



COMMON APPLICATION FORM EXCHANGE TRADED FUNDS

Application No. _____

Investor must read Key Scheme Features and Instructions before completing this form.
All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

BROKER CODE (ARN CODE)/ RIA/PMRN CODE#	SUB-BROKER ARN CODE	SUB-BROKER CODE (As allotted by ARN holder)	Employee Unique Identification No. (EUIIN)
#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.			
Declaration for "execution-only" transaction (only where EUIIN box is left blank) (Refer Instruction No. XIII). – I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			
SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT	

1. APPLICANT(S) DETAILS (Please refer to the Instructions) (Applicant's name should be as per Demat A/c)SOLE / 1ST APPLICANT Mr. Ms. M/s FIRST MIDDLE LAST

LEI Number (Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above. See Instruction No. XVIII.)

PAN/PEKRN* KYC Id No.¥ Enclosed (Please ✓)* KYC Acknowledgement Letter Date of Birth**

NAME OF GUARDIAN (in case First/Sole applicant is minor)/CONTACT PERSON-DESIGNATION/PoA HOLDER (in case of Non-Individual Investors)

Mr. Ms. FIRST MIDDLE LAST

PAN/PEKRN* KYC Proof Attached (Mandatory) Relationship with Minor applicant: Natural guardian Court appointed guardian Date of Birth

2ND APPLICANT Mr. Ms. M/s FIRST MIDDLE LAST

PAN/PEKRN* KYC Id No.¥ KYC Proof Attached (Mandatory) Date of Birth

3RD APPLICANT Mr. Ms. M/s FIRST MIDDLE LAST

PAN/PEKRN* KYC Id No.¥ KYC Proof Attached (Mandatory) Date of Birth

If mandatory information left blank, the application is liable to be rejected. ¥ Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN).

2. BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT (Please refer to the Instructions)**Mandatory information – If left blank the application is liable to be rejected.** (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

MANDATORY	Account Number	Account Type	<input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR
	Bank Name & Branch	Branch City	
	9 Digit MICR Code	11 Digit IFSC	Enclosed (Please ✓): <input type="checkbox"/> Bank Account Details Proof Provided.

3. INVESTMENT & PAYMENT DETAILS (Please refer to the Instructions & Key Information Memorandum for scheme specific details)

Scheme Name: ICICI PRUDENTIAL

 Subscription: Cash Redemption: Cash

For investors investing in Income Distribution cum Capital Withdrawal (IDCW) option of the Scheme may note that the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

CREATION UNITS MINIMUM LOT SIZE	• ICICI Prudential S&P BSE 500 ETF - 1,10,000 units • ICICI Prudential Nifty ETF - 25,000 units • ICICI Prudential Nifty 100 ETF - 50,000 units • ICICI Prudential Sensex ETF - 5,000 units • ICICI Prudential NV20 ETF - 12,500 units • ICICI Prudential Midcap Select ETF - 25,000 units • ICICI Prudential Nifty Low Vol 30 ETF - 30,000 units • BHARAT 22 ETF - 4,300 units • ICICI Prudential Nifty Next 50 ETF - 50,000 units • ICICI Prudential Liquid ETF - 100 units • ICICI Prudential Bank ETF - 5,000 units • ICICI Prudential Private Banks ETF - 7,500 units • ICICI Prudential Midcap 150 ETF - 35,000 units • ICICI Prudential Gold ETF - 1,00,000 units • ICICI Prudential Alpha Low Vol 30 ETF - 18,000 units • ICICI Prudential IT ETF - 5,000 units • ICICI Prudential Healthcare ETF - 50,000 units • ICICI Prudential FMCG ETF - 8,000 units
Number of Units (in figures)	(in words)

PAYMENT DETAILSMode of Payment Cheque Funds Transfer NEFT RTGS

Amount Invested ₹ Cheque Number Date DD/MM/YYYY

CHEQUES SHOULD BE DRAWN IN FAVOUR OF: ICICI Prudential Nifty ETF COLL A/C or ICICI Prudential Sensex ETF COLL A/C or ICICI Prudential Nifty 100 ETF COLL A/C or ICICI Prudential NV20 ETF COLL A/C or ICICI Prudential Midcap Select ETF COLL A/C or ICICI Prudential Nifty Low Vol 30 ETF COLL A/C or Bharat 22 ETF COLL A/C or ICICI PRUDENTIAL S&P BSE 500 ETF COLL A/C or ICICI PRUDENTIAL NIFTY NEXT 50 ETF COLL A/C or ICICI PRUDENTIAL LIQUID ETF COLL A/C or ICICI PRUDENTIAL BANK ETF COLL A/C or ICICI PRUDENTIAL PRIVATE BANKS ETF COLL A/C or ICICI Prudential Midcap 150 ETF COLL A/C or ICICI Prudential Gold ETF COLL A/C or ICICI Prudential Alpha Low Vol 30 ETF COLL A/C or ICICI Prudential IT ETF COLL A/C or ICICI Prudential Healthcare ETF COLL A/C or ICICI Prudential FMCG ETF COLL A/C

