Rs. 1,000/- or more per month and in multiples of Re. 1 thereafter	6 (including first installment)											
Quarterly Frequency	Rs. 1,500/- per quarter and in multiples of Re. 1 thereafter	4 (including first installment)											
Installments	All installments should be of same amount. No upper limit for single cheque or aggregate. The first cheque and subsequent cheques should not fall in the same month/quarter. First cheque should be of current date (no post-dated cheque will be accepted).												
Load Structure	For load structure details, please refer to KIM. Load Structure prevailing at the time of submission of SIP application form (whether for fresh enrollment or extension) will be applicable for all the SIP installments specified in such application.												

- New Investors should mandatorily give a cheque for the first transaction and fill the SIP Application Form and the Registration cum Mandate Form for Direct Debit/NACH.
  - Investors should mandatorily give a cheque for the first transaction during the New Fund Offer Period and fill the SIP Application Form and the Registration cum Mandate Form for Direct Debit/ NACH. The date of first cheque should be the date of submission of application no post dated cheque will be accepted. The second installment will be processed after 30 days from the NFO closure date in case of monthly and October 2024 in case of quarterly frequency as indicated by the investor.
  - Please draw cheque in the name of the Scheme or its abbreviation and cross "A/c Payee" e.g. "Invesco India Manufacturing Fund". Also write SIP enrollment Form no. or folio number on the reverse of cheque accompanying SIP enrollment form.
  - Existing investors can avail SIP facility by submitting only SIP Registration cum mandate form for Direct Debit/NACH.
  - The first cheque should be drawn on the same bank account which is to be registered for Direct Debit. Alternatively, the cheque may be drawn on any bank, but provide a photocopy of the cheque of the bank / branch for which Direct Debit is to be registered.
  - In case SIP date specified is a non-business day or falls during a book closure period, the transaction will be effected on the next business day.
  - The first installment will be processed at Applicable NAV based on time stamping and funds available for utilization by the respective Scheme(s) before the cut off time i.e. funds are credited to the bank account of the respective Scheme(s) before the cut off time, the closing NAV of the same Business Day shall be applicable. The second installment will be processed latest for the available SIP date indicated by the investor, but only after the expiry of 30 (thirty) Calendar Days from the date of first installment. The Applicable NAV for all installments (first and future) will be as per the Applicable NAV guidelines available in KIM/ SID. The unit allotment shall be based on the date and time of realization of funds against each SIP transaction. In the event that credit is realized before the SIP cycle date, even for SIP cycle transactions, cycle date or realization date, whichever is later would be considered for NAV applicability.
- NACH / Auto Debit Mandate Instructions for SIP Registration**
1. It is mandatory to fill all applicable fields on the NACH / Auto Debit Mandate form.
  2. Maximum amount should be equal to or more than the SIP Registration amount.
  3. Incomplete or incorrect NACH mandates shall be liable for rejection by the AMC / RTA / Bank at the time of representation.
  4. Name and signature of the account holder(s) on the NACH mandate should be as per bank records.
  5. Please enclose a cancelled cheque copy for the bank account mentioned on the NACH mandate.
  6. While submitting the mandate the gap between the current business date and date of the mandate should be less than 120 days, if the gap is more than 120 days then such mandates shall be rejected. The date of the mandate should be less than or equal to the current business date. If the date is beyond the current business date, then such mandate shall not be accepted.
  7. Only a maximum duration of 40 years from the date of issuance will be allowed for NACH details. In case of invalid date i.e. end date being >40 years the mandate shall be rejected and cannot be represented. If the phrase "Maximum period of mandate validity of this mandate is 40 years only" is not mentioned on the face of the mandate, then the mandate shall be rejected and cannot be represented.
  8. It is mandatory to update the FROM & TO date for all NACH mandates and duration should be less than or equal to 40 years. If end date is not mentioned, the mandate shall be rejected and cannot be represented.
- In case any cheque submitted by the investor for SIP installment or any payment instruction for SIP installment is dishonored by the Bankers for the reason of account of investor is closed, the AMC would discontinue the SIP immediately and reserves the right to redeem the outstanding units if total investment is below Rs. 5,000/-.

AMC reserves the right to discontinue the SIP enrolment in case cheque / payment instruction submitted by Unit holder is not honored by Banker on 2 (two) consecutive occasions due to reason "No such account" or "Account Closed" or 3 (three) consecutive rejections reasons such as insufficient funds or as a result of a stop payment instructions issued by the Unit holder and reserves the right to redeem the outstanding units if total investment is below Rs. 5,000/-.

- SIP registration will be automatically terminated upon receipt of intimation of death of the Unitholder.
- SIP in a folio of minor will be executed only upto the date of minor attaining majority even though the registration may be for a period beyond that date. On the day the minor attains the age of majority, the folio of minor shall be frozen for operation and any transactions (financial / non-financial including existing systematic transactions) will not be permitted till the documents to change the status are not received by AMC / RTA.
- The investors can also subscribe Units through SIP in Demat (electronic) mode for the Scheme. However the Units will be allotted based on applicable NAV of the Scheme and will be credited to investor's Demat (Beneficiary) Account on weekly basis on realization of funds, e.g. Units will be credited to investor's Demat (Beneficiary) account every Monday (or next business day, if Monday is a non-business day) for realization status received in last week from Monday to Friday.
- Investors will not hold Invesco Mutual Fund / Invesco Asset Management (India) Private Limited, it's Registrar and other service providers responsible, if the transaction is delayed or not affected or the investor's bank account is debited in advance or after the specific SIP date.
- Invesco Mutual Fund / Invesco Asset Management (India) Private Limited, it's Registrar and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- In case an investor wishes to change the bank account details for existing SIP registered through NACH mode, then he / she has to submit Change of Bank Form for SIP. The investor has to submit the form atleast 30 calendar days prior to the next SIP Debit date so as to continue the existing SIP registration. The existing SIP registration will not get canceled.
- Unit holder has a right to discontinue the SIP facility at any time by sending written request to any Official Points of Acceptance, at least 10 Business Days prior to the next cheque date / Direct debit / NACH. Investors can also cancel the SIP registered vide any mode by visiting the AMC / RTA online portals. On receipt of such request, the SIP enrollment will be terminated.

