

CANARA ROBECO BALANCED ADVANTAGE FUND  
(Balanced Advantage Fund - An Open Ended Dynamic Asset Allocation Fund)

This product is suitable for investors who are seeking\*

- Long term capital appreciation with income generation
- Investment in a dynamically managed portfolio of equity & equity related instruments and debt & money market securities



\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them

APPLICATION FORM (Please fill in BLOCK Letters)

Distributor/Broker ARN/RIA Code#	Sub Broker ARN	Sub Broker Code	Employee Unique Identification Number	Bank Serial No. / Branch Stamp / Receipt Date

#By mentioning RIA Code, I/We authorise you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mutual Fund. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. **Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 28):** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

⊗ Signature of 1st Applicant / Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction 25)

<input type="checkbox"/> I confirm that I am a First time investor across Mutual Funds. (₹ 150 deductible as Transaction Charge and payable to the Distributor)	<input type="checkbox"/> I confirm that I am an existing investor in Mutual Funds. (₹ 100 deductible as Transaction Charge and payable to the Distributor)
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In case the purchase / subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase / subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number and proceed to Investment Details and Payment Details]

Folio No.		Name of 1st Unit Holder*	
The details in our records under the folio number mentioned will apply for this application. *Name should be as per the PAN			

PAN / PEKRN AND CKYC COMPLIANCE STATUS DETAILS - Mandatory [Refer Instruction Nos. 12 & 26]

PAN/PEKRN # (refer instruction)	CKYC Compliance Status** (if yes, attach proof)	KIN (CKYC Identification No.)
First / Sole Applicant@	Yes <input type="radio"/>	
Second Applicant	Yes <input type="radio"/>	
Third Applicant	Yes <input type="radio"/>	

Aadhaar Number (Optional)	First/Sole Applicant@	Second Applicant	Third Applicant

PAN Card Copy is mandatory for all the unit holders/Guardian/POA/UBO to be enclosed with Application Form  
@ If the first/sole applicant is a Minor, then please provide details of Natural / Legal Guardian. \*\*Refer instruction 12

UNIT HOLDER(S) INFORMATION [Refer Instruction 1]

NAME OF FIRST / SOLE APPLICANT / MINOR (in case of minor there shall be no joint holder)		DATE OF BIRTH* <table><tr><td>D</td><td>D</td><td>/</td><td>M</td><td>M</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	/	M	M	/	Y	Y	Y	Y
D	D	/	M	M	/	Y	Y	Y	Y			
		*Date of Incorporation is mandatory for Non-Individual and Date of Birth is mandatory for Individuals and Minor										
		In case of Minor, please tick (✓) <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian (In case of Legal Guardian, submission of duly notarized court order is mandatory)										
Mr.   Ms.   M/s.												
*Name should be as per the PAN												
Father/Mother's Name (Mandatory)												

Occupation Please (✓)	Private Sector Service Public Sector	Government Service Agriculturist	Professional Business	Retired Forex Dealer	Student Housewife	Others <input type="checkbox"/> Please specify
Status Please(✓)	Resident Individual Minor thru Guardian	NRI - NRO <input type="checkbox"/> Trust <input type="checkbox"/> Company/Body Corporate <input type="checkbox"/>	HUF FIs/FIPs	Bank / FIs Partnership Firm	NRI-NRE Society	Sole Proprietorship <input type="checkbox"/>

OTHER DETAILS Please tick (✓) ☐ Individual ☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs - 1 Crore ☐ 1 Crore & above

Net-worth in ₹ \_\_\_\_\_ as on (date) 

D	D	/	M	M	/	Y	Y	Y	Y
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2. Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

3. Is the entity involved in / providing any of the following services:

– Foreign Exchange / Money Changer Services	<input type="checkbox"/> YES <input type="checkbox"/> NO
– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)	<input type="checkbox"/> YES <input type="checkbox"/> NO
– Money Lending / Pawning	<input type="checkbox"/> YES <input type="checkbox"/> NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited immediately in case there is any change in the above information.

ACKNOWLEDGEMENT SLIP (TO BE FILLED IN BY THE SOLE/FIRST APPLICANT)

<b>Canara Robeco Mutual Fund</b> Investment Manager : Canara Robeco Asset Management Co. Ltd. Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.	Application No.	<b>CANARA ROBECO</b> Mutual Fund
Received from Mr./Ms./M/s. _____	Date _____/_____/_____	Stamp, Signature & Date
An application for purchase of _____ units of _____ along with Cheque/DD as detailed overleaf. Cheques/Drafts are subject to realisation.		

NAME OF SECOND UNIT HOLDER\*  
Mr. | Ms. | M/s.  
\*Name should be as per the PAN  
Father/Mother's Name (Mandatory)

DATE OF BIRTH\*  
(Mandatory)

Occupation Please (✓)  
\*Mandatory

Private Sector Service  
Public Sector

☐  
☐

Government Service  
Agriculturist

☐  
☐

Professional  
Business

☐  
☐

Retired  
Forex Dealer

☐  
☐

Student  
Housewife

☐  
☐

Others  
Please specify

☐

Status Please(✓)

Resident Individual  
Minor thru Guardian

☐  
☐

NRI - NRO  
Company/Body Corporate

☐  
☐

Trust  
Flls/FIPs

☐  
☐

HUF  
Partnership Firm

☐  
☐

Bank / Fls  
Society

☐  
☐

NRI-NRE  
Sole Proprietorship

☐  
☐

OTHER DETAILS Please tick (✓)

☐ Individual☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)

☐ Below 1 Lac☐ 1 - 5 Lacs☐ 5 - 10 Lacs☐ 10 - 25 Lacs☐ 25 Lacs - 1 Crore☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

2. Please tick if applicable:

☐ Politically Exposed Person (PEP)☐ Related to a Politically Exposed Person (PEP)☐ Not Applicable

3. Is the entity involved in / providing any of the following services:

– Foreign Exchange / Money Changer Services

☐ YES☐ NO

– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)

☐ YES☐ NO

– Money Lending / Pawning

☐ YES☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited immediately in case there is any change in the above information.

NAME OF THIRD UNIT HOLDER\*  
Mr. | Ms. | M/s.  
\*Name should be as per the PAN  
Father/Mother's Name (Mandatory)

DATE OF BIRTH\*  
(Mandatory)

Occupation Please (✓)

Private Sector Service  
Public Sector

☐  
☐

Government Service  
Agriculturist

☐  
☐

Professional  
Business

☐  
☐

Retired  
Forex Dealer

☐  
☐

Student  
Housewife

☐  
☐

Others  
Please specify

☐

Status Please(✓)

Resident Individual  
Minor thru Guardian

☐  
☐

NRI - NRO  
Company/Body Corporate

☐  
☐

Trust  
Flls/FIPs

☐  
☐

HUF  
Partnership Firm

☐  
☐

Bank / Fls  
Society

☐  
☐

NRI-NRE  
Sole Proprietorship

☐  
☐

OTHER DETAILS Please tick (✓)

☐ Individual☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)

☐ Below 1 Lac☐ 1 - 5 Lacs☐ 5 - 10 Lacs☐ 10 - 25 Lacs☐ 25 Lacs - 1 Crore☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

2. Please tick if applicable:

☐ Politically Exposed Person (PEP)☐ Related to a Politically Exposed Person (PEP)☐ Not Applicable

3. Is the entity involved in / providing any of the following services:

– Foreign Exchange / Money Changer Services

☐ YES☐ NO

– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)

☐ YES☐ NO

– Money Lending / Pawning

☐ YES☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited immediately in case there is any change in the above information.

NAME OF THE GUARDIAN (In case if First Unit Holder is minor)\*  
Mr. | Ms. | M/s.  
\*Name should be as per the PAN  
Father/Mother's Name (Mandatory)

DATE OF BIRTH\*  
(Mandatory)

☐ Proof of DOB (Any one Mandatory)☐ Birth Certificates☐ School Certificates / Mark Sheet☐ Passport☐ Others

Occupation Please (✓)

Private Sector Service  
Public Sector

☐  
☐

Government Service  
Agriculturist

☐  
☐

Professional  
Business

☐  
☐

Retired  
Forex Dealer

☐  
☐

Student  
Housewife

☐  
☐

Others  
Please specify

☐

Status Please(✓)

Resident Individual  
Minor thru Guardian

☐  
☐

NRI - NRO  
Company/Body Corporate

☐  
☐

Trust  
Flls/FIPs

☐  
☐

HUF  
Partnership Firm

☐  
☐

Bank / Fls  
Society

☐  
☐

NRI-NRE  
Sole Proprietorship

☐  
☐

OTHER DETAILS Please tick (✓)

☐ Individual☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)

☐ Below 1 Lac☐ 1 - 5 Lacs☐ 5 - 10 Lacs☐ 10 - 25 Lacs☐ 25 Lacs - 1 Crore☐ 1 Crore & above

[OR]

Net-worth in ₹ as on (date)

2. Please tick if applicable:

☐ Politically Exposed Person (PEP)☐ Related to a Politically Exposed Person (PEP)☐ Not Applicable

3. Is the entity involved in / providing any of the following services:

– Foreign Exchange / Money Changer Services

☐ YES☐ NO

– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)

☐ YES☐ NO

– Money Lending / Pawning

☐ YES☐ NO

4. Any other information

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited immediately in case there is any change in the above information.

Mode of Holding Please (✓)

☐ Anyone or Survivor☐ Joint (Default option is Anyone or Survivor)

Sr. No.

