

# ITI CONSERVATIVE HYBRID FUND

(An open ended hybrid scheme investing predominantly in debt instruments)

Sponsors: The Investment Trust of India Limited and Fortune Credit Capital Limited

Trustee Company: ITI Mutual Fund Trustee Private Limited

## APPLICATION FORM

Please read Key Information Memorandum, Product Labeling and Instructions before filling this Application Form

Investment Manager: ITI Asset Management Limited  
ITI House, Building no. 36, Dr. R. K. Shirodkar Marg, Parel, Mumbai 400 012. CIN : U67100MH2008PLC177677



All sections should be Filled in English and in BLOCK LETTERS only.

Application No. \_\_\_\_\_

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY	
Distributor Name & Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	RIA Code	Registrar/ Bank Serial No.	Date and Time of Receipt
ARN-	ARN-					

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**EUIN Declaration:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

**RIA Declaration:** I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA.

First/Sole Applicant/Guardian/POA Holder	Second Applicant/Guardian/POA Holder	Third Applicant/Guardian/POA Holder
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**TRANSACTION CHARGES for ₹ 10,000/- and above (✓ any one) :**  I am a first time investor across Mutual Funds OR  I am an existing investor in Mutual Funds  
In case the subscription amount is ₹ 10,000/- or more and your distributor has opted to receive transaction charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

### 1. EXISTING INVESTOR/EXISTING ZERO BALANCE FOLIO NO. (If you have existing folio, please fill in Section 1 and proceed to Section 6)

Folio No. \_\_\_\_\_ Name of First Applicant \_\_\_\_\_

The details in our records under the Folio number mentioned above will apply for this application.

**2. MODE OF HOLDING (please ✓)**  Single  Anyone or Survivor  Joint\*\* (\*\*Default, in case of more than one applicant and not ticked)

### 3. APPLICANT'S INFORMATION

**I. First/Sole Applicant**  Mr.  Ms.  M/s.  Minor  Individual  Non Individual (Mandatorily fill separate FATCA/CRS & UBO form)

Non-Individual investors please fill Ultimate Beneficial Ownership (UBO) Declaration Form and submit with Application Form

Name \_\_\_\_\_

Date of Birth\*/Incorporation  Nationality \_\_\_\_\_ PAN/PEKRN# \_\_\_\_\_  
(DoB is mandatory for Minor)

Aadhaar Card No. \_\_\_\_\_ KIN# \_\_\_\_\_  Proof Attach

Legal Entity Identifier (LEI) Code (Mandatory for Non Individual only) \_\_\_\_\_ Validity till

**Name of Guardian** (in case of First/Sole Applicant is a Minor)/**Name of Contact Person** (in case of Non-Individual Investors only)

Mr.  Ms. Name \_\_\_\_\_

Aadhaar Card No. \_\_\_\_\_ PAN/PEKRN# \_\_\_\_\_ KIN# \_\_\_\_\_  Proof Attach

Nationality \_\_\_\_\_ Designation \_\_\_\_\_ Contact No. \_\_\_\_\_

**Relationship with Minor (Mandatory)**  Father  Mother  Court Appointed Legal Guardian\*\*  Proof of relationship attached

**For investment "on behalf of Minor"**  Birth Certificate  School Certificate  Passport  Other \_\_\_\_\_

Correspondence Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Pin Code \_\_\_\_\_

Contact Details Country Code \_\_\_\_\_ STD Code \_\_\_\_\_ Tel. \_\_\_\_\_

Mobile No. \_\_\_\_\_ Email ID \_\_\_\_\_

Investors providing Email id would mandatorily receive E-Statement of Accounts in lieu of Physical Statement of Accounts and the Annual Report or Abridged Summary on email. Please register your mobile number and email id with us to get instant transactions alerts via SMS and Email.  
Please tick, if you wish to receive scheme wise Annual Report or Abridged Summary through physical mode.