### Internet SIP

- ISIP facility is available only with banks and service providers with whom Invesco Mutual Fund has tie up for Auto Debit. The list of banks is available on our website [invescomutualfund.com](http://invescomutualfund.com).
- The registration for ISIP facility should be submitted at least 15 calendar days prior to first ISIP installment date.
- Unique Registration Number (URN) will be allotted to the investors after registration of ISIP on website of the Fund. Investor is required to register the said URN with the same bank as opted at the time of registration of ISIP within 10 calendar days from the date of URN allotment. In case investor has multiple bank accounts associated under his/her Net Banking account, then the investor should ensure that the bank account registered under the folio is opted for registering the URN.
- The fund reserves the right to cancel the ISIP registration if URN is not registered within 10 calendar days from the date of URN allotment or in case the ISIP installment is debited from bank account other than the bank account which is registered in the investor's folio.
- Investor can discontinue the ISIP facility at any time by submitting SIP cancellation form duly signed as per mode of holding to any of nearest Investor Service Centre, at least 10 Business Days prior to the next ISIP debit date. Investors can also make an ISIP cancellation request online at [invescomutualfund.com](http://invescomutualfund.com) / RTA online portal.
- Modify SIP option is not available for ISIP registered online at [invescomutualfund.com](http://invescomutualfund.com).

### Modify SIP

- Under this facility, the investor can modify the scheme / plan / option, frequency, amount and SIP instalment Date under the existing SIP registration. The facility to modify the amount will be available only to those investors who have registered SIP using NACH mandate. However, once the investor has availed modification facility then the maximum amount for debit mandate cannot be reduced. In case, SIP installment after modification exceeds the maximum amount for debit, then the request to modify SIP installment amount will be rejected.
- Modification form should be submitted at least 30 calendar days prior to the next SIP installment date.
- In case investor has opted for modification in SIP installment amount and Top-Up facility is already registered under the said SIP, then the Top-Up amount would be added to the modified SIP amount.
- Investor must mention the SIP Registration Number (SRN) as stated in account statement for modifying the SIP details. In case an investor does not mention the SRN and has more than one live SIP in a single folio in the same scheme with same SIP date / amount then the first registered SIP would be modified.
- In case there is modification of scheme, then the load structure in new scheme prevailing at the time of registration of Modify facility mandate will be applicable. However, in case of request for modification of plan / option of the scheme, frequency, amount and date of SIP installment, the load structure prevailing at the time of registration of SIP will continue to be applicable.

### Pause SIP

- Investor can opt for Pause facility only twice during the tenure of a particular SIP.
- Pause request should be submitted at least 30 calendar days prior to the next SIP installment date.
- Pause request under SIP can be for minimum of 1 installment and for maximum of 6 installments.
- Pause facility is also available through BSE StAR MF system, a stock exchange platform of BSE Ltd.

- If the Pause period is coinciding with the Top-Up facility, the SIP installment amount post completion of Pause period would be inclusive of Top-Up amounts falling during that Top-Up cycle; e.g. SIP installment amount prior to Pause period is Rs. 5,000/- and Top-Up amount is Rs. 1,000/- and if the Pause period is completed after date for Top-Up, then the SIP installment amount post completion of Pause period shall be Rs. 6,000/-.
- Investor must mention SIP Registration Number (SRN) as stated in the account statement to avail Pause facility. In case an investor does not mention the SRN and has more than one live SIP in a single folio in the same scheme with same SIP date / amount then the first registered SIP would be paused.
- An investor can apply for this facility online through BSE StAR MF Platform.

#### Terms & Conditions - SIP Top-up Facility

'Top-Up' facility will enable investors to increase the amount of SIP installments at pre-defined frequency by a fixed amount during the tenure of SIP.

The features, terms and conditions for availing the 'Top-Up' facility shall be as follows:

- Top-Up facility offers frequency at half yearly and yearly intervals. In case the Top-Up frequency is not specified, it will be considered as yearly frequency.
  - Minimum Top-Up amount would be Rs.100/- and in multiples of Re. 1/- thereafter for all the schemes of the Fund that offer SIP facility except Invesco India ELSS Tax Saver Fund wherein minimum Top-Up amount would be Rs. 500/- and in multiples of Rs. 500 thereafter.
  - In case the investor does not specify Top-Up amount, Rs. 100/- will be considered as the Top-Up amount (in case of Invesco India ELSS Tax Saver Fund, Rs. 500/- will be considered as Top-Up amount) and the request will be processed accordingly.
  - Top-Up facility would be available to all new SIP enrolments. Existing investors who have enrolled for SIP with maximum amount for debit are also eligible to avail Top-Up facility and will be required to submit 'SIP cum Registration Mandate' at least 20 calendar days prior to the Top-Up start month. In case SIP installment after Top-Up exceeds maximum amount for debit, then the request for Top-Up will be processed upto the maximum amount for debit.
- Further, if the investor revises the maximum amount for debit, then such increase in amount will be effective from the next Top-Up cycle. However, maximum amount registered for debit mandate cannot be reduced.
- Existing investors registered for SIP through Direct Debit facility and intending to avail Top-Up facility will be required to cancel the existing SIP and enroll a fresh SIP with Top-Up details.
  - It would be mandatory for investor to mention in 'SIP cum Registration Mandate' the period (month - year) upto which he wishes to avail Top-Up facility.

An Illustration : The Top-Up facility will work as follows:

Details of SIP registered	Top-up facility
<ul style="list-style-type: none"> <li>• Fixed SIP Installment amount: Rs. 5,000/-</li> <li>• SIP Period: 01-April-2019 till 31-March-2022 (3 years)</li> <li>• SIP date: 1st of every month (36 installments)</li> </ul>	By providing/choosing the following additional details, an investor can avail Top-Up facility. Example: <ul style="list-style-type: none"> <li>• Top-Up Amount: Rs. 1,000/-</li> <li>• Top-Up Frequency: Every 6 months</li> </ul>

SIP Installments shall be as follows :

Installment No(s).	SIP Installment (in Rs.) (A)	Top-Up amount (in Rs.) (B)	Monthly SIP Installment amount after Top-up (in Rs.) (A+B)
1 to 6	5,000	N.A.	5,000
7 to 12	5,000	1,000	6,000
13 to 18	6,000	1,000	7,000
19 to 24	7,000	1,000	8,000
25 to 30	8,000	1,000	9,000
31 to 36	9,000	1,000	10,000

Top-up/Modify/Pause SIP would not be available to investors registered under SIP through PDC / Channel Partner / Stock Exchange.