Scheme Name

Plan

Option

Amount Invested (₹)

Payment Details

CANARA ROBECO BALANCED ADVANTAGE FUND

Regular

☐ Growth  
☐ Income Distribution cum Capital Withdrawal Option  
☐ Reinvestment of Income Distribution cum Capital Withdrawal Option  
☐ Payout of Income Distribution cum Capital Withdrawal Option

Cheque/DD No./UTR No.  
(in case of NEFT/RTGS)

Bank and Branch

KFin Technologies Limited

Selenium, Tower B, Plot Nos. 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally, Hyderabad 500 032

Tel No. : 040 33215262/ 5269 Website : www.kfintech.com

## POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of POA* Mr.   Ms.   M/s.																																																																							
*Name should be as per the PAN																																																																							
PAN												KYC [Please (✓) (Mandatory)]										<input type="checkbox"/> Proof Attached																																																	
Father/Mother's Name (Mandatory)																																																																							
DATE OF BIRTH* (Mandatory)																																																																							
Occupation Please (✓)		Private Sector Service Public Sector										Government Service Agriculturist										Professional Business										Retired Forex Dealer										Student Housewife										Others Please specify																			
Status Please (✓)		Resident Individual Minor thru Guardian										NRI - NRO Company/Body Corporate										Trust Company/Body Corporate										HUF FIs/FIPs										Bank / FIs Partnership Firm										NRI-NRE Society										Sole Proprietorship									

**OTHER DETAILS** Please tick (✓) ☐ Individual ☐ Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓) ☐ Below 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs - 1 Crore ☐ 1 Crore & above

Net-worth in ₹ \_\_\_\_\_ [OR] \_\_\_\_\_ as on (date) \_\_\_\_\_

2. Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP) ☐ Not Applicable

3. Is the entity involved in / providing any of the following services:  
– Foreign Exchange / Money Changer Services ☐ YES ☐ NO  
– Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) ☐ YES ☐ NO  
– Money Lending / Pawning ☐ YES ☐ NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited immediately in case there is any change in the above information.

## DEMAT ACCOUNT DETAILS (This section to be filled only if investor wish to hold units in demat form) (Client Master List (CML) to be enclosed) (Refer instruction no. 24)

National Securities Depository Limited (NSDL)										Central Depository Services (India) Limited (CDSL)									
Depository Participant Name _____										Depository Participant Name _____									
DP ID No. _____										Target ID No. _____									

## FATCA/CRS DETAILS For Individuals &amp; HUF (Mandatory) (Refer instruction no. 30)

The below information is required for all applicant(s)/guardian:  
**Address Type:** ☐ Residential ☐ Business ☐ Registered Office (for address mentioned in Form/existing address appearing in Folio)  
Do you have non-Indian Country[ies] of Birth / Citizenship / Nationality and Tax Residency? ☐ Yes ☐ No Please tick as applicable and if yes, provide the below mentioned information (mandatory)

<b>Sole / First Applicant / Guardian</b> <input type="checkbox"/> Yes <input type="checkbox"/> No		<b>Second Applicant</b> <input type="checkbox"/> Yes <input type="checkbox"/> No		<b>Third Applicant</b> <input type="checkbox"/> Yes <input type="checkbox"/> No or <input type="checkbox"/> POA <input type="checkbox"/> Yes <input type="checkbox"/> No	
Date of Birth		Date of Birth		Date of Birth	
Place of Birth		Place of Birth		Place of Birth	
Country of Birth		Country of Birth		Country of Birth	
Country of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id
Country of Tax Residency# [other than India]	Taxpayer Identification No.	Country of Tax Residency# [other than India]	Taxpayer Identification No.	Country of Tax Residency# [other than India]	Taxpayer Identification No.
1		1		1	
2		2		2	

\*Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer Identification number. In case of applications with PoA, the PoA holder should fill separate form to provide the above details mandatorily.

## MAILING ADDRESS [Please provide Full Address. P.O. Box No. may not be sufficient. Overseas Investors will have to provide Indian Address]

Local Address of 1st Applicant \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_

Tel Office \_\_\_\_\_ Residence \_\_\_\_\_ Mobile \_\_\_\_\_

E-mail\* \_\_\_\_\_

\* The primary holder's own email address and mobile number should be provided for speed and ease of communication in a convenient and cost-effective manner, and to help prevent fraudulent transactions.

Overseas Correspondence address (Mandatory for NRI/FII Applicant)

Please tick (✓) Mobile Number is of ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian (in case of a minor)

Please tick (✓) Email Id is of ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian (in case of a minor)

City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_

## COMMUNICATION (Please ✓)

☐ I/We wish to receive Account Statements/Annual Reports/Quarterly Statements/Newsletter/Updates or any other Statutory/Regulatory Information via Physical Mode.

## BANK ACCOUNT DETAILS - Mandatory

Name of the Bank \_\_\_\_\_

Account No. \_\_\_\_\_ A/c Type (please ✓) ☐ SAVINGS ☐ NRE ☐ CURRENT ☐ NRO ☐ FCNR

Branch Address \_\_\_\_\_

Bank Branch City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_ MICR Code \_\_\_\_\_

IFSC CODE (RTGS/NEFT) \_\_\_\_\_ (Mandatory for Credit via NEFT/RTGS) Please attach a cancelled cheque OR a clear photo copy of a cheque

(11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your Bank)

REDEMPTION / IDCW REMITTANCE [Refer Instruction 20]						
<input type="checkbox"/> Electronic Payment		It is the responsibility of the Investor to ensure the correctness of the IFSC code/MICR code for Electronic Payout at recipient/ destination branch corresponding to the Bank details.				<input type="checkbox"/> Cheque Payment
If MICR and IFSC code for Redemption/IDCW Payout is available, all payouts will be automatically processed as Electronic Payout - RTGS/NEFT/Direct Credit/NECS.						
SIP ENROLLMENT DETAILS						
SIP Amount (Rs.)		Enrollment Period SIP : Start Month <input type="text"/> <input type="text"/> Year <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> End on Month <input type="text"/> <input type="text"/> Year <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Frequency Please ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> Any Date <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly *Mandate can be registered for a maximum period of 40 years from the date of application				
SIP Top-up : Rs. (in multiples of Rs. 500/-)				Frequency Please ( <input checked="" type="checkbox"/> ) <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly		
PAYMENT MECHANISM: Debit trough ECS/OTBM/Auto Debit Facility (Please fill up the SIP Registration Form along with One Time Bank Mandate Form for NACH/Direct debit)						
INVESTMENT DETAILS AND PAYMENT DETAILS (Payment through Cash/Outstation Cheques not accepted)						
Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan/Option/Sub Option.						
Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Cheque/DD No./UTR No. (in case of NEFT/RTGS)	Bank and Branch and Account Number
1	CANARA ROBECO BALANCED ADVANTAGE FUND	Regular	<input type="checkbox"/> Growth <input type="checkbox"/> Income Distribution cum Capital Withdrawal Option <input type="checkbox"/> Reinvestment of Income Distribution cum Capital Withdrawal Option <input type="checkbox"/> Payout of Income Distribution cum Capital Withdrawal Option			
* (Type of Account / Savings / Current / NRE / NRO / FCNR / NRSR) * All purchases are subject to realisation of Cheque/DD.						
Details of Beneficial Ownership (Please tick applicable category). Ownership details to be provided if the Ownership percentage/interest in the trust of any Beneficiary is as per the threshold limit provided below. Details to be provided for each such beneficiary. (Mandatory for Non-Individual)						
<input type="checkbox"/> Category	<input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Unincorporated Association/Body of Individuals	<input type="checkbox"/> Trust	<input type="checkbox"/> Foreign Investor \$\$\$	
Ownership per cent @@@	>25%	>15%	>15%	>=15%		
@@@ Ownership percentage of shares/capital/profits/property of juridical person/interest in the Trust as on the date of the application shall be furnished by the investor. \$\$\$ In the case of Foreign investors, the beneficial ownership will be determined as per SEBI guidelines. For details refer to SAI/relevant Addendum. In case of any change in the beneficial ownership, the investor will be responsible to intimate CRAMC / its Registrar / KRA as may be applicable immediately about such change.						
Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)						
Sr.	Name (as per PAN)*	Date of Birth*	Father/Mother's Name*	Address	Details of Identity such as PAN/Passport	% of ownership
Please enclose self attested copy of the PAN card of the UBO along with the Application Form *Mandatory Details to be filled						
NOMINATION DETAILS for Individuals [Minor / HUF / POA Holder / Non Individuals cannot Nominate – Refer Instruction No. 13]						
<input type="checkbox"/> I/We do hereby nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees. In case, you do not wish to nominate, please sign in "Nomination Opt Out Declaration" below						
No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship with Unit Holder	@ % of Share	
1		D D - M M - Y Y Y Y Y				
2		D D - M M - Y Y Y Y Y				
3		D D - M M - Y Y Y Y Y				
<input checked="" type="checkbox"/> First/Sole Applicant/Guardian		<input checked="" type="checkbox"/> Second Applicant		<input checked="" type="checkbox"/> Third Applicant		
@ If the percentage of share is not mentioned, then the claim will be settled equally amongst all the indicated nominee(s)						
Nomination Opt Out Declaration: I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my/ our mutual fund units held in my / our folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.						
<input checked="" type="checkbox"/> First/Sole Applicant/Guardian		<input checked="" type="checkbox"/> Second Applicant		<input checked="" type="checkbox"/> Third Applicant		
*All Applicants must sign.						
DECLARATION						
To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SAI, SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We hereby declare that I/ We are authorised to make this investment in the above mentioned Scheme (s) and that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorise the Fund to disclose details of my/our account and all my/our transactions to the intermediately whose stamp appears on the application form. I also authorise the Fund to disclose details as necessary, to the Registrar & Transfer Agent, call centres, banks, custodians, depositories and/or authorised external third parties who are involved in transaction, processing, despatches, etc. for the purpose of effecting payments to me/us. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that currently there is no subsisting order/ruling/judgement etc., in force which has been passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining me/us from dealing in securities. That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading. I/We will be liable for the consequences arising therefrom. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity, and authorisation of my/our transaction. I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage; (ii) validating / authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN. Applicable to NRIs only : I/We confirm that I am/we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on: <input type="checkbox"/> Repatriation basis <input type="checkbox"/> Non Repatriation basis. I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.						
<input checked="" type="checkbox"/> First/Sole Applicant/Guardian		<input checked="" type="checkbox"/> Second Applicant		<input checked="" type="checkbox"/> Third Applicant		
To be furnished by partnership firms						
To, The Trustees of Canara Robeco Mutual Fund, Sub : Our Subscription to the Schemes of We, the undersigned, being the partner of M/s. _____ a Partnership firm formed under Indian Partnership Act, 1932 do hereby jointly and severally authorise Mr. _____ to subscribe an amount of ₹ _____ for allotment of units of _____ Scheme on behalf of and in the name of our firm. He is / They are also authorised to encash / disinvest the above units. We undertake to intimate you in writing about any change in the constitution or composition of our firm and upon such change, also arrange to lodge the specimen signatures of the partners authorised to deal with the above units. We enclose the copy of the Partnership Deed along with this application for subscription. Name of the Partners _____ Signatures _____						