**Overseas Address for NRIs/PIOs/FIIs (Mandatory)** \_\_\_\_\_

City \_\_\_\_\_ Country \_\_\_\_\_ Zip Code \_\_\_\_\_

**TAX STATUS (Applicable for First / Sole Applicant)**

Resident Individual  FIs  NRI-NRO  HUF  Club / Society  PIO  Body Corporate  Minor  Government Body  Trust  NRI - NRE  Bank & FI

Sole Proprietor  Partnership Firm  QFI  Provident Fund  Others \_\_\_\_\_

**II. Name of Second Applicant** Mr./Ms. \_\_\_\_\_

Date of Birth  Nationality \_\_\_\_\_ PAN/PEKRN# \_\_\_\_\_

Aadhaar Card No. \_\_\_\_\_ KIN# \_\_\_\_\_  Proof Attach

**III. Name of Third Applicant** Mr./Ms. \_\_\_\_\_

Date of Birth  Nationality \_\_\_\_\_ PAN/PEKRN# \_\_\_\_\_

Aadhaar Card No. \_\_\_\_\_ KIN# \_\_\_\_\_  Proof Attach

\* Mandatory Fields; ‡ W.e.f. February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund; ++ In case of Legal Guardian, please submit attested copy of the court appointment letter, affidavit etc. to support.

...continued overleaf



### Acknowledgement Slip (To be filled in by the Applicant)

Application No. \_\_\_\_\_

Received from: Mr./Ms./M/s. \_\_\_\_\_

(subject to realization, verification and conditions)

Scheme	ITI Conservative Hybrid Fund	Plan	Option
Cheque/DD No.		Dated	Drawn on Bank
Account No.		Amount (₹)	Branch

ISC Stamp, Date & Signature

Toll Free Number:  
1800-266-9603

Non Toll Free Number:  
022-66214999

Email:  
mfassist@itiorg.com

Website:  
www.itiame.com

**Additional KYC Details**

<b>MANDATORY</b>	<b>Occupation Details for</b>	<b>1<sup>st</sup> Applicant</b>	<b>2<sup>nd</sup> Applicant</b>	<b>3<sup>rd</sup> Applicant</b>	<b>Guardian</b>	<b>Politically Exposed Person (PEP) details:</b>	<b>Is a PEP</b>	<b>Related to PEP</b>	<b>Not Applicable</b>
	Private Sector Service	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	1 <sup>st</sup> Applicant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Public Sector Service	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	2 <sup>nd</sup> Applicant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Government Service	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	3 <sup>rd</sup> Applicant	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Business	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Guardian	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Professional	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Authorised Signatories	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Agriculturist	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Promoters	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Retired	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Partners	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Housewife	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Karta	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
	Student	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Whole-time Directors	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Proprietorship	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	Trustee	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Others (Please specify)	_____	_____	_____	_____					
<b>Non-Individual Investors involved / providing any of the mentioned services</b>						<input type="radio"/> Foreign Exchange/Money Changer Services <input type="radio"/> Gaming / Gambling / Lottery / Casino Services <input type="radio"/> Money Lending / Pawning <input type="radio"/> None of the above			
<b>Gross Annual Income Range (₹)</b>	<b>1<sup>st</sup> Applicant</b>	<b>2<sup>nd</sup> Applicant</b>	<b>3<sup>rd</sup> Applicant</b>	<b>Guardian</b>	<b>Gross Annual Income Range (₹)</b>	<b>1<sup>st</sup> Applicant</b>	<b>2<sup>nd</sup> Applicant</b>	<b>3<sup>rd</sup> Applicant</b>	<b>Guardian</b>
Below 1 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	10 - 25 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1 - 5 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	25 lac - 1 cr	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
5- 10 lac	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	> 1 cr	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>OR Networth in (₹) (Mandatory for Non-Individuals not older than 1 year)</b>						as on _____			
						D   D   M   M   Y   Y   Y   Y			

**4. POWER OF ATTORNEY (POA) HOLDER DETAILS (If the investment is being made by a Constituted Attorney, please furnish the details of POA Holder)**

PoA Name  \_\_\_\_\_

Aadhaar Card No.  \_\_\_\_\_ PAN/PEKRN#  \_\_\_\_\_ KIN‡  \_\_\_\_\_

Enclosed  PAN card proof  KYC Confirmation proof

PoA copy notarised or the original copy of PoA needs to be submitted in case of Investment through PoA.