#### Terms & Conditions - NACH

- NACH debit facility is offered at various banks. For a detailed list of banks please refer the website [www.npci.org.in](http://www.npci.org.in)
- This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/updation at any time in future at the sole discretion of Invesco Asset Management (India) Private Limited, without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
- By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI ([www.npci.org.in](http://www.npci.org.in)).
- The Registration cum Mandate Form for NACH should be submitted at least 21 Calendar days prior to next SIP cycle date through NACH.
- Unique Mandate Registration Number (UMRN) is auto generated by NPCI during the mandate creation for the first time. Investors, who do not have the UMRN, please leave it blank. UMRN would be linked to the folio and maximum length is 20 characters comprising of Alpha Numeric Character allotted by NPCI.
- Investors who already have UMRN registered under the folio can fill up the SIP Registration cum Mandate Form for NACH and should be submitted at least 10 Calendar days before the date of the first debit through NACH.
- Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered.
- Investors need to mandatorily fill the SIP Registration Cum Mandate form for NACH for any amendment and cancellation quoting their UMRN by giving 21 calendar days prior notice to any of the investor service centre.
- Investor can choose to discontinue the SIP facility under folio without cancelling the UMRN by giving 10 Business days prior notice to any of the Investor Service Centre.
- Please refer your cheque leaf for IFSC/MICR of customer bank. (maximum length-11 Alpha Numeric Characters).
- In case of existing investor, if application is received without existing UMRN then the last UMRN registered in the folio would be considered.
- Maximum amount is the uppermost limit per transaction set by the investor for his registered bank account to be debited.
- Investor should mention the complete CBS (Core Banking System) Bank Account number and the Account-holder name as per the Bank records on the mandate. In case the bank account is under joint mode then the details of the joint holder should also be mentioned on the mandate.
- Investor should sign on the mandate as per their bank records.

#### Terms & Conditions - Direct Debit

Direct Debit: The Direct Debit Facility is available only with the banks with which The AMC or its service provider has tie up for Direct Debit as mentioned below:

List of banks/branches for SIP Direct Debit Facility

Banks	Branches
State Bank Of India, Punjab National Bank, Bank Of India, Axis Bank, Union Bank Of India	All Branches

<sup>2</sup>Please contact Invesco Mutual Fund Investor Service Centre for updated list of banks/branches eligible for Direct Debit Facility.

- You can choose to change your bank account by giving 30 calendar days written notice to any of our Investor Service Centres.
- You can choose to discontinue this facility by giving 10 business days written notice to any of our Investor Service Centres.

# Invesco India Manufacturing Fund

(An open ended equity scheme following manufacturing theme)

**Application Form for Systematic Transfer Plan (STP)**  
(Applicable to Existing Investors during the NFO period only)

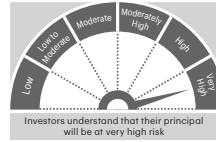
Please refer instructions before filling the form

**NFO Opens: July 25, 2024**

**NFO Closes: August 8, 2024**

This product is suitable for investors who are seeking\*:

- Capital appreciation over long term
- Investments predominantly in equity and equity related instruments of companies following manufacturing theme



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them

I/We hereby apply to the Trustees of Invesco Mutual Fund for Systematic Transfer Plan (STP) enrollment under the following scheme and I/We agree to abide by the terms and conditions of the Plan.

## Key Partner/Agent Information

Mutual Fund Distributor ARN <small>ARN -</small>	Sub-Broker ARN Code <small>ARN -</small>	Internal Sub-Broker / Employee Code
Employee Unique Identification No. (EUIN)	Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)	

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Application No. (New Investor)  Folio No. (Existing Unitholder)

### 1. Applicant's Personal Details - First/Sole Applicant

Name

PAN/PEKRN  KIN

### 2. Systematic Transfer Plan (STP) Mandate

(STP will start on or after August 26, 2024 as per the chosen date, day and frequency. Investors applying under the direct plan must mention "Direct" in the box provided below)

STP Type (✓) (A/B/C)

**A. Fixed Option (Default)**

Daily  Fortnightly

Weekly (✓ Any one)  Monday (Default)  Tuesday  Wednesday  Thursday  Friday

Monthly (Default)   Date of choice except 29, 30, 31 (15th Default)

Quarterly   Date of choice except 29, 30, 31 (15th Default)

---

**B. Appreciation Option**

Monthly (Default)   Date of choice except 29, 30, 31 (15th Default)

Quarterly   Date of choice except 29, 30, 31 (15th Default)

---

**C. Flex STP (Applicable to Growth Option to Target Scheme only)**

Monthly (Default)   Date of choice except 29, 30, 31 (15th Default)

Quarterly   Date of choice except 29, 30, 31 (15th Default)

Source Scheme	Invesco India	Plan
	Option	Dividend Frequency
Target Scheme	<b>Invesco India Manufacturing Fund</b>	Plan
	Option	Dividend Frequency

Period of Enrollment from (1st Installment)  To (Last Installment)

Transfer Amount (Per installment)  Rs. in figures (Not applicable for Appreciation Option)

No. of Installments  Total Transfer (Rs.)  (Amt. per installment x No. of installments)

### 3. Applicant's Signature

Having read and understood the contents of the Statement of Additional Information/Scheme Information Document(s) and Key Information Memorandum(s) of the respective schemes, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme/Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, indirectly, in making this investment.

Sign Here - Sole/First Applicant/ Guardian	Sign Here - Second Applicant	Sign Here - Third Applicant
---	------------------------------	-----------------------------

To be signed by unitholders as per mode of holding opted. Please strike off section(s) that are not filled by you, to avoid unauthorised use.

