

TATA MUTUAL FUND

Mulla House, Ground Floor, M.G. Road, Fort, Mumbai - 400 001

Application Form For Tata Nifty India Digital ETF Fund of Fund and Tata Digital India Fund Tata Nifty India Digital ETF Fund of Fund is suitable for investors who are seeking*:

• Long Term Capital Growth. • Investment in Tata Nifty India Digital Exchange Traded Fund. *Investors should consult their financial advisors if in doubt about whether the product is suitable for them



Tata Digital India Fund is suitable for investors who are seeking*:

• Long Term Capital Appreciation. • Investment

in equity/equity related instruments of the companies in InformationTechnology Sector.

*Investors should consult their financial advisors if in doubt about whether the product is suitable for them



ADNI / DIA ^ Cada	tributor li			Cirls Burt	or / Daml. D.	nch Cad-	FUIN C- 1		Refer Sec.		
ARN / RIA ^ Code		Sub-Broker AR	N Code	Sub-Broke	er / Bank Bra	nch Code	EUIN Code				
nternal Code 1 case the subscription am ther than First time mutual ommission shall be paid dir By mentioning RIA code, I	ectly by the invest	without any interaction provided by the emplor more and your I ll be deducted froor to the AMFI req	on or advice by the em loyee/relationship man Distributor has opt im the subscription istered Distributor	s based on the investor	r/sales person of tributor and the di ion charges, ₹ i he distributor. rs' assessment	the above distribut stributor has not cl 150/- (for First Units will be is: of various facto	or or notwithstanding narged any advisory fe time mutual fund sued against the b rs including the se	the advice of in-ap les on this transacti investor) or ₹ 1 palance amount ervice rendered	propriateness, if an on. 00/- (for investo invested. Upfror by the distributo		
Sole / 1 st Appl	icant Signature Impression		2 nd /	Applicant Signature Thumb Impression			3 rd Applica	ant Signature Impression			
. Applicant's In	formation							Refe	r Sec. A, C &		
	The Name of th with 1 st applican the US Securitie	e Applicants show It as a minor. Any S Act of 1933 and	applicants should I corporations or o	ed in the PAN and the not be a resident of Co other entities organised complete the Know Yo	anada or a per I under the law	son who falls w s of the U.S. Fo	ithin the definitio or Investors New t	n of the term "U	.S. Person" unde		
t Applicant's Det	ails					Folio	No.				
The first applicant >> will be the primary holder and all prrespondence will be	Mr. Ms.	M/s. PAN	/ PEKRN			C-KYC					
sent to him/her. Only the first holder can be a minor.	Name										
xisting Investors may mention the Folio no. and proceed to Sec. 4.	Date of Birth	(DOB) M M / Y	Y Y Y	In case of Mino	r: Proof of D	OB: Dirth c	_	chool leaving others			
Investors to ensure that PAN is linked to Aadhaar.	Mobile No.					Mobile belor Self Spouse	ngs to	arent hild			
	document	s and other inf		ts authorized serviced to the investmen		to commun	icate Mutual Fu	ınd scheme r			
ontact Person - Desigr	nation (Non Inc	lividual Invest	ors) / Power o	f Attorney (POA) /	Proprietor	/ Guardian (details (minor	applicant)			
DO 4 / D /			-	· · · · · · · · · · · · · · · · · · ·							
POA / Proprietor / Guardian Details	☐ Mr. ☐ M	ls.				PAN / PEKRN	I				
	Mr. M	ls.				PAN / PEKRN	I				
	Name		Mandatory for	Transaction Value (of INR 50 cro						
Guardian Details	Name Entity Identific Relationship v	er (LEI) Number		Transaction Value of Proof of Relations Birth certificate Date of Birth	hip	ore and above	2	t Others .			
Guardian Details For Non Individual >> To be filled by >> Guardian	Name Entity Identifie Relationship v	er (LEI) Number	Applicant	Proof of Relations Birth certificate	hip School le	ore and above	2	t Others .			
Guardian Details For Non Individual >> To be filled by >> Guardian	Relationship v Mother Mobile No.	er (LEI) Number vith the Minor A Father Leg dividual ation epatriation ident Individua	Applicant gal Guardian Sole Pri	Proof of Relations Birth certificate Date of Birth D D / M M / oprietorship Undivided Family ship ny	Body Corpc Limited Lia Body of Ind	eaving certific C-KYC	ate Passport Overseship Foreig Persor	eas Citizen of	India sident in India gin ify)		
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Subject to verification and realisation.

for purchase in \square Tata Nifty India Digital ETF Fund of Fund \square Tata Digital India Fund