BANK DETAILS: Same as above [Please tick (✓) if yes] Different from above [Please tick (✓) if it is different from above and fill in the details below]Account Number Account Type Savings Current NRE NRO FCNR
Name of Bank
Branch Name Branch CityMandatory Enclosures (Please tick (✓) if the first instalment is not through cheque) Cheque Copy Bank Statement Banker's Attestation

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No.135/BP/16/10-11 shall be processed in accordance with the said circular. Please read the instruction no. IX. Third Party Payment Declaration form is available in www.icicipruamc.com or ICICI Prudential Mutual Fund branch offices.

4. **MODE OF HOLDING** : The mode of holding registered with the depository participant will be applicable to the Units of the Scheme (Refer Instruction No.XI)

5. **TAX STATUS** [Please tick (✓)]

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> NRI	<input type="checkbox"/> Partnership FIRM	<input type="checkbox"/> Government Body	<input type="checkbox"/> FPI category I	<input type="checkbox"/> NPS Trust	<input type="checkbox"/> Bank
<input type="checkbox"/> On behalf of Minor	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Company	<input type="checkbox"/> AOP/BOI	<input type="checkbox"/> FPI category II	<input type="checkbox"/> NON Profit Organization/Charities	
<input type="checkbox"/> HUF	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> Private Limited Company	<input type="checkbox"/> Public limited company	<input type="checkbox"/> FPI category III	<input type="checkbox"/> Defence Establishment	
<input type="checkbox"/> Financial Institution	<input type="checkbox"/> Trust/Society/NGO	<input type="checkbox"/> Limited Partnership (LLP)	<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Others (Please specify) _____		

6. **DEMAT ACCOUNT DETAILS** (Mandatory - Please refer Instruction No. XI)

NSDL: Depository Participant (DP) ID (NSDL only)	Beneficiary Account Number (NSDL only)	CDSL: Depository Participant (DP) ID (CDSL only)
_____	_____	_____

7. **CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT:**

Correspondence Address (Please provide full address)*		Overseas Address (Mandatory for NRI / FII Applicants)	
HOUSE / FLAT NO. _____		HOUSE / FLAT NO. _____	
STREET ADDRESS _____		STREET ADDRESS _____	
CITY / TOWN _____	STATE _____	CITY / TOWN _____	STATE _____
COUNTRY _____	PIN CODE _____	COUNTRY _____	PIN CODE _____
Tel. _____	Office _____	Residence _____	Mobile _____
Email [£] _____			

Please tick (✓) if you wish to receive Annual Report or Abridged Summary via Post - (Default communication mode is E-mail) [Refer Instruction No.VIII(a)]

Please tick (✓) if you wish to receive Account statement / Other statutory information via Post instead of Email [Refer Instruction No.VIII(b)]

Please ✓ any of the frequencies to receive **Account Statement through e-mail** [£]: Daily Weekly Monthly Quarterly Half Yearly Annually

* Mandatory information – If left blank the application is liable to be rejected. # Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor.
** Mandatory in case the Sole/First applicant is minor. For documents to be submitted on behalf of minor folio refer instruction II-b(2)
[£] Please refer to instruction no. VIII

8. **FATCA AND CRS DETAILS FOR INDIVIDUALS** (Including Sole Proprietor) (Mandatory for New Investors)

Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II)

The below information is required for all applicants/guardian

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____
Second Applicant			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____
Third Applicant			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? Yes No [Please tick (✓)]

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident / Green Card Holder / Tax Resident in the respective countries.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	If TIN is not available please tick (✓) the reason A, B or C (as defined below)
First Applicant / Guardian				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Second Applicant				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Third Applicant				Reason : A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>

- Reason A ⇒ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
- Reason B ⇒ No TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)
- Reason C ⇒ Others, please state the reason thereof: _____

Address Type of Sole/1st Holder: <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	Address Type of 2nd Holder: <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business	Address Type of 3rd Holder: <input type="radio"/> Residential <input type="radio"/> Registered Office <input type="radio"/> Business
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Annexure I and Annexure II are available on the website of AMC i.e. www.icicipruamc.com or at the Investor Service Centres (ISCs) of ICICI Prudential Mutual Fund.