Investors who are Trusts/Societies/Section 8 companies (under Companies Act, 2013) constituted for religious or charitable purposes, have to declare their status as NPO to AMC:

We are falling under “ <b>Non-Profit Organization</b> ” [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	<div><input type="checkbox"/> Yes</div> <div><input type="checkbox"/> No</div>
If yes, please quote Registration No. of Darpan portal of Niti Aayog	

If you have not registered in Darpan Portal yet, please register immediately and furnish the above information to us. Please note that failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable.

We are aware that we may be liable for any fines or other consequences as applicable under the respective statutory requirements, and we authorize you to deduct such fines / charges under intimation to us or collect such fines / charges in any other manner as might be applicable by law.



# Instructions for filling an application form

1. Application must be in the Name of (a) Resident/Non Resident Individual or Individuals not exceeding 3 in number; (b) Minor through his/her parent/guardian; (c) A Hindu Undivided Family ( by the Karta acting on behalf of the HUF); (d) An Association of persons or a Body of Individuals (e) Indian Companies or Body Corporates, Trusts and Societies; (f) Partnership Firm.
2. In case of HUF, the karta shall sign on behalf of the HUF. All communications will be addressed to the karta at the address given by him.
3. For minimum amount of application, please refer Minimum Investment column of this Key Information Memorandum.
4. Signatures should be in English or in any of the Indian Languages, within the box provided. Thumb impression must be attested by an Authorised Official of the Bank or by a Magistrate/Notary Public under his/her Official Seal.
5. In case of Application under Power of Attorney, the relevant Power of Attorney or certified copy thereof must be lodged along with the application.
6. Payment should be made by Cheque/Bank Draft drawn on any bank which is situated at and is a member or sub-member of the Bankers' Clearing House located at the place where the Application Form is submitted.  
  
In case applications submitted along with demand draft, the Fund may bear the draft charges on actual basis. The investors have to attach the copy of the acknowledgment issued by the Bank for purchase of DD which indicates the DD charges. The investor will be allotted with units for the gross amount of investment.
7. Cash/Money Orders will not be accepted.
8. All Cheques/Bank Drafts must be drawn in favour of **"CANARA ROBECO BALANCED ADVANTAGE FUND"** and the name of the First/Sole applicant and serial No. of the application form shall be indicated on the reverse of the Cheque/Bank Draft as the case may be.
9. Application Forms duly completed in all respects must be submitted at the Sales Offices of the AMC/Identified center for sale of units of the Scheme.
10. The acknowledgement slip will be made in favour of First/Sole Applicant.
11. Distributors are not permitted to accept cash with application. The Fund shall not be held responsible for any kind of wrong tenders.
12. **KNOW YOUR CUSTOMER (KYC) COMPLIANCE**

Investors should note that it is mandatory for all purchases/switches/registrations for Systematic Investment Plan (SIP)/Systematic Transfer Plan (STP) to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter. Applicants intending to apply for units through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA must mention their KYC Compliance Status and attach proof of KYC Compliance at the time of investment. With effect from January 1, 2012, SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries. New investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website [www.canararobeco.com](http://www.canararobeco.com). Existing KYC compliant investors can continue the practice of providing copy of KYC Acknowledgement Letter of. Once the investor has done KYC with any SEBI registered intermediary, the investor need not undergo the same process again. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor.

With effect from December 03, 2012, if these investors with "MF-VERIFIED BY CVLMF" status wish to invest in the scheme(s) of Canara Robeco Mutual Fund for the first time (or not opened a folio earlier), they will be required to submit 'missing/not available' KYC information and complete the 'In Person Verification' (IPV) requirements.

In case of Individual investors, the following details need to be submitted:

1. Father's/Spouse's Name,
2. Marital Status,
3. Nationality,
4. Gross Annual Income or Net worth (not older than 1 year)
5. In-Person Verification (IPV)

Individual investors are requested to fill in 'KYC Details Change Form' issued by CVL-KRA which is also available on the AMC website [www.canararobeco.com](http://www.canararobeco.com). This duly filled form along with IPV completed status can be submitted along with purchase application, to any of the Investor Service Centers (ISC) of CRMF as mentioned in the Scheme Information Document and the above website of AMC. Alternatively, investors may also approach their existing mutual funds at any investor service centre for completion of this KYC requirement.

In case of Non Individuals who wish to invest first time in the scheme(s) of CRMF from the effective date, KYC is required to be done again with any SEBI registered KRA due to significant changes in KYC requirements. Non Individual investors are

requested to fill in 'KYC Form' issued by CVL-KRA which is also available on the AMC website [www.canararobeco.com](http://www.canararobeco.com).

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC. In the event of non compliance of KYC requirements, the Trustee/AMC reserves the right to freeze the folio of the investor(s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

## Prevention of Money Laundering

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and/or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, Canara Robeco Asset Management Company Limited (CRAMC)/Canara Robeco Mutual Fund (CRMF) reserves the right to seek information, record investor's telephonic calls and or obtain and retain documentation for establishing the identity of the Investor(s), proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

CRAMC, CRMF, the Trustees and their Directors, employees and distributors shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application/allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and/or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website [www.canararobeco.com](http://www.canararobeco.com)

## 13. NOMINATION DETAILS

SEBI vide its circular dated June 15, 2022, as amended from time to time, has made it mandatory for investors subscribing to mutual fund units on or after October 1, 2022, to either provide nomination details or opt out of nomination in prescribed format. Further, all existing individual unit holder(s) (either sole or joint) are required to provide nomination / opt out of nomination by January 01, 2024 or such other timeline as may be notified by SEBI from time to time failing which their folios shall be frozen for debits.

As per the recent AMFI Circulars, a Unit Holder in the scheme may be all owed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his/her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/ application form and or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, signature of the nominee, furnishing proof of guardianship if the nominee is a minor, and the execution of an indemnity bond or such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC/Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement of account will be sent to the new holder(s).

Only the following categories of Indian residents can be nominated: (a) individuals; (b) minors through parent/legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office. However non Individual's, including society, trust, body corporate, partnership firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate.

A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC/Fund shall not be under any obligation to transfer the Units in favour of the nominee.

The transfer of Units/payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund/AMC/Trustee and shall discharge the Fund/AMC/Trustee of all liability towards the estate of the deceased Unit Holder and his/her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified

from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

Applicants who do not wish to nominate, must at the designate space confirming their intention on not to nominate and they have to submit the duly filled and signed "Declaration Form for Opting out of Nomination," failing which the form may be rejected at the discretion of the AMC/Fund.

Nomination facility is not allowed in a folio held on behalf of a minor

14. Applications which are incomplete are liable to be rejected and the Trustees of Canara Robeco Mutual Fund shall not be responsible for any consequences thereof.
15. Where an Application is rejected in full or part, application money will accordingly be refunded to the applicant. No interest will be paid on the amount so refunded. Letters of regret together with refund cheques, if any, will be despatched by post at the applicant's sole risk.
16. First/Sole applicant, should fill in the particulars of their operative Bank Account which is mandatory and application without bank details is liable to be rejected. Further, AMC/ Mutual Fund also provides a facility to investors to register multiple bank accounts in the same folio. By registering multiple bank account, investor can use any of the registered bank account to receive redemption proceeds
17. Documents to be submitted:
  - i) For Charitable and Religious Trusts/Association/Society:
    - (a) Trust Deed/Bye-Laws, (b) Certified copy of resolution, (c) List of authorised signatories with specimen signatures.
  - ii) For Companies:
    - (a) Certified Copy of the Board Resolution, (b) Memorandum & Articles of Association, (c) List of authorised signatories with specimen signatures.
  - iii) For Partnership Firms: Copy of the Partnership Deed
  - iv) For FPI/FII
    - List of Authorised signatories
    - KYC Acknowledgement/Proof of KYC
    - PAN
    - Certificate of Registration from Designated Depository Participant (DDP).
18. The right to accept or reject any application in whole or in part lies with the Trustees.
19. NRI - PAYMENT PROCEDURE

Payment by NRIs and person of Indian origin residing abroad may be made by way of Indian Rupee Draft purchased abroad or by way of cheque drawn on Non-Resident (External) (NRE) Non-Resident Ordinary (NRO) Accounts. Payments can be also be made by means of Rupee Draft purchased out of NRE/NRO/FCNR accounts.