Overseas address					
Mandatory for Non- Resident Individuals and Overseas Investors in addition					
to the mailing address.					City
	State		ZIP Code		Country
	nstrument Details and Schem	ne Detail:	S		Refer Sec. E, F & Product Label
Amount Allocation					Lumpsum Lumpsum + SI
Scheme Name »	Tata Nifty India Digital ETF	Fund of F	und	Plan Re	gular 🗌 Direct
Option »	Growth IDCW Reinvestment	DCW Payo	ut	IDCW -	Income Distribution cum Capital Withdrawal.
The name of the » first applicant	Gross Amount (₹) (A)		DD Charges (₹	(if any)	Net Amount (₹) (Cheque / DD Amount) (A - B)
should be available on the investment					
Cheque.	Account Number		A/c	Туре	Dated
Cheque/ DD to be					D D / M M / Y Y Y Y
drawn in favour of 'Tata Nifty India	Drawn on Bank		Branch City		Cheque / DD No.
Digital ETF Fund of Fund'					
4.b Investment I	nstrument Details and Schen	ne Detail	s		Refer Sec. E, F & Product Label
Amount Allocation				_	Lumpsum Lumpsum + SI
Scheme Name »	Tata Digital India Fund			Plan Re	gular 🗌 Direct
Option »	Growth IDCW Reinvestment	DCW Payo	ut	IDCW -	Income Distribution cum Capital Withdrawal.
The name of the » first applicant	Gross Amount (₹) (A)		DD Charges (₹ (B)	(if any)	Net Amount (₹) (Cheque / DD Amount) (A - B)
should be available on the investment					
Cheque.	Account Number		A/c	Type	Dated
Cheque/ DD to be drawn in favour of					$\begin{array}{ c c c c c c c c c c c c c c c c c c c$
'Tata Digital India Fund'	Drawn on Bank		Branch City		Cheque / DD No.
5. Bank Account	Details			J	Refer Sec. (
This must be an	Bank Name				Branch
Indian account. The 1st applicant should					
be a holder in this	Account number				A/C type \square Savings \square Current \square NRO
account.					□ NRNR □ NRE
The bank account details provided below will be	MICR	IFSC for RTC	SS		IFSC for NEFT
held on record and considered as default bank	Address				
mandate to pay redemption	Cin	DIN			Charles
proceeds and IDCW payouts (if applicable).	City	PIN			State
Cheque Details					Acknowledgement Sli
	F FOF: Cheque/DD No dated				BankBank

7. Joint Applican	t's Details					Refer Sec. H & I
Mode of Holding	☐ Single	Joint	\square Any one or Survivor (Def	fault)		
II nd Applicant's Detail	ls			Investors	to ensure that PAN is li	inked to Aadhaar.
☐ Mr. ☐ Ms.		Status		PAN / PEKRN		
		Resident I	ndividual 🗌 NRI			
Name						
Mobile No.	Mobile belongs to	Date of Birth		C-KYC		
	Self Parent Child	D				
III rd Applicant's Detai	ls			Investors	to ensure that PAN is li	inked to Aadhaar.
☐ Mr. ☐ Ms.		Status		PAN / PEKRN		
Nama		Resident I	ndividual			
Name						
Mobile No.	Mobile belongs to	Date of Birth		C-KYC		
	Self Parent Child	D				
8. Know Your Cu	istomer (KYC) Det	ails				Refer Sec. J
CATEGORIES	FIRST APPLICANT (Inclu		SECOND APPLICANT	•	THIRD APPLI	
Occupation »		Business		Business	☐ Private Sector Service ☐ Public Sector Service	Retired Business
	□ Professional	☐ Agriculturist ☐ Forex Dealer	□ Professional	☐ Agriculturist ☐ Forex Dealer	☐ Government Sector ☐ Professional	☐ Agriculturist ☐ Forex Dealer
	☐ Housewife ☐ Others (please specify)	Student	☐ Housewife ☐ Others (please specify)	Student	☐ Housewife ☐ Others (please specify)	Student
Gross Annual Income »		1-5 Lacs		□ 1-5 Lacs	☐ Below 1 Lac	☐ 1-5 Lacs
		□ 10-25 Lacs □ >1 crore		□ 10-25 Lacs □ >1 crore	☐ 5-10 Lacs ☐ >25 Lacs-1 crore	☐ 10-25 Lacs ☐ >1 crore
	Networth in (Mandatory for		Networth in		Networth in	
	₹ D D / M M / Y		₹ D D / M M /		₹D D / M M /	
	(not older than 1 year)		(not older than 1 year)		(not older than 1 year)	
Others »			Not Applicable		Not Applicable	
	Politically Exposed Person Related to Politically Exp		Politically Exposed Pers Related to Politically Ex		Politically Exposed Pe	
Additional KYC De	tails for Non - Indiv	iduals		-		
For Non Individuals »	Is the company a Listed Co			Controlled by a L	sted Company:	□No
only (Companies,	(if No, mandatory to attach Non Individual investors in		ation) 3 any of the mentioned serv	rices		
Trust, Partnership etc.)	Foreign Exchange / Mone Money Lending / Pawnin		es Gaming / Gambling , None of the above	/ Lottery / Casino S	Services	
9. Foreign Accou	nt Tax Compliance			5		Refer Sec. K
For Individuals	FIRST APPLICANT (inclu		SECOND APPLICANT		THIRD APPLIC	
Country of Birth »		<u> </u>	,			_
Place of Birth ≫						
Nationality \gg	☐ Indian ☐ Others (Please specify)	U. S.	☐ Indian☐ Others (Please specify)	□ U. S.	Indian Others (Please specify)	□ U. S.
Type of address given at KRA \gg	Residential or Business	Residential	Residential or Business	Residential	Residential or Business	Residential
Are you also a resident in >>		☐ Business ☐ Yes	Registered Office No	☐ Business ☐ Yes	☐ Registered Office☐ No	☐ Business ☐ Yes
any other country(ies) for tax purposes?	If yes, complete section belo		□ NO	Tes	□ NO	L les
Country of Tax Residency 1 >>	yes, complete section zero					
Tax Identification Number 1 >>						
Tax Identification Number 1 //						
Identification Type 1 \gg						
If TIN is not available please >> tick the reason A, B or C *	Reason A B C	C	Reason A B [С	Reason 🗌 A 🗌 B	С
Country of Tax Residency 2 >>						
Tax Identification Number 2 \gg						
Identification Type 2 \gg						
If TIN is not available please >> tick the reason A. B or C *	Reason 🗌 A 🔲 B	С	Reason	С	Reason 🗌 A 🗌 B	С

