

FORM NO. MGT 9  
EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2022

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U74899HR1990PLC035764
2.	Registration Date	29/05/1990
3.	Name of the Company	Elite Wealth Limited (Formerly known as Elite Wealth Advisors Limited)
4.	Category/Sub-category of the Company	Company Limited by Shares / Indian Non-Government Company
5.	Address of the Registered office & contact details	Casa Picasso, Golf Course Extension, Near Rajesh Pilot Chowk, Radha Swami, Sector-61, Gurgaon HR 122001
6.	Whether listed company	No
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	M/s NSDL Database Management Limited 4th Floor Trade World A Wing Kamla Mills Compound, Lower Parel (W) Mumbai Maharashtra - 400013 Ph No: 91-22-24994200, Email Id: Info_Ndml@Nsd.Co.In

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

Sr. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Company is engaged in business of other Financial Services (dealing with shares, securities etc)	Group-661 under Division 66	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

Sr. No.	Name and Address of the Company	CIN/GLN	Holding/Subsidiary/As sociate	% of shares held	Applicable Section
1.	Elite Insurance Brokers Private Limited	U66030DL2006PTC153802	Subsidiary Company	98.67%	Section 2(87)
2.	Elite Comtrade Private Limited*	U51909DL2006PTC146717	Subsidiary Company	100%	Section 2(87)

\*The Elite Comtrade Private Limited is Merged with its holding Company Elite wealth Limited Pursuant to the provisions of the Section 233 of the Companies Act, 2013 and with the approval of the Regional Director (North) Through its order Dated on 8<sup>th</sup> day of June, 2021.

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(MEMBER : NSE, BSE, DP : NSDL / CDSL)

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Tel.: 011-42445757, 43035555, 43035517, 22758145 • Fax : 011-22793402 • E-mail : info@elitewealth.in

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**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

**i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year[As on 31-March-2021]				No. of Shares held at the end of the year[As on 31-March-2022]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter s</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	45,86,000	0	45,86,000	91.90	45,86,000	0	45,86,000	91.90	-
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt(s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	-	-	-	-	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
<b>Total shareholding of Promoter (A)</b>	<b>45,86,000</b>	<b>0</b>	<b>45,86,000</b>	<b>91.90</b>	<b>45,86,000</b>	<b>0</b>	<b>45,86,000</b>	<b>91.90</b>	<b>-</b>
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	-	-	-	-	-	-
b) Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FII's	-	-	-	-	-	-	-	-	-
h) Foreign	-	-	-	-	-	-	-	-	-

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Venture Capital Funds									
i) Others (specify)	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(1):-</b>	-	-	-	-	-	-	-	-	-
<b>2. Non-Institutions</b>									
a) Bodies Corp.									
i) Indian	-	0	0	0	-	0	0	0	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	3,54,000	50,000	4,04,000	8.10	3,54,000	50,000	4,04,000	8.10	-
c) Others (specify)									
Non Resident Indians	-	-	-	-	-	-	-	-	-
Overseas Corporate Bodies									
Foreign Nationals	-	-	-	-	-	-	-	-	-
Clearing Members	-	-	-	-	-	-	-	-	-
Trusts	-	-	-	-	-	-	-	-	-
Foreign Bodies - D R	-	-	-	-	-	-	-	-	-
<b>Sub-total (B)(2):-</b>	3,54,000	50,000	4,04,000	8.10	3,54,000	50,000	4,04,000	8.10	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	3,54,000	50,000	4,04,000	8.10	3,54,000	50,000	4,04,000	8.10	-

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C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
<b>Grand Total (A+B+C)</b>	4940000	50000	4990000	100	4940000	50000	4990000	100	Nil

ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% Change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Ravinder Parkash Seth	4,384,000	87.86	-	4,384,000	87.86	-	NIL
2	Ankur Seth	202,000	4.04	-	202,000	4.04	-	NIL

iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	<b>Ravinder Parkash Seth</b>				
	At the beginning of the year	4384000	87.86%	4384000	87.86%
	Reason for change	-	-	-	-
	At the end of the year	4384000	87.86%	4384000	87.86%