FPI Payment procedure

- Fills shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Non-Resident Rupee Account maintained by the FII with a designated branch of an authorised dealer.

## 20. Real Time Gross Settlement (RTGS) & National Electronic Funds Transfer (NEFT)

The AMC provides the facility of 'Real Time Gross Settlement (RTGS)' & 'National Electronic Funds Transfer (NEFT)' offered by Reserve Bank of India (RBI), which aims to provide credit of redemption and IDCW payouts (if any) directly into the bank account of the Unit holder maintained with the banks (participating in the RTGS/NEFT System). Unit holders can check the list of banks participating in the RTGS/NEFT System from the RBI website i.e. [www.rbi.org.in](http://www.rbi.org.in) or contact any of our Investor Service Centres.

However, in the event of the name of Unit holder's bank not appearing in the 'List of Banks participating in RTGS/NEFT' updated on RBI website [www.rbi.org.in](http://www.rbi.org.in), from time to time, the instructions of the Unit holder for remittance of redemption/IDCW (if any) proceeds via RTGS/NEFT System will be discontinued by Canara Robeco Mutual Fund/ Canara Robeco Asset Management Company Limited without prior notice to the Unit holder and the payouts of redemption/IDCW (if any) proceeds shall be effected by sending the Unit holder(s) a cheque/demand draft.

For more details on NEFT or for frequently asked questions (FAQs) on RTGS/NEFT, Unit holders are advised to visit the RBI website [www.rbi.org.in](http://www.rbi.org.in)

Electronic Clearing Service (ECS)\*

Investors who have opted for the ECS facility of RBI for IDCW payment will receive a direct credit of the amount due to them in their mandated account whenever the payment is made through ECS. A separate advice regarding credit of amount(s) via ECS will be sent to the unit holder. It should be noted that while the Mutual Fund will make all efforts, there is no commitment that this facility will be made available to all desirous investors.

Applicants in cities not covered under ECS facility will receive IDCW payments, if any by cheques or demand drafts and the same will be mailed to the Unitholders. Please note that the ECS Facility is available only in respect of IDCW payments and not in the case of Redemption of Units. Therefore, the Investors will receive their redemption/ IDCW proceeds (if any) directly into their bank accounts in the following order:

- (i) In case the bank account of an investor is covered under RTGS/NEFT system offered by the RBI then the payment of redemption/IDCW proceeds (if any) shall be effected via RTGS/NEFT mechanism only.
- (ii) The facility for payment of IDCW proceeds, if any via ECS\* shall be effected only in case the bank account of an investor is not covered under the RTGS/NEFT system.

\* available only in respect of IDCW payments.

Each of the above facilities aims to provide direct credit of the redemption proceeds and IDCW payouts (if any) into the bank account of the Unit holder and eliminates the time lag between despatch of the cheque, its receipt by the Unit holders and the need to personally bank the instrument and await subsequent credit to the Unit holders account. Further, the potential risk of loss of instruments in transit through courier/post is also eliminated. Each of the said facility as a mode of payment, is faster, safer and reliable. In case the bank account as communicated by the Unit holder is with any of the banks participating in the RTGS/NEFT System offered by RBI, the AMC shall automatically extend this facility to the Unit holders provided unitholders have furnished all requisite information to the AMC to receive such credits.

Canara Robeco Asset Management Company Limited/Canara Robeco Mutual Fund shall not be held liable for any losses/claims, etc. arising on account of processing the credit via RTGS/NEFT/ECS of redemption/IDCW proceeds on the basis of Bank Account details as provided by the unit holder in the Application Form.

Canara Robeco Asset Management Company Limited/Canara Robeco Mutual Fund shall not be liable for delayed receipt/non receipt of redemption/IDCW amount for reason of incomplete/inaccurate information provided by the unit holders

However, if the Unit holders are not keen on availing of any of the said facilities and prefer receiving cheques/demand drafts, Unit holders may indicate their intention in the Application Form in the space provided specifically. The AMC would then ensure that the payouts are effected by sending the Unit holders a cheque/demand draft. In case of unforeseen circumstances, the AMC reserves the right to issue a cheque/demand draft.

## 21. Default Plan/Option

In case of valid applications received the default plan will be captured based on below table:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

In cases of wrong/invalid/incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

22. Restriction on acceptance of Third Party Payments: No application for subscription of units accompanied by Third Party Payments shall be accepted in the normal course. Please contact the nearest branch office for details & assistance

23. For Investments "On behalf of Minor": Where the investment is on behalf of minor by the guardian, please note the following important points.

- The minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holders' details and nomination details, even if mentioned and signed will not be considered.

- Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
- Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC are mandatory, along with supporting documents.
- Photo copy of the document evidencing the date of birth of minor like " i. Birth certificate of the minor, or ii. School leaving certificate/Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or iii. Passport of the minor, or iv. Any other suitable proof" should be attached with the application form.
- Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
- If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

#### 24. DEMATERIALIZATION:

The Unit holders would have an option to hold the Units in dematerialized form. The Applicant intending to hold Units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP. Applicants must ensure that the sequence of names as mentioned in the application form matches to that of the account held with the Depository Participant. Names, Address, PAN details, KYC details etc. mentioned in the application form will be verified against the Depository data. Only those applications where the details are matched with the depository data, will be treated as valid applications. In case the demat details mentioned in the application are incomplete/incorrect, do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.

#### 25. TRANSACTION CHARGES

SEBI with the intent to enable investment by people with small saving potential and to increase reach of Mutual Fund products in urban areas and in smaller towns, wherein the role of the distributor is vital, has allowed AMCs vide its circular No. Cir/IMD/DF/13/2011 dated August 22, 2011 to deduct transaction charges for subscription of Rs. 10,000/- and above. In accordance with the said circular, if your distributor has opted to receive the Transaction Charges based on the type of product opted-in, Canara Robeco Asset Management Company Limited ("AMC")/Canara Robeco Mutual Fund ("Mutual Fund") shall deduct a Transaction Charge of ₹ 150 (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) in Mutual Funds from your subscription amount and pay the same to your distributor. Units will be issued against the balance amount invested after deduction of Transaction Charge payable to the distributor.

Please note that Transaction Charges shall not be deducted in the following cases:

- Where the subscription amount is less than ₹ 10,000/-;
- For transactions other than purchases/subscriptions relating to new inflows eg. In case of switch/STP etc.

No transaction charges will be deducted for any purchase/subscription made directly with the Fund (i.e. not through any distributor/agent).

#### 26. PERMANENT ACCOUNT NUMBER

SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount [Except for investment upto ₹ 50,000/- per year per investor including Micro SIP]. Where the applicant is a minor, and does not possess his/her own PAN, he/she shall quote the PAN of his/her father or mother or the guardian signing on behalf of the minor, as the case may be. In order to verify that the PAN of the applicants (in case of application in joint names, each of the applicants) has been duly and correctly quoted therein, the applicants shall attach along with the purchase application, a photocopy of the PAN card duly self-certified. PAN card copy is not required separately if KYC acknowledgement issued by KRA is made available. Micro investment (including lumpsum & Micro SIP) & Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission however they are required to mandatorily submit PAN Exempt KYC Reference No (PEKRN) to Canara Robeco Mutual Fund. Applications not complying with the above requirement may not be

accepted/processed. PAN is not mandatory in the case of Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government) for transacting in the securities market. Canara Robeco Mutual Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed.

#### 27. Multiple Bank Account Registration:

The AMC/Mutual Fund provides a facility to the investors to register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) for receiving redemption/IDCW proceeds etc. by providing necessary documents. Investors must specify any one account as the "Default Bank Account". The investor, may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption.

Investors holding units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website [www.canararobeco.com](http://www.canararobeco.com)

**28. Employee Unique Identification Number (EUID):** SEBI has made it compulsory for every employee/relationship manager/sales person of the distributor of mutual fund products to quote the EUID obtained by him/her from AMFI in the Application Form. EUID, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUID in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUID is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUID box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form.

#### 29. Details of Beneficial Ownership:

In terms of SEBI Master Circular on AML/CFT dated December 31, 2010, 'Beneficial Owner' has been defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement

Details of the identity of all natural person(s) such as their Name(s), PAN number/Passport details, Address etc together with a self attested PAN Card copy is to be provided by the Investor to the Official Points of Acceptance (OPAs) of the Canara Robeco MF Schemes while submitting the Application Form. Such natural persons include those who are acting alone or together, or through one or more juridical person and exercising control through ownership or who ultimately has a controlling ownership interest.

For further details regarding manner of determination of beneficial ownership in doubtful cases (relating to investors other than Trust and Foreign investors), investments by Trust and Foreign Investors and for other details regarding disclosure of information regarding beneficial ownership etc., please refer to SAI/relevant Addendum.

#### 30. FATCA & CRS Terms and Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.



## FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor, if you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US TAX Identification Number.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (Ticked)	Documentation required for Cure of FATCA/CRS Indicia
U.S. place of birth	<ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;</li> <li>Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND</li> <li>Any one of the following documents:  Certified Copy of "Certificate of Loss of Nationality  or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;  or Reason the customer did not obtain U.S. citizenship at birth</li> </ol>
Residence/mailling address in a country other than India	<ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol>
Telephone number in a country other than India	<p><b>If no Indian telephone number is provided</b></p> <ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol> <p><b>If Indian telephone number is provided along with a foreign country telephone number</b></p> <ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of tax purposes of any country other than India; OR</li> <li>Documentary evidence (refer list below)</li> </ol>
Telephone number is a country other than India	<ol style="list-style-type: none"> <li>Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and</li> <li>Documentary evidence (refer list below)</li> </ol>

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body\*
- Valid identification issued by an authorized government body\* (e.g. Passport, National Identity card, etc.)