Date  Place

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

## Features and Terms & Conditions of Systematic Transfer Plan (STP)

- | Particulars   | Daily  | Weekly   | Fortnightly                                      | Monthly  | Quarterly (Jan/April/July/Oct)                   |
|---|--|--|--|--|--|
| Minimum balance in the source scheme at the time of enrolment | Rs. 6,000/-                                    | Rs. 6,000/-                                      | Rs. 6,000/-                                      | Rs. 6,000/-                                      | Rs. 6,000/-                                      |
| STP Dates Offered   | Daily  | Monday to Friday                                 | 1st and 16th of the month                        | Any date except 29, 30 and 31                    | Any date except 29, 30 and 31                    |
| Minimum amount for STP installment                            | Rs. 500/- & in multiples of Re. 1/- thereafter | Rs. 1,000/- & in multiples of Re. 1/- thereafter | Rs. 1,000/- & in multiples of Re. 1/- thereafter | Rs. 1,000/- & in multiples of Re. 1/- thereafter | Rs. 1,500/- & in multiples of Re. 1/- thereafter |
| Minimum Number of Installments                                | 12   | 6  | 6  | 6  | 4  |
- Investors who wish to enroll for STP should fill this enrolment form.
  - Existing investors have to provide the Folio number and the STP details only.
  - STP will start on or after August 26, 2024 as per the chosen date, day and frequency.
  - Unitholders are advised to consult their tax consultant with regard to the treatment of the transfer of units from the tax point of view.
  - Investors are advised to read the Scheme Information Document of the Target scheme carefully before investing. The Scheme Information Document and the Key Information Memorandum of the respective schemes are available with the Investor Service Centres of Invesco Mutual Fund and are also displayed on the website : [www.invescomutualfund.com](http://www.invescomutualfund.com)
  - STP offers Unit holders Fixed option, Appreciation option and Flex STP. Investors can opt for any of the facility. In case the investor has not specified any option at the time of enrolment, the Fund will register the STP under Fixed Option.
  - In case of monthly and quarterly frequency, 29th, 30th and 31st of each month / quarter will not be available for STP transactions.
  - Eligible Source (Transferor) Schemes (Applicable for Fixed STP, Appreciation STP and Flex STP): Invesco India Liquid Fund, Invesco India Low Duration Fund, Invesco India Short Duration Fund, Invesco India Corporate Bond Fund, Invesco India Money Market Fund, Invesco India Ultra Short Duration Fund, Invesco India Banking and PSU Fund, Invesco India Credit Risk Fund, Invesco India Balanced Advantage Fund, Invesco India Overnight Fund, Invesco India Equity Savings Fund, Invesco India Medium Duration Fund, Invesco India Nifty G-sec Sep 2032 Index Fund, Invesco India Nifty G-sec Jul 2027 Index Fund and Invesco India Gilt Fund.  
Following Source (Transferor) Schemes are applicable only for Fixed STP : Invesco India Infrastructure Fund, Invesco India Flexicap Fund, Invesco India - Invesco EQQQ NASDAQ-100 ETF Fund of Fund, Invesco India Contra Fund, Invesco India Aggressive Hybrid Fund, Invesco India Financial Services Fund, Invesco India Large & Mid Cap Fund, Invesco India Largecap Fund, Invesco India Midcap Fund, Invesco India Multicap Fund, Invesco India PSU Equity Fund, Invesco India Smallcap Fund, Invesco India - Invesco Pan European Equity Fund of Fund, Invesco India Focused Fund, Invesco India ESG Integration Strategy Fund, Invesco India - Invesco Global Consumer Trends Fund of Fund, Invesco India - Invesco Global Equity Income Fund of Fund, Invesco India Arbitrage Fund, Invesco India ELSS Tax Saver Fund (In case of Invesco India ELSS Tax Saver Fund, the facility will be available only in respect of those units which have completed statutory lock-in period of 3 years from the date of allotment).
  - Eligible Target (Transferee) Scheme: Invesco India Manufacturing Fund.
  - The above list of Source and Target schemes is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.
  - In case any option is not specified, fixed option will be considered as default option. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case of weekly frequency, Monday will be considered as default frequency. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed on 15th of month / quarter. If the start month is not specified, the STP application would be processed from the succeeding month on the date specified by the investor at the time of enrolment, provided the condition for minimum number of installments is met. If the end month is not specified, the Fund would continue the STP till it receives termination notice from the investor. In case the start month and end month both are not specified, the STP application would be registered for the minimum number of installments.
  - In case the date specified is not a Business Day or falls during a book closure period, the transaction will be effected on the next Business Day.
  - Load Structure : For details on load structure, please refer respective SID / KIM.
  - Load Structure in the Transferee Scheme (target scheme) prevailing at the time of submission of STP application (whether for fresh enrolment or extension) will be applicable for all the investments through STP specified in the application.
  - The transaction through STP will be subject to applicable exit load in the source (transferor) scheme.
  - In case the investor purchases additional Units in the source (transferor) scheme, the STP facility would be extended to such additional units also (not applicable for flex STP)
  - Units marked under Lien/Pledged or any lock-in period in the source scheme will not be eligible for STP.
  - The unit holder who has opted for STP under a specific scheme can also redeem or switch his units to any other eligible scheme provided he has sufficient balance in his account on the date of such a request.
  - STP (in) and SWP cannot be simultaneously registered for a folio for the same scheme.
  - In case the unit balance in the source (transferor) scheme is lesser than amount specified by the Unit holders for STP, the AMC will transfer remaining unit balance to target (transferee) scheme and STP will be closed.
  - The facility will be automatically terminated if the units under the source (transferor)

scheme are pledged or upon receipt of intimation of death of the Unit holder or if all units are liquidated or withdrawn from the source (transferor) scheme or the units balance under the folio becomes nil.

- The application for start of STP should be submitted to Official Point(s) of Acceptance at least 7 days and not more than 60 days before the date of commencement / start date of STP. Unit holder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next transfer/ STP execution date.
- Unit holder can discontinue STP facility at any time by sending a STP cancellation form to any of the Official Point(s) of Acceptance, at least 7 days prior to next transfer / STP execution date.
- Unit holders details and mode of holding in the target (transferee) scheme will be as per the existing folio in the source (transferor) scheme. Units in the transferee scheme will be allotted in the same folio.
- The Scheme offers Systematic Transfer Plan during the New Fund Offer Period through physical mode. STP facility during NFO is not available on Stock Exchange Platforms and other Digital Platforms.

### Appreciation Option

- Appreciation option offers transfer facility at Monthly and Quarterly Intervals. If no frequency is chosen, Monthly Frequency shall be treated as default frequency.
- The unit holder can transfer Rs.500 or above on monthly/quarterly (April/ July/ Oct/ Jan) basis by transfer of appreciation, if any, in the value of Units. In appreciation option, if, on the date of transfer, there is no appreciation or appreciation is less than Rs. 500, then transfer will not be done.
- Capital appreciation, if any, will be calculated from the enrolment date of the STP, till first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous STP date (where STP has been processed and transferred) and the current STP date.
- Appreciation option will be applicable for all schemes except Invesco India ELSS Tax Saver Fund as target scheme.

### Flex STP

- Flex STP is a facility wherein an investor under any of the existing designated open ended debt / liquid Scheme(s) ("Transferor Scheme") of the Fund can opt to transfer variable amount linked to value of his investments, on predetermined date to "Growth Option" of designated open-ended equity Scheme(s) ("Transferee Scheme") except Invesco India ELSS Tax Saver Fund.
- Under the Flex STP Option, the amount to be transferred in the Transferee (Target) Scheme on the date of transfer shall be higher of: Fixed amount to be transferred per installment as specified by the investor; Or The amount determined by using following formula:  
Fixed amount to be transferred per installment as specified by the investor X number of installments (including the current installment) minus market value of investments through Flex STP.  
In case of Flex STP if the amount (as specified by the formula) to be transferred under STP is not available in the Transferor Scheme in the unit holder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.
- If the NAV falls continuously during the Flex STP Option period, number of actual installments may be less than those mentioned in the Flex STP Option enrolment form.
- The total amount invested in the Transferee (Target) Scheme through Flex STP Option shall not exceed the total amount of investment specified by the investor at the time of enrolment of Flex STP Option i.e. amount per instalment x number of enrolled instalments.
- If there is any other Financial Transaction like Purchase, Redemption, Switch etc. (all special products as well) processed in the target scheme in the same folio during the tenure of Flex STP, then Flex STP will be processed as fixed STP for the rest of the installments for the fixed amount.