9. **KYC DETAILS** (Mandatory for New Investors)

Occupation [Please tick (✓)]							
Sole/First Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired
	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others (Please specify) _____			
Second Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired
	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others (Please specify) _____			
Third Applicant	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired
	<input type="checkbox"/> Housewife	<input type="checkbox"/> Student	<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others (Please specify) _____			

Gross Annual Income [Please tick (✓)]									
Sole/First Applicant	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth (Mandatory for Non-Individuals) ₹ _____ as on <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> (Not older than 1 year)	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Second Applicant	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth ₹ _____								
Third Applicant	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth ₹ _____								

Others [Please tick (✓)]	
Sole/First Applicant	For Individuals [Please tick (✓)]: <input type="radio"/> I am Politically Exposed Person (PEP) ^ <input type="radio"/> I am Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable
	For Non-Individuals [Please tick (✓)] (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. IV(h)): (i) Foreign Exchange / Money Changer Services – <input type="radio"/> YES <input type="radio"/> NO; (ii) Gaming / Gambling / Lottery / Casino Services – <input type="radio"/> YES <input type="radio"/> NO; (iii) Money Lending / Pawning – <input type="radio"/> YES <input type="radio"/> NO
Second Applicant	<input type="radio"/> Politically Exposed Person (PEP) ^ <input type="radio"/> Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable
Third Applicant	<input type="radio"/> Politically Exposed Person (PEP) ^ <input type="radio"/> Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable

INVESTOR(S) DECLARATION & SIGNATURE(S)

I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. I/we declare that the email address provided in the form belongs to me/us or to spouse, dependent children or dependent parents (applicable to individual investors only). I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. *If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).*
Information/documents given in/with this application form is true and complete in all respects and I/we agree to provide any additional information that may be required by the AMC/the Fund/ Registrar and Transfer Agent (RTA). I/We agree to notify the AMC/the Fund immediately upon change in any information furnished by me.

SIGNATURE OF SOLE / FIRST APPLICANT

SIGNATURE OF SECOND APPLICANT

SIGNATURE OF THIRD APPLICANT



EXCHANGE TRADED FUNDS - APPLICATION FORM ACKNOWLEDGEMENT SLIP (Please Retain this Slip)

To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

Application No.

ACKNOWLEDGEMENT

Name of the Investor: _____

EXISTING FOLIO NO. _____ / _____

Scheme Name	Payment Details
<input type="checkbox"/> Subscription: Cash <input type="checkbox"/> Redemption: Cash	Amt. _____ Cheque/DD No. _____ dtd. _____ Bank & Branch _____
Number of Units (in figures) _____ (in words) _____	

FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US:

ICICI Prudential Asset Management Company Limited

Central Service Office, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. India

TOLL FREE NUMBER: 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL:** enquiry@icicipruamc.com **WEBSITE:** www.icicipruamc.com

DP Details

Beneficiary Name	ICICI Prudential Nifty ETF - Units	ICICI Prudential Nifty 100 ETF - Units	ICICI Prudential Sensex ETF	ICICI Prudential NV20 ETF	ICICI Prudential Midcap Select ETF	ICICI Prudential Nifty Low Vol 30 ETF - Units	ICICI Prudential S&P BSE 500 ETF - Units	BHARAT 22 ETF - UNITS	ICICI Prudential Nifty Next 50 ETF - Units	ICICI Prudential Liquid ETF - Units
Beneficiary ID	11249066	11249857	00075131	11261994	11262036	11265704	10005643	10005104	11269940	11270270
DP Name	HDFC Bank	HDFC Bank	HDFC Bank	HDFC Bank	HDFC Bank	HDFC Bank	SBI-SG GLOBAL SECURITIES SERVICES PVT LTD	SBI-SG Global Securities Services Pvt. Ltd.	HDFC Bank	HDFC Bank
DP ID	IN300126	IN300126	13012400	IN300126	IN300126	IN300126	IN303786	IN303786	IN300126	IN300126

DP Details

Beneficiary Name	ICICI PRUDENTIAL BANK ETF - UNITS	ICICI PRUDENTIAL PRIVATE BANKS ETF - UNITS	ICICI Prudential Midcap 150 ETF - UNITS	ICICI Prudential Gold ETF - UNITS	ICICI Prudential Alpha Low Vol 30 ETF - UNITS	ICICI Prudential IT ETF - UNITS
Beneficiary ID	10007135	10007160	11279935	11236984	11282264	11282457
DP Name	SBI-SG GLOBAL SECURITIES SERVICES PVT LTD	SBI-SG GLOBAL SECURITIES SERVICES PVT LTD	HDFC Bank	HDFC Bank	HDFC Bank	HDFC Bank
DP ID	IN303786	IN303786	IN300126	IN300126	IN300126	IN300126
Beneficiary Name	ICICI PRUDENTIAL HEALTHCARE ETF - UNITS			ICICI PRUDENTIAL FMCG ETF - UNITS		
Beneficiary ID	10008718			10009028		
DP Name	SBI-SG GLOBAL SECURITIES SERVICES PVT LTD			SBI-SG GLOBAL SECURITIES SERVICES PVT LTD		
DP ID	IN303786			IN303786		