

## National Stock Exchange Of India Limited

## **Department: INVESTOR SERVICES CELL**

Download Ref No: NSE/ISC/50476 Date: December 01, 2021

Circular Ref. No: 29/2021

To All Members,

## **Nomination for Eligible Trading and Demat Accounts**

This is with reference to SEBI circular reference No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 and NSE circular NSE/INSP/49042 dated July 23, 2021 on the subject "Nomination for Eligible Trading and Demat Accounts", wherein it was mentioned that Trading Members shall activate new Trading accounts from October 01, 2021, only upon receipt of Nomination details in formats as mention by SEBI. Further, all existing eligible trading account holders shall provide nomination to the Trading Members as per the formats given by SEBI on or before March 31, 2022 failing which the trading shall not be allowed in the accounts.

In this regard the file format for upload of new client code and bulk modification for existing client codes in UCC system has been revised to incorporate the Nomination field. The file formats are provided as Annexure 1 and Annexure 2 respectively.

Further, API UAT and API Live documents are revised to this effect and has been placed at *Member Portal-UCI Online-Help option*.

The revised file format shall be effective from December 24, 2021, end of day.

Trading Members are required to obtain Nomination and update the same in the UCC system of the Exchange for all existing clients by March 31, 2022. Trading Members shall also inform their clients of their ability to trade in the event of clients not providing the details of nomination opt in/opt out of nomination.

Trading Members are advised to take note and comply with the above guidelines.

For and on behalf of National Stock Exchange of India Limited

## Dinaz Shroff Chief Manager

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