**5. CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS) INFORMATION**

**FATCA and CRS Certification for Individual Investors [Mandatory for all investors including NRI, Guardian (in case of Minor), Joint Holder(s) and POA Holder]**

Non Individual investors, including HUF should mandatorily fill separate FATCA/CRS form.

<b>Details under Foreign Tax Laws:</b>	<b>First Applicant (including Minor)</b>	<b>Second Applicant/Guardian</b>	<input type="radio"/> <b>Third Applicant</b> <input type="radio"/> <b>PoA</b>
City & State of Birth	City _____ State _____	City _____ State _____	City _____ State _____
Country of Birth			
Nationality	<input type="radio"/> Indian <input type="radio"/> US <input type="radio"/> Others _____ (Please Specify)	<input type="radio"/> Indian <input type="radio"/> US <input type="radio"/> Others _____ (Please Specify)	<input type="radio"/> Indian <input type="radio"/> US <input type="radio"/> Others _____ (Please Specify)
Address Type (for KYC Address)	<input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	<input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	<input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business
<b>Are you a tax resident (i.e. are you assessed for Tax) in any other Country outside India?</b> <input type="radio"/> Yes <input type="radio"/> No (If Yes, please provide the following (Mandatory) Information)			
Country of Tax Residency (1)			
Tax Identification No.			
Identification Type (TIN or Other, pl. specify)			
Country of Tax Residency (2)			
Tax Identification No.			
Identification Type (TIN or Other, pl. specify)			
Country of Tax Residency (3)			
Tax Identification No.			
Identification Type (TIN or Other, pl. specify)			
If TIN is not available please tick (✓) the reason A, B or C (as defined)	Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C	Reason <input type="radio"/> A <input type="radio"/> B <input type="radio"/> C
* Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents			
* Reason B - No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected)			
* Reason C - Others, please state the reason thereof _____			

**QUICK CHECKLIST**

<input type="radio"/> KYC acknowledgement letter attached	<input type="radio"/> Self attested PAN and Aadhaar card copy attached	<input type="radio"/> Email id and mobile number provided (for online transaction facility)
<input type="radio"/> Plan/Option/Sub Option mentioned	<input type="radio"/> FATCA & CRS Certification for Non-Individual unit holders attached	<input type="radio"/> UBO Declaration for Non-Individual unit holders attached
<input type="radio"/> Additional documents for Third Party payments attached	<input type="radio"/> Multiple Bank Accounts Registration form attached (if you want to register multiple bank accounts)	<input type="radio"/> Relationship proof between Guardian and Minor attached (if application is in the name of a Minor)

**6. BANK ACCOUNT DETAILS (For Redemption/Income Distribution cum Capital Withdrawal if any).**  
(Mandatory to attach proof, in case the payout bank account is different from the bank account)

Bank A/c. No.  A/c. Type  Savings  Current  NRE  NRO  FCNR

Bank Name

Branch Name  City  Pin Code

MICR Code  IFSC Code

9 digit code appears on your Cheque next to your Cheque No. 11 character code appearing on your Cheque leaf

**7. INVESTMENT DETAILS: Scheme/Plan/Option (Refer instruction VI)**

Scheme  Plan (Please ✓)  Regular  Direct

Option  Growth  IDCW# Reinvest  IDCW# Payout IDCW# Frequency  Quarterly  Half Yearly  Annually

Default Option will be Growth in case option not selected or in case of any ambiguity. Default Frequency will be Quarterly Reinvestment of IDCW#, in case frequency not selected or in case of any ambiguity.

# Income Distribution cum Capital Withdrawal

**8. PAYMENT DETAILS: Please issue separate Cheque/DD favouring the Scheme Name (Refer Instruction VII, IX & X)**

Payment Type (Please ✓)  Self  Third Party Payment (Please fill the 'Third Party Payment Declaration Form')

**8A. LUMP SUM**

Mode  Cheque  DD  RTGS/NEFT  Fund Transfer  Cheque/DD/UTR/Ref. No.  Dated

Amount (figures) (₹)  (words)

Pay-in A/c no.  Account type  Savings  NRO  NRE  Current  FCNR  Others

Drawn on bank/branch name & address

MICR Code (9 Digit)  IFSC Code (11 Digit)

**8B. SIP DETAILS**

Opted for SIP:  Yes  No (In case, you have opted for SIP, it is mandatory to submit SIP Registration Form.)