^{*} Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents; Reason B: No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected); Reason C: Others- Please state the reasons thereof FATCA & CRS Related Details for Non Individuals: Please submit Form W8 BEN-E / Specified declaration (Enclosed)

10. Nomination Details Refer Sec. L Mandatory for You can nominate up to 3 persons to receive the Units allotted to you in your folio in the unfortunate event of death of all unit holders. All payments and settlements Individual(s) applying made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund/ Trustees singly or jointly I do not wish to nominate. Register nomination as below Select any one 1st Nominee Nominee Name Relationship with Nominee Date of Birth D D / M M / Y Y Y Y Address City State PIN Country Guardian Name in case of Minor Allocation (%) Signature of Nominee / Guardian 2nd Nominee Nominee Name Relationship with Nominee Date of Birth D D M M M Y Y Y Y City Address State PIN Country Guardian Name in case of Minor Allocation (%) Signature of Nominee / Guardian 3rd Nominee Nominee Name Relationship with Nominee Date of Birth D D / M M / Y Y Y City Address State PIN Country Guardian Name in case of Minor Allocation (%) Signature of Nominee / Guardian 11. Demat Account Details Refer Sec. M Fill these details only if you wish to have your units in Demat mode. Ensure that the Depository participant Name sequence of names as mentioned in the application form matches with that of the Central Depository Securities Limited National Securities Depository Limited account held with the Target ID No. DP ID No. Depository Participant. In case the details are ı Ν found to be incorrect. Beneficiary Account No. Units will be allotted in physical mode. 12. Declaration and Signatures Refer Sec. N I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under: 1/ We have read, understood and hereby agree to comply with the terms and conditions of the scheme, related documents and apply for allotment of Units of the Scheme(s) of Tata Mutual Fund ('Fund') indicated in this application form. I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment. The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.

The information given in / with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the Tata Asset Management Private Limited (TAMPL)/ Fund and undertake to inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.

That in the event, the above information and/or any part of it is/are found to be false/ untrue/misleading, I/We will be liable for the consequences arising therefrom. (2) (3) //We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/We hereby authorize you to share the account statement of the folio with the distributor /broker / advisor on record. (5) (7)

Including but not infinited to Friancia intelligence officially extended in the distributor of protect / advisor on record.

I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.

The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I / We agree that the unit balance(s) reflecting in the account statement is subject to realisation of Cheque accompanying the purchase request, PAN validation and KYC compliance.
For Foreign Nationals Resident in India only: I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

For NRIs/ PIO/OCIs only: I/We confirm that my application is in compliance with applicable Indian and Foreign laws.
I/We hereby accord my/our consent to TATA AMC for receiving the promotional information/ material via email, SMS, telemarketing calls, etc. on the mobile number and email provided by me/us in this Application Form.

2 nd Applicant Signature / Thumb Impression	3 rd Applicant Signature / Thumb Impression

Date:

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