\*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

### Note:

- Multiple nominations are permitted i.e. more than one person can be nominated under the same folio (not in case of minor applicant).
- Each application has to be accompanied by a separate cheque/RTGS letter. A single application with multiple cheques and multiple applications with a single cheque will not be accepted.
- If the Scheme name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the scheme mentioned in the application/transaction slip duly signed by investor(s).

### 31. Accompanying documents.

Please submit the following documents along with your application (wherever applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/ Authorised Signatory.

	Documents	Companies	Societies	Partnership Firms	Investments through POA	Trusts	NRI	FIIs*
1.	Resolution/Authorisation to invest	✓	✓	✓		✓		✓
2.	List of Authorised Signatories with Specimen signature(s)	✓	✓	✓	✓	✓		✓
3.	Memorandum & Articles of Association	✓						
4.	Trust Deed					✓		
5.	Bye-laws		✓					
6.	Partnership Deed			✓				
7.	Overseas Auditors's Certificate							✓
8.	Notarised Power of Attorney				✓			
9.	Foreign Inward Remittance Certificate in case payment is made by DD from NRE/FCNR a/c where applicable						✓	
10.	PAN	✓	✓	✓	✓	✓	✓	✓
11.	Know Your Customer (KYC)	✓	✓	✓	✓	✓	✓	✓

\* For FIIs - A copy of the SEBI registration certificate should be provided.

In case of Corporates or Non-Individual investor.

**Note:** IDCW - Income Distribution cum Capital Withdrawal Option.

SIP REGISTRATION CUM MANDATE FORM For investment through NACH/Direct Debit

(Investors applying under Direct Plan must mention "Direct " in ARN column.)  
All sections to be completed in ENGLISH in BLACK/BLUE COLOURED INK and in BLOCK LETTERS

CANARA ROBECO Mutual Fund

CANARA ROBECO BALANCED ADVANTAGE FUND  
(Balanced Advantage Fund - An Open Ended Dynamic Asset Allocation Fund)

<p><b>This product is suitable for investors who are seeking*</b></p> <ul style="list-style-type: none"><li>Long term capital appreciation with income generation</li><li>Investment in a dynamically managed portfolio of equity &amp; equity related instruments and debt &amp; money market securities</li></ul>		
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\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Distributor/Broker ARN/RIA Code#	Sub Broker ARN	Sub Broker Code	Internal Sub-Broker/Employee Code	Employee Unique Identification No.(EUIIN) (of individual ARN holder or of Employee/ Relationship Manager/Sales Person of the Distributor)
<b>"By mentioning RIA Code, I/We authorise you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mutual Fund.</b>				
<b>Declaration for "execution-only" transaction (only where EUIIN box is left blank) - I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above Distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.</b>				
Signature of Sole/First Applicant		Signature of Second Applicant		Signature of Third Applicant
In case the subscription (lumpsum) amount Rs. 10,000/- or more and your Distributor has opted to receive transaction charges, Rs. 150/- (for first time mutual fund investor) or Rs. 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the Distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the Distributor.				

Please tick (✓) <input type="checkbox"/> New Registration <input type="checkbox"/> Cancellation <input type="checkbox"/> Existing UMRN	
The Trustee, Canara Robeco Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrollment.	
<b>INVESTOR DETAILS</b>	
<b>SIP DETAILS</b>	
Sole/First Applicant's Name	
Folio No. PAN	
DEMAT ACCOUNT DETAILS (Optional) Please (✓) <input type="checkbox"/> NSDL OR <input type="checkbox"/> CDSL	
Depository Participant (DP) ID Beneficiary Account Number (NSDL only)	
Depository Participant (DP) ID (CDSL only) (The application form should mandatorily accompany the latest Client investor master/Demat account statement)	
SCHEME NAME CANARA ROBECO BALANCED ADVANTAGE FUND	
PLAN: Regular OPTION: <input type="checkbox"/> Growth <input type="checkbox"/> Income Distribution Cum Capital Withdrawal Option <input type="checkbox"/> Reinvestment of Income Distribution cum Capital Withdrawal Option <input type="checkbox"/> Payout of Income Distribution cum Capital Withdrawal Option	
SIP Installment Amount Rs.: _____	
Cheque/DD No./UTR No. (in case of NEFT/RTGS) _____ Date _____	
YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year as described in the Instruction of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.	
Signature(s)	
Signature of Sole/First Applicant Signature of Second Applicant Signature of Third Applicant	

<b>CANARA ROBECO Mutual Fund</b>		<b>DEBIT MANDATE FORM</b>	
UMRN <sup>1</sup> _____ Date <sup>2</sup> DD / MM / YYYY		CITIOOPIGW Utility Code <sup>4</sup> CITIOO0020000000037	
Please (✓) <sup>7</sup> <input checked="" type="checkbox"/> CREATE <input checked="" type="checkbox"/> MODIFY <input checked="" type="checkbox"/> CANCEL		Sponsor Bank Code <sup>3</sup> I/We hereby authorize <sup>5</sup> Canara Robeco Mutual Fund to debit (Please ✓) <sup>6</sup> <input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Others _____	
Bank Account Number <sup>8</sup> _____		With Bank <sup>9</sup> _____ IFSc <sup>10</sup> _____ Or MICR <sup>11</sup> _____	
An amount of Rupees <sup>12</sup> _____ In Words _____ Amount in Figures <sup>13</sup> ₹ _____		FREQUENCY <sup>14</sup> <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & When presented DEBIT TYPE <sup>15</sup> <input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount	
Folio No. <sup>16</sup> _____ Phone <sup>18</sup> _____		PAN <sup>17</sup> _____ E-mail <sup>19</sup> _____	
I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.			
PERIOD FROM DD MM YYYY TO DD MM YYYY <sup>20</sup>		<sup>21</sup> Signature Primary Account Holder Signature Account Holder Signature Account Holder	
		<sup>22</sup> Name as in bank records Name as in bank records Name as in bank records	
<ul style="list-style-type: none"><li>This is to confirm that the declaration has been carefully read, understood &amp; made by me/us. I am authorizing the user entity/Corporate to debit my account.</li><li>I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/corporate or the bank where I have authorised the debit.</li><li>Mandate can be for maximum duration of 40 years from the date of application.</li></ul>			

## TERMS AND CONDITIONS FOR SIP THROUGH NACH

### SIP Payment through NACH/Direct Debit

- NACH debit facility is offered at various banks. For a detailed list of banks please refer the website [www.npci.org.in](http://www.npci.org.in)
- This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/update at any time in future at the sole discretion of CRRMC, without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
- SIP instalments shall be started only after registration of SIP – NACH Debit Mandate with investors banker through NPCI.
- Investors are required to submit One Time Bank Mandate Form and Multiple Sip Enrollment Form along with a photocopy/cancelled cheque of Debit Bank Account (as mentioned on the One Time Bank Mandate Form) atleast 21 calendar days before the first SIP Installment date for NACH Debit & Auto Debit Clearing. In case One time Bank Mandate form is already registered in the folio then the subsequent SIP registration request provided in the same folio will be processed within 5-7 calendar days.
- An investor shall have the option of choosing for 1 or more than 1 SIP in the same scheme same plan and in the same month. The investor can choose the SIP Dates from 1st to 28th of any given month for SIP registered through One Time Bank Mandate. In case the SIP registered date of the month falls on a non-Business Day, the SIP shall be processed on immediately following Business Day.
- Investor shall have the option of choosing any date of the month as the SIP date except the dates 29th, 30th and 31st. For exercising any day SIP option OTBM is mandatory (As & when presented and maximum amount)
- In case of SIP transaction where the mode of payment is through NACH/Direct Debit, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 21 days prior to the date of first installment. In case of incomplete mandate form, AMC may reject the SIP submitted form. SIP start date shall not be beyond 100 days for Monthly and Quarterly SIPs from the date of submission of SIP application.  
All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be levied in the Scheme.
- For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
- In case of three consecutive failures due to insufficient balance in bank account while processing request for SIP, Canara Robeco Mutual Fund shall reserve the right to terminate the SIP without any written request from the investor. In the meantime, if any debits for subsequent installments is effected by banker, then Units would get created for the same.
- In case an investor wishes to change the bank account details for the existing SIP registered through Auto debit / NACH Debit mode, then he has to provide a new OTBM Form and submit the OTBM cancellation Form to cancel the existing OTBM. The existing SIP registration will not get cancelled. The investor has to submit the fresh OTBM Form at least 21 calendar days prior to the next SIP Debit date.
- In case the investor wishes to cancel the One Time Bank Mandate / SIP, Investor will have to submit a One Time Bank Mandate Cancellation Form or SIP cancellation form at the office of the Canara Robeco Mutual Fund Customer Service Centres. Notice of discontinuance should be received 10 calendar days prior to the subsequent SIP date.
- The investor agrees to abide by the terms and conditions of NACH/Direct Debit facilities of Reserve Bank of India (RBI)/NPCI.
- Investor will not hold Canara Robeco Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH/Direct Debit.
- Canara Robeco Mutual Fund reserves the right to reject any application without assigning any reason thereof.
- If the Purchase / SIP transaction of any of the specific scheme(s) cannot be processed then the entire application is liable for rejection and the Purchase / SIP proceeds if any debited from the investor's account will be refunded back to the investor's bank account.
- In case of "At Par" cheques, investors need to mention the MICR number of his actual bank branch.
- New Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. In case multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.
- Existing Investor:** If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor's folio. In case multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request.
- In case SIP date is not selected, or the date mentioned is not legible or clear or multiple SIP date are opted, then the SIP will be registered on 15th (default date) of each Month/Quarter as applicable. Further, in case SIP registration through NACH, if multiple SIP dates are opted, SIP will be registered for all opted dates.
- If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 21 days lead time from the date of receipt of application based on the SIP date available / mentioned, subject to mandate being registered.
- In case the SIP 'End Period' is not mentioned by the investor in the form, the SIP will be registered for 40 years from the registration date or end date of mandate, whichever is earlier.
- Change of Amount:** Investors can change the SIP amount by submitting the following documents 21 days before the next SIP debit date.
  - A new 'SIP' Form with revised SIP amount details.
  - Letter to discontinue the existing SIP

### General Instructions

- For Direct Investment Please Mention "Direct in the Column "Name & Broker Code/ARN.
- Investors are required to clearly indicate the plans/options in the application form of the scheme. Investor may note that following shall be applicable for default plan.