**9. UNIT HOLDING OPTION**  Demat Mode\*  Physical Mode (Default)

\*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode.

NSDL DP Name  DP ID  Beneficiary Account No.

CDSL DP Name  Beneficiary Account No.

\*Investor opting to hold units in Demat Form, may provide a copy of the DP statement enable us to match Demat details as stated in the Application Form.

**10. NOMINATION DETAILS (Mandatory)**

I/We hereby nominate the under mentioned nominee to receive the amounts to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC/Mutual Fund/Trustee Company.

Name and Address of Nominee(s)	Relationship with Applicant	(To be furnished in case Nominee is a Minor)		Signature of Nominee (Optional)/Guardian of Nominee (Mandatory)	Proportion (%) in which the units will be shared by each Nominee‡
		Date of Birth	Name and Address of Guardian		
Nominee 1					
Nominee 2					
Nominee 3					

‡ the aggregate total should be 100%.

OR

Please (✓)  I/We do not wish to Nominate

## 11. DECLARATION & SIGNATURES (Please refer to the Instruction No. XV)

Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I / We hereby apply to the Trustee of ITI Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We further declare, I am / we are authorised to invest the amount & that the amount invested by me / us in the above mentioned Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any Regulation, including SEBI. It is expressly understood that I / We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC / Trustee / Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I / We agree that in case my / our investment in the Scheme(s) is equal to or more than 25% of the corpus of the Scheme, then ITI Asset Management Ltd., Investment Manager to the ITI Mutual Fund, has full right to refund the excess to me / us to bring my / our investment below 25%. I / We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I / We hereby authorise ITI Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / ITI Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I / We hereby authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me / us, including all changes, update to such information as and when provided by me / us to ITI Mutual Fund / ITI Asset Management Limited to any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authority and other investigation agencies without obligation on advising me / us of the same. I / We authorise ITI Mutual Fund to reject the application, reverse the units credited / redeem units created at applicable NAV, restrain me / us from making any further investment in any of the Schemes of the fund, recover / debit my / our folios(s) with the penal interest and take any appropriate action against me / us in case the cheque(s) / payment instrument is / are returned by my / our banker for any reason whatsoever.

I / We undertake that these investments are my / our own and acknowledge that AMC reserves the right to call for such other additional information / documents as required to comply with PMLA / KYC / FATCA norms. I / We hereby, further agree that the Fund can directly credit all the Income Distribution cum Capital Withdrawal payouts and redemption amount to my bank details given above. I / we hereby confirm that I / We have not been offered / communicated any indicative portfolio and / or any indicative yield by the Fund / AMC / its distributor for this investment. I / We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / We further agree that the Fund / AMC can send us all types of SMS relating to the products offered by them.

Applicable to unit holders who have not opted for nomination facility. I / We hereby confirm that it is my / our informed decision not to avail the nomination facility offered by ITI Mutual Fund.


I / We confirm that I am / We are not resident(s) of United States under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).

I / We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I / We hereby give you my / our consent to share / provide the transactions data feed / portfolio holdings / NAV etc. in respect of my / our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

I hereby authorize the representatives of ITI Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case may be.

**Applicable to NRI only:** I / We confirm that I am / We are Non Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my / our Non-Resident External / Ordinary Account / FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

Repatriation  Non-Repatriation

SIGNATURE(S)			
Date	<input type="text" value="DDMMYYYY"/>		
Place	<input type="text"/>		
	Sole/First Applicant/Guardian/ PoA/Authorised Signatory	Second Applicant/PoA	Third Applicant/PoA

For Detailed Instructions on Filling the Application Form please refer to Page No. 11 - 16

### CHECKLIST FOR DOCUMENTATION

Please submit the following documents with your application (where applicable).