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
2.	<b>Ankur Seth</b>				
	At the beginning of the year	202,000	4.04%	202,000	4.04%
	Reason for change	0	0	0	0
	At the end of the year	202,000	4.04%	202,000	4.04%

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iv) Shareholding Pattern of top ten Shareholders:

(Other than Directors, Promoters and Holders of GDRs and ADRs):

S.No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	S. A. Salma Nachiyaa	80,000	1.60	80,000	1.60
2.	Y. M. Nazir Ahmed	65,000	1.30	65,000	1.30
3.	Haji Mohammed	65,000	1.30	65,000	1.30
4.	Ahmed Kabir Nazir	60,000	1.20	60,000	1.20
5.	Gudala Agaiah	50,000	1.00	50,000	1.00
6.	F. Nazimuddin	43,000	0.86	43,000	0.86
7.	V. Vijay Laxmi	21,000	0.42	21,000	0.42
8.	Goli Bhawani	20,000	0.40	20,000	0.40

v) Shareholding of Directors and Key Managerial Personnel:

S.No.	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Kavirinder Parkash Sethi	4384000	87.86%	4384000	87.86%
2.	Ankur Seth	202,000	4.04%	202,000	4.04%
3.	Dheeraj Bhatia	-	-	-	-
4.	Vikram Luthra	-	-	-	-
5.	Tarun Gera	-	-	-	-

v) INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	42290053	-	-	42290053
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-

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<b>Total (i+ii+iii)</b>				
<b>Change in Indebtedness during the financial year</b>				
* Addition	-	-	-	-
* Reduction	(19989829)	-	-	(19989829)
<b>Net Change</b>	(19989829)	-	-	(19989829)
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	22300224	-	-	22300224
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	22300224			22300224

#### VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

##### A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
		Ravinder Parkash Seth (Managing Director)	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	2055000	2055000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission - as % of profit - others, specify...	-	-
5	Others, please specify (i.e. Bonus)	80000	80000
	Total (A)	2135000	2135000
	Ceiling as per the Act		

##### B. Remuneration to other directors:

SN.	Particulars of Remuneration	Vikram Luthra	Dheeraj Bhatia	Ankur Seth	Total Amount

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1	Independent Directors	-	-	-	-
	Fee for attending board committee meetings	-	-	-	N.A.
	Commission	-	-	-	N.A.
	Others, please specify	-	-	-	N.A.
	Total (1)	-	-	-	N.A.
2	Other Non-Executive Directors (Salary)	1200000	1128900	500000	28,28,900
	Fee for attending board committee meetings	-	-	-	N.A.
	Commission	-	-	-	N.A.
	Others, please specify (i.e. Bonus + Leave encashment)	56452	68645	-	1,25,097
Total (2)	12,56,452	1197545	500000	29,53,997	
Total (B)=(1+2)	12,56,452	1197545	500000	29,53,997	
Total Managerial Remuneration	12,56,452	1197545	500000	29,53,997	
Overall Ceiling as per the Act					

C. Remuneration to Key Managerial Personal other than - MD/MANAGER/WTD – N.A.

SN.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	CS	CFO	Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	N.A.	N.A.	N.A.	N.A.
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	N.A.	N.A.	N.A.	N.A.
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	N.A.	N.A.	N.A.	N.A.
2	Stock Option	N.A.	N.A.	N.A.	N.A.
3	Sweat Equity	N.A.	N.A.	N.A.	N.A.
4	Commission	N.A.	N.A.	N.A.	N.A.
	- as % of profit				
	others, specify.				
5	Others, please specify	N.A.	N.A.	N.A.	N.A.
	Total				

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)

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A. COMPANY					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B. DIRECTORS					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

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