Sr.	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1.	Not mentioned	Not mentioned	Direct Plan
2.	Not mentioned	Direct Plan	Direct Plan
3.	Not mentioned	Regular Plan/Other than Direct Plan	Direct Plan
4.	Mentioned	Direct Plan	Direct Plan
5.	Direct	Not mentioned	Direct Plan
6.	Direct	Regular Plan/Other than Direct Plan	Direct Plan
7.	Mentioned	Regular Plan/Other than Direct Plan	Regular Plan/Other than Direct Plan
8.	Mentioned	Not mentioned	Regular Plan/Other than Direct Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Direct Plan. Similarly, in the absence of clear indication as to the choice of option (Growth or Payout of Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

- If the investor selects multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
- Canara Robeco Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- The Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of change of Government policies, unavailability of Bank's computer system, force majeure events, or any performance of the contract by the Bank.
- For load structure of the schemes, please refer to the Key Scheme Features.

### SIP TOP UP Facility:

- Investors can opt for SIP TOP UP facility, wherein the amount of the SIP can be increased at fixed intervals.
- Minimum Top-Up amount would be Rs. 100/- & in multiples of Rs.100/- only. In case the Top-Up frequency is not specified, default will be considered as yearly frequency.
- The frequency is fixed at Yearly and Half Yearly basis. In case the TOP UP facility is not opted by ticking the appropriate box and frequency is not selected, the TOP UP facility may not be registered.
- In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

**Please see the illustration below to know how to calculate SIP Top-Up amount:**

SIP enrolment period: <b>1 Jan 2016 to 1 Dec 2021;</b>				
Starting Monthly SIP amount : <b>Rs. 10000/-</b>				
Top Up Amount: <b>Rs. 2000/-</b>				
Top Up frequency: <b>Half - Yearly</b>		Top Up limit : <b>Rs. 16000/-</b>		
From date	To date	Monthly SIP Instalment (Rs.)	SIP Top Up Amount (Rs.)	Total Amount of SIP (Rs.)
1 - Jan - 16	1 - Jun - 16	10000	NA	10000
1 - Jul - 16	1 - Dec - 16	10000	2000	12000
1 - Jan - 17	1 - Jun - 17	12000	2000	14000
1 - Jul - 17	1 - Dec - 17	14000	2000	16000
1 - Jan - 18	1 - Dec - 21	16000	-	16000

- If the Max Cap Amount /end-date of the Top-up facility is not mentioned, the Top-up facility will be continued up till the tenure of the SIP or Maximum amount of mandate registered whichever will be achieve earlier.
  - The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top-up option.
  - In case the SIP Top up reached max cap limit, the SIP will continue to be processed with the last topped up amount till the SIP end date.
  - SIP TOP UP will be allowed in Micro SIP folio subject to condition that total investment including SIP TOP UP does not exceed Rs. 50,000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.
  - If the investor selects multiple SIP dates and opted for Top-Up facility, top-up will be applicable for all the dates opted by the investor.
  - The investor agrees to avail the TOP UP facility for SIP and authorize his/her bank to execute the NACH/Direct Debit by giving One Time Bank Mandate (OTBM) with selection of "As & When presented and Maximum amount".
- The investor hereby agrees to indemnify and not hold responsible, the AMC and its employees, the R&T agent and the service providers in case his/her bank is not able to effect any of the payment instructions for whatsoever reason.
  - Demat/Non-Demat Mode:** Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor's demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.  
If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non-Demat mode. The application form should mandatorily accompany the latest Client investor master/Demat account statement. Demat option will be not be available for Daily/Weekly/Fortnightly dividend options. Investors desiring to get allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL)/Central Depository Services Limited (CDSL).  
Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme's (ELSS) during the lock-in period.  
The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.
  - It is advisable to go for registration of One Time Bank Mandate (OTBM) by opting "As & When presented and Maximum amount".

## INSTRUCTIONS TO FILL MANDATE

- UMRN - To be left blank.
  - Date in DD/MM/YYYY format
  - Sponsor Bank IFSC/MICR code, left padded with zeroes where necessary. (Maximum length - 11 Alpha Numeric Characters). (Pre-printed)
  - Utility Code: Unique code of the entity to whom mandate is being given - To be provided by the entity. (Pre-printed)
  - Name of the entity to whom the mandate is being given. (Pre-printed)
  - Account type - SB/CA/CC/SB-NRE/SB-NRO/OTHER
  - Tick - Select your appropriate Action
    - Create - For New Mandate
    - Modify - For Changes/Amendment on existing Mandate
    - Cancel - For cancelling the existing registered Mandate
  - Your Bank Account Number for debiting the amount.
  - Name of your bank and branch.
  - Your Bank branch IFSC code OR
  - Your Bank branch MICR code
- (Please mention both IFSC Code & MICR Code)
- Amount in words.
  - Amount in figures.
  - Frequency at which the debit should happen. (Half yearly and yearly options are not available. Advisable to opt for "As & When presented")
  - Tick on the box to select the amount either fixed or maximum. (Advisable to opt for maximum amount)
  - Folio No./Application No. (In case of new investors).
  - PAN (Permanent Account Number of Sole/First holder)
  - Your phone number.
  - Your email-id.
  - Period for which the debit mandate is valid
    - Start date
    - End date
  - Signatures of the account holder.
  - Name of the account holder.

# Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd. CIN No: U65990MH1993PLC071003  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000, Fax: 6658 5012/13, www.canararobeco.com

# CANARA ROBECO Mutual Fund

Application No.:

## APPLICATION FORM FOR ADDITIONAL PURCHASE FOR AUTO SWITCH TO NFO – CANARA ROBECO BALANCED ADVANTAGE FUND

Distributor/Broker ARN/RIA Code#	Sub Broker ARN	Sub Broker Code	Employee Unique Identification Number	Bank Serial No. / Branch Stamp / Receipt Date
By mentioning RIA Code, I/We authorise you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mutual Fund. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. <b>Declaration for "execution-only" transaction (only where EUIN box is left blank):</b> I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.				
		⊗ Signature of 1st Applicant / Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant

### EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

Folio No.

Name of 1st/Sole Unit Holder

### ADDITIONAL PURCHASE

Scheme: \_\_\_\_\_ Plan: ☐ Regular ☐ Direct

Additional Purchase can be given in any of the two schemes only. **1. Canara Robeco Overnight Fund** and **2. Canara Robeco Ultra Short-Term Fund**

Option: ☐ Growth ☐ IDCW<sup>#</sup> Reinvest ☐ IDCW<sup>#</sup> Payout (Default Option will be Growth in case option is not selected or in case of any ambiguity.)

IDCW Frequency Sub-options: [Please tick (✓) any one]: ☐ Daily ☐ Weekly ☐ Monthly

Core Banking A/c No.:  A/c. Type: Please (✓) ☐ Savings ☐ Current ☐ NRE ☐ NRO

Payment Options: ☐ Cheque/DD ☐ RTGS/NEFT ☐ Transfer ☐ One Time Bank Mandate ☐ Others \_\_\_\_\_

Amount (₹) (i) :  Cheque./DD No./  
UTR No./OTBM Ref. No.

DD charges (₹) (ii) :  Bank Name: \_\_\_\_\_

Net Amount (in figures) (₹) (i) + (ii) :  Branch and City: \_\_\_\_\_

Amount (in words): \_\_\_\_\_

\*OTBM facility can be used only if, already registered. In case OTBM is not registered, please fill OTBM Form to make future transactions via OTBM.

For Auto Switch to NFO, kindly also fill and sign the Application Form for Auto Switch to NFO - Canara Robeco Balanced Advantage Fund.

### DECLARATION

To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SAI, SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We hereby declare that I/ We are authorised to make this investment in the above mentioned Scheme (s) and that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorise the Fund to disclose details of my/our account and all my/our transactions to the intermediately whose stamp appears on the application form. I also authorise the Fund to disclose details as necessary, to the Registrar & Transfer Agent, call centres, banks, custodians, depositories and/or authorised external third parties who are involved in transaction, processing, despatches, etc. for the purpose of effecting payments to me/us. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that currently there is no subsisting order/ruling/judgement etc., in force which has been passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining me/us from dealing in securities.

That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading. I/We will be liable for the consequences arising therefrom. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity, and authorisation of my/our transaction.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage; (ii) validating / authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

Applicable to NRIs only : I/We confirm that I am/we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on: ☐ Repatriation basis ☐ Non Repatriation basis.