Documents	Individuals	NRIs	Minors	Companies/ Body Corporates	Trusts	Societies	HUF	Partnership Firms	FPIs	LLP/ FIs*	Investments through Constituted Attorney
1. Certificate of Incorporation/Registration				✓	✓			✓	✓	✓	
2. Resolution/Authorisation to invest				✓	✓	✓		✓	✓	✓	
3. List of Authorised Signatories with Specimen Signature(s)@				✓	✓	✓		✓	✓	✓	✓
4. Memorandum & Articles of Association				✓							
5. Trust Deed					✓					✓	
6. Bye-Laws						✓					
7. Partnership Deed/Deed of Declaration							✓	✓			
8. Notarised Power of Attorney											✓
9. Proof of PAN (including for guardian)	✓#	✓	✓#	✓	✓	✓	✓	✓	✓	✓	✓
10. Proof of KYC/CKYC - KIN number	✓#	✓	✓#	✓	✓	✓	✓	✓	✓	✓	✓
11. Proof of Aadhaar Card	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
12. Overseas Auditor's Certificate (applicable for DTAA)		✓							✓		
13. Foreign Inward Remittance Certificate		✓							✓		
14. Date of Birth Certificate or School Living Certificate or Passport of Minor			✓								
15. Document evidencing relationship with Guardian			✓								
16. Declaration for Identification of Beneficial ownership				✓	✓	✓		✓	✓	✓	
17. FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	

All documents for entities above should be originals/true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

@ Should be original or true copy certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public, as applicable.

\* For FIs, copy of SEBI registration certificate should be provided.

# If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.

# SYSTEMATIC INVESTMENT PLAN (SIP)

## Registration Cum Mandate Form with Goal SIP & Top-Up Facility

Please read Product Labeling available on the front inside cover page of KIM and instructions before filling this form

Sponsors: The Investment Trust of India Limited and Fortune Credit Capital Limited

Trustee Company: ITI Mutual Fund Trustee Private Limited

Investment Manager: ITI Asset Management Limited  
ITI House, Building no. 36, Dr. R. K. Shirodkar Marg,

Parel, Mumbai 400 012. CIN : U67100MH2008PLC177677



New SIP Registration  Change in Bank Account (for SIP earlier registered)

Application No. **S**

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY	
Distributor Name & Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	RIA Code	Registrar/Bank Serial No.	Date and Time of Receipt
ARN-	ARN-					

\*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor.

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction." Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

First/Sole Unit Holder/ Guardian	Second Unit Holder/Guardian	Third Unit Holder/Guardian

### 1. UNITHOLDER INFORMATION

Folio No.  Application No.

1st/Sole Unit Holder Name

### 2. INVESTMENT DETAILS (Choice of Plan [Please ✓])

Scheme  ITI Plan (Please ✓)  Regular  Direct

Option:  Growth  IDCW# Reinvest  IDCW# Payout Default Option will be Growth in case option not selected or in case of any ambiguity. IDCW# Reinvest option is not available for ITI Long Term Equity Fund.

IDCW# Frequency:  Daily  Weekly  Fortnightly  Monthly  Annually IDCW# Frequency for ITI Dynamic Bond Fund:  Monthly  Quarterly  Half Yearly  Annually Default Frequency will be Daily, in case frequency not selected or in case of any ambiguity. Default Frequency will be Monthly Reinvestment of IDCW#, in case frequency not selected or in case of any ambiguity.

IDCW# Frequency for ITI Conservative Hybrid Fund:  Quarterly  Half Yearly  Annually Default Frequency will be Quarterly Reinvestment of IDCW#, in case frequency not selected or in case of any ambiguity. IDCW# Frequency is not applicable for Equity Scheme. IDCW# Frequencies of Daily and Weekly are not applicable for IDCW# Payout.

Enrolment Period: From Date  To Date  OR Perpetual (99 years) (Default)

First SIP Instalment via: Cheque No.  Drawn on Bank and Branch

Amount: ₹  A/c. No.