### Illustration: Flex STP will work as follows:

Installment amount to be transferred	Rs. 3,000/-
No. of Installments	12
STP period	September 2016 - August 2017
STP Start Date	3rd of the month

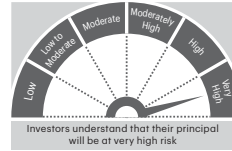
### Calculation of Flex STP installment amount on the date of the fifth installment i.e. January 3, 2017.

- Total units allotted up to the date of last (fourth) instalment i.e. December 3, 2016 is assumed as 823;
  - NAV as on January 3, 2017 of Growth option of Transferee Scheme is assumed as Rs. 12/- per unit;
  - Hence, the market value of investment in the Transferee Scheme on the date of transfer of fifth instalment i.e. January 3, 2017 will be Rs 9,876/- (823\*12).  
The installment amount for fifth instalment will be calculated as follows:
    - Fixed amount to be transferred per installment: Rs. 3,000/- . Or
    - As determined by the formula: (3,000\*5) - 9,876 = Rs. 5,124/- .  
a. Or b. whichever is higher :  
Hence, the installment amount to be transferred in the Transferee Scheme on January 3, 2017 will be Rs. 5,124/-.
- The first Flex STP installment will be processed for the fixed installment amount specified by the investor at the time of enrolment. From the second Flex STP installment onwards, the transfer amount shall be computed as per formula stated above.
  - Flex STP is available for Monthly and Quarterly frequencies.
  - Flex STP is available only in 'Growth' option of the Target Scheme.
  - A single Flex STP enrolment form can be filled for transfer into one Scheme/Plan/ Option only.
  - All other terms and condition of Systematic Transfer Plan are also applicable to Flex STP.

This product is suitable for investors who are seeking\*:

- Capital appreciation over long term
- Investments predominantly in equity and equity related instruments of companies following manufacturing theme

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them



Application No :

NFO Opens: July 25, 2024

NFO Closes: August 8, 2024

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**Transaction Charges** (Please tick any one of the below. For details refer KIM)

- I am a first time investor in Mutual Funds /  
 I am an existing investor in Mutual Funds (Default)

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

**Sign Here - Sole/First Applicant/Guardian/POA**

**Sign Here - Second Applicant**

**Sign Here - Third Applicant**

**Instructions:**

1. This transaction slip is to be used for single transaction request only, do not combine purchase & switch transaction on one single transaction slip.
2. For detailed Instructions on switch, please refer to the general instructions on the KIM.

LEI declaration is mandatory for all payment transactions undertaken by entities for value >= INR 50 crore.

• **Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant:**  
 Yes  No (Mandatory to ✓)  
 If Yes, please fill FATCA/CRS declaration

• **NRI investors should mandatorily fill separate FATCA/CRS declarations**

• **Non-Individual investors should mandatorily fill separate FATCA/ CRS & UBO declarations**

### Key Partner / Agent Information

Mutual Fund Distributor ARN ARN -	Sub-Broker ARN Code ARN -
Employee Unique Identification No. (EUIN) <small>(Of Individual ARN holder or Of employee Relationship Manager/Sales Person of the Distributor)</small>	Registered Investment Advisor Code / Portfolio Manager's Registration Number (PMRN)

Folio No.  PAN/PEKRN

Name of First / Sole Applicant

KIN  Enclosed (Please ✓)  KYC Compliance Proof

### 1. Purchase (Cheque / DD should be drawn in favor of the Scheme. Investors applying under direct plan must mention "Direct" in the box provided below)

**Scheme**  **Plan**  Regular  Direct **Option**  Growth  IDCW Payout  IDCW Reinvestment

Investment Amount (₹)  DD Charges (₹)

Net Amount (₹)  Dated

Cheque / DD No.  Drawn on Bank

Bank A/c No.  A/c Type  Savings  Current  NRO  NRE  FCNR  SNRR  Others

Remitter LEI No.:  Validity Date:

Beneficiary Name

Beneficiary LEI No.:  Validity Date:

Applicable in case of Third Party Payment  
 Name of the Person making Payment  Enclosed (Please ✓)  KYC Compliance Proof

PAN/PEKRN

KIN  Enclosed (Please ✓)  KYC Compliance Proof

### 2. Switch Request

From: Scheme

**Scheme**  **Plan**  Regular  Direct **Option**  Growth  IDCW Payout  IDCW Reinvestment

Amount (₹)  OR No. of Units  OR All Units  (Please ✓)

### 3. Demat Account Detail (Optional) (Please ✓) NSDL CDSL

DP ID <sup>1</sup>  Beneficiary Account No.

<sup>1</sup> Not applicable in case of CDSL.

DP Name

The investor shall receive payments of IDCW / Redemption proceeds in the Bank A/c linked to the Demat A/c as mentioned above.

### Declaration & Signature(s)

Having read and understood the contents of the Statement of Additional Information/Scheme Information Document(s) and Key Information Memorandum(s) of the respective schemes, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme/ Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, indirectly, in making this investment.

✍

✍

✍

To be signed by unitholders as per holding opted. Please strike off section(s) that are not filled by you, to avoid unauthorised use.

### Acknowledgement

Folio No.  (Please ✓)  Purchase  Switch

Name of the Applicant

For Office Use  
Signature of receiving authority

This page is intentionally left blank



# Ultimate Beneficial Owner/ FATCA & CRS Annexure Form - For Non Individual Accounts

Name of the Entity

Type of Address given at KRA<sup>1</sup>  Residential  Business  Registered Office

Date of Incorporation 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 PAN 

--	--	--	--	--	--	--	--	--	--

City of Incorporation  Country of Incorporation

Entity Constitution  Partnership Firm  HUF  Private Limited Company  Public Limited Company  
 Society  AOP/BOI  Trust

Type  Liquidator  Limited Liability Partnership  Artificial Juridical Person  Others \_\_\_\_\_

Is "Entity" a tax resident of any country other than India  Yes  No  
 (If yes, mandatory to provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

### Instructions

<sup>1</sup>Address of tax residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes.

<sup>2</sup>In case Tax Identification Number is not available, kindly provide its functional equivalent. In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Intermediary Identification Number or GIIN, etc.