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

⊗ First/Sole Applicant/Guardian

⊗ Second Applicant

⊗ Third Applicant

### ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ as per details below:

Scheme Name and Plan : \_\_\_\_\_ (ADDITIONAL PURCHASE)

Payment Details:

Amount (Rs) :

Cheque / DD No.:  Dated

Bank & Branch : \_\_\_\_\_

Date & Stamp of Collection Centre / ISC

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Mutual Fund investments are subject to market risks, read all Scheme related Documents carefully.

Toll Free Number:  
**1800 209 2726**

Email:  
**CRMF@canararobeco.com**

Website:  
**[www.canararobeco.com](http://www.canararobeco.com)**



## Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd. CIN No: U65990MH1993PLC071003  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000, Fax: 6658 5012/13, www.canararobeco.com

Application No.:

### APPLICATION FORM FOR AUTO SWITCH REQUEST TO NFO – CANARA ROBECO BALANCED ADVANTAGE FUND

Distributor/Broker ARN/RIA Code#	Sub Broker ARN	Sub Broker Code	Employee Unique Identification Number	Bank Serial No. / Branch Stamp / Receipt Date
<p>By mentioning RIA Code, I/We authorise you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mutual Fund. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. <b>Declaration for "execution-only" transaction (only where EUIN box is left blank):</b> I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.</p>				
		⊗ Signature of 1st Applicant / Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant

### EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

Folio No.

Name of 1st/Sole Unit Holder

### AUTO SWITCH REQUEST

From Scheme: \_\_\_\_\_ Plan: ☐ Regular ☐ Direct

Option: ☐ Growth (Default) ☐ IDCW# Reinvest ☐ IDCW# Payout

IDCW Frequency Sub-options: [Please tick (✓) any one]: ☐ Daily ☐ Weekly ☐ Monthly

Amount (in figures) (₹):  Or Units (in figures):  Or All Units ☐

Amount (in words): \_\_\_\_\_

To Scheme: CANARA ROBECO BALANCED ADVANTAGE FUND Plan: ☐ Regular ☐ Direct Option: ☐ Growth (Default) ☐ IDCW# Reinvest ☐ IDCW# Payout

# Income Distribution cum Capital Withdrawal

### DECLARATION

To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SAI, SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We hereby declare that I / We are authorised to make this investment in the above mentioned Scheme (s) and that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorise the Fund to disclose details of my/our account and all my/our transactions to the intermediately whose stamp appears on the application form. I also authorise the Fund to disclose details as necessary, to the Registrar & Transfer Agent, call centres, banks, custodians, depositories and/or authorised external third parties who are involved in transaction, processing, despatches, etc. for the purpose of effecting payments to me/us. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that currently there is no subsisting order/ruling/judgement etc., in force which has been passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining me/us from dealing in securities.

That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading. I/We will be liable for the consequences arising therefrom. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity, and authorisation of my/our transaction.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage; (ii) validating / authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

Applicable to NRIs only : I/We confirm that I am/we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on: ☐ Repatriation basis ☐ Non Repatriation basis.

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

⊗ First/Sole Applicant/Guardian	⊗ Second Applicant	⊗ Third Applicant
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### ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ as per details below:

Scheme Name and Plan : \_\_\_\_\_ (AUTO SWITCH OUT)

Payment Details:

Amount (Rs) :

Date & Stamp of Collection Centre / ISC

## INSTRUCTIONS

1. Auto Switch facility is a Special facility available to the existing investors having investments in Specified Schemes of CANARA ROBECO Mutual Fund only during the New Fund Offering (NFO) period whereby investors can switch their units from such Specified Schemes at the specified date during the NFO Period.
2. Unit holders are advised to read the Statement of Additional Information (SAI), Scheme Information Document (SID) and Key Information Memorandum (KIM) of the Scheme which is available at all the Designated Investor Service Centers (ISCs), Brokers / Distributors and on our website [www.canararobeco.com](http://www.canararobeco.com) carefully before investing.
3. This Auto Switch Form can be used only by Existing Unit holders having investments in specified schemes of CANARA ROBECO Mutual Fund to switch their units. CANARA ROBECO Mutual Fund reserves the right to extend or limit the said facility on such terms and conditions as may be decided from time to time. For eligible/specified transferor scheme please refer to SID.
4. Existing unit holders having investments in schemes other than specified schemes and wish to switch their investments have to fill up Switch Section of the Application Form.
5. The application for Auto Switch will be processed on the closing day of the NFO .
6. All valid Auto Switch request would be treated as switch out / redemption for the Transferor Scheme.
7. The units from the Specified Transferor Scheme will be switched, subject to provisions mentioned in the Scheme Information Document of the Transferor Scheme. The units in the Transferee Scheme will be allotted at the NFO Price of the Scheme on the Specified Schemes allotment date.
8. Unit holder are required to maintain clear balance in accordance with amount specified in the Auto Switch Application Form on the execution date. In case of insufficient balance in the account / folio, the application for Auto Switch will be rejected.
9. Unit holders should note that Unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. Units will be allotted under the same folio number
10. The Unit holders are given an Option to hold the units by way of an Account Statement or in Dematerialized ('Demat') form. Unit holders opting to hold the units in Demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with the DP (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application the DP's name, DP ID Number and the Beneficiary account number of the applicant with the DP. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to Demat form.
11. For Direct Investments, please mention "Direct" in the column "Name & Broker Code / ARN".
12. This facility will not be available for units which are under any Lien/Pledged or any lock-in period.
13. The application is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently after detailed scrutiny / verification at the back office of the Registrar.
14. CANARA ROBECO Mutual Fund reserves the right to withdraw this amend or withdraw this facility or change the procedures from time to time.
15. Investors are requested to clearly mention the Plan and the Option in which investment is to be made. In case of any ambiguity, the application will be liable to be rejected. In the absence of clear indication as to the choice of Option (Growth or Income Distribution cum Capital Withdrawal Payout), by default, the units will be allotted under the Growth Option of the Plan.
16. Investors subscribing under Direct Plan of the CANARA ROBECO BALANCED ADVANTAGE FUND will have to indicate the Scheme / Plan name in the application form as "CANARA ROBECO BALANCED ADVANTAGE FUND - Direct Plan". Investors should also indicate "Direct" in the ARN column. In case ARN code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, ARN code will be ignored and the application will be processed under Direct Plan. If the investor does not mention Direct against the scheme name and the ARN code is also not provided the default allotment would be made in the Direct Plan.
17. Employee Unique Identification Number (EUIIN) would assist in tackling the problem of mis-selling even if the employee / relationship manager / sales person leave the employment of the distributor.

Mutual Fund investments are subject to market risks, read all Scheme related Documents carefully.

Toll Free Number:  
**1800 209 2726**

Email:  
**CRMF@canararobeco.com**

Website:  
**[www.canararobeco.com](http://www.canararobeco.com)**

## Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd. CIN No: U65990MH1993PLC071003  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000, Fax: 6658 5012/13, www.canararobeco.com

Application No.:

### APPLICATION FORM FOR SMART STP TO NFO – CANARA ROBECO BALANCED ADVANTAGE FUND

Distributor/Broker ARN/RIA Code#	Sub Broker ARN	Sub Broker Code	Employee Unique Identification Number	Bank Serial No. / Branch Stamp / Receipt Date

By mentioning RIA Code, I/We authorise you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Canara Robeco Mutual Fund. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. **Declaration for "execution-only" transaction (only where EUIN box is left blank):** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

⊗ Signature of 1st Applicant / Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant
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### EXISTING UNIT HOLDER INFORMATION (The details in our records under the folio number mentioned will apply for this application.)

Folio No.

Name of 1st/Sole Unit Holder

### SMART STP – Option of Investment in a Staggered Manner, please fill the below details:

Investor can invest in the NFO through four equal instalments from the following schemes: (If no scheme is selected, Default option would be **Canara Robeco Overnight Fund**)

Scheme: Canara Robeco Overnight Fund Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW Reinvest ☐ IDCW Payout

Scheme: Canara Robeco Liquid Fund Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW Reinvest ☐ IDCW Payout

Scheme: Canara Robeco Ultra Short-Term Fund Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW Reinvest ☐ IDCW Payout

Scheme: Canara Robeco Savings Fund Plan: ☐ Regular ☐ Direct Option: ☐ Growth ☐ IDCW Reinvest ☐ IDCW Payout

Note: Default Option will be Growth in case option is not selected or in case of any ambiguity.

Investment in NFO - Canara Robeco Balanced Advantage Fund Total Amount (₹):

### Monthly Smart STP

\*The first instalment is of 25% of the total amount to be invested during the NFO period.

\*Three remaining equal instalments would be triggered on 10th of each month after the NFO period, when the scheme re-opens for subscription. In case, 10<sup>th</sup> is a non-business day, the trigger will happen on the next business day.

### DECLARATION

To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SAI, SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We hereby declare that I/ We are authorised to make this investment in the above mentioned Scheme (s) and that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorise the Fund to disclose details of my/our account and all my/our transactions to the intermediaries whose stamp appears on the application form. I also authorise the Fund to disclose details as necessary, to the Registrar & Transfer Agent, call centres, banks, custodians, depositories and/or authorised external third parties who are involved in transaction, processing, despatches, etc. for the purpose of effecting payments to me/us. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that currently there is no subsisting order/ruling/judgement etc., in force which has been passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining me/us from dealing in securities.

That in the event, the above information and/or any part of it is/are found to be false/untrue/misleading. I/We will be liable for the consequences arising therefrom. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity, and authorisation of my/our transaction.