Each SIP Amount: ₹  Amount in Words

Frequency: (Please ✓)  Daily (SIP)  Weekly (SIP)  Monthly (SIP)  
All Business Days (Please mention any day between Monday to Friday) Date:  Preferred Debit Date (Any day from 1st to 28th of the month.)

I/We hereby authorize ITI Mutual Fund and their authorized service providers to debit my/our following bank account by NACH clearing for collection of SIP payments.

Note: Please allow 1 month for NACH Mandate to register and start. # Income Distribution cum Capital Withdrawal

### 3. ITI GOAL SIP- Do you want to allocate a goal for your SIP: Yes No If yes please select (✓) your goal Refer Instruction No. 32

Please specify your goal amount ₹   Kids Marriage  Kids Education  Retirement Planning (Default)  Tax Savings

Dream House  Dream Car  Dream Vacation  Others

### 4. UNIT HOLDING OPTION Demat Mode\* Physical Mode (Default)

\*Demat Account details are mandatory if the investor wishes to hold the units in Demat Mode.

NSDL DP Name  DP ID  Beneficiary Account No.

CDSL DP Name  Beneficiary Account No.

\*Investor opting to hold units in Demat Form, may provide a copy of the DP statement enable us to match Demat details as stated in the Application Form.

### 5. SIP TOP-UP FACILITY (You can start SIP Top-Up Facility after minimum 6 Months from 1st SIP) Refer Instruction No. 31, on SIP Top-up

All Applicants have to submit NACH mandate and will need to fill the maximum amount in line with Top Up amount, SIP amount & tenure.

Top-up Amount: (₹)  (minimum ₹ 500/- & in multiples of ₹ 500/- only) Top-up Start Month:  Top-up End Month:

Frequency: (Please ✓)  Half Yearly  Yearly (Default)

### 6. DECLARATION & SIGNATURE(S)

I/We declare that the particulars furnished here are correct. I/We authorize ITI Mutual Fund acting through its service providers to debit my/our bank account towards payment of SIP installments and/or any lumpsum payments through an Electronic Debit arrangement/NACH (National Automated Clearing House) as per my request from time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the user institution responsible. I/We will also inform ITI Mutual Fund about any changes in my bank account.

This is to inform you that I/We have registered for making payment towards my investments in ITI Mutual Fund by debit to my/our account directly or through NACH. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account. I also hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of ITI Mutual Fund using this facility.

Date  SIGNATURE(S) as per ITI Mutual Fund records  
 Sole/First Unit Holder/Guardian  Second Unit Holder  Third Unit Holder



## ONE TIME BANK MANDATE (NACH/OTM/Direct Debit Mandate Form)

UMRN  FOR OFFICE USE ONLY Date

Tick (✓) Sponsor Bank Code  Utility Code

CREATE  MODIFY  CANCEL  I/We hereby authorize  ITI MUTUAL FUND to debit (tick ✓)  SB  CA  CC  SB-NRE  SB-NRO  Other

Bank a/c number

with Bank  Name of customers bank  IFSC  or MICR

an amount of Rupees  Amount in words  ₹

FREQUENCY  Mthly  Qtly  H-Yrly  Yrly  As & when presented DEBIT TYPE  Fixed Amount  Maximum Amount

PAN  Phone No.

Scheme Name  ALL SCHEMES OF ITI MUTUAL FUND Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

#### PERIOD

From  To

Or  Until Cancelled

Signature Primary Account holder  Signature of Account holder  Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## TERMS AND CONDITIONS