<sup>3</sup>Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

<sup>4</sup>Refer 1 of Part C

<sup>5</sup>Refer 3(vii) of Part C

<sup>6</sup>Refer 1 A of Part C

Country	Tax Identification No. <sup>2</sup>	Identification Type (TIN or Others, please specify)
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

### FATCA & CRS Declaration<sup>3</sup>

#### PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a  Financial institution<sup>4</sup>  Direct reporting NFE<sup>5</sup>

GIIN 

--	--	--	--	--	--	--	--	--	--

 Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below.

Name of sponsoring entity

GIIN not available  Applied for  
 If the entity is a financial institution,  Not required to apply for - please specify 2 digits sub-category<sup>6</sup>

--	--

 (Refer 1 A of Part C)  
 Not obtained - Non-participating FI

#### PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2A of Part C)

Yes  (If yes, please specify any one stock exchange on which the stock is regularly traded)

Name of stock exchange

Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2B of Part C)

Yes  (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of Listed company

Nature of relation  Subsidiary of the Listed Company or  Controlled by a Listed Company

Name of stock exchange

Is the Entity an active NFE (Refer 2C of Part C)

Yes  (If yes, please fill UBO declaration in the next section)

Nature of Business

Please specify the sub-category of Active NFE 

--	--

 (Mention code - refer 2c of Part D) (Mention code - refer 2c of Part D)

Is the Entity a passive NFE (Refer 3(ii) of Part C)

Yes  (If yes, please fill UBO declaration in the next section.)

Nature of Business

Category  Unlisted Company  Partnership Firm  Limited Liability Partnership Company  
 Religious Trust  Private Trust  Public Charitable Trust  
 Unincorporated association / body of individuals  Others \_\_\_\_\_

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s) (Please attach additional sheets, if necessary) Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

**FATCA - CRS Terms and Conditions**

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e.. within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Invesco Asset Management or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green cardholder, please include United States in the foreign country information field along with the US Tax Identification Number.

**Certification**

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [Invesco Asset Management India Pvt. Ltd./Invesco Mutual Fund/ Trustees/RTA] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs (‘the Authorized Parties’) or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund’s end or by domestic or overseas regulators/ tax authorities.

Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

  
Place 

--	--	--	--	--	--	--	--

**Authorised Signatory**


**UBO / Controlling Person(s) details**

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit?  Yes  No

If ‘YES’ - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If ‘NO’ - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below:

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name of the UBO/SMO#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO PAN#. <i>[For Foreign National, TIN to be provided]</i>	<input type="text"/>	<input type="text"/>	<input type="text"/>
% of beneficial interest#	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >15% controlling interest <input type="checkbox"/> >25% controlling interest <input type="checkbox"/> NA. (for SMO)	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >15% controlling interest <input type="checkbox"/> >25% controlling interest <input type="checkbox"/> NA. (for SMO)	<input type="checkbox"/> >10% controlling interest <input type="checkbox"/> >15% controlling interest <input type="checkbox"/> >25% controlling interest <input type="checkbox"/> NA. (for SMO)
UBO / SMO Country of Tax Residency#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Identity Type	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Place & Country of Birth#	Place of Birth <input type="text"/> Country of Birth <input type="text"/>	Place of Birth <input type="text"/> Country of Birth <input type="text"/>	Place of Birth <input type="text"/> Country of Birth <input type="text"/>
UBO / SMO Nationality	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Date of Birth [dd-mmm-yyyy] #	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO PEP#	<input type="checkbox"/> Yes – PEP <input type="checkbox"/> Yes – Related to PEP <input type="checkbox"/> N – Not a PEP	<input type="checkbox"/> Yes – PEP <input type="checkbox"/> Yes – Related to PEP <input type="checkbox"/> N – Not a PEP	<input type="checkbox"/> Yes – PEP <input type="checkbox"/> Yes – Related to PEP <input type="checkbox"/> N – Not a PEP
UBO / SMO Address <i>[include City, Pincode, State, Country]</i>	Address: <input type="text"/>  City: <input type="text"/> Pincode: <input type="text"/> State: <input type="text"/> Country: <input type="text"/>	Address: <input type="text"/>  City: <input type="text"/> Pincode: <input type="text"/> State: <input type="text"/> Country: <input type="text"/>	Address: <input type="text"/>  City: <input type="text"/> Pincode: <input type="text"/> State: <input type="text"/> Country: <input type="text"/>
UBO / SMO Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
UBO / SMO Email	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Mobile	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="text"/>	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="text"/>	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others <input type="text"/>
UBO / SMO Father’s Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO Occupation	<input type="checkbox"/> Public Service <input type="checkbox"/> Business <input type="checkbox"/> Private Service <input type="checkbox"/> Others	<input type="checkbox"/> Public Service <input type="checkbox"/> Business <input type="checkbox"/> Private Service <input type="checkbox"/> Others	<input type="checkbox"/> Public Service <input type="checkbox"/> Business <input type="checkbox"/> Private Service <input type="checkbox"/> Others
SMO Designation#	<input type="text"/>	<input type="text"/>	<input type="text"/>
UBO / SMO KYC Complied?	<input type="checkbox"/> Yes <input type="checkbox"/> No If ‘Yes,’ please attach the KYC acknowledgement. If ‘No,’ complete the KYC and confirm the status.	<input type="checkbox"/> Yes <input type="checkbox"/> No If ‘Yes,’ please attach the KYC acknowledgement. If ‘No,’ complete the KYC and confirm the status.	<input type="checkbox"/> Yes <input type="checkbox"/> No If ‘Yes,’ please attach the KYC acknowledgement. If ‘No,’ complete the KYC and confirm the status.

# Mandatory column.  
 Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.  
 \* Invesco Mutual Fund / RTA may call for additional information/documentation wherever required or if the given information is not clear / incomplete / correct and you may provide the same as and when solicited.

Name	<input type="text"/>	Designation	<input type="text"/>
Name	<input type="text"/>	Designation	<input type="text"/>
Name	<input type="text"/>	Designation	<input type="text"/>

1. **Financial Institution (FI)** - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-

i. The three financial years preceding the year in which determination is made; or (ii) The period during which the entity has been in existence, whichever is less.

- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer

Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or

ii. Individual and collective portfolio management; or

iii. Investing, administering or managing funds, money or financial asset or money on behalf of other persons; or

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

i. The three-year period ending on 31 March of the year preceding the year in which the determination is made; or

ii. The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN:

A. Reasons why FI not required to apply for GIIN:

Code	Sub-category
i.	Governmental Entity, International Organization or Central Bank
ii.	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
iii.	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
iv.	Entity is an Indian FI solely because it is an investment entity
v.	Qualified credit card issuer
vi.	Investment Advisors, Investment Managers & Executing Brokers
vii.	Exempt collective investment vehicle
viii.	Trustee of an Indian Trust
ix.	FI with a local client base
x.	Non-registering local banks
xi.	FFI with only Low-Value Accounts
xii.	Sponsored investment entity and controlled foreign corporation
xiii.	Sponsored, Closely Held Investment Vehicle
xiv.	Owner Documented FFI

2. **Non-financial entity (NFE)** - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

i. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

ii. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Active NFE : is any one of the following

Code	Sub-category
i.	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
ii.	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
iii.	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
iv.	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
v.	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
vi.	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
vii)	Any NFE that fulfills all of the following requirements: <ul style="list-style-type: none"> <li>• It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;</li> <li>• It is exempt from income tax in India;</li> <li>• It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;</li> </ul> <p>The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.</p> <p>Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-</p> <ul style="list-style-type: none"> <li>(I) an Investor Protection Fund referred to in clause (23EA);</li> <li>(II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;</li> </ul>

3. **Other definitions**

i. **Related entity**

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

ii. **Passive NFE**

The term passive NFE means

- any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or
- an investment entity defined in clause (b) of these instructions
- a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

### iii. Passive income

The term passive income includes income by way of :

- IDCWs
- Interest
- Income equivalent to interest,
- Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- Annuities
- The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- The excess of foreign currency gains over foreign currency losses
- Net income from swaps
- Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

### iv. Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- More than 10% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- More than 10% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where the client purports to act on behalf of juridical person or individual or trust, the reporting entity shall verify that any person purporting to act on behalf of such client is so authorized and verify the identity of that person.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

i. Controlling Person Type:

Code	Sub-category
i.	CP of legal person - ownership
ii.	CP of legal person - other means
iii.	CP of legal person - senior managing official
iv.	CP of legal arrangement - trust - settlor
v.	CP of legal arrangement - trust - trustee
vi.	CP of legal arrangement - trust - protector
vii.	CP of legal arrangement - trust - beneficiary
viii.	CP of legal arrangement - trust - other
ix.	CP of legal arrangement - Other - settlor equivalent
x.	CP of legal arrangement - Other - trustee equivalent
xi.	CP of legal arrangement - Other - protector equivalent
xii.	CP of legal arrangement - Other - beneficiary equivalent
xiii.	CP of legal arrangement - Other - other equivalent
xiv.	Unknown

v. Specified U.S. person – A U.S person other than the following:

- a corporation the stock of which is regularly traded on one or more established securities markets;
- any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);

- the United States or any wholly owned agency or instrumentality thereof;
- any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- any bank as defined in section 581 of the U.S. Internal Revenue Code;
- any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

### vi. Owner documented FFI

An FFI meets the following requirements:

- The FFI is an FFI solely because it is an investment entity;
- The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- The FFI does not maintain a financial account for any non participating FFI;
- The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

### vii. Direct reporting NFE

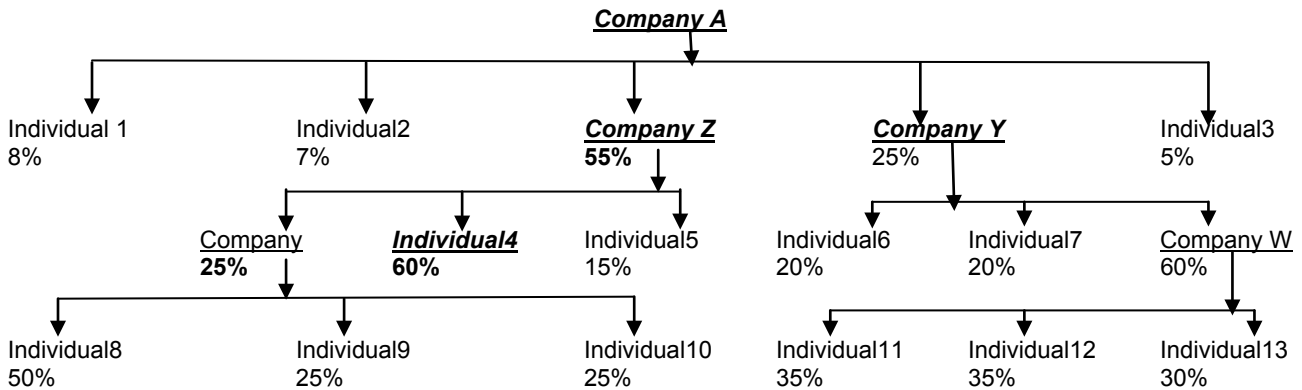
A direct reporting NFFE means a NFFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

### viii. Exemption code for U.S. persons

Code	Sub-category
i.	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
ii.	The United States or any of its agencies or instrumentalities
iii.	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
iv.	A corporation, the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
v.	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
vi.	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
vii.	A real estate investment trust
viii.	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
ix.	A common trust fund as defined in section 584(a)
x.	A bank as defined in section 581
xi.	A broker
xii.	A trust exempt from tax under section 664 or described in section 4947(a)(1)
xiii.	A tax exempt trust under section 403(b) plan or section 457(g) plan

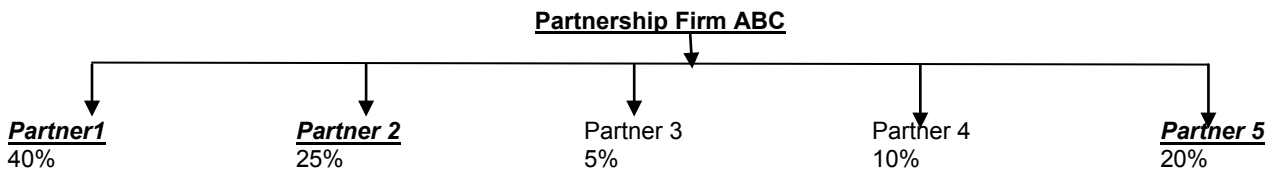
**Sample Illustrations for ascertaining beneficial ownership:**

**Illustration No. 1 – Company A**



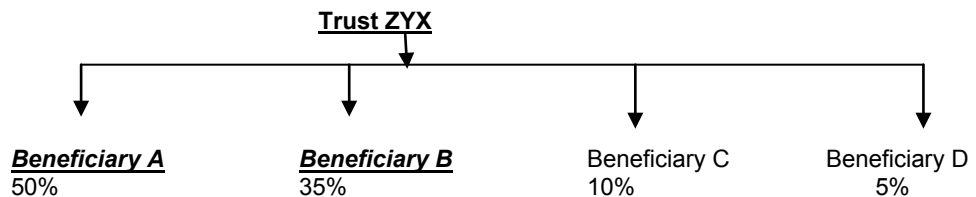
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

**Illustration No. 2 – Partner ABC**



For Partnership Firm ABC, Partners 1, 2, 4 and 5 are considered as UBO as each of them holds >=10% of capital. KYC proof of these partners needs to be submitted including shareholding

**Illustration No. 3 – Trustee ZYX**



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust / Protector of Trust, relevant information to be provided along with the proof indicated.