I / We hereby provide my / our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and usage; (ii) validating / authenticating and (ii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my / our folios with my / our PAN.

Applicable to NRIs only: I/We confirm that I am/we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on: ☐ Repatriation basis ☐ Non Repatriation basis.

I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.

⊗ First/Sole Applicant/Guardian	⊗ Second Applicant	⊗ Third Applicant
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### ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ as per details below:

Scheme Name and Plan : \_\_\_\_\_ (SMART STP APPLICATION FORM)

Payment Details:

Amount (Rs) :

Date & Stamp of Collection Centre / ISC

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Mutual Fund investments are subject to market risks, read all Scheme related Documents carefully.

Toll Free Number:  
**1800 209 2726**

Email:  
**CRMF@canararobeco.com**

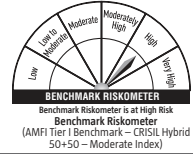
Website:  
**[www.canararobeco.com](http://www.canararobeco.com)**

Investment Manager : Canara Robeco Asset Management Co. Ltd. CIN No : U65990MH1993PLC071003  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000, Fax: 6658 5012/13, [www.canararobeco.com](http://www.canararobeco.com)

## ASBA Application No.

**This product is suitable for investors who are seeking\***

- Long term capital appreciation with income generation
- Investment in a dynamically managed portfolio of equity & equity related instruments and debt & money market securities



Date	D	D	/	M	M	/	Y	Y	Y	Y
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**APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM**

Distributor/Broker ARN/RIA Code*	Sub Broker ARN	Sub Broker Code	Employee Unique Identification Number	Bank Serial No./Branch Stamp/Receipt Date
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the Distributor.				
<b>Declaration for "execution-only" transaction (only where EUIN box is left blank):</b> I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.		⊗ Signature of 1st Applicant/Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant

NAME OF FIRST/SOLE APPLICANT		(For existing unitholder(s), please furnish your Folio No.)						
Mr.   Ms.   M/s.								
PAN		Folio No.						
NAME OF SECOND APPLICANT								
Mr.   Ms.   M/s.								
PAN								
NAME OF THIRD APPLICANT								
Mr.   Ms.   M/s.								
PAN								
Status Please(✓)	Resident Individual <input type="checkbox"/>	NRI-NRO <input type="checkbox"/>	Trust <input type="checkbox"/>	HUF <input type="checkbox"/>	Bank/FIs <input type="checkbox"/>	NRI-NRE <input type="checkbox"/>	Sole Proprietorship <input type="checkbox"/>	Others <input type="checkbox"/> Please specify
	Minor thru Guardian <input type="checkbox"/>	Company/Body Corporate <input type="checkbox"/>	FIs/FIPs <input type="checkbox"/>	AOP/BOI <input type="checkbox"/>	Society <input type="checkbox"/>	Partnership Firm <input type="checkbox"/>		

Name of the Bank																																			
Branch Address																																			
Bank Branch City											State											Pin Code													
Account No.																A/c Type (please ✓)															<input type="radio"/> SAVINGS <input type="radio"/> NRE <input type="radio"/> CURRENT <input type="radio"/> NRO <input type="radio"/> FCNR				
IFS Code																																			
Amount to be blocked (Rs.)																																			
Amount in words																																			

<b>CANARA ROBECO BALANCED ADVANTAGE FUND</b>	<b>PLAN:</b> Regular	<b>OPTION:</b> <input type="checkbox"/> Growth	<input type="checkbox"/> Income Distribution cum Capital Withdrawal Option <input type="checkbox"/> Reinvestment of Income Distribution cum Capital Withdrawal Option <input type="checkbox"/> Payout of Income Distribution cum Capital Withdrawal Option
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## Date     /     /

<b>CANARA ROBECO BALANCED ADVANTAGE FUND</b>	<b>PLAN:</b> Regular	<b>OPTION:</b> <input type="checkbox"/> Growth	<input type="checkbox"/> Income Distribution cum Capital Withdrawal Option
			<input type="checkbox"/> Reinvestment of Income Distribution cum Capital Withdrawal Option
			<input type="checkbox"/> Payout of Income Distribution cum Capital Withdrawal Option

Received from Mr./Ms./M/s. \_\_\_\_\_

<b>SCSB Account details</b> A/c No. _____ Bank Name _____ Branch Name _____	<b>Total Amount to be blocked</b> Rs. in figures _____ Rs. in words _____	<b>SCSB Stamp &amp; Signature</b>    



DEMAT ACCOUNT DETAILS																											
Depository (Please✓)				<input type="checkbox"/> National Securities Depository Limited												<input type="checkbox"/> Central Depository Services (India) Limited											
Depository Participant Name																											
DP ID No.				I N																							
Beneficiary Account Number																(16 digit beneficiary A/c. No. to be mentioned above)											
UNDERTAKING BY ASBA INVESTOR AND ACCOUNT HOLDER																											
<p>(1) I/We hereby undertake that, I/we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Scheme Information Document (SID)/Key Information Memorandum (KIM) of the above-mentioned Scheme and Statement of Additional Information (SAI) of Canara Robeco Mutual Fund. Further, I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case; the information as provided by me/us herein will be relied upon. I/we further confirm and undertake that I am/we are eligible ASBA applicant(s) as per the relevant provisions of the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2009.</p> <p>(2) In accordance with the provisions of ASBA in the SEBI ICDR Regulations, 2009 and as disclosed in the SAI, I/We authorise:</p> <p>(a) the SCSB to do all acts as are necessary to make an application in the New Fund Offer of above-mentioned Scheme, including uploading of application details, blocking the amount to the extent mentioned above under "DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS" or unblocking of funds in the bank account maintained with the SCSB specified above, transfer of funds to the Canara Robeco Mutual Fund's account on receipt of instructions from the Registrar to Canara Robeco Mutual Fund after finalisation of the basis of allotment, entitling me/us to receive mutual fund units on such transfer of funds, etc.</p> <p>(b) Registrar to issue instructions to the SCSB to unblock the funds in the bank account specified above upon finalisation of the basis of allotment and to transfer the requisite money to Canara Robeco Mutual Fund's account.</p> <p>(3) In case the amount available in the bank account specified above is insufficient, the SCSB shall reject the application.</p> <p>(4) If the DP ID, Beneficiary or PAN is not provided by me/us or the details on the same as furnished in the Form are incorrect or incomplete or not matching with the depository records, my/our application shall be rejected and Mutual Fund or SCSB shall not be liable for losses, if any.</p>																											
SIGNATURE																											
First/Sole Applicant/Guardian												Second Applicant								Third Applicant							
SCSB Bank - First/Sole Applicant/Guardian												SCSB Bank - Second Applicant								SCSB Bank - Third Applicant							
INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM																											
<p>1. An Application Supported by Blocked Amount (ASBA) investor shall submit a duly filled up ASBA Application form, physically or electronically, to the Self Certified Syndicate Bank (SCSB) with whom the bank account to be blocked, is maintained.</p> <ul style="list-style-type: none"> <li><b>In case of ASBA application form in physical mode</b>, the investor shall submit the ASBA Application Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.</li> <li><b>In case of ASBA application form in electronic Form</b>, the investor shall submit the ASBA Application Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund scheme authorising SCSB to block the subscription money in a bank account.</li> </ul> <p>2. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.</p> <p>3. Upon submission of an ASBA Application Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorised the Designated Branch to block such amount in the Bank Account.</p> <p>4. On the basis of an authorisation given by the account holder in the ASBA Application Form, the SCSB shall block the subscription money in the Bank Account specified in the ASBA Application Form. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application, as the case may be.</p> <p>5. If the Bank Account specified in the ASBA Application Form does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.</p> <p>6. The ASBA Application Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.</p> <p>7. All grievances relating to the ASBA facility may be addressed to the BANK/AMC/RTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Application Form was submitted by the Investor.</p> <p>8. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.</p> <p>9. Name of the First accountholder in Bank to be the first unitholder in folio. If the name is not matched, the application will be rejected and amount to be refunded if any.</p> <p>10. <b>SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows:</b></p> <p>1. Axis Bank Ltd 2. Bank of Baroda 3. Bank of India 4. Bank of Maharashtra 5. Canara Bank 6. Central Bank of India 7. CITI Bank 8. Deutsche Bank 9. HDFC Bank Ltd. 10. HSBC Ltd. 11. ICICI Bank Ltd 12. IDBI Bank Ltd. 13. Indian Bank 14. Indian Overseas Bank 15. IndusInd Bank 16. J P Morgan Chase Bank, N.A. 17. Karur Vysya Bank Ltd. 18. Kotak Mahindra Bank Ltd. 19. Nutan Nagarik Sahakari Bank Ltd. 20. Punjab National Bank 21. South Indian Bank 22. Standard Chartered Bank 23. State Bank of India 24. The Federal Bank 25. UCO Bank 26. Union Bank of India 27. YES Bank Ltd.</p> <p>For the complete list of controlling/designated branches of above-mentioned SCSBs, please refer to websites - <a href="http://www.sebi.gov.in">www.sebi.gov.in</a>, <a href="http://www.bseindia.com">www.bseindia.com</a> and <a href="http://www.nseindia.com">www.nseindia.com</a></p>																											

## REGISTRAR & TRANSFER AGENTS

### KFin Technologies Limited

Selenium, Tower B, Plot Nos. 31 & 32, Gachibowli, Financial District, Nanakramguda,  
Serilingampally, Hyderabad 500 032

Tel No. : 040 33215262/ 5269 • Website : [www.kfintech.com](http://www.kfintech.com)