1. Please refer SID for minimum SIP investment amount under each Scheme.
2. Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective Scheme before investing.
3. Complete Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC/KFin Tech ISCS.
4. Investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for NACH. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which NACH is to be registered.
5. First SIP cheque and subsequent SIP installments via NACH should be of the same amount.
6. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
7. Incorrect/Incomplete applications are liable to be rejected.
8. ITI Asset Management Ltd. reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
9. NACH instructions will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in SID.
10. The mandate registration form will be submitted through National Automated Clearing House (NACH) and Banks participating in Direct Debit Facility. This facility is offered to investors having Bank accounts in select banks mentioned in the link under Product and Services tab The Banks <http://www.npci.org.in/> in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
11. In case investors bank account is available under NACH Facility, registration will be done through NACH platform.
12. Existing Unit holders in Scheme(s) of ITI Mutual Fund are required to submit only the SIP NACH Mandate. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through NACH, should fill the Common Application Form & SIP NACH Mandate.
13. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial DD has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the Scheme chosen (e.g. Pay \*\*\*\*\* [Scheme name] & crossed "A/c Payee Only").
14. Payments will be accepted by NACH mode. For this purpose, investors/unit holders are required to give NACH Mandate Form to debit their bank accounts at periodic intervals & credit the subscription proceeds to ITI Mutual Fund Bank Account.
15. Returned/Dishonored cheque/NACH Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/registration will be rejected.
16. The SIP Enrollment will be discontinued in cases where three consecutive SIP installments are not honored or the bank account is closed and no request for change in bank account has been submitted.
17. If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective Schemes as specified in the SID. The default date will be considered as 7th of the month for Monthly option.
18. If an Existing investor wants to enroll in another Scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP NACH Mandate along with the first cheque (if investment is in the new Scheme).
19. You can choose to discontinue this facility by giving 30 Calendar days written notice to any of AMC/Registrar Investor Service centers.
20. Request for change in bank mandate to be submitted atleast 30 Calendar days before the due date of next SIP installment.
21. The bank account provided for NACH (Debit) should be in the list of banks participating in NACH.
22. MICR code or IFSC code should be mandatory filled on NACH mandate, MICR code starting and/or ending with 000 are not valid for NACH.
23. The investor agrees to abide by the terms and conditions of NACH facility of NPCI as applicable at the time of investment and as may be modified from time to time.
24. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned SID. The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
25. Investors will not hold ITI Asset Management Ltd., its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to the local holidays or any other reason.
26. ITI Asset Management Ltd. reserves the right to reject any application without assigning any reason thereof.
27. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
28. To avail of SIP in separate Schemes via NACH facility, an investor will have to fill a separate form for each Scheme. A single form cannot be used for different Schemes simultaneously.
29. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant. For more details please refer point on "Instructions to Investor" for Filling up the Application Form.
30. **Employee Unique Identification Number (EUN):**  
SEBI has made it compulsory for every employee/relationship manager/sales person of the distributor of Mutual Fund products to quote the EUN obtained by him/her from AMFI in the Application Form. EUN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing.

Mutual Fund products are also required to obtain and quote EUN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUN of the Sales Person (if any) in the EUN space.

31. **SIP Top-Up:**  
An investor can select this facility to increase the amount of the SIP installment by a fixed amount at pre-defined intervals during the tenure of the SIP. The features, terms and conditions for availing 'Top-Up' facility are as follows:
  1. This facility shall be available under all the Schemes where SIP facility is being offered.
  2. SIP Top-up will be allowed in case of Micro Investments subject to the condition that total investments including SIP Top-up by the investor does not exceed 50,000/- in a rolling 12 months period or in a financial year i.e. April to March i.e. the limit on Micro Investments.
  3. The minimum Top-up amount is Rs. 500/- and in multiples of Rs. 500/- thereafter.
  4. If the investor does not specify the Top-up amount, the default amount for Top-up will be considered as Rs. 500/-, and the application form shall be processed accordingly.
  5. SIP Top-Up facility can be availed at half yearly and yearly intervals. In case the Top-Up frequency is not specified, Default will be considered as yearly frequency.
  6. SIP Top-up facility is currently available only for SIP registration and installment payments made directly with the fund and through modes like NACH/ECS/Auto Debit mode.
  7. SIP Top up facility is currently not available for SIP registration made through (i) Post-dated cheques (PDCs), (ii) Channel Partners, (iii) Exchanges and (iv) ISIPs.
  8. Top-Up facility would be available to all existing and new SIP enrolments. Existing investors who have enrolled for SIP are also eligible to avail Top-Up facility and will be required to submit 'Systematic Investment Plan (SIP) with Top-Up Facility' at least 30 calendar days prior to the Top-Up start month. In case the request is not received at least 30 days prior to the SIP date, the Top-up will be applicable from the next effective SIP installment.
  9. Once enrolled, in case the Investor wants to modify the Top-up details, the investor must cancel the existing SIP Top-up and enroll for a new SIP Top-up with the desired Top-up details.
  10. SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP for both New and Existing SIP Investors. If the end-date of the Top-up facility is not mentioned the Top-up facility will be continued till the tenure of the SIP. For example, if the SIP is registered till 2099, and the end date of the Top-up facility is not mentioned; then the Top-up will continue till 2099.
  11. In case, the SIP Top up is cancelled, the SIP will be ceased.
  12. SIP Top Up facility can be availed by Existing Investors who have already registered any SIP with the fund, after a gap of 6 months from the date of submission of such Top Up application request and after the subsequent cycle date SIP has been processed. For Example if for an Existing SIP, the First SIP date is 15th of each Month from Jan 2019; and the Top-Up application request is submitted on 22nd Feb, 2019. The Next SIP date will be 15th of March, 2019; therefore the Top Up will start after 6 Months from 15th of September, 2019.
  13. All other terms & conditions applicable for regular SIP Facility will also be applicable to Top-up Facility.