This page is intentionally left blank



#### 4. Investment Details

Sub-Plan(s) / Option(s)	Amount (in Rs.)	ISIN
Regular Plan - Growth		INF205KA1874
Direct Plan - Growth		INF205KA1908
Regular Plan - IDCW Payout		INF205KA1882
Regular Plan - IDCW Reinvestment		INF205KA1890
Direct Plan - IDCW Payout		INF205KA1916
Direct Plan - IDCW Reinvestment		INF205KA1924

\*Total Amount must be equal to the amount to be blocked as mentioned in point 3 above.

#### 5. Declarations & Signatures

##### General Declaration:

The Trustees, Invesco Mutual Fund

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply to the Trustees of Invesco Mutual Fund for units of the Scheme as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We have understood the details of the Scheme and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby authorise Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my / our bank(s) / Invesco Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my/ our bank details provided by me / us. I/We hereby declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers or representatives responsible. I/We hereby declare that the amount being invested by me/us in the Scheme of Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time.

I/We, have invested in the scheme(s) of Invesco Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Invesco Mutual Fund, to the above mentioned SEBI Registered Investment Adviser Registered Portfolio Manager declaration.

I/We confirm that I/We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.

Date

Signature of the Applicant

KIN: KYC Identification Number from Central KYC Registry

##### ASBA Authorizations:

1) I/We hereby undertake that I/We am / are an ASBA applicant(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) (Amendment) Regulations, 2011. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) (Amendment) Regulations, 2011, I/We authorize (a) the SCSB to do all acts as are necessary to make an application in the NFO of the Scheme of Invesco Mutual Fund, including uploading of application details, blocking the amount to the extent mentioned above in the "Details of Bank Account for Blocking of Funds" or unblocking of funds in the bank account maintained with the SCSB specified in the form, transfer of funds to the nominated Invesco Mutual Fund Bank Account on receipt of instruction from the Registrar to the New Fund Offer after finalisation of allotment entitling me / us to receive Units on such transfer of funds. (b) Registrar to the Invesco Mutual Fund to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon allotment and to transfer the requisite money to Invesco Mutual Fund's nominated Bank account. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Beneficiary ID or PAN furnished by me / us in the ASBA Form is incorrect or incomplete, the application shall be rejected and Invesco Asset Management (India) Pvt. Ltd. (Investment Manager to Invesco Mutual Fund), their appointed service providers and the SCSBs representatives shall not be liable for losses, if any.

I/We, have invested in the scheme(s) of Invesco Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all schemes of Invesco Mutual Fund, to the above mentioned SEBI Registered Investment Adviser Registered Portfolio Manager declaration.

##### Applicable to NRIs only :

I/We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I/We confirm that the details provided by me / us are true and correct.

Place

Signature of Bank Account Holders

(To be signed as per mode of operation of the bank account)

#### Instructions

##### A. Self Certified Syndicate Bank (SCSB):

SCSB is a bank which offers the facility of applying through the ASBA process. The current list of SCSB's as available on SEBI website is as follows:

- Ahmedabad Mercantile Co-Op Bank Ltd
- AU Small Finance Bank Limited
- Axis Bank
- Bandhan Bank
- Bank of Baroda
- Bank of India
- Bank of Maharashtra
- Barclays Plc.
- BNP Paribas
- Canara Bank
- Central Bank of India
- CITI Bank
- City Union Bank Ltd.
- DBS Bank Ltd.
- Deutsche Bank AG
- Dhanlaxmi Bank Limited
- Equitas Small Finance Bank
- Federal Bank
- GP Parsik Sahakari Bank Limited
- HDFC Bank Ltd.
- HSBC Ltd.
- ICICI Bank Ltd.
- IDFC First Bank
- IDBI Bank

- Indian Overseas Bank Ltd.
- Indusind Bank Ltd.
- J. P. Morgan Chase, Bank, N.A.
- Jammu and Kashmir bank
- Janata Sahakari Bank Ltd
- Karnataka Bank
- Karur Vasya Bank Ltd.
- Kotak Mahindra Bank Ltd.
- Mehsana Urban Co-operative Bank Limited
- Nutan Nagarik Sahakari Bank Ltd
- Punjab National Bank
- Rajkot Nagarik Sahakari Bank Ltd
- RBL Bank Ltd
- South Indian Bank
- Standard Chartered Bank
- State Bank of India
- SVC Co-operative Bank Ltd.
- Tamilnad Mercantile Bank Ltd.
- The Ahmedabad Mercantile Co-Op. Bank Ltd
- The Allahabad Bank
- The Kalapur Commercial Co-operative Bank Ltd.
- The Lakshmi Vilas Bank Ltd.
- The Saraswat Co-operative Bank Ltd.
- The Surat Peoples Co-op Bank
- TJSB Sahakari Bank Ltd
- UCO Bank

- Union Bank of India
- Utkarsh Small Finance Bank Limited
- Yes Bank Ltd.

For the complete list of SCSBs with details of controlling/designated branches please refer to websites : <http://www.sebi.gov.in>, <http://www.nseindia.com> and <http://www.bseindia.com>.

##### B. Investors Demat Account details:

- It is mandatory to provide Demat Account details in ASBA Application Form as the units will be credited in the Demat Account specified in ASBA Application Form.
- Applicant should ensure that the Demat Accounts specified in the ASBA Application Form are active i.e. not frozen or suspended.
- Applicant to note that in case the DP-ID, beneficiary account no. or PAN provided in the ASBA Application Form are incorrect or do not match with the records of Depositories (NSDL or CDSL), the applications will be rejected.

##### C. Bank Account details:

- Applicants should provide Bank Account details from which

the application amount is to be blocked alongwith Bank & Branch name and application amount.

- Applicant should maintain sufficient balance in the Bank Account in which application amount is to be blocked. In case of insufficient funds in the specified Bank Account the application is liable to be rejected.
- Applicant can make application for maximum upto 5 ASBA Applications from a single Bank Account with a Bank.
- It may be noted that no application will be accepted for subscription to units of schemes of Invesco Mutual Fund where such application is accompanied by Third Party Payment other than the exceptions allowed. 'Third-Party Payment' means a payment made through instruments issued from a bank account other than that of bank account of first named applicant/investor.

Please refer to point no. 6- v . on page no. 12 of the Instructions for details