**An Illustration: The Top-Up facility will work as follows:**

Details of SIP registered	Details of Top-up opted for
<ul style="list-style-type: none"> <li>• Fixed SIP Installment amount: ₹ 5,000/-</li> <li>• SIP Period: 01-April-2019 till 31-March-2022 (3 years)</li> <li>• SIP Date: 1st of every month (36 installments)</li> </ul>	<ul style="list-style-type: none"> <li>Example:</li> <li>• Top-Up Amount: ₹ 1,000/-</li> <li>• Top-Up Frequency: Every 6 months</li> </ul>

Based on above details, SIP Installments shall be as follows:

Installment No(s)	SIP Installment (in ₹) (A)	Top-Up amount (in ₹) (B)	Monthly SIP Installment amount after Top-up (in ₹) (A+B)
1 to 6	5000	NA	5000
7 to 12	5000	1000	6000
13 to 18	6000	1000	7000
19 to 24	7000	1000	8000
25 to 30	8000	1000	9000
31 to 36	9000	1000	10000+ *(At-least amount to be filled on NACH Mandate)

The Trustee/AMC reserves the right to change/ modify the terms and conditions of the "Top up Facility" at a later date on a prospective basis.

32. **Terms & Conditions - Goal Based SIP.**
  - SIP application can have only one Goal assigned. Investors will be required to submit separate application forms for each Goal.
  - Units will not be automatically redeemed upon achievement of Goal and the SIP shall continue till the term of the SIP, even after the crossing of the Goal Amount. Further, Units will be redeemed as per First In First Out basis for any redemption applied for.
  - Goal Amount & type of Goal are mandatory for each Goal SIP Application. Default option will be Retirement Planning where no Goal is selected. If no Goal amount is mentioned on the SIP application in section 3, then the SIP will be registered as a regular SIP & not as Goal SIP.
  - Goal SIP facility is currently not available for SIP registered/submitted through Post-dated cheques (PDCs), OR through Mutual Fund Utility (MFU), OR through MFSS and NMF II system of NSE or BSE Star MF platform of BSE or any other platforms of these stock exchanges or Channel partners or ITI online SIP (I-SIP). As & when relevant systems are put in place, this facility will be automatically offered on respective platforms.
  - Investors may kindly note that the status of Goal SIP cannot be changed once registered. Investors will have a choice to discontinue the Goal SIP, with a prior notice of 30 days.
  - All other conditions generally applicable for SIP shall also be applicable for Goal SIP.

## INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

1. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
2. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.
3. Investors are deemed to have read and understood the terms and conditions of NACH Facility, SIP registration through NACH facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of ITI Mutual Fund.
4. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format
5. Please mention the amount in figures and words.
6. Please fill all the required details in the Debit Mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
7. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
8. The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

<b>Toll Free Number:</b> 1800-266-9603	<b>Non Toll Free Number:</b> 022-66214999	<b>Email:</b> mfassist@itiorg.com	<b>Website:</b> www.itiamc.com
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**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**